## The Coq Proof Assistant Reference Manual

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Version 8.5pl3<sup>1</sup>

The Coq Development Team

 $\pi r^2$  Project (formerly LogiCal, then TypiCal)

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## Introduction

This document is the Reference Manual of version 8.5pl3 of the CoQ proof assistant. A companion volume, the CoQ Tutorial, is provided for the beginners. It is advised to read the Tutorial first. A book [14] on practical uses of the CoQ system was published in 2004 and is a good support for both the beginner and the advanced user.

The CoQ system is designed to develop mathematical proofs, and especially to write formal specifications, programs and to verify that programs are correct with respect to their specification. It provides a specification language named GALLINA. Terms of GALLINA can represent programs as well as properties of these programs and proofs of these properties. Using the so-called *Curry-Howard isomorphism*, programs, properties and proofs are formalized in the same language called *Calculus of Inductive Constructions*, that is a  $\lambda$ -calculus with a rich type system. All logical judgments in CoQ are typing judgments. The very heart of the Coq system is the type-checking algorithm that checks the correctness of proofs, in other words that checks that a program complies to its specification. CoQ also provides an interactive proof assistant to build proofs using specific programs called *tactics*.

All services of the CoQ proof assistant are accessible by interpretation of a command language called *the vernacular*.

CoQ has an interactive mode in which commands are interpreted as the user types them in from the keyboard and a compiled mode where commands are processed from a file.

- The interactive mode may be used as a debugging mode in which the user can develop his theories and proofs step by step, backtracking if needed and so on. The interactive mode is run with the coqtop command from the operating system (which we shall assume to be some variety of UNIX in the rest of this document).
- The compiled mode acts as a proof checker taking a file containing a whole development in order to ensure its correctness. Moreover, CoQ's compiler provides an output file containing a compact representation of its input. The compiled mode is run with the coqc command from the operating system.

These two modes are documented in Chapter 14.

Other modes of interaction with COQ are possible: through an emacs shell window, an emacs generic user-interface for proof assistant (PROOF GENERAL [1]) or through a customized interface (PCoq [138]). These facilities are not documented here. There is also a COQ Integrated Development Environment described in Chapter 16.

#### How to read this book

This is a Reference Manual, not a User Manual, then it is not made for a continuous reading. However, it has some structure that is explained below.

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• The first part describes the specification language, Gallina. Chapters 1 and 2 describe the concrete syntax as well as the meaning of programs, theorems and proofs in the Calculus of Inductive Constructions. Chapter 3 describes the standard library of Coq. Chapter 4 is a mathematical description of the formalism. Chapter 5 describes the module system.

- The second part describes the proof engine. It is divided in five chapters. Chapter 6 presents all commands (we call them *vernacular commands*) that are not directly related to interactive proving: requests to the environment, complete or partial evaluation, loading and compiling files. How to start and stop proofs, do multiple proofs in parallel is explained in Chapter 7. In Chapter 8, all commands that realize one or more steps of the proof are presented: we call them *tactics*. The language to combine these tactics into complex proof strategies is given in Chapter 9. Examples of tactics are described in Chapter 10.
- The third part describes how to extend the syntax of Coq. It corresponds to the Chapter 12.
- In the fourth part more practical tools are documented. First in Chapter 14, the usage of coqc (batch mode) and coqtop (interactive mode) with their options is described. Then, in Chapter 15, various utilities that come with the CoQ distribution are presented. Finally, Chapter 16 describes the CoQ integrated development environment.

At the end of the document, after the global index, the user can find specific indexes for tactics, vernacular commands, and error messages.

#### List of additional documentation

This manual does not contain all the documentation the user may need about CoQ. Various informations can be found in the following documents:

**Tutorial** A companion volume to this reference manual, the CoQ Tutorial, is aimed at gently introducing new users to developing proofs in CoQ without assuming prior knowledge of type theory. In a second step, the user can read also the tutorial on recursive types (document RecTutorial.ps).

**Addendum** The fifth part (the Addendum) of the Reference Manual is distributed as a separate document. It contains more detailed documentation and examples about some specific aspects of the system that may interest only certain users. It shares the indexes, the page numbers and the bibliography with the Reference Manual. If you see in one of the indexes a page number that is outside the Reference Manual, it refers to the Addendum.

**Installation** A text file INSTALL that comes with the sources explains how to install Coq.

**The CoQ standard library** A commented version of sources of the CoQ standard library (including only the specifications, the proofs are removed) is given in the additional document Library.ps.

COQ is a proof assistant for higher-order logic, allowing the development of computer programs consistent with their formal specification. It is the result of about ten years of research of the Coq project. We shall briefly survey here three main aspects: the *logical language* in which we write our axiomatizations and specifications, the *proof assistant* which allows the development of verified mathematical proofs, and the *program extractor* which synthesizes computer programs obeying their formal specifications, written as logical assertions in the language.

The logical language used by CoQ is a variety of type theory, called the Calculus of Inductive Constructions. Without going back to Leibniz and Boole, we can date the creation of what is now called mathematical logic to the work of Frege and Peano at the turn of the century. The discovery of antinomies in the free use of predicates or comprehension principles prompted Russell to restrict predicate calculus with a stratification of types. This effort culminated with *Principia Mathematica*, the first systematic attempt at a formal foundation of mathematics. A simplification of this system along the lines of simply typed  $\lambda$ -calculus occurred with Church's *Simple Theory of Types*. The  $\lambda$ -calculus notation, originally used for expressing functionality, could also be used as an encoding of natural deduction proofs. This Curry-Howard isomorphism was used by N. de Bruijn in the Automath project, the first full-scale attempt to develop and mechanically verify mathematical proofs. This effort culminated with Jutting's verification of Landau's Grundlagen in the 1970's. Exploiting this Curry-Howard isomorphism, notable achievements in proof theory saw the emergence of two type-theoretic frameworks; the first one, Martin-Löf's Intuitionistic Theory of Types, attempts a new foundation of mathematics on constructive principles. The second one, Girard's polymorphic  $\lambda$ -calculus  $F_{\omega}$ , is a very strong functional system in which we may represent higher-order logic proof structures. Combining both systems in a higher-order extension of the Automath languages, T. Coquand presented in 1985 the first version of the Calculus of Constructions, CoC. This strong logical system allowed powerful axiomatizations, but direct inductive definitions were not possible, and inductive notions had to be defined indirectly through functional encodings, which introduced inefficiencies and awkwardness. The formalism was extended in 1989 by T. Coquand and C. Paulin with primitive inductive definitions, leading to the current Calculus of Inductive Constructions. This extended formalism is not rigorously defined here. Rather, numerous concrete examples are discussed. We refer the interested reader to relevant research papers for more information about the formalism, its meta-theoretic properties, and semantics. However, it should not be necessary to understand this theoretical material in order to write specifications. It is possible to understand the Calculus of Inductive Constructions at a higher level, as a mixture of predicate calculus, inductive predicate definitions presented as typed PROLOG, and recursive function definitions close to the language

Automated theorem-proving was pioneered in the 1960's by Davis and Putnam in propositional calculus. A complete mechanization (in the sense of a semi-decision procedure) of classical first-order logic was proposed in 1965 by J.A. Robinson, with a single uniform inference rule called *resolution*. Resolution relies on solving equations in free algebras (i.e. term structures), using the *unification algorithm*.

Many refinements of resolution were studied in the 1970's, but few convincing implementations were realized, except of course that PROLOG is in some sense issued from this effort. A less ambitious approach to proof development is computer-aided proof-checking. The most notable proof-checkers developed in the 1970's were LCF, designed by R. Milner and his colleagues at U. Edinburgh, specialized in proving properties about denotational semantics recursion equations, and the Boyer and Moore theorem-prover, an automation of primitive recursion over inductive data types. While the Boyer-Moore theorem-prover attempted to synthesize proofs by a combination of automated methods, LCF constructed its proofs through the programming of *tactics*, written in a high-level functional meta-language, ML.

The salient feature which clearly distinguishes our proof assistant from say LCF or Boyer and Moore's, is its possibility to extract programs from the constructive contents of proofs. This computational interpretation of proof objects, in the tradition of Bishop's constructive mathematics, is based on a realizability interpretation, in the sense of Kleene, due to C. Paulin. The user must just mark his intention by separating in the logical statements the assertions stating the existence of a computational object from the logical assertions which specify its properties, but which may be considered as just comments in the corresponding program. Given this information, the system automatically extracts a functional term from a consistency proof of its specifications. This functional term may be in turn compiled into an actual computer program. This methodology of extracting programs from proofs is a revolutionary paradigm for software engineering. Program synthesis has long been a theme of research in artificial intelligence, pioneered by R. Waldinger. The Tablog system of Z. Manna and R. Waldinger allows the deductive synthesis of functional programs from proofs in tableau form of their specifications, written in a variety of first-order logic. Development of a systematic programming logic, based on extensions of Martin-Löf's type theory, was undertaken at Cornell U. by the Nuprl team, headed by R. Constable. The first actual program extractor, PX, was designed and implemented around 1985 by S. Hayashi from Kyoto University. It allows the extraction of a LISP program from a proof in a logical system inspired by the logical formalisms of S. Feferman. Interest in this methodology is growing in the theoretical computer science community. We can foresee the day when actual computer systems used in applications will contain certified modules, automatically generated from a consistency proof of their formal specifications. We are however still far from being able to use this methodology in a smooth interaction with the standard tools from software engineering, i.e. compilers, linkers, run-time systems taking advantage of special hardware, debuggers, and the like. We hope that CoQ can be of use to researchers interested in experimenting with this new methodology.

A first implementation of CoC was started in 1984 by G. Huet and T. Coquand. Its implementation language was CAML, a functional programming language from the ML family designed at INRIA in Rocquencourt. The core of this system was a proof-checker for CoC seen as a typed  $\lambda$ -calculus, called the Constructive Engine. This engine was operated through a high-level notation permitting the declaration of axioms and parameters, the definition of mathematical types and objects, and the explicit construction of proof objects encoded as  $\lambda$ -terms. A section mechanism, designed and implemented by G. Dowek, allowed hierarchical developments of mathematical theories. This high-level language was called the Mathematical Vernacular. Furthermore, an interactive Theorem Prover permitted the incremental construction of proof trees in a top-down manner, subgoaling recursively and backtracking from dead-alleys. The theorem prover executed tactics written in CAML, in the LCF fashion. A basic set of tactics was predefined, which the user could extend by his own specific tactics. This system (Version 4.10) was released in 1989. Then, the system was extended to deal with the new calculus with inductive types by C. Paulin, with corresponding new tactics for proofs by induction. A new standard set of tactics was streamlined, and the vernacular extended for tactics execution. A package to compile programs extracted from proofs to actual computer programs in CAML or some other functional language was designed and implemented by B. Werner. A new user-interface, relying on a CAML-X interface by D.

de Rauglaudre, was designed and implemented by A. Felty. It allowed operation of the theorem-prover through the manipulation of windows, menus, mouse-sensitive buttons, and other widgets. This system (Version 5.6) was released in 1991.

CoQ was ported to the new implementation Caml-light of X. Leroy and D. Doligez by D. de Rauglaudre (Version 5.7) in 1992. A new version of CoQ was then coordinated by C. Murthy, with new tools designed by C. Parent to prove properties of ML programs (this methodology is dual to program extraction) and a new user-interaction loop. This system (Version 5.8) was released in May 1993. A Centaur interface CTCoQ was then developed by Y. Bertot from the Croap project from INRIA-Sophia-Antipolis.

In parallel, G. Dowek and H. Herbelin developed a new proof engine, allowing the general manipulation of existential variables consistently with dependent types in an experimental version of CoQ (V5.9).

The version V5.10 of CoQ is based on a generic system for manipulating terms with binding operators due to Chet Murthy. A new proof engine allows the parallel development of partial proofs for independent subgoals. The structure of these proof trees is a mixed representation of derivation trees for the Calculus of Inductive Constructions with abstract syntax trees for the tactics scripts, allowing the navigation in a proof at various levels of details. The proof engine allows generic environment items managed in an object-oriented way. This new architecture, due to C. Murthy, supports several new facilities which make the system easier to extend and to scale up:

- User-programmable tactics are allowed
- It is possible to separately verify development modules, and to load their compiled images without verifying them again a quick relocation process allows their fast loading
- A generic parsing scheme allows user-definable notations, with a symmetric table-driven prettyprinter
- Syntactic definitions allow convenient abbreviations
- A limited facility of meta-variables allows the automatic synthesis of certain type expressions, allowing generic notations for e.g. equality, pairing, and existential quantification.

In the Fall of 1994, C. Paulin-Mohring replaced the structure of inductively defined types and families by a new structure, allowing the mutually recursive definitions. P. Manoury implemented a translation of recursive definitions into the primitive recursive style imposed by the internal recursion operators, in the style of the ProPre system. C. Muñoz implemented a decision procedure for intuitionistic propositional logic, based on results of R. Dyckhoff. J.C. Filliâtre implemented a decision procedure for first-order logic without contraction, based on results of J. Ketonen and R. Weyhrauch. Finally C. Murthy implemented a library of inversion tactics, relieving the user from tedious definitions of "inversion predicates".

Rocquencourt, Feb. 1st 1995 Gérard Huet

#### Credits: addendum for version 6.1

The present version 6.1 of CoQ is based on the V5.10 architecture. It was ported to the new language OBJECTIVE CAML by Bruno Barras. The underlying framework has slightly changed and allows more conversions between sorts.

The new version provides powerful tools for easier developments.

Cristina Cornes designed an extension of the CoQ syntax to allow definition of terms using a powerful pattern-matching analysis in the style of ML programs.

Amokrane Saïbi wrote a mechanism to simulate inheritance between types families extending a proposal by Peter Aczel. He also developed a mechanism to automatically compute which arguments of a constant may be inferred by the system and consequently do not need to be explicitly written.

Yann Coscoy designed a command which explains a proof term using natural language. Pierre Crégut built a new tactic which solves problems in quantifier-free Presburger Arithmetic. Both functionalities have been integrated to the CoQ system by Hugo Herbelin.

Samuel Boutin designed a tactic for simplification of commutative rings using a canonical set of rewriting rules and equality modulo associativity and commutativity.

Finally the organisation of the CoQ distribution has been supervised by Jean-Christophe Filliâtre with the help of Judicaël Courant and Bruno Barras.

Lyon, Nov. 18th 1996 Christine Paulin

#### Credits: addendum for version 6.2

In version 6.2 of CoQ, the parsing is done using camlp4, a preprocessor and pretty-printer for CAML designed by Daniel de Rauglaudre at INRIA. Daniel de Rauglaudre made the first adaptation of CoQ for camlp4, this work was continued by Bruno Barras who also changed the structure of CoQ abstract syntax trees and the primitives to manipulate them. The result of these changes is a faster parsing procedure with greatly improved syntax-error messages. The user-interface to introduce grammar or pretty-printing rules has also changed.

Eduardo Giménez redesigned the internal tactic libraries, giving uniform names to Caml functions corresponding to Coo tactic names.

Bruno Barras wrote new more efficient reductions functions.

Hugo Herbelin introduced more uniform notations in the CoQ specification language: the definitions by fixpoints and pattern-matching have a more readable syntax. Patrick Loiseleur introduced user-friendly notations for arithmetic expressions.

New tactics were introduced: Eduardo Giménez improved a mechanism to introduce macros for tactics, and designed special tactics for (co)inductive definitions; Patrick Loiseleur designed a tactic to simplify polynomial expressions in an arbitrary commutative ring which generalizes the previous tactic implemented by Samuel Boutin. Jean-Christophe Filliâtre introduced a tactic for refining a goal, using a proof term with holes as a proof scheme.

David Delahaye designed the **Searchlsos** tool to search an object in the library given its type (up to isomorphism).

Henri Laulhère produced the CoQ distribution for the Windows environment.

Finally, Hugo Herbelin was the main coordinator of the CoQ documentation with principal contributions by Bruno Barras, David Delahaye, Jean-Christophe Filliâtre, Eduardo Giménez, Hugo Herbelin and Patrick Loiseleur.

Orsay, May 4th 1998 Christine Paulin

#### Credits: addendum for version 6.3

The main changes in version V6.3 was the introduction of a few new tactics and the extension of the guard condition for fixpoint definitions.

- B. Barras extended the unification algorithm to complete partial terms and solved various tricky bugs related to universes.
- D. Delahaye developed the AutoRewrite tactic. He also designed the new behavior of Intro and provided the tacticals First and Solve.
- J.-C. Filliâtre developed the Correctness tactic.
- E. Giménez extended the guard condition in fixpoints.
- H. Herbelin designed the new syntax for definitions and extended the Induction tactic.
- P. Loiseleur developed the Quote tactic and the new design of the Auto tactic, he also introduced the index of errors in the documentation.
- C. Paulin wrote the Focus command and introduced the reduction functions in definitions, this last feature was proposed by J.-F. Monin from CNET Lannion.

Orsay, Dec. 1999 Christine Paulin

#### **Credits: versions 7**

The version V7 is a new implementation started in September 1999 by Jean-Christophe Filliâtre. This is a major revision with respect to the internal architecture of the system. The CoQ version 7.0 was distributed in March 2001, version 7.1 in September 2001, version 7.2 in January 2002, version 7.3 in May 2002 and version 7.4 in February 2003.

Jean-Christophe Filliâtre designed the architecture of the new system, he introduced a new representation for environments and wrote a new kernel for type-checking terms. His approach was to use functional data-structures in order to get more sharing, to prepare the addition of modules and also to get closer to a certified kernel.

Hugo Herbelin introduced a new structure of terms with local definitions. He introduced "qualified" names, wrote a new pattern-matching compilation algorithm and designed a more compact algorithm for checking the logical consistency of universes. He contributed to the simplification of CoQ internal structures and the optimisation of the system. He added basic tactics for forward reasoning and coercions in patterns.

David Delahaye introduced a new language for tactics. General tactics using pattern-matching on goals and context can directly be written from the CoQ toplevel. He also provided primitives for the design of user-defined tactics in CAML.

Micaela Mayero contributed the library on real numbers. Olivier Desmettre extended this library with axiomatic trigonometric functions, square, square roots, finite sums, Chasles property and basic plane geometry.

Jean-Christophe Filliâtre and Pierre Letouzey redesigned a new extraction procedure from CoQ terms to CAML or HASKELL programs. This new extraction procedure, unlike the one implemented in previous version of CoQ is able to handle all terms in the Calculus of Inductive Constructions, even involving universes and strong elimination. P. Letouzey adapted user contributions to extract ML programs when it was sensible. Jean-Christophe Filliâtre wrote coqdoc, a documentation tool for CoQ libraries usable from version 7.2.

Bruno Barras improved the reduction algorithms efficiency and the confidence level in the correctness of CoQ critical type-checking algorithm.

Yves Bertot designed the SearchPattern and SearchRewrite tools and the support for the PCOQ interface (http://www-sop.inria.fr/lemme/pcoq/).

Micaela Mayero and David Delahaye introduced Field, a decision tactic for commutative fields.

Christine Paulin changed the elimination rules for empty and singleton propositional inductive types.

Loïc Pottier developed Fourier, a tactic solving linear inequalities on real numbers.

Pierre Crégut developed a new version based on reflexion of the Omega decision tactic.

Claudio Sacerdoti Coen designed an XML output for the CoQ modules to be used in the Hypertextual Electronic Library of Mathematics (HELM cf http://www.cs.unibo.it/helm).

A library for efficient representation of finite maps using binary trees contributed by Jean Goubault was integrated in the basic theories.

Pierre Courtieu developed a command and a tactic to reason on the inductive structure of recursively defined functions.

Jacek Chrząszcz designed and implemented the module system of CoQ whose foundations are in Judicaël Courant's PhD thesis.

The development was coordinated by C. Paulin.

Many discussions within the Démons team and the LogiCal project influenced significantly the design of CoQ especially with J. Courant, J. Duprat, J. Goubault, A. Miquel, C. Marché, B. Monate and B. Werner.

Intensive users suggested improvements of the system: Y. Bertot, L. Pottier, L. Théry, P. Zimmerman from INRIA, C. Alvarado, P. Crégut, J.-F. Monin from France Telecom R & D.

Orsay, May. 2002 Hugo Herbelin & Christine Paulin

#### Credits: version 8.0

CoQ version 8 is a major revision of the CoQ proof assistant. First, the underlying logic is slightly different. The so-called *impredicativity* of the sort Set has been dropped. The main reason is that it is inconsistent with the principle of description which is quite a useful principle for formalizing mathematics within classical logic. Moreover, even in an constructive setting, the impredicativity of Set does not add so much in practice and is even subject of criticism from a large part of the intuitionistic mathematician community. Nevertheless, the impredicativity of Set remains optional for users interested in investigating mathematical developments which rely on it.

Secondly, the concrete syntax of terms has been completely revised. The main motivations were

- a more uniform, purified style: all constructions are now lowercase, with a functional programming perfume (e.g. abstraction is now written fun), and more directly accessible to the novice (e.g. dependent product is now written forall and allows omission of types). Also, parentheses and are no longer mandatory for function application.
- extensibility: some standard notations (e.g. "<" and ">") were incompatible with the previous syntax. Now all standard arithmetic notations (=, +, \*, /, <, <=, ... and more) are directly part of the syntax.

Together with the revision of the concrete syntax, a new mechanism of *interpretation scopes* permits to reuse the same symbols (typically +, -, \*, /, <, =) in various mathematical theories without any ambiguities for CoQ, leading to a largely improved readability of CoQ scripts. New commands to easily add new symbols are also provided.

Coming with the new syntax of terms, a slight reform of the tactic language and of the language of commands has been carried out. The purpose here is a better uniformity making the tactics and commands easier to use and to remember.

Thirdly, a restructuration and uniformisation of the standard library of CoQ has been performed. There is now just one Leibniz' equality usable for all the different kinds of CoQ objects. Also, the set of real numbers now lies at the same level as the sets of natural and integer numbers. Finally, the names of the standard properties of numbers now follow a standard pattern and the symbolic notations for the standard definitions as well.

The fourth point is the release of CoQIDE, a new graphical gtk2-based interface fully integrated to CoQ. Close in style from the Proof General Emacs interface, it is faster and its integration with CoQ makes interactive developments more friendly. All mathematical Unicode symbols are usable within CoQIDE.

Finally, the module system of CoQ completes the picture of CoQ version 8.0. Though released with an experimental status in the previous version 7.4, it should be considered as a salient feature of the new version.

Besides, CoQ comes with its load of novelties and improvements: new or improved tactics (including a new tactic for solving first-order statements), new management commands, extended libraries.

Bruno Barras and Hugo Herbelin have been the main contributors of the reflexion and the implementation of the new syntax. The smart automatic translator from old to new syntax released with CoQ is also their work with contributions by Olivier Desmettre.

Hugo Herbelin is the main designer and implementor of the notion of interpretation scopes and of the commands for easily adding new notations.

Hugo Herbelin is the main implementor of the restructuration of the standard library.

Pierre Corbineau is the main designer and implementor of the new tactic for solving first-order statements in presence of inductive types. He is also the maintainer of the non-domain specific automation tactics.

Benjamin Monate is the developer of the CoQIDE graphical interface with contributions by Jean-Christophe Filliâtre, Pierre Letouzey, Claude Marché and Bruno Barras.

Claude Marché coordinated the edition of the Reference Manual for CoQ V8.0.

Pierre Letouzey and Jacek Chrząszcz respectively maintained the extraction tool and module system of CoQ.

Jean-Christophe Filliâtre, Pierre Letouzey, Hugo Herbelin ando contributors from Sophia-Antipolis and Nijmegen participated to the extension of the library.

Julien Narboux built a NSIS-based automatic Coo installation tool for the Windows platform.

Hugo Herbelin and Christine Paulin coordinated the development which was under the responsability of Christine Paulin.

Palaiseau & Orsay, Apr. 2004 Hugo Herbelin & Christine Paulin (updated Apr. 2006)

#### **Credits: version 8.1**

Coo version 8.1 adds various new functionalities.

Benjamin Grégoire implemented an alternative algorithm to check the convertibility of terms in the CoQ type-checker. This alternative algorithm works by compilation to an efficient bytecode that is interpreted in an abstract machine similar to Xavier Leroy's ZINC machine. Convertibility is performed by comparing the normal forms. This alternative algorithm is specifically interesting for proofs by reflection. More generally, it is convenient in case of intensive computations.

Christine Paulin implemented an extension of inductive types allowing recursively non uniform parameters. Hugo Herbelin implemented sort-polymorphism for inductive types.

Claudio Sacerdoti Coen improved the tactics for rewriting on arbitrary compatible equivalence relations. He also generalized rewriting to arbitrary transition systems.

Claudio Sacerdoti Coen added new features to the module system.

Benjamin Grégoire, Assia Mahboubi and Bruno Barras developed a new more efficient and more general simplification algorithm on rings and semi-rings.

Laurent Théry and Bruno Barras developed a new significantly more efficient simplification algorithm on fields.

Hugo Herbelin, Pierre Letouzey, Julien Forest, Julien Narboux and Claudio Sacerdoti Coen added new tactic features.

Hugo Herbelin implemented matching on disjunctive patterns.

New mechanisms made easier the communication between COQ and external provers. Nicolas Ayache and Jean-Christophe Filliâtre implemented connections with the provers CVCL, SIMPLIFY and ZENON. Hugo Herbelin implemented an experimental protocol for calling external tools from the tactic language.

Matthieu Sozeau developed RUSSELL, an experimental language to specify the behavior of programs with subtypes.

A mechanism to automatically use some specific tactic to solve unresolved implicit has been implemented by Hugo Herbelin.

Laurent Théry's contribution on strings and Pierre Letouzey and Jean-Christophe Filliâtre's contribution on finite maps have been integrated to the CoQ standard library. Pierre Letouzey developed a library about finite sets "à la OBJECTIVE CAML". With Jean-Marc Notin, he extended the library on lists. Pierre Letouzey's contribution on rational numbers has been integrated and extended..

Pierre Corbineau extended his tactic for solving first-order statements. He wrote a reflection-based intuitionistic tautology solver.

Pierre Courtieu, Julien Forest and Yves Bertot added extra support to reason on the inductive structure of recursively defined functions.

Jean-Marc Notin significantly contributed to the general maintenance of the system. He also took care of coqdoc.

Pierre Castéran contributed to the documentation of (co-)inductive types and suggested improvements to the libraries.

Pierre Corbineau implemented a declarative mathematical proof language, usable in combination with the tactic-based style of proof.

Finally, many users suggested improvements of the system through the Coq-Club mailing list and bug-tracker systems, especially user groups from INRIA Rocquencourt, Radboud University, University of Pennsylvania and Yale University.

#### Credits: version 8.2

Coo version 8.2 adds new features, new libraries and improves on many various aspects.

Regarding the language of Coq, the main novelty is the introduction by Matthieu Sozeau of a package of commands providing Haskell-style type classes. Type classes, that come with a few convenient features such as type-based resolution of implicit arguments, plays a new role of landmark in the architecture of Coq with respect to automatization. For instance, thanks to type classes support, Matthieu Sozeau could implement a new resolution-based version of the tactics dedicated to rewriting on arbitrary transitive relations.

Another major improvement of Coq 8.2 is the evolution of the arithmetic libraries and of the tools associated to them. Benjamin Grégoire and Laurent Théry contributed a modular library for building arbitrarily large integers from bounded integers while Evgeny Makarov contributed a modular library of abstract natural and integer arithmetics together with a few convenient tactics. On his side, Pierre Letouzey made numerous extensions to the arithmetic libraries on  $\mathbb Z$  and  $\mathbb Q$ , including extra support for automatization in presence of various number-theory concepts.

Frédéric Besson contributed a reflexive tactic based on Krivine-Stengle Positivstellensatz (the easy way) for validating provability of systems of inequalities. The platform is flexible enough to support the validation of any algorithm able to produce a "certificate" for the Positivstellensatz and this covers the case of Fourier-Motzkin (for linear systems in  $\mathbb Q$  and  $\mathbb R$ ), Fourier-Motzkin with cutting planes (for linear systems in  $\mathbb Z$ ) and sum-of-squares (for non-linear systems). Evgeny Makarov made the platform generic over arbitrary ordered rings.

Arnaud Spiwack developed a library of 31-bits machine integers and, relying on Benjamin Grégoire and Laurent Théry's library, delivered a library of unbounded integers in base  $2^{31}$ . As importantly, he developed a notion of "retro-knowledge" so as to safely extend the kernel-located bytecode-based efficient evaluation algorithm of Coq version 8.1 to use 31-bits machine arithmetics for efficiently computing with the library of integers he developed.

Beside the libraries, various improvements contributed to provide a more comfortable end-user language and more expressive tactic language. Hugo Herbelin and Matthieu Sozeau improved the pattern-matching compilation algorithm (detection of impossible clauses in pattern-matching, automatic inference of the return type). Hugo Herbelin, Pierre Letouzey and Matthieu Sozeau contributed various new convenient syntactic constructs and new tactics or tactic features: more inference of redundant information, better unification, better support for proof or definition by fixpoint, more expressive rewriting tactics, better support for meta-variables, more convenient notations, ...

Élie Soubiran improved the module system, adding new features (such as an "include" command) and making it more flexible and more general. He and Pierre Letouzey improved the support for modules in the extraction mechanism.

Matthieu Sozeau extended the RUSSELL language, ending in an convenient way to write programs of given specifications, Pierre Corbineau extended the Mathematical Proof Language and the automatization tools that accompany it, Pierre Letouzey supervised and extended various parts the standard library, Stéphane Glondu contributed a few tactics and improvements, Jean-Marc Notin provided help in debugging, general maintenance and coqdoc support, Vincent Siles contributed extensions of the Scheme command and of injection.

Bruno Barras implemented the coqchk tool: this is a stand-alone type-checker that can be used to certify .vo files. Especially, as this verifier runs in a separate process, it is granted not to be "hijacked" by virtually malicious extensions added to CoQ.

Yves Bertot, Jean-Christophe Filliâtre, Pierre Courtieu and Julien Forest acted as maintainers of features they implemented in previous versions of Coq.

Julien Narboux contributed to CoQIDE. Nicolas Tabareau made the adaptation of the interface of the old "setoid rewrite" tactic to the new version. Lionel Mamane worked on the interaction between Coq and its external interfaces. With Samuel Mimram, he also helped making Coq compatible with recent software tools. Russell O'Connor, Cezary Kaliscyk, Milad Niqui contributed to improved the libraries of integers, rational, and real numbers. We also thank many users and partners for suggestions and feedback, in particular Pierre Castéran and Arthur Charguéraud, the INRIA Marelle team, Georges Gonthier and the INRIA-Microsoft Mathematical Components team, the Foundations group at Radboud university in Nijmegen, reporters of bugs and participants to the Coq-Club mailing list.

Palaiseau, June 2008 Hugo Herbelin

#### **Credits: version 8.3**

CoQ version 8.3 is before all a transition version with refinements or extensions of the existing features and libraries and a new tactic nsatz based on Hilbert's Nullstellensatz for deciding systems of equations over rings.

With respect to libraries, the main evolutions are due to Pierre Letouzey with a rewriting of the library of finite sets FSets and a new round of evolutions in the modular development of arithmetic (library Numbers). The reason for making FSets evolve is that the computational and logical contents were quite intertwined in the original implementation, leading in some cases to longer computations than expected and this problem is solved in the new MSets implementation. As for the modular arithmetic library, it was only dealing with the basic arithmetic operators in the former version and its current extension adds the standard theory of the division, min and max functions, all made available for free to any implementation of  $\mathbb{N}$ ,  $\mathbb{Z}$  or  $\mathbb{Z}/n\mathbb{Z}$ .

The main other evolutions of the library are due to Hugo Herbelin who made a revision of the sorting library (includingh a certified merge-sort) and to Guillaume Melquiond who slightly revised and cleaned up the library of reals.

The module system evolved significantly. Besides the resolution of some efficiency issues and a more flexible construction of module types, Élie Soubiran brought a new model of name equivalence, the  $\Delta$ -equivalence, which respects as much as possible the names given by the users. He also designed with Pierre Letouzey a new convenient operator <+ for nesting functor application, what provides a light notation for inheriting the properties of cascading modules.

The new tactic nsatz is due to Loïc Pottier. It works by computing Gröbner bases. Regarding the existing tactics, various improvements have been done by Matthieu Sozeau, Hugo Herbelin and Pierre Letouzey.

Matthieu Sozeau extended and refined the type classes and Program features (the RUSSELL language). Pierre Letouzey maintained and improved the extraction mechanism. Bruno Barras and Élie Soubiran maintained the Coq checker, Julien Forest maintained the Function mechanism for reasoning over recursively defined functions. Matthieu Sozeau, Hugo Herbelin and Jean-Marc Notin maintained coqdoc. Frédéric Besson maintained the MICROMEGA plateform for deciding systems of inequalities. Pierre Courtieu maintained the support for the Proof General Emacs interface. Claude Marché maintained the plugin for calling external provers (dp). Yves Bertot made some improvements to the libraries of lists and integers. Matthias Puech improved the search functions. Guillaume Melquiond usefully contributed here and there. Yann Régis-Gianas grounded the support for Unicode on a more standard and more robust basis.

Though invisible from outside, Arnaud Spiwack improved the general process of management of existential variables. Pierre Letouzey and Stéphane Glondu improved the compilation scheme of the Coq archive. Vincent Gross provided support to CoQIDE. Jean-Marc Notin provided support for benchmarking and archiving.

Many users helped by reporting problems, providing patches, suggesting improvements or making useful comments, either on the bug tracker or on the Coq-club mailing list. This includes but not exhaustively Cédric Auger, Arthur Charguéraud, François Garillot, Georges Gonthier, Robin Green, Stéphane Lescuyer, Eelis van der Weegen, ...

Though not directly related to the implementation, special thanks are going to Yves Bertot, Pierre Castéran, Adam Chlipala, and Benjamin Pierce for the excellent teaching materials they provided.

Paris, April 2010 Hugo Herbelin

#### **Credits: version 8.4**

CoQ version 8.4 contains the result of three long-term projects: a new modular library of arithmetic by Pierre Letouzey, a new proof engine by Arnaud Spiwack and a new communication protocol for CoQIDE by Vincent Gross.

The new modular library of arithmetic extends, generalizes and unifies the existing libraries on Peano arithmetic (types nat, N and BigN), positive arithmetic (type positive), integer arithmetic (Z and BigZ) and machine word arithmetic (type Int31). It provides with unified notations (e.g. systematic use of add and mul for denoting the addition and multiplication operators), systematic and generic development of operators and properties of these operators for all the types mentioned above, including gcd, pcm, power, square root, base 2 logarithm, division, modulo, bitwise operations, logical shifts, comparisons, iterators, ...

The most visible feature of the new proof engine is the support for structured scripts (bullets and proof brackets) but, even if yet not user-available, the new engine also provides the basis for refining existential variables using tactics, for applying tactics to several goals simultaneously, for reordering goals, all features which are planned for the next release. The new proof engine forced to reimplement info and Show Script differently, what was done by Pierre Letouzey.

Before version 8.4, CoQIDE was linked to CoQ with the graphical interface living in a separate thread. From version 8.4, CoQIDE is a separate process communicating with CoQ through a textual channel. This allows for a more robust interfacing, the ability to interrupt CoQ without interrupting the interface, and the ability to manage several sessions in parallel. Relying on the infrastructure work made by Vincent Gross, Pierre Letouzey, Pierre Boutillier and Pierre-Marie Pédrot contributed many various refinements of CoQIDE.

CoQ 8.4 also comes with a bunch of many various smaller-scale changes and improvements regarding the different components of the system.

The underlying logic has been extended with  $\eta$ -conversion thanks to Hugo Herbelin, Stéphane Glondu and Benjamin Grégoire. The addition of  $\eta$ -conversion is justified by the confidence that the formulation of the Calculus of Inductive Constructions based on typed equality (such as the one considered in Lee and Werner to build a set-theoretic model of CIC [97]) is applicable to the concrete implementation of CoQ.

The underlying logic benefited also from a refinement of the guard condition for fixpoints by Pierre Boutillier, the point being that it is safe to propagate the information about structurally smaller arguments through  $\beta$ -redexes that are blocked by the "match" construction (blocked commutative cuts).

Relying on the added permissiveness of the guard condition, Hugo Herbelin could extend the patternmatching compilation algorithm so that matching over a sequence of terms involving dependencies of a term or of the indices of the type of a term in the type of other terms is systematically supported.

Regarding the high-level specification language, Pierre Boutillier introduced the ability to give implicit arguments to anonymous functions, Hugo Herbelin introduced the ability to define notations with several binders (e.g. exists x y z, P), Matthieu Sozeau made the type classes inference mechanism more robust and predictable, Enrico Tassi introduced a command Arguments that generalizes Implicit Arguments and Arguments Scope for assigning various properties to arguments of constants. Various improvements in the type inference algorithm were provided by Matthieu Sozeau and Hugo Herbelin with contributions from Enrico Tassi.

Regarding tactics, Hugo Herbelin introduced support for referring to expressions occurring in the goal by pattern in tactics such as set or destruct. Hugo Herbelin also relied on ideas from Chung-Kil Hur's Heq plugin to introduce automatic computation of occurrences to generalize when using destruct and induction on types with indices. Stéphane Glondu introduced new tactics constr\_eq, is\_evar and has\_evar to be used when writing complex tactics. Enrico Tassi added support to fine-tuning the behavior of simpl. Enrico Tassi added the ability to specify over which variables of a section a lemma has to be exactly generalized. Pierre Letouzey added a tactic timeout and the interruptibility of vm\_compute. Bug fixes and miscellaneous improvements of the tactic language came from Hugo Herbelin, Pierre Letouzey and Matthieu Sozeau.

Regarding decision tactics, Loïc Pottier maintained Nsatz, moving in particular to a type-class based reification of goals while Frédéric Besson maintained Micromega, adding in particular support for division.

Regarding vernacular commands, Stéphane Glondu provided new commands to analyze the structure of type universes.

Regarding libraries, a new library about lists of a given length (called vectors) has been provided by Pierre Boutillier. A new instance of finite sets based on Red-Black trees and provided by Andrew Appel has been adapted for the standard library by Pierre Letouzey. In the library of real analysis, Yves Bertot changed the definition of  $\pi$  and provided a proof of the long-standing fact yet remaining unproved in this library, namely that  $sin\frac{\pi}{2}=1$ .

Pierre Corbineau maintained the Mathematical Proof Language (C-zar).

Bruno Barras and Benjamin Grégoire maintained the call-by-value reduction machines.

The extraction mechanism benefited from several improvements provided by Pierre Letouzey.

Pierre Letouzey maintained the module system, with contributions from Elie Soubiran.

Julien Forest maintained the Function command.

Matthieu Sozeau maintained the setoid rewriting mechanism.

CoQ related tools have been upgraded too. In particular, <code>coq\_makefile</code> has been largely revised by Pierre Boutillier. Also, patches from Adam Chlipala for <code>coqdoc</code> have been integrated by Pierre Boutillier.

Bruno Barras and Pierre Letouzey maintained the cogchk checker.

Pierre Courtieu and Arnaud Spiwack contributed new features for using CoQ through Proof General. The Dp plugin has been removed. Use the plugin provided with Why 3 instead (http://why3.

lri.fr).

Under the hood, the CoQ architecture benefited from improvements in terms of efficiency and robustness, especially regarding universes management and existential variables management, thanks to Pierre Letouzey and Yann Régis-Gianas with contributions from Stéphane Glondu and Matthias Puech. The build system is maintained by Pierre Letouzey with contributions from Stéphane Glondu and Pierre Boutillier.

A new backtracking mechanism simplifying the task of external interfaces has been designed by Pierre Letouzey.

The general maintenance was done by Pierre Letouzey, Hugo Herbelin, Pierre Boutillier, Matthieu Sozeau and Stéphane Glondu with also specific contributions from Guillaume Melquiond, Julien Narboux and Pierre-Marie Pédrot.

Packaging tools were provided by Pierre Letouzey (Windows), Pierre Boutillier (MacOS), Stéphane Glondu (Debian). Releasing, testing and benchmarking support was provided by Jean-Marc Notin.

Many suggestions for improvements were motivated by feedback from users, on either the bug tracker or the coq-club mailing list. Special thanks are going to the users who contributed patches, starting with Tom Prince. Other patch contributors include Cédric Auger, David Baelde, Dan Grayson, Paolo Herms, Robbert Krebbers, Marc Lasson, Hendrik Tews and Eelis van der Weegen.

Paris, December 2011 Hugo Herbelin

#### **Credits: version 8.5**

CoQ version 8.5 contains the result of five specific long-term projects:

- A new asynchronous evaluation and compilation mode by Enrico Tassi with help from Bruno Barras and Carst Tankink.
- Full integration of the new proof engine by Arnaud Spiwack helped by Pierre-Marie Pédrot,
- Addition of conversion and reduction based on native compilation by Maxime Dénès and Benjamin Grégoire.
- Full universe polymorphism for definitions and inductive types by Matthieu Sozeau.
- An implementation of primitive projections with  $\eta$ -conversion bringing significant performance improvements when using records by Matthieu Sozeau.

The full integration of the proof engine, by Arnaud Spiwack and Pierre-Marie Pédrot, brings to primitive tactics and the user level Ltac language dependent subgoals, deep backtracking and multiple goal handling, along with miscellaneous features and an improved potential for future modifications. Dependent subgoals allow statements in a goal to mention the proof of another. Proofs of unsolved subgoals appear as existential variables. Primitive backtracking makes it possible to write a tactic with several possible outcomes which are tried successively when subsequent tactics fail. Primitives are also available to control the backtracking behavior of tactics. Multiple goal handling paves the way for smarter automation tactics. It is currently used for simple goal manipulation such as goal reordering.

The way CoQ processes a document in batch and interactive mode has been redesigned by Enrico Tassi with help from Bruno Barras. Opaque proofs, the text between Proof and Qed, can be processed asynchronously, decoupling the checking of definitions and statements from the checking of proofs. It improves the responsiveness of interactive development, since proofs can be processed in the background. Similarly, compilation of a file can be split into two phases: the first one checking only definitions and statements and the second one checking proofs. A file resulting from the first phase – with the vio extension – can be already Required. All vio files can be turned into complete vo files in parallel. The same infrastructure also allows terminating tactics to be run in parallel on a set of goals via the par: goal selector.

COQIDE was modified to cope with asynchronous checking of the document. Its source code was also made separate from that of COQ, so that COQIDE no longer has a special status among user interfaces, paving the way for decoupling its release cycle from that of COQ in the future.

Carst Tankink developed a CoQ back-end for user interfaces built on Makarius Wenzel's Prover IDE framework (PIDE), like PIDE/jEdit (with help from Makarius Wenzel) or PIDE/Coqoon (with help from Alexander Faithfull and Jesper Bengtson). The development of such features was funded by the Paral-ITP French ANR project.

The full universe polymorphism extension was designed by Matthieu Sozeau. It conservatively extends the universes system and core calculus with definitions and inductive declarations parameterized by universes and constraints. It is based on a modification of the kernel architecture to handle constraint checking only, leaving the generation of constraints to the refinement/type inference engine. Accordingly, tactics are now fully universe aware, resulting in more localized error messages in case of inconsistencies and allowing higher-level algorithms like unification to be entirely type safe. The internal representation of universes has been modified but this is invisible to the user.

The underlying logic has been extended with  $\eta$ -conversion for records defined with primitive projections by Matthieu Sozeau. This additional form of  $\eta$ -conversion is justified using the same principle than the previously added  $\eta$ -conversion for function types, based on formulations of the Calculus of Inductive Constructions with typed equality. Primitive projections, which do not carry the parameters of the record and are rigid names (not defined as a pattern-matching construct), make working with nested records more manageable in terms of time and space consumption. This extension and universe polymorphism were carried out partly while Matthieu Sozeau was working at the IAS in Princeton.

The guard condition has been made compliant with extensional equality principles such as propositional extensionality and univalence, thanks to Maxime Dénès and Bruno Barras. To ensure compatibility with the univalence axiom, a new flag "-indices-matter" has been implemented, taking into account the universe levels of indices when computing the levels of inductive types. This supports using CoQ as a tool to explore the relations between homotopy theory and type theory.

Maxime Dénès and Benjamin Grégoire developed an implementation of conversion test and normal form computation using the OCaml native compiler. It complements the virtual machine conversion offering much faster computation for expensive functions.

CoQ 8.5 also comes with a bunch of many various smaller-scale changes and improvements regarding the different components of the system. We shall only list a few of them.

Pierre Boutillier developed an improved tactic for simplification of expressions called cbn.

Maxime Dénès maintained the bytecode-based reduction machine. Pierre Letouzey maintained the extraction mechanism.

Pierre-Marie Pédrot has extended the syntax of terms to, experimentally, allow holes in terms to be solved by a locally specified tactic.

Existential variables are referred to by identifiers rather than mere numbers, thanks to Hugo Herbelin who also improved the tactic language here and there.

Error messages for universe inconsistencies have been improved by Matthieu Sozeau. Error messages for unification and type inference failures have been improved by Hugo Herbelin, Pierre-Marie Pédrot and Arnaud Spiwack.

Pierre Courtieu contributed new features for using CoQ through Proof General and for better interactive experience (bullets, Search, etc).

The efficiency of the whole system has been significantly improved thanks to contributions from Pierre-Marie Pédrot.

A distribution channel for CoQ packages using the OPAM tool has been initiated by Thomas Braibant and developed by Guillaume Claret, with contributions by Enrico Tassi and feedback from

Hugo Herbelin.

Packaging tools were provided by Pierre Letouzey and Enrico Tassi (Windows), Pierre Boutillier, Matthieu Sozeau and Maxime Dénès (MacOS X). Maxime Dénès improved significantly the testing and benchmarking support.

Many power users helped to improve the design of the new features via the bug tracker, the coq development mailing list or the coq-club mailing list. Special thanks are going to the users who contributed patches and intensive brain-storming, starting with Jason Gross, Jonathan Leivent, Greg Malecha, Clément Pit-Claudel, Marc Lasson, Lionel Rieg. It would however be impossible to mention with precision all names of people who to some extent influenced the development.

Version 8.5 is one of the most important release of CoQ. Its development spanned over about 3 years and a half with about one year of beta-testing. General maintenance during part or whole of this period has been done by Pierre Boutillier, Pierre Courtieu, Maxime Dénès, Hugo Herbelin, Pierre Letouzey, Guillaume Melquiond, Pierre-Marie Pédrot, Matthieu Sozeau, Arnaud Spiwack, Enrico Tassi as well as Bruno Barras, Yves Bertot, Frédéric Besson, Xavier Clerc, Pierre Corbineau, Jean-Christophe Filliâtre, Julien Forest, Sébastien Hinderer, Assia Mahboubi, Jean-Marc Notin, Yann Régis-Gianas, François Ripault, Carst Tankink. Maxime Dénès coordinated the release process.

Paris, January 2015, revised December 2015, Hugo Herbelin, Matthieu Sozeau and the CoQ development team

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# Part I The language

## Chapter 1

# The GALLINA specification language

This chapter describes GALLINA, the specification language of COQ. It allows developing mathematical theories and to prove specifications of programs. The theories are built from axioms, hypotheses, parameters, lemmas, theorems and definitions of constants, functions, predicates and sets. The syntax of logical objects involved in theories is described in Section 1.2. The language of commands, called *The Vernacular* is described in section 1.3.

In CoQ, logical objects are typed to ensure their logical correctness. The rules implemented by the typing algorithm are described in Chapter 4.

## About the grammars in the manual

Grammars are presented in Backus-Naur form (BNF). Terminal symbols are set in typewriter font. In addition, there are special notations for regular expressions.

An expression enclosed in square brackets [...] means at most one occurrence of this expression (this corresponds to an optional component).

The notation "entry sep ... sep entry" stands for a non empty sequence of expressions parsed by entry and separated by the literal "sep".

Similarly, the notation "entry" stands for a non empty sequence of expressions parsed by the "entry" entry, without any separator between.

At the end, the notation "[entry sep ... sep entry]" stands for a possibly empty sequence of expressions parsed by the "entry" entry, separated by the literal "sep".

## 1.1 Lexical conventions

**Blanks** Space, newline and horizontal tabulation are considered as blanks. Blanks are ignored but they separate tokens.

**Comments** Comments in CoQ are enclosed between (\* and \*), and can be nested. They can contain any character. However, string literals must be correctly closed. Comments are treated as blanks.

**Identifiers and access identifiers** Identifiers, written *ident*, are sequences of letters, digits, \_ and ', that do not start with a digit or '. That is, they are recognized by the following lexical class:

<sup>&</sup>lt;sup>1</sup>This is similar to the expression "entry { sep entry }" in standard BNF, or "entry ( sep entry )\*" in the syntax of regular expressions.

```
first_letter ::= a.z|A.Z|_|unicode-letter
subsequent_letter ::= a.z|A.Z|0..9|_|'|unicode-letter|unicode-id-part
ident ::= first_letter [subsequent_letter...subsequent_letter]
```

All characters are meaningful. In particular, identifiers are case-sensitive. The entry unicode-letter non-exhaustively includes Latin, Greek, Gothic, Cyrillic, Arabic, Hebrew, Georgian, Hangul, Hiragana and Katakana characters, CJK ideographs, mathematical letter-like symbols, hyphens, non-breaking space, ... The entry unicode-id-part non-exhaustively includes symbols for prime letters and subscripts.

Access identifiers, written *access\_ident*, are identifiers prefixed by . (dot) without blank. They are used in the syntax of qualified identifiers.

**Natural numbers and integers** Numerals are sequences of digits. Integers are numerals optionally preceded by a minus sign.

```
digit ::= 0..9
num ::= digit...digit
integer ::= [-]num
```

**Strings** Strings are delimited by " (double quote), and enclose a sequence of any characters different from " or the sequence "" to denote the double quote character. In grammars, the entry for quoted strings is *string*.

**Keywords** The following identifiers are reserved keywords, and cannot be employed otherwise:

```
at
                   cofix else
                                 end
       as
               fix for
                          forall
                                 fun
exists
      exists2
if
       IF
               in
                   let
                          match
                                 mod
               Set then
Prop
       return
                          Type
                                 using
where
       with
```

**Special tokens** The following sequences of characters are special tokens:

```
አ አ
                   ()
                       )
       ++
                   ->
. ( . .
       /
                   ::
:= :>
          <
              <-
                   <->
          => = D >
   <>
                       >->
<=
      =
       ?=
          @
             }
```

Lexical ambiguities are resolved according to the "longest match" rule: when a sequence of non alphanumerical characters can be decomposed into several different ways, then the first token is the longest possible one (among all tokens defined at this moment), and so on.

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### 1.2 Terms

## 1.2.1 Syntax of terms

Figures 1.1 and 1.2 describe the basic syntax of the terms of the *Calculus of Inductive Constructions* (also called CIC). The formal presentation of CIC is given in Chapter 4. Extensions of this syntax are given in chapter 2. How to customize the syntax is described in Chapter 12.

## **1.2.2** Types

CoQ terms are typed. CoQ types are recognized by the same syntactic class as *term*. We denote by *type* the semantic subclass of types inside the syntactic class *term*.

## 1.2.3 Qualified identifiers and simple identifiers

Qualified identifiers (qualid) denote global constants (definitions, lemmas, theorems, remarks or facts), global variables (parameters or axioms), inductive types or constructors of inductive types. Simple identifiers (or shortly ident) are a syntactic subset of qualified identifiers. Identifiers may also denote local variables, what qualified identifiers do not.

#### 1.2.4 Numerals

Numerals have no definite semantics in the calculus. They are mere notations that can be bound to objects through the notation mechanism (see Chapter 12 for details). Initially, numerals are bound to Peano's representation of natural numbers (see 3.1.3).

Note: negative integers are not at the same level as num, for this would make precedence unnatural.

### 1.2.5 Sorts

There are three sorts Set, Prop and Type.

- Prop is the universe of *logical propositions*. The logical propositions themselves are typing the proofs. We denote propositions by *form*. This constitutes a semantic subclass of the syntactic class *term*.
- Set is is the universe of *program types* or *specifications*. The specifications themselves are typing the programs. We denote specifications by *specif*. This constitutes a semantic subclass of the syntactic class *term*.
- Type is the type of Set and Prop

More on sorts can be found in Section 4.1.1.

#### 1.2.6 Binders

Various constructions such as fun, forall, fix and cofix *bind* variables. A binding is represented by an identifier. If the binding variable is not used in the expression, the identifier can be replaced by the symbol \_. When the type of a bound variable cannot be synthesized by the system, it can be specified with the notation ( *ident*: type). There is also a notation for a sequence of binding variables sharing the same type: (  $ident_1...ident_n$ : type).

```
term
         ::= forall binders , term
                                                                                   (1.2.8)
              fun binders => term
                                                                                   (1.2.7)
              fix fix_bodies
                                                                                  (1.2.14)
              cofix cofix_bodies
                                                                                  (1.2.14)
              let ident [binders][: term] := term in term
                                                                                  (1.2.12)
              let fix fix_body in term
                                                                                  (1.2.14)
              let cofix cofix_body in term
                                                                                  (1.2.14)
              let ([name, ..., name]) [dep_ret_type] := term in term
                                                                            (1.2.13, 2.2.1)
              let ' pattern [in term] := term [return_type] in term
                                                                            (1.2.13, 2.2.1)
              if term [dep_ret_type] then term else term
                                                                            (1.2.13, 2.2.1)
              term: term
                                                                                  (1.2.10)
              term <: term
                                                                                  (1.2.10)
              term:>
                                                                                  (24.1.1)
              term -> term
                                                                                   (1.2.8)
              term arg ... arg
                                                                                   (1.2.9)
              @ qualid [term ... term]
                                                                                  (2.7.11)
              term % ident
                                                                                  (12.2.2)
              match match_item , ... , match_item [return_type] with
                [[|] equation | ... | equation] end
                                                                                  (1.2.13)
              qualid
                                                                                   (1.2.3)
              sort
                                                                                   (1.2.5)
              num
                                                                                   (1.2.4)
                                                                                  (1.2.11)
               (term)
arg
         ::=
             term
                                                                                  (2.7.11)
               (ident := term)
              binder ... binder
binders
binder
              name
                                                                                   (1.2.6)
         ::=
               ( name ... name : term )
               ( name [: term] := term )
              ident
name
         ::=
qualid
         ::=
             ident
              qualid access ident
         ::= Prop | Set | Type
sort
```

Figure 1.1: Syntax of terms

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```
fix_bodies
                    fix_body
                    fix_body with fix_body with ... with fix_body for ident
cofix bodies
               ::=
                    cofix body
                    cofix body with cofix body with ... with cofix body for ident
fix_body
                    ident binders [annotation] [: term] := term
               ::=
                    ident [binders] [: term] := term
cofix_body
               ::=
annotation
                    { struct ident }
               ::=
match_item
                    term [as name] [in qualid[pattern ... pattern]]
               ::=
dep_ret_type
                    [as name] return_type
              ::=
                    return term
return_type
               ::=
                    mult_pattern | ... | mult_pattern => term
equation
               ::=
mult_pattern
              ::=
                    pattern, ..., pattern
pattern
                    qualid pattern ... pattern
                    @ qualid pattern ... pattern
                    pattern as ident
                    pattern % ident
                    qualid
                    num
                    ( or_pattern , ... , or_pattern )
or_pattern
                    pattern | ... | pattern
```

Figure 1.2: Syntax of terms (continued)

Some constructions allow the binding of a variable to value. This is called a "let-binder". The entry binder of the grammar accepts either an assumption binder as defined above or a let-binder. The notation in the latter case is (ident := term). In a let-binder, only one variable can be introduced at the same time. It is also possible to give the type of the variable as follows: (ident : term := term).

Lists of binder are allowed. In the case of fun and forall, it is intended that at least one binder of the list is an assumption otherwise fun and forall gets identical. Moreover, parentheses can be omitted in the case of a single sequence of bindings sharing the same type (e.g.: fun  $(x \ y \ z : A) \Rightarrow t$  can be shortened in fun  $x \ y \ z : A \Rightarrow t$ ).

#### 1.2.7 Abstractions

The expression "fun ident: type => term" defines the abstraction of the variable ident, of type type, over the term term. It denotes a function of the variable ident that evaluates to the expression term (e.g. fun x:A => x denotes the identity function on type A). The keyword fun can be followed by

several binders as given in Section 1.2.6. Functions over several variables are equivalent to an iteration of one-variable functions. For instance the expression "fun  $ident_1$  ...  $ident_n$ : type => term" denotes the same function as "fun  $ident_1$ :  $type => \ldots$  fun  $ident_n$ : type => term". If a let-binder occurs in the list of binders, it is expanded to a let-in definition (see Section 1.2.12).

#### 1.2.8 Products

The expression "forall ident: type, term" denotes the product of the variable ident of type type, over the term term. As for abstractions, forall is followed by a binder list, and products over several variables are equivalent to an iteration of one-variable products. Note that term is intended to be a type.

If the variable *ident* occurs in *term*, the product is called *dependent product*. The intention behind a dependent product forall x:A, B is twofold. It denotes either the universal quantification of the variable x of type A in the proposition B or the functional dependent product from A to B (a construction usually written  $\Pi_{x:A}.B$  in set theory).

Non dependent product types have a special notation: " $A \rightarrow B$ " stands for "forall  $\_:A$ , B". The *non dependent product* is used both to denote the propositional implication and function types.

## 1.2.9 Applications

The expression  $term_0$   $term_1$  denotes the application of  $term_0$  to  $term_1$ .

The expression  $term_0$   $term_1$  ...  $term_n$  denotes the application of the term  $term_0$  to the arguments  $term_1$  ... then  $term_n$ . It is equivalent to (... ( $term_0$   $term_1$ ) ...)  $term_n$ : associativity is to the left.

The notation ( ident := term) for arguments is used for making explicit the value of implicit arguments (see Section 2.7.11).

## **1.2.10** Type cast

The expression "term: type" is a type cast expression. It enforces the type of term to be type. "term <: type" locally sets up the virtual machine for checking that term has type type.

## 1.2.11 Inferable subterms

Expressions often contain redundant pieces of information. Subterms that can be automatically inferred by CoQ can be replaced by the symbol "\_" and CoQ will guess the missing piece of information.

### 1.2.12 Let-in definitions

let  $ident := term_1$  in  $term_2$  denotes the local binding of  $term_1$  to the variable ident in  $term_2$ . There is a syntactic sugar for let-in definition of functions: let ident  $binder_1 \dots binder_n := term_1$  in  $term_2$  stands for let ident := fun  $binder_1 \dots binder_n => term_1$  in  $term_2$ .

#### 1.2.13 Definition by case analysis

Objects of inductive types can be destructurated by a case-analysis construction called *pattern-matching* expression. A pattern-matching expression is used to analyze the structure of an inductive objects and to apply specific treatments accordingly.

This paragraph describes the basic form of pattern-matching. See Section 2.2.1 and Chapter 17 for the description of the general form. The basic form of pattern-matching is characterized by a single

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match\_item expression, a mult\_pattern restricted to a single pattern and pattern restricted to the form qualid ident ... ident.

The expression match  $term_0$  return\_type with  $pattern_1 = > term_1 \mid \dots \mid pattern_n = > term_n$  end, denotes a pattern-matching over the term  $term_0$  (expected to be of an inductive type I). The terms  $term_1 \dots term_n$  are the branches of the pattern-matching expression. Each of  $pattern_i$  has a form qualid ident ... ident where qualid must denote a constructor. There should be exactly one branch for every constructor of I.

The return\_type expresses the type returned by the whole match expression. There are several cases. In the *non dependent* case, all branches have the same type, and the return\_type is the common type of branches. In this case, return\_type can usually be omitted as it can be inferred from the type of the branches<sup>2</sup>.

In the *dependent* case, there are three subcases. In the first subcase, the type in each branch may depend on the exact value being matched in the branch. In this case, the whole pattern-matching itself depends on the term being matched. This dependency of the term being matched in the return type is expressed with an "as *ident*" clause where *ident* is dependent in the return type. For instance, in the following example:

the branches have respective types or (eq bool true true) (eq bool true false) and or (eq bool false true) (eq bool false false) while the whole pattern-matching expression has type or (eq bool b true) (eq bool b false), the identifier x being used to represent the dependency. Remark that when the term being matched is a variable, the as clause can be omitted and the term being matched can serve itself as binding name in the return type. For instance, the following alternative definition is accepted and has the same meaning as the previous one.

The second subcase is only relevant for annotated inductive types such as the equality predicate (see Section 3.1.2), the order predicate on natural numbers or the type of lists of a given length (see Section 17.3). In this configuration, the type of each branch can depend on the type dependencies specific to the branch and the whole pattern-matching expression has a type determined by the specific dependencies in the type of the term being matched. This dependency of the return type in the annotations of the inductive type is expressed using a "in  $I_{-\dots-pattern_1}$  ... pattern<sub>n</sub>" clause, where

<sup>&</sup>lt;sup>2</sup>Except if the inductive type is empty in which case there is no equation that can be used to infer the return type.

- *I* is the inductive type of the term being matched;
- the \_'s are matching the parameters of the inductive type: the return type is not dependent on them.
- the *pattern*<sub>i</sub>'s are matching the annotations of the inductive type: the return type is dependent on them
- in the basic case which we describe below, each  $pattern_i$  is a name  $ident_i$ ; see 17.3.2 for the general case

For instance, in the following example:

the type of the branch has type eq A  $\times$  x because the third argument of eq is x in the type of the pattern refl\_equal. On the contrary, the type of the whole pattern-matching expression has type eq A y x because the third argument of eq is y in the type of H. This dependency of the case analysis in the third argument of eq is expressed by the identifier z in the return type.

Finally, the third subcase is a combination of the first and second subcase. In particular, it only applies to pattern-matching on terms in a type with annotations. For this third subcase, both the clauses as and in are available.

There are specific notations for case analysis on types with one or two constructors: "if ... then ... else ..." and "let (..., ..., ...) := ... in ..." (see Sections 2.2.2 and 2.2.3).

#### 1.2.14 Recursive functions

The expression "fix  $ident_1$   $binder_1$ :  $type_1$ :=  $term_1$  with ... with  $ident_n$   $binder_n$ :  $type_n$ :=  $term_n$  for  $ident_i$ " denotes the ith component of a block of functions defined by mutual well-founded recursion. It is the local counterpart of the Fixpoint command. See Section 1.3.4 for more details. When n = 1, the "for  $ident_i$ " clause is omitted.

The expression "cofix  $ident_1$   $binder_1$ :  $type_1$  with ... with  $ident_n$   $binder_n$ :  $type_n$  for  $ident_i$ " denotes the ith component of a block of terms defined by a mutual guarded co-recursion. It is the local counterpart of the CoFixpoint command. See Section 1.3.4 for more details. When n=1, the "for  $ident_i$ " clause is omitted.

The association of a single fixpoint and a local definition have a special syntax: "let fix  $f ext{ ... := ... in ...}$ " stands for "let  $f := \text{ fix } f ext{ ... := ... in ...}$ ". The same applies for co-fixpoints.

## 1.3 The Vernacular

Figure 1.3 describes *The Vernacular* which is the language of commands of GALLINA. A sentence of the vernacular language, like in many natural languages, begins with a capital letter and ends with a dot.

The different kinds of command are described hereafter. They all suppose that the terms occurring in the sentences are well-typed.

```
sentence
                         assumption
                    ::=
                         definition
                         inductive
                         fixpoint
                         assertion proof
assumption
                         assumption_keyword assums .
                    ::= Axiom | Conjecture
assumption_keyword
                         Parameter | Parameters
                         Variable | Variables
                         Hypothesis | Hypotheses
                    ::= ident ... ident : term
assums
                         (ident ... ident : term) ... (ident ... ident : term)
definition
                    ::= [Local] Definition ident [binders] [: term] := term .
                         Let ident [binders] [: term] := term .
inductive
                    ::= Inductive ind_body with ... with ind_body .
                         CoInductive ind_body with ... with ind_body .
                     ::= ident [binders] : term :=
ind_body
                          [[|] ident [binders] [:term] | ... | ident [binders] [:term]]
fixpoint
                    ::= Fixpoint fix\_body with... with fix\_body.
                         CoFixpoint cofix_body with ... with cofix_body .
                    ::= assertion keyword ident [binders]: term.
assertion
                    ::= Theorem | Lemma
assertion_keyword
                         Remark | Fact
                         Corollary | Proposition
                         Definition | Example
                    ::= Proof . ... Qed .
proof
                         Proof . ... Defined .
                         Proof . ... Admitted .
```

Figure 1.3: Syntax of sentences

## 1.3.1 Assumptions

Assumptions extend the environment with axioms, parameters, hypotheses or variables. An assumption binds an *ident* to a *type*. It is accepted by CoQ if and only if this *type* is a correct type in the environment preexisting the declaration and if *ident* was not previously defined in the same module. This *type* is

considered to be the type (or specification, or statement) assumed by *ident* and we say that *ident* has type *type*.

```
Axiom ident :term .
```

This command links *term* to the name *ident* as its specification in the global context. The fact asserted by *term* is thus assumed as a postulate.

#### **Error messages:**

1. ident already exists

#### Variants:

```
1. Parameter ident : term .
    Is equivalent to Axiom ident : term
```

- 2. Parameter  $ident_1$  . . .  $ident_n$  : term . Adds n parameters with specification term
- 3. Parameter ( $ident_{1,1}$  . . .  $ident_{1,k_1}$ :  $term_1$ ) . . . ( $ident_{n,1}$  . . .  $ident_{n,k_n}$ :  $term_n$ ) . Adds n blocks of parameters with different specifications.
- 4. Local Axiom *ident*: *term*.

  Such axioms are never made accessible through their unqualified name by Import and its variants (see 2.5.8). You have to explicitly give their fully qualified name to refer to them.
- 5. Conjecture *ident*: term.
  Is equivalent to Axiom *ident*: term.

**Remark:** It is possible to replace Parameter by Parameters.

```
Variable ident :term.
```

This command links *term* to the name *ident* in the context of the current section (see Section 2.4 for a description of the section mechanism). When the current section is closed, name *ident* will be unknown and every object using this variable will be explicitly parametrized (the variable is *discharged*). Using the Variable command out of any section is equivalent to using Local Parameter.

## **Error messages:**

1. ident already exists

## Variants:

```
1. Variable ident_1 \dots ident_n : term. Links term to names ident_1 \dots ident_n.
```

- 2. Variable ( $ident_{1,1}$  . . .  $ident_{1,k_1}$ :  $term_1$ ) . . . ( $ident_{n,1}$  . . .  $ident_{n,k_n}$ :  $term_n$ ) . Adds n blocks of variables with different specifications.
- 3. Hypothesis *ident*: term.

  Hypothesis is a synonymous of Variable

**Remark:** It is possible to replace Variable by Variables and Hypothesis by Hypotheses. It is advised to use the keywords Axiom and Hypothesis for logical postulates (i.e. when the assertion *term* is of sort Prop), and to use the keywords Parameter and Variable in other cases (corresponding to the declaration of an abstract mathematical entity).

#### 1.3.2 Definitions

Definitions extend the environment with associations of names to terms. A definition can be seen as a way to give a meaning to a name or as a way to abbreviate a term. In any case, the name can later be replaced at any time by its definition.

The operation of unfolding a name into its definition is called  $\delta$ -conversion (see Section 4.3). A definition is accepted by the system if and only if the defined term is well-typed in the current context of the definition and if the name is not already used. The name defined by the definition is called a *constant* and the term it refers to is its *body*. A definition has a type which is the type of its body.

A formal presentation of constants and environments is given in Section 4.2.

```
Definition ident := term.
```

This command binds term to the name ident in the environment, provided that term is well-typed.

#### **Error messages:**

1. ident already exists

#### Variants:

```
1. Definition ident : term_1 := term_2. It checks that the type of term_2 is definitionally equal to term_1, and registers ident as being of type term_1, and bound to value term_2.
```

```
2. Definition ident\ binder_1\dots binder_n: term_1:= term_2. This is equivalent to Definition ident: forall binder_1 \dots binder_n, term_1:= fun\ binder_n => term_2.
```

3. Local Definition ident := term.

Such definitions are never made accessible through their unqualified name by Import and its variants (see 2.5.8). You have to explicitly give their fully qualified name to refer to them.

```
4. Example ident := term. Example ident : term_1 := term_2. Example ident \ binder_1 \dots \ binder_n : term_1 := term_2. These are synonyms of the Definition forms.
```

#### **Error messages:**

1. The term term has type type while it is expected to have type type

**See also:** Sections 6.10.1, 6.10.2, 8.7.5.

```
Let ident := term.
```

This command binds the value *term* to the name *ident* in the environment of the current section. The name *ident* disappears when the current section is eventually closed, and, all persistent objects (such as theorems) defined within the section and depending on *ident* are prefixed by the let-in definition let *ident* := *term* in. Using the Let command out of any section is equivalent to using Local Definition.

#### **Error messages:**

1. ident already exists

#### Variants:

```
    Let ident: term<sub>1</sub> := term<sub>2</sub>.
    Let Fixpoint ident fix_body with ... with fix_body ..
    Let CoFixpoint ident cofix_body with ... with cofix_body ...
```

**See also:** Sections 2.4 (section mechanism), 6.10.1, 6.10.2 (opaque/transparent constants), 8.7.5 (tactic unfold).

#### 1.3.3 Inductive definitions

We gradually explain simple inductive types, simple annotated inductive types, simple parametric inductive types, mutually inductive types. We explain also co-inductive types.

#### Simple inductive types

The definition of a simple inductive type has the following form:

```
Inductive ident : sort :=
    ident<sub>1</sub> : type<sub>1</sub>
| ...
| ident<sub>n</sub> : type<sub>n</sub>
```

The name ident is the name of the inductively defined type and sort is the universes where it lives. The names  $ident_1, \ldots, ident_n$  are the names of its constructors and  $type_1, \ldots, type_n$  their respective types. The types of the constructors have to satisfy a positivity condition (see Section 4.5.2) for ident. This condition ensures the soundness of the inductive definition. If this is the case, the names ident,  $ident_1, \ldots, ident_n$  are added to the environment with their respective types. Accordingly to the universe where the inductive type lives (e.g. its type sort), COQ provides a number of destructors for ident. Destructors are named  $ident\_ind$ ,  $ident\_rec$  or  $ident\_rec$ t which respectively correspond to elimination principles on Prop, Set and Type. The type of the destructors expresses structural induction/recursion principles over objects of ident. We give below two examples of the use of the Inductive definitions.

The set of natural numbers is defined as:

```
nat is defined
nat_rect is defined
nat_ind is defined
nat_rec is defined
```

The type nat is defined as the least Set containing 0 and closed by the S constructor. The names nat, 0 and S are added to the environment.

Now let us have a look at the elimination principles. They are three of them: nat\_ind, nat\_rec and nat\_rect. The type of nat\_ind is:

```
Coq < Check nat_ind.
nat_ind
    : forall P : nat -> Prop,
        P O -> (forall n : nat, P n -> P (S n)) -> forall n : nat, P n
```

This is the well known structural induction principle over natural numbers, i.e. the second-order form of Peano's induction principle. It allows proving some universal property of natural numbers (forall n:nat, P n) by induction on n.

The types of nat\_rec and nat\_rect are similar, except that they pertain to (P:nat->Set) and (P:nat->Type) respectively. They correspond to primitive induction principles (allowing dependent types) respectively over sorts Set and Type. The constant <code>ident\_ind</code> is always provided, whereas <code>ident\_rec</code> and <code>ident\_rect</code> can be impossible to derive (for example, when <code>ident</code> is a proposition).

#### Variants:

1. Coq < Inductive nat : Set := 0 | S (\_:nat).

In the case where inductive types have no annotations (next section gives an example of such annotations), a constructor can be defined by only giving the type of its arguments.

### Simple annotated inductive types

In an annotated inductive types, the universe where the inductive type is defined is no longer a simple sort, but what is called an arity, which is a type whose conclusion is a sort.

As an example of annotated inductive types, let us define the *even* predicate:

The type nat->Prop means that even is a unary predicate (inductively defined) over natural numbers. The type of its two constructors are the defining clauses of the predicate even. The type of even\_ind is:

```
Coq < Check even_ind.
even_ind
   : forall P : nat -> Prop,
        P O ->
        (forall n : nat, even n -> P n -> P (S (S n))) ->
        forall n : nat, even n -> P n
```

From a mathematical point of view it asserts that the natural numbers satisfying the predicate even are exactly in the smallest set of naturals satisfying the clauses even\_0 or even\_SS. This is why, when we want to prove any predicate P over elements of even, it is enough to prove it for 0 and to prove that if any natural number P satisfies P its double successor (P (P P ) satisfies also P. This is indeed analogous to the structural induction principle we got for P nat.

## **Error messages:**

- 1. Non strictly positive occurrence of ident in type
- 2. The conclusion of type is not valid; it must be built from ident

## Parametrized inductive types

In the previous example, each constructor introduces a different instance of the predicate even. In some cases, all the constructors introduces the same generic instance of the inductive definition, in which case, instead of an annotation, we use a context of parameters which are binders shared by all the constructors of the definition.

The general scheme is:

```
Inductive ident binder<sub>1</sub>... binder<sub>k</sub>: term := ident<sub>1</sub>: term<sub>1</sub> | ... | ident<sub>n</sub>: term<sub>n</sub>.
```

Parameters differ from inductive type annotations in the fact that the conclusion of each type of constructor  $term_i$  invoke the inductive type with the same values of parameters as its specification.

A typical example is the definition of polymorphic lists:

Note that in the type of nil and cons, we write (list A) and not just list.

The constructors nil and cons will have respectively types:

```
Coq < Check nil.
nil
    : forall A : Set, list A
Coq < Check cons.
cons
    : forall A : Set, A -> list A -> list A
```

Types of destructors are also quantified with (A:Set).

## Variants:

- 1. Coq < Inductive list (A:Set) : Set := nil | cons (\_:A) (\_:list A).

  This is an alternative definition of lists where we specify the arguments of the constructors rather than their full type.
- Coq < Variant sum (A B:Set) : Set := left : A -> sum A B | right : B -> sum A B. The Variant keyword is identical to the Inductive keyword, except that it disallows recursive definition of types (in particular lists cannot be defined with the Variant keyword). No induction scheme is generated for this variant, unless the option Nonrecursive Elimination Schemes is set (see 13.1.1).

#### **Error messages:**

1. The *num*th argument of *ident* must be *ident'* in *type* 

**New from Coq V8.1** The condition on parameters for inductive definitions has been relaxed since Coq V8.1. It is now possible in the type of a constructor, to invoke recursively the inductive definition on an argument which is not the parameter itself.

One can define:

that can also be written by specifying only the type of the arguments:

```
Coq < Inductive list2 (A:Set) : Set := nil2 | cons2 (\underline{\phantom{a}}:A) (\underline{\phantom{a}}:list2 (A*A)).
```

But the following definition will give an error:

Because the conclusion of the type of constructors should be listw A in both cases.

A parametrized inductive definition can be defined using annotations instead of parameters but it will sometimes give a different (bigger) sort for the inductive definition and will produce a less convenient rule for case elimination.

See also: Sections 4.5 and 8.5.2.

### Mutually defined inductive types

The definition of a block of mutually inductive types has the form:

It has the same semantics as the above Inductive definition for each  $ident_1, \ldots, ident_m$ . All names  $ident_1, \ldots, ident_m$  and  $ident_1^1, \ldots, ident_m^m$  are simultaneously added to the environment. Then well-typing of constructors can be checked. Each one of the  $ident_1, \ldots, ident_m$  can be used on its own.

It is also possible to parametrize these inductive definitions. However, parameters correspond to a local context in which the whole set of inductive declarations is done. For this reason, the parameters must be strictly the same for each inductive types The extended syntax is:

**Example:** The typical example of a mutual inductive data type is the one for trees and forests. We assume given two types A and B as variables. It can be declared the following way.

This declaration generates automatically six induction principles. They are respectively called tree\_rec, tree\_ind, tree\_rect, forest\_rec, forest\_ind, forest\_rect. These ones are not the most general ones but are just the induction principles corresponding to each inductive part seen as a single inductive definition.

To illustrate this point on our example, we give the types of tree\_rec and forest\_rec.

Assume we want to parametrize our mutual inductive definitions with the two type variables A and B, the declaration should be done the following way:

```
Coq < Inductive tree (A B:Set) : Set :=
          node : A -> forest A B -> tree A B
    with forest (A B:Set) : Set :=
          | leaf : B -> forest A B
          | cons : tree A B -> forest A B.
```

Assume we define an inductive definition inside a section. When the section is closed, the variables declared in the section and occurring free in the declaration are added as parameters to the inductive definition.

See also: Section 2.4.

### Co-inductive types

The objects of an inductive type are well-founded with respect to the constructors of the type. In other words, such objects contain only a *finite* number of constructors. Co-inductive types arise from relaxing this condition, and admitting types whose objects contain an infinity of constructors. Infinite objects are introduced by a non-ending (but effective) process of construction, defined in terms of the constructors of the type.

An example of a co-inductive type is the type of infinite sequences of natural numbers, usually called streams. It can be introduced in CoQ using the CoInductive command:

The syntax of this command is the same as the command Inductive (see Section 1.3.3). Notice that no principle of induction is derived from the definition of a co-inductive type, since such principles only make sense for inductive ones. For co-inductive ones, the only elimination principle is case analysis. For example, the usual destructors on streams hd:Stream->nat and tl:Str->Str can be defined as follows:

```
Coq < Definition hd (x:Stream) := let (a,s) := x in a. hd is defined

Coq < Definition tl (x:Stream) := let (a,s) := x in s. tl is defined
```

Definition of co-inductive predicates and blocks of mutually co-inductive definitions are also allowed. An example of a co-inductive predicate is the extensional equality on streams:

In order to prove the extensionally equality of two streams  $s_1$  and  $s_2$  we have to construct an infinite proof of equality, that is, an infinite object of type (EqSt  $s_1$   $s_2$ ). We will see how to introduce infinite objects in Section 1.3.4.

### 1.3.4 Definition of recursive functions

## Definition of functions by recursion over inductive objects

This section describes the primitive form of definition by recursion over inductive objects. See Section 2.3 for more advanced constructions. The command:

```
Fixpoint ident\ params\ \{struct\ ident_0\ \} : type<sub>0</sub> := term_0
```

allows defining functions by pattern-matching over inductive objects using a fixed point construction. The meaning of this declaration is to define *ident* a recursive function with arguments specified by the binders in *params* such that *ident* applied to arguments corresponding to these binders has type  $type_0$ , and is equivalent to the expression  $term_0$ . The type of the *ident* is consequently forall params,  $type_0$  and the value is equivalent to fun  $params => term_0$ .

To be accepted, a Fixpoint definition has to satisfy some syntactical constraints on a special argument called the decreasing argument. They are needed to ensure that the Fixpoint definition always terminates. The point of the {struct ident} annotation is to let the user tell the system which argument decreases along the recursive calls. For instance, one can define the addition function as:

The {struct ident} annotation may be left implicit, in this case the system try successively arguments from left to right until it finds one that satisfies the decreasing condition. Note that some fixpoints may have several arguments that fit as decreasing arguments, and this choice influences the reduction of the fixpoint. Hence an explicit annotation must be used if the leftmost decreasing argument is not the desired one. Writing explicit annotations can also speed up type-checking of large mutual fixpoints.

The match operator matches a value (here n) with the various constructors of its (inductive) type. The remaining arguments give the respective values to be returned, as functions of the parameters of the corresponding constructor. Thus here when n equals 0 we return m, and when n equals (S p) we return (S (add p m)).

The match operator is formally described in detail in Section 4.5.3. The system recognizes that in the inductive call (add p m) the first argument actually decreases because it is a *pattern variable* coming from match n with.

## **Example:** The following definition is not correct and generates an error message:

```
Coq < Fail Fixpoint wrongplus (n m:nat) {struct n} : nat :=</pre>
        match m with
        \mid 0 => n
        | S p => S (wrongplus n p)
The command has indeed failed with message:
Recursive definition of wrongplus is ill-formed.
In environment
wrongplus : nat -> nat -> nat
n : nat
m : nat
p: nat
Recursive call to wrongplus has principal argument equal to
"n" instead of a subterm of "n".
Recursive definition is:
"fun n m : nat => match m with
                   | 0 => n
```

```
| S p \Rightarrow S \text{ (wrongplus } n p) end".
```

because the declared decreasing argument n actually does not decrease in the recursive call. The function computing the addition over the second argument should rather be written:

```
Coq < Fixpoint plus (n m:nat) {struct m} : nat :=
    match m with
    | 0 => n
    | S p => S (plus n p)
end
```

The ordinary match operation on natural numbers can be mimicked in the following way.

```
Coq < Fixpoint nat_match
     (C:Set) (f0:C) (fS:nat -> C -> C) (n:nat) {struct n} : C :=
     match n with
     | O => f0
     | S p => fS p (nat_match C f0 fS p)
     end.
```

The recursive call may not only be on direct subterms of the recursive variable n but also on a deeper subterm and we can directly write the function mod2 which gives the remainder modulo 2 of a natural number.

In order to keep the strong normalization property, the fixed point reduction will only be performed when the argument in position of the decreasing argument (which type should be in an inductive definition) starts with a constructor.

The Fixpoint construction enjoys also the with extension to define functions over mutually defined inductive types or more generally any mutually recursive definitions.

#### **Variants:**

```
1. Fixpoint ident<sub>1</sub> params<sub>1</sub> : type<sub>1</sub> := term<sub>1</sub>
  with ...
  with ident<sub>m</sub> params<sub>m</sub> : type<sub>m</sub> := term<sub>m</sub>
  Allows to define simultaneously ident<sub>1</sub>, ..., ident<sub>m</sub>.
```

**Example:** The size of trees and forests can be defined the following way:

```
Coq < Fixpoint tree_size (t:tree) : nat :=
    match t with
    | node a f => S (forest_size f)
    end
    with forest size (f:forest) : nat :=
```

```
match f with
| leaf b => 1
| cons t f' => (tree_size t + forest_size f')
end
```

A generic command Scheme is useful to build automatically various mutual induction principles. It is described in Section 13.1.

## Definitions of recursive objects in co-inductive types

The command:

```
CoFixpoint ident : type_0 := term_0
```

introduces a method for constructing an infinite object of a coinductive type. For example, the stream containing all natural numbers can be introduced applying the following method to the number  $\circ$  (see Section 1.3.3 for the definition of Stream, hd and t1):

```
\texttt{Coq} < \texttt{CoFixpoint} from (n:nat) : Stream := Seq n (from (S n)). from is defined from is corecursively defined
```

Oppositely to recursive ones, there is no decreasing argument in a co-recursive definition. To be admissible, a method of construction must provide at least one extra constructor of the infinite object for each iteration. A syntactical guard condition is imposed on co-recursive definitions in order to ensure this: each recursive call in the definition must be protected by at least one constructor, and only by constructors. That is the case in the former definition, where the single recursive call of from is guarded by an application of Seq. On the contrary, the following recursive function does not satisfy the guard condition:

The elimination of co-recursive definition is done lazily, i.e. the definition is expanded only when it occurs at the head of an application which is the argument of a case analysis expression. In any other context, it is considered as a canonical expression which is completely evaluated. We can test this using the command Eval, which computes the normal forms of a term:

```
= 0
: nat

Coq < Eval compute in (tl (from 0)).
= (cofix from (n : nat) : Stream := Seq n (from (S n))) 1
: Stream</pre>
```

#### **Variants:**

Cofixpoint ident<sub>1</sub> params: type<sub>1</sub>:= term<sub>1</sub>
 As for most constructions, arguments of co-fixpoints expressions can be introduced before the := sign.

```
2. Cofixpoint ident_1 : type_1 := term_1 with ... with ident_m : type_m := term_m
```

As in the Fixpoint command (see Section 1.3.4), it is possible to introduce a block of mutually dependent methods.

## 1.3.5 Assertions and proofs

An assertion states a proposition (or a type) of which the proof (or an inhabitant of the type) is interactively built using tactics. The interactive proof mode is described in Chapter 7 and the tactics in Chapter 8. The basic assertion command is:

```
Theorem ident [binders]: type.
```

After the statement is asserted, COQ needs a proof. Once a proof of *type* under the assumptions represented by *binders* is given and validated, the proof is generalized into a proof of forall [binders], type and the theorem is bound to the name ident in the environment.

#### **Error messages:**

- 1. The term form has type ... which should be Set, Prop or Type
- 2. ident already exists

The name you provided is already defined. You have then to choose another name.

#### **Variants:**

```
1. Lemma ident [binders] : type.
  Remark ident [binders] : type.
  Fact ident [binders] : type.
  Corollary ident [binders] : type.
  Proposition ident [binders] : type.
```

These commands are synonyms of Theorem ident [binders]: type.

2. Theorem ident [binders]: type with ... with ident [binders]: type.

This command is useful for theorems that are proved by simultaneous induction over a mutually inductive assumption, or that assert mutually dependent statements in some mutual co-inductive type. It is equivalent to Fixpoint or CoFixpoint (see Section 1.3.4) but using tactics to build the proof of the statements (or the body of the specification, depending on the point of view). The inductive or co-inductive types on which the induction or coinduction has to be done is assumed to be non ambiguous and is guessed by the system.

Like in a Fixpoint or CoFixpoint definition, the induction hypotheses have to be used on *structurally smaller* arguments (for a Fixpoint) or be *guarded by a constructor* (for a CoFixpoint). The verification that recursive proof arguments are correct is done only at the time of registering the lemma in the environment. To know if the use of induction hypotheses is correct at some time of the interactive development of a proof, use the command Guarded (see Section 7.3.2).

The command can be used also with Lemma, Remark, etc. instead of Theorem.

3. Definition ident [binders]: type.

This allows defining a term of type *type* using the proof editing mode. It behaves as Theorem but is intended to be used in conjunction with Defined (see 1) in order to define a constant of which the computational behavior is relevant.

The command can be used also with Example instead of Definition.

See also: Sections 6.10.1 and 6.10.2 (Opaque and Transparent) and 8.7.5 (tactic unfold).

4. Let ident [binders]: type.

Like Definition ident [binders]: type. except that the definition is turned into a let-in definition generalized over the declarations depending on it after closing the current section.

5. Fixpoint ident binders [annotation] [: term] [:= term] with ... with ident binders [annotation] [: term] [:= term].

This generalizes the syntax of Fixpoint so that one or more bodies can be defined interactively using the proof editing mode (when a body is omitted, its type is mandatory in the syntax). When the block of proofs is completed, it is intended to be ended by Defined.

6. Cofixpoint ident [binders] [: term] [:= term] with ... with ident [binders] [: term] [:= term].

This generalizes the syntax of CoFixpoint so that one or more bodies can be defined interactively using the proof editing mode.

```
Proof. ... Oed.
```

A proof starts by the keyword Proof. Then CoQ enters the proof editing mode until the proof is completed. The proof editing mode essentially contains tactics that are described in chapter 8. Besides tactics, there are commands to manage the proof editing mode. They are described in Chapter 7. When the proof is completed it should be validated and put in the environment using the keyword Qed.

#### **Error message:**

1. ident already exists

## **Remarks:**

- 1. Several statements can be simultaneously asserted.
- 2. Not only other assertions but any vernacular command can be given while in the process of proving a given assertion. In this case, the command is understood as if it would have been given before the statements still to be proved.
- 3. Proof is recommended but can currently be omitted. On the opposite side, Qed (or Defined, see below) is mandatory to validate a proof.
- 4. Proofs ended by Qed are declared opaque. Their content cannot be unfolded (see 8.7), thus realizing some form of *proof-irrelevance*. To be able to unfold a proof, the proof should be ended by Defined (see below).

#### **Variants:**

- 1. Proof.... Defined.

  Same as Proof.... Qed. but the proof is then declared transparent, which means that its content can be explicitly used for type-checking and that it can be unfolded in conversion tactics (see 8.7, 6.10.1, 6.10.2).
- 2. Proof.... Admitted.

  Turns the current asserted statement into an axiom and exits the proof mode.

## Chapter 2

## **Extensions of GALLINA**

GALLINA is the kernel language of COQ. We describe here extensions of the Gallina's syntax.

## 2.1 Record types

The Record construction is a macro allowing the definition of records as is done in many programming languages. Its syntax is described on Figure 2.1. In fact, the Record macro is more general than the usual record types, since it allows also for "manifest" expressions. In this sense, the Record construction allows defining "signatures".

Figure 2.1: Syntax for the definition of Record

### In the expression

Record ident params:  $sort := ident_0$  {  $ident_1 \ binders_1 : term_1; ... ident_n \ binders_n : term_n$  }. the identifier ident is the name of the defined record and sort is its type. The identifier  $ident_0$  is the name of its constructor. If  $ident_0$  is omitted, the default name  $\texttt{Build\_ident}$  is used. If sort is omitted, the default sort is "Type". The identifiers  $ident_1, ..., ident_n$  are the names of fields and  $\texttt{forall} \ binders_1, term_1, ..., \texttt{forall} \ binders_n, term_n$  their respective types. Remark that the type of  $ident_i$  may depend on the previous  $ident_j$  (for j < i). Thus the order of the fields is important. Finally, params are the parameters of the record.

More generally, a record may have explicitly defined (a.k.a. manifest) fields. For instance, Record ident [ params ]:  $sort := \{ ident_1 : type_1 ; ident_2 := term_2 ; ident_3 : type_3 \}$  in which case the correctness of  $type_3$  may rely on the instance  $term_2$  of  $ident_2$  and  $term_2$  in turn may depend on  $ident_1$ .

**Example:** The set of rational numbers may be defined as:

Remark here that the field Rat\_cond depends on the field bottom.

Let us now see the work done by the Record macro. First the macro generates a variant type definition with just one constructor:

```
Variant ident params :sort := ident_0 (ident_1: term_1) . (ident_n: term_n).
```

To build an object of type ident, one should provide the constructor  $ident_0$  with n terms filling the fields of the record.

As an example, let us define the rational 1/2:

The macro generates also, when it is possible, the projection functions for destructuring an object of type *ident*. These projection functions have the same name that the corresponding fields. If a field is named "\_" then no projection is built for it. In our example:

2.1 Record types 63

Records defined with the Record keyword are not allowed to be recursive (references to the record's name in the type of its field raises an error). To define recursive records, one can use the Inductive and CoInductive keywords, resulting in an inductive or co-inductive record. A *caveat*, however, is that records cannot appear in mutually inductive (or co-inductive) definitions. Induction schemes are automatically generated for inductive records. Automatic generation of induction schemes for non-recursive records defined with the Record keyword can be activated with the Nonrecursive Elimination Schemes option (see 13.1.1).

## Warnings:

1.  $ident_i$  cannot be defined.

It can happen that the definition of a projection is impossible. This message is followed by an explanation of this impossibility. There may be three reasons:

- (a) The name  $ident_i$  already exists in the environment (see Section 1.3.1).
- (b) The body of *ident* i uses an incorrect elimination for *ident* (see Sections 1.3.4 and 4.5.3).
- (c) The type of the projections *ident*<sub>i</sub> depends on previous projections which themselves could not be defined.

#### **Error messages:**

1. Records declared with the keyword Record or Structure cannot be recursive.

The record name *ident* appears in the type of its fields, but uses the keyword Record. Use the keyword Inductive or CoInductive instead.

2. Cannot handle mutually (co) inductive records.

Records cannot be defined as part of mutually inductive (or co-inductive) definitions, whether with records only or mixed with standard definitions.

3. During the definition of the one-constructor inductive definition, all the errors of inductive definitions, as described in Section 1.3.3, may also occur.

**See also:** Coercions and records in Section 18.9 of the chapter devoted to coercions.

Remark: Structure is a synonym of the keyword Record.

**Remark:** Creation of an object of record type can be done by calling  $ident_0$  and passing arguments in the correct order.

```
Coq < Record point := { x : nat; y : nat }.
point is defined
x is defined
y is defined
Coq < Definition a := Build_point 5 3.
a is defined</pre>
```

The following syntax allows creating objects by using named fields. The fields do not have to be in any particular order, nor do they have to be all present if the missing ones can be inferred or prompted for (see Section 24).

```
term ++= term . ( qualid )
| term . ( qualid arg ... arg )
| term . ( @qualid term ... term )
```

Figure 2.2: Syntax of Record projections

```
Coq < Definition b := {| x := 5; y := 3 |}. b is defined

Coq < Definition c := {| y := 3; x := 5 |}. c is defined
```

This syntax can be disabled globally for printing by

```
Unset Printing Records.
```

For a given type, one can override this using either

```
Add Printing Record ident.
```

to get record syntax or

```
Add Printing Constructor ident.
```

to get constructor syntax.

This syntax can also be used for pattern matching.

**Remark:** An experimental syntax for projections based on a dot notation is available. The command to activate it is

```
Set Printing Projections.
```

The corresponding grammar rules are given Figure 2.2. When qualid denotes a projection, the syntax term. (qualid) is equivalent to qualid term, the syntax term. (qualid  $arg_1...arg_n$ ) to qualid  $arg_1...arg_n$  to qualid term. (@qualid term.) to @qualid term. In each case, term is the object projected and the other arguments are the parameters of the inductive type.

To deactivate the printing of projections, use Unset Printing Projections.

## 2.1.1 Primitive Projections

The option Set Primitive Projections turns on the use of primitive projections when defining subsequent records. Primitive projections extended the Calculus of Inductive Constructions with a new binary term constructor r. (p) representing a primitive projection p applied to a record object r (i.e., primitive projections are always applied). Even if the record type has parameters, these do not

appear at applications of the projection, considerably reducing the sizes of terms when manipulating parameterized records and typechecking time. On the user level, primitive projections are a transparent replacement for the usual defined ones.

For compatibility, the parameters still appear to the user when printing terms even though they are absent in the actual AST manipulated by the kernel. This can be changed by unsetting the Printing Primitive Projection Parameters flag. Further compatibility printing can be deactivated thanks to the Printing Primitive Projection Compatibility option which governs the printing of pattern-matching over primitive records.

## **2.2** Variants and extensions of match

## 2.2.1 Multiple and nested pattern-matching

The basic version of match allows pattern-matching on simple patterns. As an extension, multiple nested patterns or disjunction of patterns are allowed, as in ML-like languages.

The extension just acts as a macro that is expanded during parsing into a sequence of match on simple patterns. Especially, a construction defined using the extended match is generally printed under its expanded form (see Set Printing Matching in section 2.2.4).

See also: Chapter 17.

## 2.2.2 Pattern-matching on boolean values: the if expression

For inductive types with exactly two constructors and for pattern-matchings expressions which do not depend on the arguments of the constructors, it is possible to use a if ... then ... else notation. For instance, the definition

```
Coq < Definition not (b:bool) :=
    match b with
    | true => false
    | false => true
    end.
not is defined
```

can be alternatively written

```
Coq < Definition not (b:bool) := if b then false else true.
not is defined</pre>
```

More generally, for an inductive type with constructors  $C_1$  and  $C_2$ , we have the following equivalence

```
 \text{if } term \ [dep\_ret\_type] \ \text{then} \ term_1 \ \text{else} \ term_2 \equiv \begin{array}{c} \text{match } term \ [dep\_ret\_type] \ \text{with} \\ \mid \ \text{C}_1 \ \_ \ \ldots \ \ \_ \ => \ term_1 \\ \mid \ \text{C}_2 \ \_ \ \ldots \ \ \ \_ \ => \ term_2 \\ \text{end} \\ \end{array}
```

Here is an example.

```
end). fun (x : nat) (H : \{x = 0\} + \{x <> 0\}) => if H then true else false : forall x : nat, \{x = 0\} + \{x <> 0\} -> bool
```

Notice that the printing uses the if syntax because sumbool is declared as such (see Section 2.2.4).

## **2.2.3** Irrefutable patterns: the destructuring let variants

Pattern-matching on terms inhabiting inductive type having only one constructor can be alternatively written using let ... in ... constructions. There are two variants of them.

## First destructuring let syntax

The expression let ( $ident_1,...,ident_n$ ) :=  $term_0$  in  $term_1$  performs case analysis on a  $term_0$  which must be in an inductive type with one constructor having itself n arguments. Variables  $ident_1...ident_n$  are bound to the n arguments of the constructor in expression  $term_1$ . For instance, the definition

```
Coq < Definition fst (A B:Set) (H:A * B) := match H with \mid \text{ pair x y => x} \\ \text{end.} \\ fst is defined
```

can be alternatively written

```
Coq < Definition fst (A B:Set) (p:A \star B) := let (x, _) := p in x. fst is defined
```

Notice that reduction is different from regular let ... in ... construction since it happens only if  $term_0$  is in constructor form. Otherwise, the reduction is blocked.

The pretty-printing of a definition by matching on a irrefutable pattern can either be done using match or the let construction (see Section 2.2.4).

```
If term inhabits an inductive type with one constructor C, we have an equivalence between let (ident_1, \ldots, ident_n) [dep\_ret\_type] := term in term' and match term [dep\_ret\_type] with C ident_1 ... ident_n => term' end
```

#### Second destructuring let syntax

Another destructuring let syntax is available for inductive types with one constructor by giving an arbitrary pattern instead of just a tuple for all the arguments. For example, the preceding example can be written:

```
Coq < Definition fst (A B:Set) (p:A*B) := let 'pair x \_ := p in x. fst is defined
```

This is useful to match deeper inside tuples and also to use notations for the pattern, as the syntax let 'p := t in b allows arbitrary patterns to do the deconstruction. For example:

```
Coq < Definition deep_tuple (A:Set) (x:(A*A)*(A*A)) : A*A*A*A :=
    let '((a,b), (c, d)) := x in (a,b,c,d).

deep_tuple is defined

Coq < Notation " x 'With' p " := (exist _ x p) (at level 20).

Identifier 'With' now a keyword

Coq < Definition projl_sig' (A:Set) (P:A->Prop) (t:{ x:A | P x }) : A :=
    let 'x With p := t in x.

projl_sig' is defined
```

When printing definitions which are written using this construct it takes precedence over let printing directives for the datatype under consideration (see Section 2.2.4).

## 2.2.4 Controlling pretty-printing of match expressions

The following commands give some control over the pretty-printing of match expressions.

## **Printing nested patterns**

The Calculus of Inductive Constructions knows pattern-matching only over simple patterns. It is however convenient to re-factorize nested pattern-matching into a single pattern-matching over a nested pattern. CoQ's printer try to do such limited re-factorization.

```
Set Printing Matching.
```

This tells CoQ to try to use nested patterns. This is the default behavior.

```
Unset Printing Matching.
```

This tells CoQ to print only simple pattern-matching problems in the same way as the CoQ kernel handles them.

```
Test Printing Matching.
```

This tells if the printing matching mode is on or off. The default is on.

## Printing of wildcard pattern

Some variables in a pattern may not occur in the right-hand side of the pattern-matching clause. There are options to control the display of these variables.

```
Set Printing Wildcard.
```

The variables having no occurrences in the right-hand side of the pattern-matching clause are just printed using the wildcard symbol "\_".

```
Unset Printing Wildcard.
```

The variables, even useless, are printed using their usual name. But some non dependent variables have no name. These ones are still printed using a "\_\_".

```
Test Printing Wildcard.
```

This tells if the wildcard printing mode is on or off. The default is to print wildcard for useless variables.

## Printing of the elimination predicate

In most of the cases, the type of the result of a matched term is mechanically synthesizable. Especially, if the result type does not depend of the matched term.

```
Set Printing Synth.
```

The result type is not printed when CoQ knows that it can re-synthesize it.

```
Unset Printing Synth.
```

This forces the result type to be always printed.

```
Test Printing Synth.
```

This tells if the non-printing of synthesizable types is on or off. The default is to not print synthesizable types.

## Printing matching on irrefutable pattern

If an inductive type has just one constructor, pattern-matching can be written using the first destructuring let syntax.

```
Add Printing Let ident.
```

This adds *ident* to the list of inductive types for which pattern-matching is written using a let expression.

```
Remove Printing Let ident.
```

This removes *ident* from this list. Note that removing an inductive type from this list has an impact only for pattern-matching written using match. Pattern-matching explicitly written using a destructuring let are not impacted.

```
Test Printing Let for ident.
```

This tells if *ident* belongs to the list.

```
Print Table Printing Let.
```

This prints the list of inductive types for which pattern-matching is written using a let expression.

The list of inductive types for which pattern-matching is written using a let expression is managed synchronously. This means that it is sensible to the command Reset.

## **Printing matching on booleans**

If an inductive type is isomorphic to the boolean type, pattern-matching can be written using if ... then ... else ...

```
Add Printing If ident.
```

This adds *ident* to the list of inductive types for which pattern-matching is written using an if expression.

```
Remove Printing If ident.
```

This removes *ident* from this list.

```
Test Printing If for ident.
```

This tells if *ident* belongs to the list.

```
Print Table Printing If.
```

This prints the list of inductive types for which pattern-matching is written using an if expression.

The list of inductive types for which pattern-matching is written using an if expression is managed synchronously. This means that it is sensible to the command Reset.

#### **Example**

This example emphasizes what the printing options offer.

```
Coq < Definition snd (A B:Set) (H:A \star B) := match H with
                                             \mid pair x y => y
                                              end.
snd is defined
Coq < Test Printing Let for prod.
Cases on elements of prod are printed using a `let' form
Cog < Print snd.
snd =
fun (A B : Set) (H : A * B) => let (_, y) := H in y
     : forall A B : Set, A * B -> B
Argument scopes are [type_scope type_scope _]
Coq < Remove Printing Let prod.
Cog < Unset Printing Synth.
Coq < Unset Printing Wildcard.
Coq < Print snd.
snd =
fun (A B : Set) (H : A * B) => match H return B with
                                /(x, y) \Rightarrow y
     : forall A B : Set, A * B -> B
Argument scopes are [type_scope type_scope _]
```

#### 2.3 **Advanced recursive functions**

The experimental command

```
Function ident\ binder_1...binder_n\ \{decrease\_annot\}\ :\ type_0\ :=\ term_0
```

can be seen as a generalization of Fixpoint. It is actually a wrapper for several ways of defining a function and other useful related objects, namely: an induction principle that reflects the recursive structure of the function (see 8.5.5), and its fixpoint equality. The meaning of this declaration is to define a function ident, similarly to Fixpoint. Like in Fixpoint, the decreasing argument must be given (unless the function is not recursive), but it must not necessary be structurally decreasing. The point of the {} annotation is to name the decreasing argument and to describe which kind of decreasing criteria must be used to ensure termination of recursive calls.

The Function construction enjoys also the with extension to define mutually recursive definitions. However, this feature does not work for non structural recursive functions.

See the documentation of functional induction (see Section 8.5.5) and Functional Scheme (see Section 13.2 and 13.2) for how to use the induction principle to easily reason about the function.

Remark: To obtain the right principle, it is better to put rigid parameters of the function as first arguments. For example it is better to define plus like this:

```
Cog < Function plus (m n : nat) {struct n} : nat :=</pre>
        match n with
        | 0 => m
        | S p => S (plus m p)
```

than like this:

```
Coq < Function plus (n m : nat) {struct n} : nat :=</pre>
        match n with
        | 0 => m
        | S p => S (plus p m)
        end
```

**Limitations** term<sub>0</sub> must be build as a pure pattern-matching tree (match...with) with applications only at the end of each branch.

Function does not support partial application of the function being defined. Thus, the following example cannot be accepted due to the presence of partial application of identwrong into the body of identwrong:

```
Coq < Fail Function wrong (C:nat) : nat :=</pre>
        List.hd 0 (List.map wrong (C::nil)).
```

For now dependent cases are not treated for non structurally terminating functions.

## **Error messages:**

- 1. The recursive argument must be specified
- 2. No argument name ident

- Cannot use mutual definition with well-founded recursion or measure
- 4. Cannot define graph for ident... (warning)

The generation of the graph relation (R\_ident) used to compute the induction scheme of ident raised a typing error. Only the ident is defined, the induction scheme will not be generated.

This error happens generally when:

- the definition uses pattern matching on dependent types, which Function cannot deal with vet.
- the definition is not a *pattern-matching tree* as explained above.
- 5. Cannot define principle(s) for ident... (warning)

The generation of the graph relation (R\_ident) succeeded but the induction principle could not be built. Only the ident is defined. Please report.

6. Cannot build functional inversion principle (warning) functional inversion will not be available for the function.

#### See also: 13.2, 13.2, 8.5.5

Depending on the {...} annotation, different definition mechanisms are used by Function. More precise description given below.

#### Variants:

1. Function  $ident\ binder_1 \dots binder_n$  : type\_0 :=  $term_0$ 

Defines the not recursive function *ident* as if declared with Definition. Moreover the following are defined:

- *ident\_*rect, *ident\_*rec and *ident\_*ind, which reflect the pattern matching structure of *term*<sub>0</sub> (see the documentation of Inductive 1.3.3);
- The inductive R\_ident corresponding to the graph of ident (silently);
- *ident\_*complete and *ident\_*correct which are inversion information linking the function and its graph.
- 2. Function  $ident\ binder_1...binder_n\ \{struct\ ident_0\}\ :\ type_0\ :=\ term_0$

Defines the structural recursive function *ident* as if declared with Fixpoint. Moreover the following are defined:

- The same objects as above;
- The fixpoint equation of ident: ident\_equation.
- 3. Function  $ident\ binder_1...binder_n\ \{measure\ term_1\ ident_0\}\ :\ type_0:=term_0$
- 4. Function ident binder<sub>1</sub>...binder<sub>n</sub> {wf term<sub>1</sub> ident<sub>0</sub>} : type<sub>0</sub> := term<sub>0</sub>

Defines a recursive function by well founded recursion. The module Recdef of the standard library must be loaded for this feature. The {} annotation is mandatory and must be one of the following:

- {measure  $term_1$   $ident_0$ } with  $ident_0$  being the decreasing argument and  $term_1$  being a function from type of  $ident_0$  to nat for which value on the decreasing argument decreases (for the lt order on nat) at each recursive call of  $term_0$ , parameters of the function are bound in  $term_0$ ;
- {wf  $term_1 \ ident_0$ } with  $ident_0$  being the decreasing argument and  $term_1$  an ordering relation on the type of  $ident_0$  (i.e. of type  $T_{ident_0} \to T_{ident_0} \to \texttt{Prop}$ ) for which the decreasing argument decreases at each recursive call of  $term_0$ . The order must be well founded. parameters of the function are bound in  $term_0$ .

Depending on the annotation, the user is left with some proof obligations that will be used to define the function. These proofs are: proofs that each recursive call is actually decreasing with respect to the given criteria, and (if the criteria is wf) a proof that the ordering relation is well founded.

Once proof obligations are discharged, the following objects are defined:

- The same objects as with the struct;
- The lemma ident\_tcc which collects all proof obligations in one property;
- The lemmas *ident\_terminate* and *ident\_F* which is needed to be inlined during extraction of *ident*.

The way this recursive function is defined is the subject of several papers by Yves Bertot and Antonia Balaa on the one hand, and Gilles Barthe, Julien Forest, David Pichardie, and Vlad Rusu on the other hand.

**Remark:** Proof obligations are presented as several subgoals belonging to a Lemma *ident\_tcc*.

## 2.4 Section mechanism

The sectioning mechanism can be used to to organize a proof in structured sections. Then local declarations become available (see Section 1.3.2).

#### **2.4.1** Section *ident*

This command is used to open a section named *ident*.

## 2.4.2 End ident

This command closes the section named *ident*. After closing of the section, the local declarations (variables and local definitions) get *discharged*, meaning that they stop being visible and that all global objects defined in the section are generalized with respect to the variables and local definitions they each depended on in the section.

Here is an example:

```
Coq < Section s1.
Coq < Variables x y : nat.
x is assumed
y is assumed</pre>
```

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```
Coq < Let y' := y.
y' is defined
Coq < Definition x' := S x.
x' is defined
Coq < Definition x'' := x' + y'.
x'' is defined
Coq < Print x'.
x' = S x
     : nat
Coq < End s1.
Coq < Print x'.
x' = fun \ x : nat => S \ x
     : nat -> nat
Argument scope is [nat_scope]
Coq < Print x''.
x'' = \text{fun } x y : \text{nat} \Rightarrow \text{let } y' := y \text{ in } x' x + y'
     : nat -> nat -> nat
Argument scopes are [nat_scope nat_scope]
```

Notice the difference between the value of x' and x" inside section s1 and outside.

#### **Error messages:**

1. This is not the last opened section

#### **Remarks:**

1. Most commands, like Hint, Notation, option management, ... which appear inside a section are canceled when the section is closed.

# 2.5 Module system

The module system provides a way of packaging related elements together, as well as a means of massive abstraction.

In the syntax of module application, the ! prefix indicates that any Inline directive in the type of the functor arguments will be ignored (see 2.5.4 below).

## 2.5.1 Module ident

This command is used to start an interactive module named ident.

#### **Variants:**

1. Module *ident module\_bindings*Starts an interactive functor with parameters given by *module\_bindings*.

2. Module ident : module\_type

Starts an interactive module specifying its module type.

```
module_type
                       qualid
                  ::=
                       module_type with Definition qualid := term
                       module_type with Module qualid := qualid
                       qualid qualid ... qualid
                       !qualid qualid ... qualid
  module_binding
                       ( [Import|Export] ident
                                                     ... ident : module_type )
                       module_binding ... module_binding
 module bindings
module_expression
                  ::=
                       qualid ... qualid
                       !qualid ... qualid
```

Figure 2.3: Syntax of modules

- 3. Module *ident module\_bindings*: module\_type

  Starts an interactive functor with parameters given by module\_bindings, and output module type module\_type.
- 4. Module  $ident <: module\_type_1 <: ... <: module\_type_n$ Starts an interactive module satisfying each  $module\_type_i$ .
- 5. Module *ident module\_bindings* <: module\_type<sub>1</sub> <: ... <: module\_type<sub>n</sub>

  Starts an interactive functor with parameters given by module\_bindings. The output module type is verified against each module type module\_type<sub>i</sub>.
- 6. Module [Import|Export]

  Behaves like Module, but automatically imports or exports the module.

#### Reserved commands inside an interactive module:

1. Include module

Includes the content of *module* in the current interactive module. Here *module* can be a module expression or a module type expression. If *module* is a high-order module or module type expression then the system tries to instantiate *module* by the current interactive module.

```
2. Include module_1 <+ \ldots <+ module_n is a shortcut for Include module_1 \ldots Include module_n
```

#### **2.5.2** End *ident*

This command closes the interactive module *ident*. If the module type was given the content of the module is matched against it and an error is signaled if the matching fails. If the module is basic (is not a functor) its components (constants, inductive types, submodules etc) are now available through the dot notation.

#### **Error messages:**

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- 1. No such label ident
- 2. Signature components for label ident do not match
- 3. This is not the last opened module

## **2.5.3** Module *ident* := module\_expression

This command defines the module identifier *ident* to be equal to *module\_expression*.

#### Variants:

- 1. Module *ident module\_bindings* := module\_expression

  Defines a functor with parameters given by module\_bindings and body module\_expression.
- 2. Module *ident module\_bindings*: module\_type := module\_expression

  Defines a functor with parameters given by module\_bindings (possibly none), and output module type module\_type, with body module\_expression.
- 3. Module ident module\_bindings <: module\_type<sub>1</sub> <: ... <: module\_type<sub>n</sub>:= module\_expression
  - Defines a functor with parameters given by *module\_bindings* (possibly none) with body *module\_expression*. The body is checked against each *module\_type*<sub>i</sub>.
- 4. Module ident module\_bindings :=  $module\_expression_1 <+ \ldots <+ module\_expression_n$

is equivalent to an interactive module where each module\_expression<sub>i</sub> are included.

#### **2.5.4** Module Type *ident*

This command is used to start an interactive module type *ident*.

#### Variants:

1. Module Type ident module\_bindings

Starts an interactive functor type with parameters given by module\_bindings.

# Reserved commands inside an interactive module type:

1. Include module

Same as Include inside a module.

- 2. Include  $module_1 <+ \ldots <+ module_n$  is a shortcut for Include  $module_1 \ldots$  Include  $module_n$
- 3. assumption\_keyword Inline assums

The instance of this assumption will be automatically expanded at functor application, except when this functor application is prefixed by a ! annotation.

#### 2.5.5 End ident

This command closes the interactive module type *ident*.

#### **Error messages:**

```
1. This is not the last opened module type
```

# **2.5.6** Module Type *ident* := *module\_type*

Defines a module type *ident* equal to *module\_type*.

#### Variants:

- Module Type ident module\_bindings := module\_type
   Defines a functor type ident specifying functors taking arguments module\_bindings and returning module\_type.
- 2. Module Type ident module\_bindings :=  $module\_type_1 <+ \dots <+ module\_type_n$  is equivalent to an interactive module type were each  $module\_type_i$  are included.

## 2.5.7 Declare Module ident : module\_type

Declares a module *ident* of type *module\_type*.

#### **Variants:**

1. Declare Module *ident module\_bindings*: module\_type

Declares a functor with parameters module\_bindings and output module type module\_type.

#### **Example**

Let us define a simple module.

```
Coq < Module M.
Interactive Module M started
Coq < Definition T := nat.
T is defined
Coq < Definition x := 0.
x is defined
Coq < Definition y : bool.
1 subgoal
 _____
 bool
Coq <
        exact true.
No more subgoals.
Coq < Defined.
exact true.
Defined.
y is defined
Coq < End M.
Module M is defined
```

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Inside a module one can define constants, prove theorems and do any other things that can be done in the toplevel. Components of a closed module can be accessed using the dot notation:

#### A simple module type:

```
Coq < Module Type SIG.
Interactive Module Type SIG started
Coq < Parameter T : Set.
T is assumed
Coq < Parameter x : T.
x is assumed
Coq < End SIG.
Module Type SIG is defined</pre>
```

Now we can create a new module from M, giving it a less precise specification: the y component is dropped as well as the body of x.

The definition of N using the module type expression SIG with Definition T:=nat is equivalent to the following one:

```
Coq < Module Type SIG'.
Coq < Definition T : Set := nat.
Coq < Parameter x : T.
Coq < End SIG'.
Coq < Module N : SIG' := M.</pre>
```

If we just want to be sure that the our implementation satisfies a given module type without restricting the interface, we can use a transparent constraint

#### Now let us create a functor, i.e. a parametric module

```
Coq < Module Two (X Y: SIG).
Interactive Module Two started
Coq < Definition T := (X.T * Y.T)%type.
Coq < Definition x := (X.x, Y.x).
Coq < End Two.
Module Two is defined</pre>
```

#### and apply it to our modules and do some computations

In the end, let us define a module type with two sub-modules, sharing some of the fields and give one of its possible implementations:

```
Coq < Module Type SIG2.
Interactive Module Type SIG2 started
Coq < Declare Module M1 : SIG.
Module M1 is declared
Coq < Module M2 <: SIG.
Interactive Module M2 started
        Definition T := M1.T.
T is defined
Cog < Parameter x : T.
x is assumed
Coq < End M2.
Module M2 is defined
Coq < End SIG2.
Module Type SIG2 is defined
Coq < Module Mod <: SIG2.
Coq < Module M1.
        Definition T := nat.
Coq <
        Definition x := 1.
Coq <
Coq < End M1.
Coq < Module M2 := M.
Coq < End Mod.
Module Mod is defined
```

Notice that M is a correct body for the component M2 since its T component is equal nat and hence M1.T as specified.

#### **Remarks:**

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- 1. Modules and module types can be nested components of each other.
- 2. One can have sections inside a module or a module type, but not a module or a module type inside a section.
- 3. Commands like Hint or Notation can also appear inside modules and module types. Note that in case of a module definition like:

```
Module N : SIG := M.
or
Module N : SIG.
...
End N.
```

hints and the like valid for N are not those defined in M (or the module body) but the ones defined in SIG.

## 2.5.8 Import qualid

If *qualid* denotes a valid basic module (i.e. its module type is a signature), makes its components available by their short names.

## Example:

```
Coq < Module Mod.
Interactive Module Mod started
Coa <
      Definition T:=nat.
T is defined
Coq < Check T.
     : Set
Coq < End Mod.
Module Mod is defined
Coq < Check Mod.T.
Mod.T
    : Set
Coq < Fail Check T. (* Incorrect! *)</pre>
The command has indeed failed with message:
The reference T was not found in the current environment.
Coq < Import Mod.
Coq < Check T. (* Now correct *)</pre>
     : Set
```

Some features defined in modules are activated only when a module is imported. This is for instance the case of notations (see Section 12.1).

Declarations made with the Local flag are never imported by the Import command. Such declarations are only accessible through their fully qualified name.

Example:

```
Coq < Module A.

Interactive Module A started

Coq < Module B.

Interactive Module B started

Coq < Local Definition T := nat.

T is defined

Coq < End B.

Module B is defined

Coq < End A.

Module A is defined

Coq < Import A.

Coq < Fail Check B.T.

The command has indeed failed with message:

The reference B.T was not found in the current environment.
```

#### Variants:

1. Export qualid

When the module containing the command Export qualid is imported, qualid is imported as well.

#### **Error messages:**

1. qualid is not a module

#### Warnings:

1. Trying to mask the absolute name qualid!

#### 2.5.9 Print Module ident

Prints the module type and (optionally) the body of the module *ident*.

## 2.5.10 Print Module Type ident

Prints the module type corresponding to ident.

# 2.5.11 Locate Module qualid

Prints the full name of the module qualid.

# 2.6 Libraries and qualified names

#### 2.6.1 Names of libraries

The theories developed in COQ are stored in *library files* which are hierarchically classified into *libraries* and *sublibraries*. To express this hierarchy, library names are represented by qualified identifiers *qualid*, i.e. as list of identifiers separated by dots (see Section 1.2.3). For instance, the library file Mult of the standard COQ library Arith is named Coq.Arith.Mult. The identifier that starts the name of a library is called a *library root*. All library files of the standard library of COQ have the reserved root Coq but library file names based on other roots can be obtained by using COQ commands (coqc, coqtop, coqdep, ...) options -Q or -R (see Section 14.3.3). Also, when an interactive COQ session starts, a library of root Top is started, unless option -top or -notop is set (see Section 14.3.3).

### 2.6.2 Qualified names

Library files are modules which possibly contain submodules which eventually contain constructions (axioms, parameters, definitions, lemmas, theorems, remarks or facts). The *absolute name*, or *full name*, of a construction in some library file is a qualified identifier starting with the logical name of the library file, followed by the sequence of submodules names encapsulating the construction and ended by the proper name of the construction. Typically, the absolute name <code>Coq.Init.Logic.eq</code> denotes Leibniz' equality defined in the module <code>Logic</code> in the sublibrary <code>Init</code> of the standard library of Coq.

The proper name that ends the name of a construction is the *short name* (or sometimes *base name*) of the construction (for instance, the short name of Coq.Init.Logic.eq is eq). Any partial suffix of the absolute name is a *partially qualified name* (e.g. Logic.eq is a partially qualified name for Coq.Init.Logic.eq). Especially, the short name of a construction is its shortest partially qualified name.

CoQ does not accept two constructions (definition, theorem, ...) with the same absolute name but different constructions can have the same short name (or even same partially qualified names as soon as the full names are different).

Notice that the notion of absolute, partially qualified and short names also applies to library file names.

**Visibility** CoQ maintains a table called *name table* which maps partially qualified names of constructions to absolute names. This table is updated by the commands Require (see 6.5.1), Import and Export (see 2.5.8) and also each time a new declaration is added to the context. An absolute name is called *visible* from a given short or partially qualified name when this latter name is enough to denote it. This means that the short or partially qualified name is mapped to the absolute name in CoQ name table. Definitions flagged as Local are only accessible with their fully qualified name (see 1.3.2).

It may happen that a visible name is hidden by the short name or a qualified name of another construction. In this case, the name that has been hidden must be referred to using one more level of qualification. To ensure that a construction always remains accessible, absolute names can never be hidden.

# Examples:

```
Coq < Check 0.
0
     : nat
Coq < Definition nat := bool.</pre>
```

```
nat is defined

Coq < Check 0.
0
     : Datatypes.nat

Coq < Check Datatypes.nat.
Datatypes.nat
     : Set

Coq < Locate nat.
Constant Top.nat
Inductive Coq.Init.Datatypes.nat
     (shorter name to refer to it in current context is Datatypes.nat)</pre>
```

See also: Command Locate in Section 6.3.10 and Locate Library in Section 6.6.11.

# 2.6.3 Libraries and filesystem

Please note that the questions described here have been subject to redesign in Coq v8.5. Former versions of Coq use the same terminology to describe slightly different things.

Compiled files (.vo and .vio) store sub-libraries. In order to refer to them inside CoQ, a translation from file-system names to CoQ names is needed. In this translation, names in the file system are called *physical* paths while CoQ names are contrastingly called *logical* names.

A logical prefix Lib can be associated to a physical path path using the command line option -Q path Lib. All subfolders of path are recursively associated to the logical path Lib extended with the corresponding suffix coming from the physical path. For instance, the folder path/f00/Bar maps to Lib.f00.Bar. Subdirectories corresponding to invalid CoQ identifiers are skipped, and, by convention, subdirectories named CVS or \_darcs are skipped too.

Thanks to this mechanism, .vo files are made available through the logical name of the folder they are in, extended with their own basename. For example, the name associated to the file path/f00/Bar/File.vo is Lib.f00.Bar.File. The same caveat applies for invalid identifiers. When compiling a source file, the .vo file stores its logical name, so that an error is issued if it is loaded with the wrong loadpath afterwards.

Some folders have a special status and are automatically put in the path. COQ commands associate automatically a logical path to files in the repository trees rooted at the directory from where the command is launched, <code>coqlib/user-contrib/</code>, the directories listed in the \$COQPATH, \${XDG\_DATA\_HOME}/coq/ and \${XDG\_DATA\_DIRS}/coq/ environment variables (see http://standards.freedesktop.org/basedir-spec/basedir-spec-latest.html) with the same physical-to-logical translation and with an empty logical prefix.

The command line option -R is a variant of -Q which has the strictly same behavior regarding loadpaths, but which also makes the corresponding .vo files available through their short names in a way not unlike the Import command (see 2.5.8). For instance, -R path Lib associates to the file path/f00/Bar/File.vo the logical name Lib.f00.Bar.File, but allows this file to be accessed through the short names f00.Bar.File, Bar.File and File. If several files with identical base name are present in different subdirectories of a recursive loadpath, which of these files is found first may be system-dependent and explicit qualification is recommended. The From argument of the Require command can be used to bypass the implicit shortening by providing an absolute root to the required file (see 6.5.1).

There also exists another independent loadpath mechanism attached to OBJECTIVE CAML object files (.cmo or .cmxs) rather than COQ object files as described above. The OBJECTIVE CAML loadpath is managed using the option -I path (in the OBJECTIVE CAML world, there is neither a notion of logical name prefix nor a way to access files in subdirectories of path). See the command Declare ML Module in Section 6.5 to understand the need of the OBJECTIVE CAML loadpath.

See Section 14.3.3 for a more general view over the Coo command line options.

# 2.7 Implicit arguments

An implicit argument of a function is an argument which can be inferred from contextual knowledge. There are different kinds of implicit arguments that can be considered implicit in different ways. There are also various commands to control the setting or the inference of implicit arguments.

# 2.7.1 The different kinds of implicit arguments

## Implicit arguments inferable from the knowledge of other arguments of a function

The first kind of implicit arguments covers the arguments that are inferable from the knowledge of the type of other arguments of the function, or of the type of the surrounding context of the application. Especially, such implicit arguments correspond to parameters dependent in the type of the function. Typical implicit arguments are the type arguments in polymorphic functions. There are several kinds of such implicit arguments.

**Strict Implicit Arguments.** An implicit argument can be either strict or non strict. An implicit argument is said *strict* if, whatever the other arguments of the function are, it is still inferable from the type of some other argument. Technically, an implicit argument is strict if it corresponds to a parameter which is not applied to a variable which itself is another parameter of the function (since this parameter may erase its arguments), not in the body of a match, and not itself applied or matched against patterns (since the original form of the argument can be lost by reduction).

For instance, the first argument of

```
cons: forall A:Set, A -> list A -> list A
```

in module List.v is strict because list is an inductive type and A will always be inferable from the type list A of the third argument of cons. On the contrary, the second argument of a term of type

```
forall P:nat->Prop, forall n:nat, P n -> ex nat P
```

is implicit but not strict, since it can only be inferred from the type P n of the third argument and if P is, e.g., fun  $\_$  => True, it reduces to an expression where n does not occur any longer. The first argument P is implicit but not strict either because it can only be inferred from P n and P is not canonically inferable from an arbitrary n and the normal form of P n (consider e.g. that n is 0 and the third argument has type True, then any P of the form fun n => match n with 0 => True |  $\_$  => anything end would be a solution of the inference problem).

**Contextual Implicit Arguments.** An implicit argument can be *contextual* or not. An implicit argument is said *contextual* if it can be inferred only from the knowledge of the type of the context of the current expression. For instance, the only argument of

```
nil : forall A:Set, list A
```

is contextual. Similarly, both arguments of a term of type

are contextual (moreover, n is strict and P is not).

**Reversible-Pattern Implicit Arguments.** There is another class of implicit arguments that can be reinferred unambiguously if all the types of the remaining arguments are known. This is the class of implicit arguments occurring in the type of another argument in position of reversible pattern, which means it is at the head of an application but applied only to uninstantiated distinct variables. Such an implicit argument is called *reversible-pattern implicit argument*. A typical example is the argument P of nat\_rec in

```
nat\_rec : forall P : nat -> Set, P 0 -> (forall n : nat, P n -> P (S n)) -> forall x : nat, P x.
```

(P is reinferable by abstracting over n in the type P n).

See Section 2.7.9 for the automatic declaration of reversible-pattern implicit arguments.

#### Implicit arguments inferable by resolution

This corresponds to a class of non dependent implicit arguments that are solved based on the structure of their type only.

#### 2.7.2 Maximal or non maximal insertion of implicit arguments

In case a function is partially applied, and the next argument to be applied is an implicit argument, two disciplines are applicable. In the first case, the function is considered to have no arguments furtherly: one says that the implicit argument is not maximally inserted. In the second case, the function is considered to be implicitly applied to the implicit arguments it is waiting for: one says that the implicit argument is maximally inserted.

Each implicit argument can be declared to have to be inserted maximally or non maximally. This can be governed argument per argument by the command Implicit Arguments (see 2.7.4) or globally by the command Set Maximal Implicit Insertion (see 2.7.10). See also Section 2.7.13.

#### 2.7.3 Casual use of implicit arguments

In a given expression, if it is clear that some argument of a function can be inferred from the type of the other arguments, the user can force the given argument to be guessed by replacing it by "\_". If possible, the correct argument will be automatically generated.

## **Error messages:**

1. Cannot infer a term for this placeholder

Coo was not able to deduce an instantiation of a ".".

#### 2.7.4 Declaration of implicit arguments

In case one wants that some arguments of a given object (constant, inductive types, constructors, assumptions, local or not) are always inferred by Coq, one may declare once and for all which are the expected implicit arguments of this object. There are two ways to do this, a priori and a posteriori.

#### **Implicit Argument Binders**

In the first setting, one wants to explicitly give the implicit arguments of a declared object as part of its definition. To do this, one has to surround the bindings of implicit arguments by curly braces:

```
Coq < Definition id \{A : Type\} (x : A) : A := x. id is defined
```

This automatically declares the argument A of id as a maximally inserted implicit argument. One can then do as-if the argument was absent in every situation but still be able to specify it if needed:

The syntax is supported in all top-level definitions: Definition, Fixpoint, Lemma and so on. For (co-)inductive datatype declarations, the semantics are the following: an inductive parameter declared as an implicit argument need not be repeated in the inductive definition but will become implicit for the constructors of the inductive only, not the inductive type itself. For example:

One can always specify the parameter if it is not uniform using the usual implicit arguments disambiguation syntax.

#### **Declaring Implicit Arguments**

To set implicit arguments a posteriori, one can use the command:

```
Arguments qualid possibly_bracketed_ident ... possibly_bracketed_ident
```

where the list of *possibly\_bracketed\_ident* is the list of all arguments of *qualid* where the ones to be declared implicit are surrounded by square brackets and the ones to be declared as maximally inserted implicits are surrounded by curly braces.

After the above declaration is issued, implicit arguments can just (and have to) be skipped in any expression involving an application of *qualid*.

Implicit arguments can be cleared with the following syntax:

```
Arguments qualid : clear implicits
```

#### Variants:

- 1. Global Arguments *qualid possibly\_bracketed\_ident* ... *possibly\_bracketed\_ident* Tell to recompute the implicit arguments of *qualid* after ending of the current section if any, enforcing the implicit arguments known from inside the section to be the ones declared by the command.
- 2. Local Arguments *qualid possibly\_bracketed\_ident . . . possibly\_bracketed\_ident*When in a module, tell not to activate the implicit arguments of *qualid* declared by this command to contexts that require the module.
- 3. [Global | Local] Arguments qualid [possibly\_bracketed\_ident ... possibly\_bracketed\_ident , ... , possibly\_bracketed\_ident ... possibly\_bracketed\_ident]

  For names of constants, inductive types, constructors, lemmas which can only be applied to a fixed number of arguments (this excludes for instance constants whose type is polymorphic), multiple implicit arguments decflarations can be given. Depending on the number of arguments qualid is applied to in practice, the longest applicable list of implicit arguments is used to select which implicit arguments are inserted.

For printing, the omitted arguments are the ones of the longest list of implicit arguments of the sequence.

#### **Example:**

```
: list nat
Cog < Fixpoint map (A B:Type) (f:A->B) (l:list A) : list B :=
       match l with nil => nil | cons a t => cons (f a) (map A B f t) end.
map is defined
map is recursively defined (decreasing on 4th argument)
Cog < Fixpoint length (A:Type) (1:list A) : nat :=</pre>
       match l with nil => 0 | cons _ m => S (length A m) end.
length is defined
length is recursively defined (decreasing on 2nd argument)
Coq < Arguments map [A B] f l.
Coq < Arguments length \{A\} l. (* A has to be maximally inserted *)
Coq < Check (fun l:list (list nat) => map length l).
fun 1 : list (list nat) => map length 1
     : list (list nat) -> list nat
Coq < Arguments map [A B] f l, [A] B f l, A B f l.
Cog < Check (fun 1 => map length 1 = map (list nat) nat length 1).
fun 1 : list (list nat) => map length 1 = map length 1
     : list (list nat) -> Prop
```

**Remark:** To know which are the implicit arguments of an object, use the command Print Implicit (see 2.7.13).

## 2.7.5 Automatic declaration of implicit arguments

CoQ can also automatically detect what are the implicit arguments of a defined object. The command is just

```
Arguments qualid : default implicits
```

The auto-detection is governed by options telling if strict, contextual, or reversible-pattern implicit arguments must be considered or not (see Sections 2.7.7, 2.7.8, 2.7.9 and also 2.7.10).

#### Variants:

- 1. Global Arguments *qualid*: default implicits

  Tell to recompute the implicit arguments of *qualid* after ending of the current section if any.
- 2. Local Arguments *qualid* : default implicits

When in a module, tell not to activate the implicit arguments of *qualid* computed by this declaration to contexts that requires the module.

#### **Example:**

```
cons : forall A : Set, A -> list A -> list A
Argument A is implicit

Coq < Arguments nil : default implicits.

Coq < Print Implicit nil.
nil : forall A : Set, list A

Coq < Set Contextual Implicit.

Coq < Arguments nil : default implicits.

Coq < Print Implicit nil.
nil : forall A : Set, list A
Argument A is implicit and maximally inserted</pre>
```

The computation of implicit arguments takes account of the unfolding of constants. For instance, the variable p below has type (Transitivity R) which is reducible to forall x,y:U, R x y  $\rightarrow$  forall z:U, R y z  $\rightarrow$  R x z. As the variables x, y and z appear strictly in body of the type, they are implicit.

```
Coq < Variable X : Type.
Coq < Definition Relation := X -> X -> Prop.
Coq < Definition Transitivity (R:Relation) :=</pre>
        forall x y:X, R x y \rightarrow forall z:X, R y z \rightarrow R x z.
Coq < Variables (R : Relation) (p : Transitivity R).
Coq < Arguments p : default implicits.</pre>
Coq < Print p.
*** [ p : Transitivity R ]
Expanded type for implicit arguments
p : forall x y : X, R x y -> forall z : X, R y z -> R x z
Arguments x, y, z are implicit
Coq < Print Implicit p.
p : forall x y : X, R x y -> forall z : X, R y z -> R x z
Arguments x, y, z are implicit
Coq < Variables (a b c : X) (r1 : R a b) (r2 : R b c).
Coq < Check (p r1 r2).
p r1 r2
     : R a c
```

#### 2.7.6 Mode for automatic declaration of implicit arguments

In case one wants to systematically declare implicit the arguments detectable as such, one may switch to the automatic declaration of implicit arguments mode by using the command

```
Set Implicit Arguments.
```

Conversely, one may unset the mode by using Unset Implicit Arguments. The mode is off by default. Auto-detection of implicit arguments is governed by options controlling whether strict and contextual implicit arguments have to be considered or not.

## 2.7.7 Controlling strict implicit arguments

When the mode for automatic declaration of implicit arguments is on, the default is to automatically set implicit only the strict implicit arguments plus, for historical reasons, a small subset of the non strict implicit arguments. To relax this constraint and to set implicit all non strict implicit arguments by default, use the command

```
Unset Strict Implicit.
```

Conversely, use the command Set Strict Implicit to restore the original mode that declares implicit only the strict implicit arguments plus a small subset of the non strict implicit arguments.

In the other way round, to capture exactly the strict implicit arguments and no more than the strict implicit arguments, use the command:

```
Set Strongly Strict Implicit.
```

Conversely, use the command Unset Strongly Strict Implicit to let the option "Strict Implicit" decide what to do.

**Remark:** In versions of CoQ prior to version 8.0, the default was to declare the strict implicit arguments as implicit.

#### 2.7.8 Controlling contextual implicit arguments

By default, CoQ does not automatically set implicit the contextual implicit arguments. To tell CoQ to infer also contextual implicit argument, use command

```
Set Contextual Implicit.
```

Conversely, use command Unset Contextual Implicit to unset the contextual implicit mode.

# 2.7.9 Controlling reversible-pattern implicit arguments

By default, CoQ does not automatically set implicit the reversible-pattern implicit arguments. To tell CoQ to infer also reversible-pattern implicit argument, use command

```
Set Reversible Pattern Implicit.
```

Conversely, use command Unset Reversible Pattern Implicit to unset the reversible-pattern implicit mode.

# 2.7.10 Controlling the insertion of implicit arguments not followed by explicit arguments

Implicit arguments can be declared to be automatically inserted when a function is partially applied and the next argument of the function is an implicit one. In case the implicit arguments are automatically declared (with the command Set Implicit Arguments), the command

```
Set Maximal Implicit Insertion.
```

is used to tell to declare the implicit arguments with a maximal insertion status. By default, automatically declared implicit arguments are not declared to be insertable maximally. To restore the default mode for maximal insertion, use command Unset Maximal Implicit Insertion.

```
term ++= @ qualid term ... term
| @ qualid
| qualid argument ... argument

argument ::= term
| (ident :=term)
```

Figure 2.4: Syntax for explicitly giving implicit arguments

## 2.7.11 Explicit applications

In presence of non strict or contextual argument, or in presence of partial applications, the synthesis of implicit arguments may fail, so one may have to give explicitly certain implicit arguments of an application. The syntax for this is (ident:=term) where ident is the name of the implicit argument and term is its corresponding explicit term. Alternatively, one can locally deactivate the hiding of implicit arguments of a function by using the notation  $@qualid term_1 ... term_n$ . This syntax extension is given Figure 2.4.

### **Example (continued):**

```
Coq < Check (p r1 (z:=c)).
p r1 (z:=c)
     : R b c -> R a c

Coq < Check (p (x:=a) (y:=b) r1 (z:=c) r2).
p r1 r2
     : R a c</pre>
```

# 2.7.12 Renaming implicit arguments

Implicit arguments names can be redefined using the following syntax:

```
Arguments qualid\ name\ \dots\ name: rename
```

Without the rename flag, Arguments can be used to assert that a given object has the expected number of arguments and that these arguments are named as expected.

## **Example (continued):**

## 2.7.13 Displaying what the implicit arguments are

To display the implicit arguments associated to an object, and to know if each of them is to be used maximally or not, use the command

```
Print Implicit qualid.
```

### 2.7.14 Explicit displaying of implicit arguments for pretty-printing

By default the basic pretty-printing rules hide the inferable implicit arguments of an application. To force printing all implicit arguments, use command

```
Set Printing Implicit.
```

Conversely, to restore the hiding of implicit arguments, use command

```
Unset Printing Implicit.
```

By default the basic pretty-printing rules display the implicit arguments that are not detected as strict implicit arguments. This "defensive" mode can quickly make the display cumbersome so this can be deactivated by using the command

```
Unset Printing Implicit Defensive.
```

Conversely, to force the display of non strict arguments, use command

```
Set Printing Implicit Defensive.
```

See also: Set Printing All in Section 2.9.

# 2.7.15 Interaction with subtyping

When an implicit argument can be inferred from the type of more than one of the other arguments, then only the type of the first of these arguments is taken into account, and not an upper type of all of them. As a consequence, the inference of the implicit argument of "=" fails in

```
Coq < Fail Check nat = Prop.
but succeeds in
Coq < Check Prop = nat.</pre>
```

## 2.7.16 Deactivation of implicit arguments for parsing

Use of implicit arguments can be deactivated by issuing the command:

```
Set Parsing Explicit.
```

In this case, all arguments of constants, inductive types, constructors, etc, including the arguments declared as implicit, have to be given as if none arguments were implicit. By symmetry, this also affects printing. To restore parsing and normal printing of implicit arguments, use:

```
Set Parsing Explicit.
```

#### 2.7.17 Canonical structures

A canonical structure is an instance of a record/structure type that can be used to solve unification problems involving a projection applied to an unknown structure instance (an implicit argument) and a value. The complete documentation of canonical structures can be found in Chapter 19, here only a simple example is given.

Assume that qualid denotes an object  $(Build\_struc\ c_1\ ...\ c_n)$  in the structure struct of which the fields are  $x_1, ..., x_n$ . Assume that qualid is declared as a canonical structure using the command

```
Canonical Structure qualid.
```

Then, each time an equation of the form  $(x_i) =_{\beta\delta\iota\zeta} c_i$  has to be solved during the type-checking process, *qualid* is used as a solution. Otherwise said, *qualid* is canonically used to extend the field  $c_i$  into a complete structure built on  $c_i$ .

Canonical structures are particularly useful when mixed with coercions and strict implicit arguments. Here is an example.

Thanks to nat\_setoid declared as canonical, the implicit arguments A and B can be synthesized in the next statement.

**Remark:** If a same field occurs in several canonical structure, then only the structure declared first as canonical is considered.

#### Variants:

```
    Canonical Structure ident := term : type.
    Canonical Structure ident := term.
    Canonical Structure ident : type := term.
    These are equivalent to a regular definition of ident followed by the declaration
    Canonical Structure ident.
```

**See also:** more examples in user contribution category (Rocq/ALGEBRA).

#### **Print Canonical Projections.**

This displays the list of global names that are components of some canonical structure. For each of them, the canonical structure of which it is a projection is indicated. For instance, the above example gives the following output:

```
Coq < Print Canonical Projections.
nat <- Carrier ( nat_setoid )
eq_nat <- Equal ( nat_setoid )
eq_nat_equiv <- Prf_equiv ( nat_setoid )</pre>
```

## 2.7.18 Implicit types of variables

It is possible to bind variable names to a given type (e.g. in a development using arithmetic, it may be convenient to bind the names n or m to the type nat of natural numbers). The command for that is

```
Implicit Types ident ... ident : type
```

The effect of the command is to automatically set the type of bound variables starting with *ident* (either *ident* itself or *ident* followed by one or more single quotes, underscore or digits) to be *type* (unless the bound variable is already declared with an explicit type in which case, this latter type is considered).

#### **Example:**

#### Variants:

```
1. Implicit Type ident: type

This is useful for declaring the implicit type of a single variable.
```

```
2. Implicit Types (ident_{1,1}...ident_{1,k_1}: term_1) ... (ident_{n,1}...ident_{n,k_n}: term_n).

Adds n blocks of implicit types with different specifications.
```

#### 2.7.19 Implicit generalization

Implicit generalization is an automatic elaboration of a statement with free variables into a closed statement where these variables are quantified explicitly. Implicit generalization is done inside binders starting with a ` and terms delimited by ` { } and ` ( ) , always introducing maximally inserted implicit arguments for the generalized variables. Inside implicit generalization delimiters, free variables in the current context are automatically quantified using a product or a lambda abstraction to generate a closed term. In the following statement for example, the variables n and m are automatically generalized and become explicit arguments of the lemma as we are using ` ( ):

One can control the set of generalizable identifiers with the Generalizable vernacular command to avoid unexpected generalizations when mistyping identifiers. There are three variants of the command:

```
Generalizable (All|No) Variable(s)? (ident_1 ident_n)?.
```

#### Variants:

- 1. Generalizable All Variables. All variables are candidate for generalization if they appear free in the context under a generalization delimiter. This may result in confusing errors in case of typos. In such cases, the context will probably contain some unexpected generalized variable.
- 2. Generalizable No Variables. Disable implicit generalization entirely. This is the default behavior.
- 3. Generalizable Variable (s)?  $ident_1$   $ident_n$ . Allow generalization of the given identifiers only. Calling this command multiple times adds to the allowed identifiers.
- 4. Global Generalizable Allows to export the choice of generalizable variables.

One can also use implicit generalization for binders, in which case the generalized variables are added as binders and set maximally implicit.

The generalizing binders `{ } and `( ) work similarly to their explicit counterparts, only binding the generalized variables implicitly, as maximally-inserted arguments. In these binders, the binding name for the bound object is optional, whereas the type is mandatory, dually to regular binders.

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#### 2.8 Coercions

Coercions can be used to implicitly inject terms from one *class* in which they reside into another one. A *class* is either a sort (denoted by the keyword Sortclass), a product type (denoted by the keyword Funclass), or a type constructor (denoted by its name), e.g. an inductive type or any constant with a type of the form forall  $(x_1 : A_1)..(x_n : A_n)$ , s where s is a sort.

Then the user is able to apply an object that is not a function, but can be coerced to a function, and more generally to consider that a term of type A is of type B provided that there is a declared coercion between A and B. The main command is

```
Coercion qualid : class_1 >-> class_2.
```

which declares the construction denoted by qualid as a coercion between class<sub>1</sub> and class<sub>2</sub>.

More details and examples, and a description of the commands related to coercions are provided in Chapter 18.

# 2.9 Printing constructions in full

Coercions, implicit arguments, the type of pattern-matching, but also notations (see Chapter 12) can obfuscate the behavior of some tactics (typically the tactics applying to occurrences of subterms are sensitive to the implicit arguments). The command

```
Set Printing All.
```

deactivates all high-level printing features such as coercions, implicit arguments, returned type of pattern-matching, notations and various syntactic sugar for pattern-matching or record projections. Otherwise said, Set Printing All includes the effects of the commands Set Printing Implicit, Set Printing Coercions, Set Printing Synth, Unset Printing Projections and Unset Printing Notations. To reactivate the high-level printing features, use the command

```
Unset Printing All.
```

# 2.10 Printing universes

The following command:

```
Set Printing Universes
```

activates the display of the actual level of each occurrence of Type. See Section 4.1.1 for details. This wizard option, in combination with Set Printing All (see section 2.9) can help to diagnose failures to unify terms apparently identical but internally different in the Calculus of Inductive Constructions. To reactivate the display of the actual level of the occurrences of Type, use

```
Unset Printing Universes.
```

The constraints on the internal level of the occurrences of Type (see Section 4.1.1) can be printed using the command

```
Print [Sorted] Universes.
```

If the optional Sorted option is given, each universe will be made equivalent to a numbered label reflecting its level (with a linear ordering) in the universe hierarchy.

This command also accepts an optional output filename:

```
Print [Sorted] Universes string.
```

If *string* ends in .dot or .gv, the constraints are printed in the DOT language, and can be processed by Graphviz tools. The format is unspecified if *string* doesn't end in .dot or .gv.

## 2.11 Existential variables

Coq terms can include existential variables which represents unknown subterms to eventually be replaced by actual subterms.

Existential variables are generated in place of unsolvable implicit arguments or "\_" placeholders when using commands such as Check (see Section 6.3.1) or when using tactics such as refine (see Section 8.2.3), as well as in place of unsolvable instances when using tactics such that eapply (see Section 8.2.4). An existential variable is defined in a context, which is the context of variables of the placeholder which generated the existential variable, and a type, which is the expected type of the placeholder.

As a consequence of typing constraints, existential variables can be duplicated in such a way that they possibly appear in different contexts than their defining context. Thus, any occurrence of a given existential variable comes with an instance of its original context. In the simple case, when an existential variable denotes the placeholder which generated it, or is used in the same context as the one in which it was generated, the context is not displayed and the existential variable is represented by "?" followed by an identifier.

```
Coq < Parameter identity : forall (X:Set), X -> X.
identity is assumed
Coq < Check identity _ _.
identity ?y ?y0
    : ?X@{x:=?y0}
where
?y : [ | - forall x : ?T, ?X]
?T : [ |- Set]
?X : [x : ?T | - Set]
?y0 : [ |- ?T]
Coq < Check identity \_ (fun x => \_).
identity ?y (fun x : ?T \Rightarrow ?y0)
     : ?X@\{x:=fun x : ?T => ?y0\}
where
?y : [ | - forall x : forall x : ?T, ?T0, ?X]
?X : [x : forall x : ?T, ?T0 | - Set]
?T : [ |- Set]
?T0 : [x : ?T | - Set]
?y0 : [x : ?T |- ?T0]
```

In the general case, when an existential variable ?ident appears outside of its context of definition, its instance, written under the form @ {idl:=term1; ...; idn:=termn}, is appending to its name, indicating how the variables of its defining context are instantiated. The variables of the context of the

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existential variables which are instantiated by themselves are not written, unless the flag Printing Existential Instances is on (see Section 2.11.1), and this is why an existential variable used in the same context as its context of definition is written with no instance.

## 2.11.1 Explicit displaying of existential instances for pretty-printing

The command:

```
Set Printing Existential Instances
```

activates the full display of how the context of an existential variable is instantiated at each of the occurrences of the existential variable.

To deactivate the full display of the instances of existential variables, use

```
Unset Printing Existential Instances.
```

## 2.11.2 Solving existential variables using tactics

Instead of letting the unification engine try to solve an existential variable by itself, one can also provide an explicit hole together with a tactic to solve it. Using the syntax ltac: (tacexpr), the user can put a tactic anywhere a term is expected. The order of resolution is not specified and is implementation-dependent. The inner tactic may use any variable defined in its scope, including repeated alternations between variables introduced by term binding as well as those introduced by tactic binding. The expression tacexpr can be any tactic expression as described at section 9.

```
Coq < Definition foo (x : nat) : nat := ltac:(exact x).
```

This construction is useful when one wants to define complicated terms using highly automated tactics without resorting to writing the proof-term by means of the interactive proof engine.

This mechanism is comparable to the Declare Implicit Tactic command defined at 8.9.7, except that the used tactic is local to each hole instead of being declared globally.

# Chapter 3

# The CoQ library

The Coo library is structured into two parts:

**The initial library:** it contains elementary logical notions and data-types. It constitutes the basic state of the system directly available when running CoQ;

The standard library: general-purpose libraries containing various developments of CoQ axiomatizations about sets, lists, sorting, arithmetic, etc. This library comes with the system and its modules are directly accessible through the Require command (see Section 6.5.1);

In addition, user-provided libraries or developments are provided by CoQ users' community. These libraries and developments are available for download at http://coq.inria.fr (see Section 3.3).

The chapter briefly reviews the CoQ libraries whose contents can also be browsed at http://coq.inria.fr/stdlib.

# 3.1 The basic library

This section lists the basic notions and results which are directly available in the standard CoQ system<sup>1</sup>.

#### 3.1.1 Notations

This module defines the parsing and pretty-printing of many symbols (infixes, prefixes, etc.). However, it does not assign a meaning to these notations. The purpose of this is to define and fix once for all the precedence and associativity of very common notations. The main notations fixed in the initial state are listed on Figure 3.1.

#### **3.1.2** Logic

The basic library of CoQ comes with the definitions of standard (intuitionistic) logical connectives (they are defined as inductive constructions). They are equipped with an appealing syntax enriching the (subclass *form*) of the syntactic class *term*. The syntax extension is shown on Figure 3.2.

**Remark:** Implication is not defined but primitive (it is a non-dependent product of a proposition over another proposition). There is also a primitive universal quantification (it is a dependent product over a

<sup>&</sup>lt;sup>1</sup>Most of these constructions are defined in the Prelude module in directory theories/Init at the COQ root directory; this includes the modules Notations, Logic, Datatypes, Specif, Peano, Wf and Tactics. Module Logic\_Type also makes it in the initial state

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Notation	Precedence	Associativity
_ <-> _	95	no
_ \/ _	85	right
_ /\ _	80	right
~ _	75	right
_ = _	70	no
_ = _ = _	70	no
_ = _ :> _	70	no
_ <> _	70	no
_ <> _ :> _	70	no
_ < _	70	no
_ > _	70	no
_ <= _	70	no
_ >= _	70	no
_ < _ < _	70	no
_ < _ <= _	70	no
_ <= _ < _	70	no
_ <= _ <= _	70	no
_ + _	50	left
_    _	50	left
	50	left
_ * _	40	left
_ && _	40	left
_ / _	40	left
	35	right
/ _	35	right
_ ^ _	30	right

Figure 3.1: Notations in the initial state

```
(True)
form ::=
            True
                                                                   (False)
            False
            ~ form
                                                                      (not)
            form / \setminus form
                                                                      (and)
            form \setminus / form
                                                                       (or)
            form -> form
                                                       (primitive\ implication)
            form <-> form
                                                                      (iff)
            forall ident : type , form
                                                           (primitive for all)
            exists ident[: specif], form
                                                                       (ex)
            exists2 ident[: specif], form & form
                                                                      (ex2)
            term = term
                                                                       (eq)
            term = term :> specif
                                                                       (eq)
```

Figure 3.2: Syntax of formulas

3.1 The basic library

proposition). The primitive universal quantification allows both first-order and higher-order quantification.

#### **Propositional Connectives**

First, we find propositional calculus connectives:

## Quantifiers

Then we find first-order quantifiers:

The following abbreviations are allowed:

```
exists x:A, P
exists x, P
exists x, P
exists2 x:A, P & Q
exists2 x, P
```

The type annotation ": A" can be omitted when A can be synthesized by the system.

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#### **Equality**

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Then, we find equality, defined as an inductive relation. That is, given a type  $\mathbb{A}$  and an  $\mathbb{X}$  of type  $\mathbb{A}$ , the predicate (eq  $\mathbb{A}$   $\mathbb{X}$ ) is the smallest one which contains  $\mathbb{X}$ . This definition, due to Christine Paulin-Mohring, is equivalent to define eq as the smallest reflexive relation, and it is also equivalent to Leibniz' equality.

#### Lemmas

Finally, a few easy lemmas are provided.

```
Coq < Theorem absurd : forall A C:Prop, A -> ~ A -> C.
Coq < Section equality.
Coq < Variables A B : Type.
Coq < Variable f : A -> B.
Coq < Variables x y z : A.
Coq < Theorem eq_sym : x = y \rightarrow y = x.
Coq < Theorem eq_trans : x = y \rightarrow y = z \rightarrow x = z.
Coq < Theorem f_{qual} : x = y \rightarrow f x = f y.
Coq < Theorem not_eq_sym : x <> y -> y <> x.
Coq < End equality.
Cog < Definition eg ind r :
        forall (A:Type) (x:A) (P:A->Prop), P \times -> forall y:A, y = x -> P y.
Coq < Definition eq_rec_r :</pre>
        forall (A:Type) (x:A) (P:A->Set), P \times -> forall y:A, y = x -> P y.
Coq < Definition eq_rect_r :</pre>
        forall (A:Type) (x:A) (P:A->Type), P x -> forall y:A, y = x -> P y.
Coq < Hint Immediate eq_sym not_eq_sym : core.</pre>
```

The theorem f\_equal is extended to functions with two to five arguments. The theorem are names f\_equal2, f\_equal3, f\_equal4 and f\_equal5. For instance f\_equal3 is defined the following way.

```
Coq < Theorem f_equal3 :
    forall (A1 A2 A3 B:Type) (f:A1 -> A2 -> A3 -> B)
        (x1 y1:A1) (x2 y2:A2) (x3 y3:A3),
        x1 = y1 -> x2 = y2 -> x3 = y3 -> f x1 x2 x3 = f y1 y2 y3.
```

3.1 The basic library

```
specif ::= specif * specif
                                                     (prod)
             specif + specif
                                                       (sum)
             specif + { specif }
                                                    (sumor)
             { specif } + { specif }
                                                 (sumbool)
             { ident : specif | form }
                                                       (sig)
             { ident : specif | form & form }
                                                     (sig2)
             { ident : specif & specif }
                                                     (sigT)
             { ident : specif & specif & specif }
                                                    (sigT2)
             (term, term)
 term ::=
                                                     (pair)
```

Figure 3.3: Syntax of data-types and specifications

## 3.1.3 Datatypes

In the basic library, we find the definition<sup>2</sup> of the basic data-types of programming, again defined as inductive constructions over the sort Set. Some of them come with a special syntax shown on Figure 3.3.

## **Programming**

Note that zero is the letter 0, and *not* the numeral 0.

The predicate identity is logically equivalent to equality but it lives in sort Type. It is mainly maintained for compatibility.

We then define the disjoint sum of A+B of two sets A and B, and their product A\*B.

Some operations on bool are also provided: andb (with infix notation &&), orb (with infix notation  $|\ |\ |$ ), xorb, implb and negb.

<sup>&</sup>lt;sup>2</sup>They are in Datatypes.v

#### 3.1.4 Specification

The following notions<sup>3</sup> allow to build new data-types and specifications. They are available with the syntax shown on Figure 3.3.

For instance, given A: Type and P:A->Prop, the construct  $\{x:A \mid P x\}$  (in abstract syntax (sig A P)) is a Type. We may build elements of this set as (exist x p) whenever we have a witness x:A with its justification p:P x.

From such a (exist  $\times$  p) we may in turn extract its witness  $\times$ : A (using an elimination construct such as match) but *not* its justification, which stays hidden, like in an abstract data-type. In technical terms, one says that sig is a "weak (dependent) sum". A variant sig2 with two predicates is also provided.

```
Coq < Inductive sig (A:Set) (P:A \rightarrow Prop) : Set := exist (x:A) (_:P x). Coq < Inductive sig2 (A:Set) (P Q:A \rightarrow Prop) : Set := exist2 (x:A) (_:P x) (_:Q x).
```

A "strong (dependent) sum"  $\{x : A \in P \mid x\}$  may be also defined, when the predicate P is now defined as a constructor of types in Type.

A related non-dependent construct is the constructive sum {A} + {B} of two propositions A and B.

```
Coq < Inductive sumbool (A B:Prop) : Set := left (_:A) | right (_:B).
```

This sumbool construct may be used as a kind of indexed boolean data-type. An intermediate between sumbool and sum is the mixed sumor which combines A:Set and B:Prop in the Set  $A+\{B\}$ .

We may define variants of the axiom of choice, like in Martin-Löf's Intuitionistic Type Theory.

<sup>&</sup>lt;sup>3</sup>They are defined in module Specif.v

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```
Coq < Lemma Choice :</pre>
        forall (S S':Set) (R:S -> S' -> Prop),
           (forall x:S, \{y : S' \mid R \times y\}) ->
          \{f : S \rightarrow S' \mid forall z:S, Rz (fz)\}.
Coq < Lemma Choice2 :
        forall (S S':Set) (R:S -> S' -> Set),
           (forall x:S, {y : S' & R x y}) \rightarrow
          \{f : S \rightarrow S' \& forall z:S, Rz (fz)\}.
Cog < Lemma bool choice :
        forall (S:Set) (R1 R2:S -> Prop),
           (forall x:S, \{R1 x\} + \{R2 x\}) ->
           {f : S \rightarrow bool |}
          forall x:S, f x = true /\ R1 \times / f x = false / R2 \times}.
```

The next construct builds a sum between a data-type A: Type and an exceptional value encoding errors:

```
Coq < Definition Exc := option.
Coq < Definition value := Some.
Coq < Definition error := None.
```

This module ends with theorems, relating the sorts Set or Type and Prop in a way which is consistent with the realizability interpretation.

```
Coq < Definition except := False_rec.</pre>
Coq < Theorem absurd_set : forall (A:Prop) (C:Set), A -> ~ A -> C.
Coq < Theorem and_rect2 :</pre>
         forall (A B:Prop) (P:Type), (A \rightarrow B \rightarrow P) \rightarrow A /\setminus B \rightarrow P.
```

## 3.1.5 Basic Arithmetics

The basic library includes a few elementary properties of natural numbers, together with the definitions of predecessor, addition and multiplication<sup>4</sup>. It also provides a scope nat\_scope gathering standard notations for common operations (+, \*) and a decimal notation for numbers. That is he can write 3 for (S (S (S O))). This also works on the left hand side of a match expression (see for example section 8.2.3). This scope is opened by default.

The following example is not part of the standard library, but it shows the usage of the notations:

```
Coq < Fixpoint even (n:nat) : bool :=</pre>
        match n with
        | 0 => true
        | 1 => false
        | S (S n) => even n
        end.
Coq < Theorem eq_S : forall x y:nat, x = y -> S x = S y.
```

<sup>&</sup>lt;sup>4</sup>This is in module Peano. v

3 The CoQ library

```
Coq < Definition pred (n:nat) : nat :=</pre>
        match n with
        | 0 => 0
        | S u => u
        end.
Coq < Theorem pred_Sn : forall m:nat, m = pred (S m).</pre>
Coq < Theorem eq_add_S : forall n m:nat, S n = S m -> n = m.
Coq < Hint Immediate eq_add_S : core.</pre>
Coq < Theorem not_eq_S : forall n m:nat, n <> m -> S n <> S m.
Coq < Definition IsSucc (n:nat) : Prop :=</pre>
        match n with
        \mid 0 => False
        | S p => True
        end.
Coq < Theorem O_S : forall n:nat, 0 <> S n.
Coq < Theorem n_Sn : forall n:nat, n <> S n.
Coq < Fixpoint plus (n m:nat) {struct n} : nat :=</pre>
        match n with
        | 0 => m
        | S p => S (p + m)
        end
      where "n + m" := (plus n m) : nat_scope.
Coq < Lemma plus_n_0 : forall n:nat, n = n + 0.
Coq < Lemma plus_n_Sm : forall n m:nat, S (n + m) = n + S m.
Coq < Fixpoint mult (n m:nat) {struct n} : nat :=</pre>
        match n with
        | 0 => 0
        | S p => m + p * m
        end
      where "n * m" := (mult n m) : nat_scope.
Coq < Lemma mult_n_0 : forall n:nat, 0 = n * 0.
Coq < Lemma mult_n_Sm : forall n m:nat, n * m + n = n * (S m).
  Finally, it gives the definition of the usual orderings le, lt, ge, and gt.
Coq < Inductive le (n:nat) : nat -> Prop :=
        | le_n : le n n
        \mid le_S : forall m:nat, n <= m -> n <= (S m)
      where "n \le m" := (le n m) : nat_scope.
Coq < Definition lt (n m:nat) := S n <= m.
Coq < Definition ge (n m:nat) := m <= n.
Cog < Definition gt (n m:nat) := m < n.
```

3.1 The basic library

Properties of these relations are not initially known, but may be required by the user from modules Le and Lt. Finally, Peano gives some lemmas allowing pattern-matching, and a double induction principle.

```
Coq < Theorem nat_case :
    forall (n:nat) (P:nat -> Prop),
    P 0 -> (forall m:nat, P (S m)) -> P n.

Coq < Theorem nat_double_ind :
    forall R:nat -> nat -> Prop,
        (forall n:nat, R 0 n) ->
              (forall n:nat, R (S n) 0) ->
                   (forall n m:nat, R n m -> R (S n) (S m)) -> forall n m:nat, R n m.
```

#### 3.1.6 Well-founded recursion

The basic library contains the basics of well-founded recursion and well-founded induction<sup>5</sup>.

The automatically generated scheme Acc\_rect can be used to define functions by fixpoints using well-founded relations to justify termination. Assuming extensionality of the functional used for the recursive call, the fixpoint equation can be proved.

<sup>&</sup>lt;sup>5</sup>This is defined in module Wf.v

```
(forall (y:A) (p:R y x), f y p = g y p) -> F x f = F x g.

Coq < Lemma Fix_F_eq:
    forall (x:A) (r:Acc x),
        F x (fun (y:A) (p:R y x) => Fix_F y (Acc_inv x r y p)) = Fix_F x r.

Coq < Lemma Fix_F_inv : forall (x:A) (r s:Acc x), Fix_F x r = Fix_F x s.

Coq < Lemma fix_eq : forall x:A, Fix x = F x (fun (y:A) (p:R y x) => Fix y).

Coq < End FixPoint.

Coq < End Well_founded.</pre>
```

## 3.1.7 Accessing the Type level

The basic library includes the definitions<sup>6</sup> of the counterparts of some data-types and logical quantifiers at the Type level: negation, pair, and properties of identity.

```
Coq < Definition notT (A:Type) := A -> False.
Coq < Inductive prodT (A B:Type) : Type := pairT (_:A) (_:B).</pre>
```

At the end, it defines data-types at the Type level.

#### 3.1.8 Tactics

A few tactics defined at the user level are provided in the initial state<sup>7</sup>. They are listed at http://coq.inria.fr/stdlib(paragraph Init, link Tactics).

# 3.2 The standard library

# **3.2.1** Survey

The rest of the standard library is structured into the following subdirectories:

 $<sup>^6</sup>$ This is in module Logic\_Type.v

<sup>&</sup>lt;sup>7</sup>This is in module Tactics.v

**Logic** Classical logic and dependent equality

**Arith** Basic Peano arithmetic

**PArith** Basic positive integer arithmetic

**NArith** Basic binary natural number arithmetic

**ZArith** Basic relative integer arithmetic

**Numbers** Various approaches to natural, integer and cyclic numbers (currently axiomat-

ically and on top of 231 binary words)

**Bool** Booleans (basic functions and results)

**Lists** Monomorphic and polymorphic lists (basic functions and results), Streams (in-

finite sequences defined with co-inductive types)

**Sets** Sets (classical, constructive, finite, infinite, power set, etc.)

**FSets** Specification and implementations of finite sets and finite maps (by lists and

by AVL trees)

**Reals** Axiomatization of real numbers (classical, basic functions, integer part, frac-

tional part, limit, derivative, Cauchy series, power series and results,...)

**Relations** Relations (definitions and basic results)

**Sorting** Sorted list (basic definitions and heapsort correctness)

**Strings** 8-bits characters and strings

**Wellfounded** Well-founded relations (basic results)

These directories belong to the initial load path of the system, and the modules they provide are compiled at installation time. So they are directly accessible with the command Require (see Chapter 6).

The different modules of the CoQ standard library are described in the additional document Library.dvi. They are also accessible on the WWW through the CoQ homepage 8.

#### 3.2.2 Notations for integer arithmetics

On Figure 3.4 is described the syntax of expressions for integer arithmetics. It is provided by requiring and opening the module ZArith and opening scope Z\_scope.

Figure 3.4 shows the notations provided by Z\_scope. It specifies how notations are interpreted and, when not already reserved, the precedence and associativity.

```
Coq < Require Import ZArith.
Coq < Check (2 + 3)%Z.
    (2 + 3)%Z
    : Z

Coq < Open Scope Z_scope.
Coq < Check 2 + 3.
2 + 3
    : Z</pre>
```

#### 3.2.3 Peano's arithmetic (nat)

While in the initial state, many operations and predicates of Peano's arithmetic are defined, further operations and results belong to other modules. For instance, the decidability of the basic predicates are defined here. This is provided by requiring the module Arith.

Figure 3.5 describes notation available in scope nat\_scope.

<sup>8</sup>http://cog.inria.fr

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Notation	Interpretation	Precedence	Associativity
_ < _	Z.lt		
х <= у	Z.le		
_ > _	Z.gt		
x >= y	Z.ge		
x < y < z	x < y /\ y < z		
x < y <= z	x < y /\ y <= z		
x <= y < z	x <= y /\ y < z		
x <= y <= z	x <= y /\ y <= z		
_ ?= _	Z.compare	70	no
_ + _	Z.add		
	Z.sub		
_ * _	Z.mul		
_ / _	Z.div		
_ mod _	Z.modulo	40	no
	Z.opp		
_ ^ _	Z.pow		

**Figure 3.4:** Definition of the scope for integer arithmetics (Z\_scope)

Notation	Interpretation
_ < _	lt
х <= ў	le
_ > _	gt
x >= y	ge
x < y < z	x < y /\ y < z
x < y <= z	x < y /\ y <= z
$x \le \lambda \le x$	x <= y /\ y < z
$x <= \lambda <= x$	x <= y /\ y <= z
_ + _	plus
	minus
_ * _	mult

**Figure 3.5:** Definition of the scope for natural numbers (nat\_scope)

## 3.2.4 Real numbers library

### **Notations for real numbers**

This is provided by requiring and opening the module Reals and opening scope R\_scope. This set of notations is very similar to the notation for integer arithmetics. The inverse function was added.

```
Coq < Require Import Reals.
Coq < Check (2 + 3)%R.
    (2 + 3)%R
    : R
Coq < Open Scope R_scope.</pre>
```

Notation	Interpretation
_ < _	Rlt
х <= у	Rle
_ > _	Rgt
x >= y	Rge
x < y < z	x < y /\ y < z
x < y <= z	x < y /\ y <= z
x <= y < z	x <= y /\ y < z
x <= y <= z	x <= y /\ y <= z
_ + _	Rplus
	Rminus
_ * _	Rmult
_ / _	Rdiv
	Ropp
/ _	Rinv
_ ^ _	pow

**Figure 3.6:** Definition of the scope for real arithmetics (R\_scope)

```
Coq < Check 2 + 3.
2 + 3
: R
```

#### **Some tactics**

In addition to the ring, field and fourier tactics (see Chapter 8) there are:

• discrR

Proves that a real integer constant  $c_1$  is different from another real integer constant  $c_2$ .

```
Coq < Require Import DiscrR.
Coq < Goal 5 <> 0.
Coq < discrR.
No more subgoals.</pre>
```

• split\_Rabs allows unfolding the Rabs constant and splits corresponding conjunctions.

Notation	Interpretation	Precedence	Associativity
_ ++ _	app	60	right
_ :: _	cons	60	right
			•

**Figure 3.7:** Definition of the scope for lists (list\_scope)

```
x <= -x
subgoal 2 is:
x <= x
```

• split\_Rmult splits a condition that a product is non null into subgoals corresponding to the condition on each operand of the product.

These tactics has been written with the tactic language Ltac described in Chapter 9.

#### 3.2.5 List library

Some elementary operations on polymorphic lists are defined here. They can be accessed by requiring module List.

It defines the following notions:

length	length
head	first element (with default)
tail	all but first element
app	concatenation
rev	reverse
nth	accessing $n$ -th element (with default)
map	applying a function
flat_map	applying a function returning lists
fold_left	iterator (from head to tail)
fold_right	iterator (from tail to head)

Table show notations available when opening scope list\_scope.

3.3 Users' contributions

# 3.3 Users' contributions

Numerous users' contributions have been collected and are available at URL http://coq.inria.fr/contribs/. On this web page, you have a list of all contributions with informations (author, institution, quick description, etc.) and the possibility to download them one by one. You will also find informations on how to submit a new contribution.

3 The Coq library

# **Chapter 4**

# **Calculus of Inductive Constructions**

The underlying formal language of COQ is a *Calculus of Inductive Constructions* (CIC) whose inference rules are presented in this chapter. The history of this formalism as well as pointers to related work are provided in a separate chapter; see *Credits*.

#### 4.1 The terms

The expressions of the CIC are *terms* and all terms have a *type*. There are types for functions (or programs), there are atomic types (especially datatypes)... but also types for proofs and types for the types themselves. Especially, any object handled in the formalism must belong to a type. For instance, universal quantification is relative to a type and takes the form "for all x of type T, P". The expression "x of type T" is written "x:T". Informally, "x:T" can be thought as "x belongs to T".

The types of types are *sorts*. Types and sorts are themselves terms so that terms, types and sorts are all components of a common syntactic language of terms which is described in Section 4.1.2 but, first, we describe sorts.

#### **4.1.1** Sorts

All sorts have a type and there is an infinite well-founded typing hierarchy of sorts whose base sorts are Prop and Set.

The sort Prop intends to be the type of logical propositions. If M is a logical proposition then it denotes the class of terms representing proofs of M. An object m belonging to M witnesses the fact that M is provable. An object of type Prop is called a proposition.

The sort Set intends to be the type of small sets. This includes data types such as booleans and naturals, but also products, subsets, and function types over these data types.

Prop and Set themselves can be manipulated as ordinary terms. Consequently they also have a type. Because assuming simply that Set has type Set leads to an inconsistent theory [25], the language of CIC has infinitely many sorts. There are, in addition to Set and Prop a hierarchy of universes Type(i) for any integer i.

Like Set, all of the sorts Type(i) contain small sets such as booleans, natural numbers, as well as products, subsets and function types over small sets. But, unlike Set, they also contain large sets, namely the sorts Set and Type(j) for j < i, and all products, subsets and function types over these sorts.

Formally, we call S the set of sorts which is defined by:

$$S \equiv \{ \mathsf{Prop}, \mathsf{Set}, \mathsf{Type}(i) \mid i \in \mathbb{N} \}$$

Their properties, such as: Prop:Type(1), Set:Type(1), and Type(i):Type(i+1), are defined in Section 4.4.

The user does not have to mention explicitly the index i when referring to the universe  $\mathsf{Type}(i)$ . One only writes  $\mathsf{Type}$ . The system itself generates for each instance of  $\mathsf{Type}$  a new index for the universe and checks that the constraints between these indexes can be solved. From the user point of view we consequently have  $\mathsf{Type}$ :  $\mathsf{Type}$ . We shall make precise in the typing rules the constraints between the indexes.

Implementation issues In practice, the Type hierarchy is implemented using algebraic universes. An algebraic universe u is either a variable (a qualified identifier with a number) or a successor of an algebraic universe (an expression u+1), or an upper bound of algebraic universes (an expression  $max(u_1,...,u_n)$ ), or the base universe (the expression 0) which corresponds, in the arity of sort-polymorphic inductive types (see Section 4.5.2), to the predicative sort Set. A graph of constraints between the universe variables is maintained globally. To ensure the existence of a mapping of the universes to the positive integers, the graph of constraints must remain acyclic. Typing expressions that violate the acyclicity of the graph of constraints results in a Universe inconsistency error (see also Section 2.10).

#### **4.1.2** Terms

Terms are built from sorts, variables, constants, abstractions, applications, local definitions, and products. From a syntactic point of view, types cannot be distinguished from terms, except that they cannot start by an abstraction or a constructor. More precisely the language of the *Calculus of Inductive Constructions* is built from the following rules.

- 1. the sorts Set, Prop, Type(i) are terms.
- 2. variables, hereafter ranged over by letters x, y, etc., are terms
- 3. constants, hereafter ranged over by letters c, d, etc., are terms.
- 4. if x is a variable and T, U are terms then  $\forall x:T,U$  (forall x:T,U in CoQ concrete syntax) is a term. If x occurs in U,  $\forall x:T,U$  reads as "for all x of type T, U". As U depends on x, one says that  $\forall x:T,U$  is a dependent product. If x does not occur in U then  $\forall x:T,U$  reads as "if T then U". A non dependent product can be written:  $T \to U$ .
- 5. if x is a variable and T, u are terms then  $\lambda x:T$ . u (fun x:T=>u in CoQ concrete syntax) is a term. This is a notation for the  $\lambda$ -abstraction of  $\lambda$ -calculus [8]. The term  $\lambda x:T$ . u is a function which maps elements of T to the expression u.
- 6. if t and u are terms then  $(t \ u)$  is a term  $(t \ u)$  in CoQ concrete syntax). The term  $(t \ u)$  reads as "t applied to u".
- 7. if x is a variable, and t, T and u are terms then let x := t : T in u is a term which denotes the term u where the variable x is locally bound to t of type T. This stands for the common "let-in" construction of functional programs such as ML or Scheme.

**Free variables.** The notion of free variables is defined as usual. In the expressions  $\lambda x : T$ . U and  $\forall x : T$ , U the occurrences of x in U are bound.

4.2 Typing rules

**Substitution.** The notion of substituting a term t to free occurrences of a variable x in a term u is defined as usual. The resulting term is written  $u\{x/t\}$ .

**The logical vs programming readings.** The constructions of the CIC can be used to express both logical and programming notions, accordingly to the Curry-Howard correspondence between proofs and programs, and between propositions and types [38, 81, 39].

For instance, let us assume that nat is the type of natural numbers with zero element written 0 and that True is the always true proposition. Then  $\rightarrow$  is used both to denote nat  $\rightarrow$  nat which is the type of functions from nat to nat, to denote True  $\rightarrow$  True which is an implicative proposition, to denote nat  $\rightarrow$  Prop which is the type of unary predicates over the natural numbers, etc.

Let us assume that mult is a function of type  $\operatorname{nat} \to \operatorname{nat}$  and eqnat a predicate of type  $\operatorname{nat} \to \operatorname{nat} \to \operatorname{Prop}$ . The  $\lambda$ -abstraction can serve to build "ordinary" functions as in  $\lambda x:\operatorname{nat}.(\operatorname{mult} x\,x)$  (i.e. fun  $x:\operatorname{nat}=>\operatorname{mult} x\,x$  in CoQ notation) but may build also predicates over the natural numbers. For instance  $\lambda x:\operatorname{nat}.(\operatorname{eqnat} x\,0)$  (i.e. fun  $x:\operatorname{nat}=>\operatorname{eqnat} x\,0$  in CoQ notation) will represent the predicate of one variable x which asserts the equality of x with 0. This predicate has type  $\operatorname{nat} \to \operatorname{Prop}$  and it can be applied to any expression of type  $\operatorname{nat}$ , say t, to give an object P t of type  $\operatorname{Prop}$ , namely a proposition.

Furthermore forall x: nat, P x will represent the type of functions which associate to each natural number n an object of type  $(P \ n)$  and consequently represent the type of proofs of the formula " $\forall x. P(x)$ ".

# 4.2 Typing rules

As objects of type theory, terms are subjected to *type discipline*. The well typing of a term depends on a global environment and a local context.

**Local context.** A local context is an ordered list of local declarations of names which we call variables. The declaration of some variable x is either a local assumption, written x:T (T is a type) or a local definition, written x:=t:T. We use brackets to write local contexts. A typical example is [x:T;y:=u:U;z:V]. Notice that the variables declared in a local context must be distinct. If  $\Gamma$  declares some x, we write  $x\in\Gamma$ . By writing  $(x:T)\in\Gamma$  we mean that either x:T is an assumption in  $\Gamma$  or that there exists some t such that t:=t:T is a definition in t. If t defines some t:=t:T, we also write t is an assumption t is a definition in t. If t denotes the local context t enriched with the local assumption t is t in local parameter, the t is t in local context t enriched with the local definition t in t in t in local definition t in t in

**Global environment.** A *global environment* is an ordered list of *global declarations*. Global declarations are either *global assumptions* or *global definitions*, but also declarations of inductive objects. Inductive objects themselves declare both inductive or coinductive types and constructors (see Section 4.5).

A global assumption will be represented in the global environment as (c:T) which assumes the name c to be of some type T. A global definition will be represented in the global environment as c:=t:T which defines the name c to have value t and type t. We shall call such names constants. For the rest of the chapter, the t0 the global environment t1 enriched with the global

assumption c:T. Similarly, E;c:=t:T denotes the global environment E enriched with the global definition (c:=t:T).

The rules for inductive definitions (see Section 4.5) have to be considered as assumption rules to which the following definitions apply: if the name c is declared in E, we write  $c \in E$  and if c : T or c := t : T is declared in E, we write  $(c : T) \in E$ .

**Typing rules.** In the following, we define simultaneously two judgments. The first one  $E[\Gamma] \vdash t : T$  means the term t is well-typed and has type T in the global environment E and local context  $\Gamma$ . The second judgment  $\mathcal{WF}(E)[\Gamma]$  means that the global environment E is well-formed and the local context  $\Gamma$  is a valid local context in this global environment.

A term t is well typed in a global environment E iff there exists a local context  $\Gamma$  and a term T such that the judgment  $E[\Gamma] \vdash t : T$  can be derived from the following rules.

W-Empty

$$\mathcal{WF}([])[]$$

W-Local-Assum

$$\frac{E[\Gamma] \vdash T : s \quad s \in \mathcal{S} \quad x \not\in \Gamma}{\mathcal{WF}(E)[\Gamma :: (x : T)]}$$

W-Local-Def

$$\frac{E[\Gamma] \vdash t : T \quad x \not\in \Gamma}{\mathcal{WF}(E)[\Gamma :: (x := t : T)]}$$

W-Global-Assum

$$\frac{E[] \vdash T : s \quad s \in \mathcal{S} \quad c \notin E}{\mathcal{WF}(E; c : T)[]}$$

W-Global-Def

$$\frac{E[] \vdash t : T \quad c \not\in E}{\mathcal{WF}(E; c := t : T)[]}$$

Ax-Prop

$$\frac{\mathcal{WF}(E)[\Gamma]}{E[\Gamma] \vdash \mathsf{Prop} : \mathsf{Type}(1)}$$

Ax-Set

$$\frac{\mathcal{WF}(E)[\Gamma]}{E[\Gamma] \vdash \mathsf{Set} : \mathsf{Type}(1)}$$

Ax-Type

$$\frac{\mathcal{WF}(E)[\Gamma]}{E[\Gamma] \vdash \mathsf{Type}(i) : \mathsf{Type}(i+1)}$$

Var

$$\frac{\mathcal{WF}(E)[\Gamma] \quad (x:T) \in \Gamma \text{ or } (x:=t:T) \in \Gamma \text{ for some } t}{E[\Gamma] \vdash x:T}$$

Const

$$\frac{\mathcal{WF}(E)[\Gamma] \quad (c:T) \in E \ \, \text{or} \, \, (c:=t:T) \in E \text{ for some } t}{E[\Gamma] \vdash c:T}$$

4.3 Conversion rules

**Prod-Prop** 

$$\frac{E[\Gamma] \vdash T: s \quad s \in \mathcal{S} \quad E[\Gamma :: (x:T)] \vdash U: \mathsf{Prop}}{E[\Gamma] \vdash \forall \, x:T,U: \mathsf{Prop}}$$

**Prod-Set** 

$$\frac{E[\Gamma] \vdash T: s \quad s \in \{\mathsf{Prop}, \mathsf{Set}\} \quad E[\Gamma :: (x:T)] \vdash U: \mathsf{Set}}{E[\Gamma] \vdash \forall \ x: T, U: \mathsf{Set}}$$

**Prod-Type** 

$$\frac{E[\Gamma] \vdash T : \mathsf{Type}(i) \quad E[\Gamma :: (x : T)] \vdash U : \mathsf{Type}(i)}{E[\Gamma] \vdash \forall \, x : T, U : \mathsf{Type}(i)}$$

Lam

$$\frac{E[\Gamma] \vdash \forall \, x: T, U: s \quad E[\Gamma::(x:T)] \vdash t: U}{E[\Gamma] \vdash \lambda x: T. \, t: \forall x: T, U}$$

App

$$\frac{E[\Gamma] \vdash t : \forall \: x : U, T \quad E[\Gamma] \vdash u : U}{E[\Gamma] \vdash (t \: u) : T\{x/u\}}$$

Let

$$\frac{E[\Gamma] \vdash t: T \quad E[\Gamma :: (x := t:T)] \vdash u: U}{E[\Gamma] \vdash \text{let } x := t: T \text{ in } u: U\{x/t\}}$$

**Remark:** Prod<sub>1</sub> and Prod<sub>2</sub> typing-rules make sense if we consider the semantic difference between Prop and Set:

- All values of a type that has a sort Set are extractable.
- No values of a type that has a sort Prop are extractable.

**Remark:** We may have let x := t : T in u well-typed without having  $((\lambda x : T. u) t)$  well-typed (where T is a type of t). This is because the value t associated to x may be used in a conversion rule (see Section 4.3).

#### 4.3 Conversion rules

In CIC, there is an internal reduction mechanism. In particular, it can decide if two programs are *intentionally* equal (one says *convertible*). Convertibility is described in this section.

 $\beta$ -reduction. We want to be able to identify some terms as we can identify the application of a function to a given argument with its result. For instance the identity function over a given type T can be written  $\lambda x : T$ . x. In any global environment E and local context  $\Gamma$ , we want to identify any object a (of type T) with the application  $((\lambda x : T : x) \ a)$ . We define for this a *reduction* (or a *conversion*) rule we call  $\beta$ :

$$E[\Gamma] \vdash ((\lambda x : T. t) u) \triangleright_{\beta} t\{x/u\}$$

We say that  $t\{x/u\}$  is the  $\beta$ -contraction of  $((\lambda x:T.\ t)\ u)$  and, conversely, that  $((\lambda x:T.\ t)\ u)$  is the  $\beta$ -expansion of  $t\{x/u\}$ .

According to  $\beta$ -reduction, terms of the *Calculus of Inductive Constructions* enjoy some fundamental properties such as confluence, strong normalization, subject reduction. These results are theoretically of great importance but we will not detail them here and refer the interested reader to [24].

 $\iota$ -reduction. A specific conversion rule is associated to the inductive objects in the global environment. We shall give later on (see Section 4.5.3) the precise rules but it just says that a destructor applied to an object built from a constructor behaves as expected. This reduction is called  $\iota$ -reduction and is more precisely studied in [126, 145].

 $\delta$ -reduction. We may have variables defined in local contexts or constants defined in the global environment. It is legal to identify such a reference with its value, that is to expand (or unfold) it into its value. This reduction is called  $\delta$ -reduction and shows as follows.

$$E[\Gamma] \vdash x \triangleright_{\delta} t$$
 if  $(x := t : T) \in \Gamma$   $E[\Gamma] \vdash c \triangleright_{\delta} t$  if  $(c := t : T) \in E$ 

 $\zeta$ -reduction. CoQ allows also to remove local definitions occurring in terms by replacing the defined variable by its value. The declaration being destroyed, this reduction differs from  $\delta$ -reduction. It is called  $\zeta$ -reduction and shows as follows.

$$E[\Gamma] \vdash \mathsf{let} \ x := u \mathsf{ in } t \triangleright_{\zeta} t\{x/u\}$$

 $\eta$ -expansion. Another important concept is  $\eta$ -expansion. It is legal to identify any term t of functional type  $\forall x:T,U$  with its so-called  $\eta$ -expansion  $\lambda x:T$ .  $(t\ x)$  for x an arbitrary variable name fresh in t.

**Remark:** We deliberately do not define  $\eta$ -reduction:

$$\lambda x : T. (t x) \not \triangleright_{\eta} t$$

This is because, in general, the type of t need not to be convertible to the type of  $\lambda x : T$ .  $(t \ x)$ . E.g., if we take f such that:

$$f: \forall x: Type(2), Type(1)$$

then

$$\lambda x: Type(1), (f\,x) \ : \ \forall x: Type(1), Type(1)$$

We could not allow

$$\lambda x : Type(1), (f x) \not \triangleright_n f$$

because the type of the reduced term  $\forall x: Type(2), Type(1)$  would not be convertible to the type of the original term  $\forall x: Type(1), Type(1)$ .

**Convertibility.** Let us write  $E[\Gamma] \vdash t \triangleright u$  for the contextual closure of the relation t reduces to u in the global environment E and local context  $\Gamma$  with one of the previous reduction  $\beta$ ,  $\iota$ ,  $\delta$  or  $\zeta$ .

We say that two terms  $t_1$  and  $t_2$  are  $\beta\iota\delta\zeta\eta$ -convertible, or simply convertible, or equivalent, in the global environment E and local context  $\Gamma$  iff there exist terms  $u_1$  and  $u_2$  such that  $E[\Gamma] \vdash t_1 \rhd \ldots \rhd u_1$  and  $E[\Gamma] \vdash t_2 \rhd \ldots \rhd u_2$  and either  $u_1$  and  $u_2$  are identical, or they are convertible up to  $\eta$ -expansion, i.e.  $u_1$  is  $\lambda x : T$ .  $u_1'$  and  $u_2 x$  is recursively convertible to  $u_1'$ , or, symmetrically,  $u_2$  is  $\lambda x : T$ .  $u_2'$  and  $u_1 x$  is recursively convertible to  $u_2'$ . We then write  $E[\Gamma] \vdash t_1 = \beta\delta\iota\zeta\eta$   $t_2$ .

The convertibility relation allows introducing a new typing rule which says that two convertible well-formed types have the same inhabitants.

4.4 Subtyping rules 121

## 4.4 Subtyping rules

At the moment, we did not take into account one rule between universes which says that any term in a universe of index i is also a term in the universe of index i+1 (this is the *cumulativity* rule of CIC). This property extends the equivalence relation of convertibility into a *subtyping* relation inductively defined by:

- 1. if  $E[\Gamma] \vdash t =_{\beta \delta \iota \zeta \eta} u$  then  $E[\Gamma] \vdash t \leq_{\beta \delta \iota \zeta \eta} u$ ,
- 2. if  $i \leq j$  then  $E[\Gamma] \vdash \mathsf{Type}(i) \leq_{\beta \delta \iota \zeta \eta} \mathsf{Type}(j)$ ,
- 3. for any  $i, E[\Gamma] \vdash \mathsf{Set} \leq_{\beta \delta \iota \zeta \eta} \mathsf{Type}(i)$ ,
- 4.  $E[\Gamma] \vdash \mathsf{Prop} \leq_{\beta\delta\iota\zeta\eta} \mathsf{Set}$ , hence, by transitivity,  $E[\Gamma] \vdash \mathsf{Prop} \leq_{\beta\delta\iota\zeta\eta} \mathsf{Type}(i)$ , for any i

5. if 
$$E[\Gamma] \vdash T =_{\beta \delta \iota \zeta \eta} U$$
 and  $E[\Gamma :: (x : T)] \vdash T' \leq_{\beta \delta \iota \zeta \eta} U'$  then  $E[\Gamma] \vdash \forall \ x : T, T' \leq_{\beta \delta \iota \zeta \eta} \forall \ x : U, U'$ .

The conversion rule up to subtyping is now exactly:

Conv

$$\frac{E[\Gamma] \vdash U : s \quad E[\Gamma] \vdash t : T \quad E[\Gamma] \vdash T \leq_{\beta\delta\iota\zeta\eta} U}{E[\Gamma] \vdash t : U}$$

**Normal form.** A term which cannot be any more reduced is said to be in *normal form*. There are several ways (or strategies) to apply the reduction rules. Among them, we have to mention the *head reduction* which will play an important role (see Chapter 8). Any term can be written as  $\lambda x_1 : T_1 \dots \lambda x_k : T_k \cdot (t_0 t_1 \dots t_n)$  where  $t_0$  is not an application. We say then that  $t_0$  is the *head of t*. If we assume that  $t_0$  is  $\lambda x : T$ .  $u_0$  then one step of  $\beta$ -head reduction of t is:

$$\lambda x_1 : T_1 \dots \lambda x_k : T_k \cdot (\lambda x : T \cdot u_0 t_1 \dots t_n) > \lambda (x_1 : T_1) \dots (x_k : T_k) \cdot (u_0 \{x/t_1\} t_2 \dots t_n)$$

Iterating the process of head reduction until the head of the reduced term is no more an abstraction leads to the  $\beta$ -head normal form of t:

$$t \triangleright \ldots \triangleright \lambda x_1 : T_1 \ldots \lambda x_k : T_k (v \ u_1 \ldots u_m)$$

where v is not an abstraction (nor an application). Note that the head normal form must not be confused with the normal form since some  $u_i$  can be reducible. Similar notions of head-normal forms involving  $\delta$ ,  $\iota$  and  $\zeta$  reductions or any combination of those can also be defined.

#### 4.5 Inductive Definitions

Formally, we can represent any inductive definition as  $\operatorname{Ind}[p](\Gamma_I := \Gamma_C)$  where:

- $\Gamma_I$  determines the names and types of inductive types;
- $\Gamma_C$  determines the names and types of constructors of these inductive types;
- p determines the number of parameters of these inductive types.

These inductive definitions, together with global assumptions and global definitions, then form the global environment. Additionally, for any p there always exists  $\Gamma_P = [a_1 : A_1; \ldots; a_p : A_p]$  such that each  $(t:T) \in \Gamma_I \cup \Gamma_C$  can be written as:  $\forall \Gamma_P, T'$  where  $\Gamma_P$  is called the *context of parameters*.

**Examples** The declaration for parameterized lists is:

$$\mathsf{Ind}\; [1] \left( [\mathsf{list} : \mathsf{Set} \to \mathsf{Set}] \; := \; \left[ \begin{array}{c} \mathsf{nil} \; : \; \forall A : \mathsf{Set}, \mathsf{list}\; A \\ \mathsf{cons} \; : \; \forall A : \mathsf{Set}, A \to \mathsf{list}\; A \end{array} \right] \right)$$

which corresponds to the result of the CoQ declaration:

The declaration for a mutual inductive definition of tree and forest is:

$$\mathsf{Ind}\,[\,]\left(\left[\begin{array}{c}\mathsf{tree}\,:\,\mathsf{Set}\\\mathsf{forest}\,:\,\mathsf{Set}\end{array}\right]\,:=\,\left[\begin{array}{c}\mathsf{node}\,:\,\mathsf{forest}\to\mathsf{tree}\\\mathsf{emptyf}\,:\,\mathsf{forest}\\\mathsf{consf}\,:\,\mathsf{tree}\to\mathsf{forest}\to\mathsf{forest}\end{array}\right]\right)$$

which corresponds to the result of the CoQ declaration:

The declaration for a mutual inductive definition of even and odd is:

$$\mathsf{Ind}\ [1] \left( \left[ \begin{array}{c} \mathsf{even}\ :\ \mathsf{nat} \to \mathsf{Prop} \\ \mathsf{odd}\ :\ \mathsf{nat} \to \mathsf{Prop} \end{array} \right] \ := \ \left[ \begin{array}{c} \mathsf{even\_O}\ :\ \mathsf{even\ O} \\ \mathsf{even\_S}\ :\ \forall n : \mathsf{nat}, \mathsf{odd}\ n \to \mathsf{even\ }(\mathsf{S}\ n) \\ \mathsf{odd\_S}\ :\ \forall n : \mathsf{nat}, \mathsf{even\ } n \to \mathsf{odd}\ (\mathsf{S}\ n) \end{array} \right] \right)$$

which corresponds to the result of the CoQ declaration:

#### 4.5.1 Types of inductive objects

We have to give the type of constants in a global environment E which contains an inductive declaration.

Ind

$$\frac{\mathcal{WF}(E)[\Gamma] \qquad \mathsf{Ind}[p](\Gamma_I := \Gamma_C \,) \in E \qquad (a:A) \in \Gamma_I}{E[\Gamma] \vdash a:A}$$

Constr

$$\frac{\mathcal{WF}(E)[\Gamma] \qquad \operatorname{Ind}[p](\Gamma_I := \Gamma_C \ ) \in E \qquad (c:C) \in \Gamma_C}{E[\Gamma] \vdash c:C}$$

**Example.** Provided that our environment E contains inductive definitions we showed before, these two inference rules above enable us to conclude that:

```
\begin{split} E[\Gamma] \vdash & \mathsf{even} : \mathsf{nat} \to \mathsf{Prop} \\ E[\Gamma] \vdash & \mathsf{odd} : \mathsf{nat} \to \mathsf{Prop} \\ E[\Gamma] \vdash & \mathsf{even\_O} : \mathsf{even} \ \mathsf{O} \\ E[\Gamma] \vdash & \mathsf{even\_S} : \forall \ n : \mathsf{nat}, \mathsf{odd} \ n \to \mathsf{even} \ (\mathsf{S} \ n) \\ E[\Gamma] \vdash & \mathsf{odd} \ \ \mathsf{S} : \forall \ n : \mathsf{nat}, \mathsf{even} \ n \to \mathsf{odd} \ (\mathsf{S} \ n) \end{split}
```

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#### 4.5.2 Well-formed inductive definitions

We cannot accept any inductive declaration because some of them lead to inconsistent systems. We restrict ourselves to definitions which satisfy a syntactic criterion of positivity. Before giving the formal rules, we need a few definitions:

**Definition** A type T is an *arity of sort* s if it converts to the sort s or to a product  $\forall x : T, U$  with U an arity of sort s.

**Examples**  $A \to \mathsf{Set}$  is an arity of sort  $\mathsf{Set}$ .  $\forall A : \mathsf{Prop}, A \to \mathsf{Prop}$  is an arity of sort  $\mathsf{Prop}$ .

**Definition** A type T is an arity if there is a  $s \in S$  such that T is an arity of sort s.

**Examples**  $A \to \mathsf{Set}$  and  $\forall A : \mathsf{Prop}, A \to \mathsf{Prop}$  are arities.

**Definition** We say that T is a type of constructor of I in one of the following two cases:

- T is  $(I t_1 \ldots t_n)$
- T is  $\forall x: U, T'$  where T' is also a type of constructor of I

**Examples** nat and nat  $\rightarrow$  nat are types of constructors of nat.  $\forall A : \mathsf{Type}$ , list A and  $\forall A : \mathsf{Type}$ ,  $A \rightarrow \mathsf{list} A$  are constructors of list.

**Definition** The type of constructor T will be said to *satisfy the positivity condition* for a constant X in the following cases:

- $T = (X t_1 \dots t_n)$  and X does not occur free in any  $t_i$
- $T = \forall \ x : U, V$  and X occurs only strictly positively in U and the type V satisfies the positivity condition for X

The constant *X occurs strictly positively* in *T* in the following cases:

- X does not occur in T
- T converts to  $(X t_1 \dots t_n)$  and X does not occur in any of  $t_i$
- T converts to  $\forall x: U, V$  and X does not occur in type U but occurs strictly positively in type V
- T converts to  $(I \ a_1 \ldots \ a_m \ t_1 \ldots \ t_p)$  where I is the name of an inductive declaration of the form  $\operatorname{Ind}[m](I:A:=c_1:\forall p_1:P_1,\ldots \forall p_m:P_m,C_1;\ldots;c_n:\forall p_1:P_1,\ldots \forall p_m:P_m,C_n)$  (in particular, it is not mutually defined and it has m parameters) and X does not occur in any of the  $t_i$ , and the (instantiated) types of constructor  $C_i\{p_j/a_j\}_{j=1...m}$  of I satisfy the nested positivity condition for X

The type of constructor T of I satisfies the nested positivity condition for a constant X in the following cases:

- $T = (I \ b_1 \dots b_m \ u_1 \dots u_p), I$  is an inductive definition with m parameters and X does not occur in any  $u_i$
- $T = \forall \ x : U, V$  and X occurs only strictly positively in U and the type V satisfies the nested positivity condition for X

For instance, if one considers the type

```
Inductive tree (A:Type) : Type :=
  | leaf : list A
  | node : A -> (nat -> tree A) -> tree A
```

Then every instantiated constructor of list A satisfies the nested positivity condition for list

- concerning type list A of constructor nil:

Type list A of constructor nil satisfies the positivity condition for list because list does not appear in any (real) arguments of the type of that constructor (primarily because list does not have any (real) arguments) ... (bullet 1)

- concerning type  $\forall A \rightarrow \text{list } A \rightarrow \text{list } A \text{ of constructor cons:}$ 

Type  $\forall$  A: Type,  $A \rightarrow$  list  $A \rightarrow$  list A of constructor cons satisfies the positivity condition for list because:

list occurs only strictly positively in Type ... (bullet 3)

— list occurs only strictly positively in  $A \dots (bullet 3)$ 

— list occurs only strictly positively in list  $A \dots (bullet 4)$ 

- list satisfies the positivity condition for list A ... (bullet 1)

**Correctness rules.** We shall now describe the rules allowing the introduction of a new inductive definition.

**W-Ind** Let E be a global environment and  $\Gamma_P, \Gamma_I, \Gamma_C$  are contexts such that  $\Gamma_I$  is  $[I_1 : \forall \Gamma_P, A_1; \ldots; I_k : \forall \Gamma_P, A_k]$  and  $\Gamma_C$  is  $[c_1 : \forall \Gamma_P, C_1; \ldots; c_n : \forall \Gamma_P, C_n]$ .

$$\frac{(E[\Gamma_P] \vdash A_j : s_j')_{j=1\dots k} \qquad (E[\Gamma_I; \Gamma_P] \vdash C_i : s_{q_i})_{i=1\dots n}}{\mathcal{WF}(E; \operatorname{Ind}[p](\Gamma_I := \Gamma_C))[\Gamma]}$$

provided that the following side conditions hold:

- k > 0 and all of  $I_j$  and  $c_i$  are distinct names for  $j = 1 \dots k$  and  $i = 1 \dots n$ ,
- p is the number of parameters of  $\operatorname{Ind}(\Gamma_I := \Gamma_C)$  and  $\Gamma_P$  is the context of parameters,
- for  $j = 1 \dots k$  we have that  $A_j$  is an arity of sort  $s_j$  and  $I_j \notin E$ ,
- for  $i=1\ldots n$  we have that  $C_i$  is a type of constructor of  $I_{q_i}$  which satisfies the positivity condition for  $I_1\ldots I_k$  and  $c_i\notin\Gamma\cup E$ .

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One can remark that there is a constraint between the sort of the arity of the inductive type and the sort of the type of its constructors which will always be satisfied for the impredicative sort Prop but may fail to define inductive definition on sort Set and generate constraints between universes for inductive definitions in the Type hierarchy.

**Examples.** It is well known that existential quantifier can be encoded as an inductive definition. The following declaration introduces the second-order existential quantifier  $\exists X.P(X)$ .

The same definition on Set is not allowed and fails:

It is possible to declare the same inductive definition in the universe Type. The exType inductive definition has type  $(\mathsf{Type}_i \to \mathsf{Prop}) \to \mathsf{Type}_j$  with the constraint that the parameter X of exT\_intro has type Type $_k$  with k < j and  $k \le i$ .

```
Coq < Inductive exType (P:Type->Prop) : Type :=
     exT_intro : forall X:Type, P X -> exType P.
```

**Sort-polymorphism of inductive types.** Inductive types declared in Type are polymorphic over their arguments in Type. If A is an arity of some sort and s is a sort, we write  $A_{/s}$  for the arity obtained from A by replacing its sort with s. Especially, if A is well-typed in some global environment and local context, then  $A_{/s}$  is typable by typability of all products in the Calculus of Inductive Constructions. The following typing rule is added to the theory.

Ind-Family Let  $\operatorname{Ind}[p](\Gamma_I := \Gamma_C)$  be an inductive definition. Let  $\Gamma_P = [p_1 : P_1; \ldots; p_p : P_p]$  be its context of parameters,  $\Gamma_I = [I_1 : \forall \Gamma_P, A_1; \ldots; I_k : \forall \Gamma_P, A_k]$  its context of definitions and  $\Gamma_C = [c_1 : \forall \Gamma_P, C_1; \ldots; c_n : \forall \Gamma_P, C_n]$  its context of constructors, with  $c_i$  a constructor of  $I_{q_i}$ .

Let  $m \leq p$  be the length of the longest prefix of parameters such that the m first arguments of all occurrences of all  $I_j$  in all  $C_k$  (even the occurrences in the hypotheses of  $C_k$ ) are exactly applied to  $p_1 \ldots p_m$  (m is the number of recursively uniform parameters and the p-m remaining parameters are the recursively non-uniform parameters). Let  $q_1, \ldots, q_r$ , with  $0 \leq r \leq m$ , be a (possibly) partial instantiation of the recursively uniform parameters of  $\Gamma_P$ . We have:

$$\begin{cases} & \mathsf{Ind}[p](\Gamma_I := \Gamma_C \,) \in E \\ & (E[] \vdash q_l : P_l')_{l=1\dots r} \\ & (E[] \vdash P_l' \leq_{\beta \delta \iota \zeta \eta} P_l \{p_u/q_u\}_{u=1\dots l-1})_{l=1\dots r} \\ & 1 \leq j \leq k \\ \hline E[] \vdash I_j \, q_1 \, \dots \, q_r : \forall [p_{r+1} : P_{r+1}; \dots; p_p : P_p], (A_j)_{/s_j} \end{cases}$$

provided that the following side conditions hold:

•  $\Gamma_{P'}$  is the context obtained from  $\Gamma_P$  by replacing each  $P_l$  that is an arity with  $P'_l$  for  $1 \le l \le r$  (notice that  $P_l$  arity implies  $P'_l$  arity since  $E[] \vdash P'_l \le_{\beta\delta\iota\zeta\eta} P_l\{p_u/q_u\}_{u=1...l-1}\};$ 

- there are sorts  $s_i$ , for  $1 \leq i \leq k$  such that, for  $\Gamma_{I'} = [I_1 : \forall \Gamma_{P'}, (A_1)_{/s_1}; \ldots; I_k : \forall \Gamma_{P'}, (A_k)_{/s_k}]$  we have  $(E[\Gamma_{I'}; \Gamma_{P'}] \vdash C_i : s_{q_i})_{i=1...n};$
- the sorts  $s_i$  are such that all eliminations, to Prop, Set and Type(j), are allowed (see Section 4.5.3).

Notice that if  $I_j$   $q_1$  ...  $q_r$  is typable using the rules  $\operatorname{Ind-Const}$  and  $\operatorname{App}$ , then it is typable using the rule  $\operatorname{Ind-Family}$ . Conversely, the extended theory is not stronger than the theory without  $\operatorname{Ind-Family}$ . We get an equiconsistency result by mapping each  $\operatorname{Ind}[p](\Gamma_I:=\Gamma_C)$  occurring into a given derivation into as many different inductive types and constructors as the number of different (partial) replacements of sorts, needed for this derivation, in the parameters that are arities (this is possible because  $\operatorname{Ind}[p](\Gamma_I:=\Gamma_C)$  well-formed implies that  $\operatorname{Ind}[p](\Gamma_{I'}:=\Gamma_{C'})$  is well-formed and has the same allowed eliminations, where  $\Gamma_{I'}$  is defined as above and  $\Gamma_{C'}=[c_1:\forall \Gamma_{P'},C_1;\ldots;c_n:\forall \Gamma_{P'},C_n]$ ). That is, the changes in the types of each partial instance  $q_1\ldots q_r$  can be characterized by the ordered sets of arity sorts among the types of parameters, and to each signature is associated a new inductive definition with fresh names. Conversion is preserved as any (partial) instance  $I_j$   $q_1 \ldots q_r$  or  $C_i$   $q_1 \ldots q_r$  is mapped to the names chosen in the specific instance of  $\operatorname{Ind}[p](\Gamma_I:=\Gamma_C)$ .

In practice, the rule **Ind-Family** is used by CoQ only when all the inductive types of the inductive definition are declared with an arity whose sort is in the Type hierarchy. Then, the polymorphism is over the parameters whose type is an arity of sort in the Type hierarchy. The sort  $s_j$  are chosen canonically so that each  $s_j$  is minimal with respect to the hierarchy  $\mathsf{Prop} \subset \mathsf{Set}_p \subset \mathsf{Type}$  where  $\mathsf{Set}_p$  is predicative Set. More precisely, an empty or small singleton inductive definition (i.e. an inductive definition of which all inductive types are singleton – see paragraph 4.5.3) is set in  $\mathsf{Prop}$ , a small non-singleton inductive type is set in  $\mathsf{Set}$  (even in case  $\mathsf{Set}$  is impredicative – see  $\mathsf{Section}$  4.8), and otherwise in the  $\mathsf{Type}$  hierarchy.

Note that the side-condition about allowed elimination sorts in the rule **Ind-Family** is just to avoid to recompute the allowed elimination sorts at each instance of a pattern-matching (see section 4.5.3). As an example, let us consider the following definition:

As the definition is set in the Type hierarchy, it is used polymorphically over its parameters whose types are arities of a sort in the Type hierarchy. Here, the parameter A has this property, hence, if option is applied to a type in Set, the result is in Set. Note that if option is applied to a type in Prop, then, the result is not set in Prop but in Set still. This is because option is not a singleton type (see section 4.5.3) and it would lose the elimination to Set and Type if set in Prop.

Here is another example.

```
Cog < Inductive prod (A B:Type) : Type := pair : A -> B -> prod A B.
```

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As prod is a singleton type, it will be in Prop if applied twice to propositions, in Set if applied twice to at least one type in Set and none in Type, and in Type otherwise. In all cases, the three kind of eliminations schemes are allowed.

#### 4.5.3 Destructors

The specification of inductive definitions with arities and constructors is quite natural. But we still have to say how to use an object in an inductive type.

This problem is rather delicate. There are actually several different ways to do that. Some of them are logically equivalent but not always equivalent from the computational point of view or from the user point of view.

From the computational point of view, we want to be able to define a function whose domain is an inductively defined type by using a combination of case analysis over the possible constructors of the object and recursion.

Because we need to keep a consistent theory and also we prefer to keep a strongly normalizing reduction, we cannot accept any sort of recursion (even terminating). So the basic idea is to restrict ourselves to primitive recursive functions and functionals.

For instance, assuming a parameter A: Set exists in the local context, we want to build a function length of type list  $A \to \mathsf{nat}$  which computes the length of the list, so such that (length ( $\mathsf{nil}\ A$ )) = O and (length ( $\mathsf{cons}\ A\ a\ l$ )) = (S (length l)). We want these equalities to be recognized implicitly and taken into account in the conversion rule.

From the logical point of view, we have built a type family by giving a set of constructors. We want to capture the fact that we do not have any other way to build an object in this type. So when trying to prove a property about an object m in an inductive definition it is enough to enumerate all the cases where m starts with a different constructor.

In case the inductive definition is effectively a recursive one, we want to capture the extra property that we have built the smallest fixed point of this recursive equation. This says that we are only manipulating finite objects. This analysis provides induction principles. For instance, in order to prove  $\forall l$ : list A, (has\_length A) it is enough to prove:

which given the conversion equalities satisfied by length is the same as proving:

- (has\_length A (nil A) O)
- ∀a: A, ∀l: list A, (has\_length A l (length l)) →
   has length A (cons A a l) (S (length l)))

One conceptually simple way to do that, following the basic scheme proposed by Martin-Löf in his Intuitionistic Type Theory, is to introduce for each inductive definition an elimination operator. At the logical level it is a proof of the usual induction principle and at the computational level it implements a generic operator for doing primitive recursion over the structure.

But this operator is rather tedious to implement and use. We choose in this version of CoQ to factorize the operator for primitive recursion into two more primitive operations as was first suggested by Th. Coquand in [28]. One is the definition by pattern-matching. The second one is a definition by guarded fixpoints.

The match...with ...end construction.

The basic idea of this operator is that we have an object m in an inductive type I and we want to prove a property which possibly depends on m. For this, it is enough to prove the property for  $m = (c_i \ u_1 \dots u_{p_i})$  for each constructor of I. The COQ term for this proof will be written:

match 
$$m$$
 with  $(c_1 x_{11} \dots x_{1p_1}) \Rightarrow f_1 \mid \dots \mid (c_n x_{n1} \dots x_{np_n}) \Rightarrow f_n$  end

In this expression, if m eventually happens to evaluate to  $(c_i \ u_1 \dots u_{p_i})$  then the expression will behave as specified in its i-th branch and it will reduce to  $f_i$  where the  $x_{i1} \dots x_{ip_i}$  are replaced by the  $u_1 \dots u_{p_i}$  according to the  $\iota$ -reduction.

Actually, for type-checking a match...with...end expression we also need to know the predicate P to be proved by case analysis. In the general case where I is an inductively defined n-ary relation, P is a predicate over n+1 arguments: the n first ones correspond to the arguments of I (parameters excluded), and the last one corresponds to object m. CoQ can sometimes infer this predicate but sometimes not. The concrete syntax for describing this predicate uses the as...in...return construction. For instance, let us assume that I is an unary predicate with one parameter and one argument. The predicate is made explicit using the syntax:

match 
$$m$$
 as  $x$  in  $I \subseteq a$  return  $P$  with  $(c_1 x_{11} \dots x_{1p_1}) \Rightarrow f_1 \mid \dots \mid (c_n x_{n1} \dots x_{np_n}) \Rightarrow f_n$  end

The as part can be omitted if either the result type does not depend on m (non-dependent elimination) or m is a variable (in this case, m can occur in P where it is considered a bound variable). The in part can be omitted if the result type does not depend on the arguments of I. Note that the arguments of I corresponding to parameters must be \_, because the result type is not generalized to all possible values of the parameters. The other arguments of I (sometimes called indices in the literature) have to be variables (a above) and these variables can occur in P. The expression after in must be seen as an inductive type pattern. Notice that expansion of implicit arguments and notations apply to this pattern. For the purpose of presenting the inference rules, we use a more compact notation:

$$case(m, (\lambda ax. P), \lambda x_{11} \dots x_{1p_1}. f_1 \mid \dots \mid \lambda x_{n1} \dots x_{np_n}. f_n)$$

Allowed elimination sorts. An important question for building the typing rule for match is what can be the type of  $\lambda ax$ . P with respect to the type of m. If m:I and I:A and  $\lambda ax$ . P:B then by [I:A|B] we mean that one can use  $\lambda ax$ . P with m in the above match-construct.

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**Notations.** The [I:A|B] is defined as the smallest relation satisfying the following rules: We write [I|B] for [I:A|B] where A is the type of I.

The case of inductive definitions in sorts **Set** or **Type** is simple. There is no restriction on the sort of the predicate to be eliminated.

**Prod** 

$$\frac{[(I\ x):A'|B']}{[I:\forall x:A,A'|\forall x:A,B']}$$

Set & Type

$$\frac{s_1 \in \{\mathsf{Set}, \mathsf{Type}(j)\} \quad s_2 \in \mathcal{S}}{[I:s_1|I \to s_2]}$$

The case of Inductive definitions of sort Prop is a bit more complicated, because of our interpretation of this sort. The only harmless allowed elimination, is the one when predicate P is also of sort Prop.

#### **Prop**

$$[I: \mathsf{Prop}|I \to \mathsf{Prop}]$$

Prop is the type of logical propositions, the proofs of properties P in Prop could not be used for computation and are consequently ignored by the extraction mechanism. Assume A and B are two propositions, and the logical disjunction  $A \vee B$  is defined inductively by:

```
Coq < Inductive or (A B:Prop) : Prop :=
    or introl : A -> or A B | or intror : B -> or A B.
```

The following definition which computes a boolean value by case over the proof of or A B is not accepted:

From the computational point of view, the structure of the proof of (or A B) in this term is needed for computing the boolean value.

In general, if I has type Prop then P cannot have type  $I \to \mathsf{Set}$ , because it will mean to build an informative proof of type  $(P\ m)$  doing a case analysis over a non-computational object that will disappear in the extracted program. But the other way is safe with respect to our interpretation we can have I a computational object and P a non-computational one, it just corresponds to proving a logical property of a computational object.

In the same spirit, elimination on P of type  $I \to \mathsf{Type}$  cannot be allowed because it trivially implies the elimination on P of type  $I \to \mathsf{Set}$  by cumulativity. It also implies that there are two proofs of the same property which are provably different, contradicting the proof-irrelevance property which is sometimes a useful axiom:

```
Coq < Axiom proof_irrelevance : forall (P : Prop) (x y : P), x=y.
proof_irrelevance is assumed</pre>
```

The elimination of an inductive definition of type Prop on a predicate P of type  $I \to \mathsf{Type}$  leads to a paradox when applied to impredicative inductive definition like the second-order existential quantifier  $\mathsf{exProp}$  defined above, because it give access to the two projections on this type.

**Empty and singleton elimination** There are special inductive definitions in Prop for which more eliminations are allowed.

#### **Prop-extended**

$$\frac{I \text{ is an empty or singleton definition} \quad s \in \mathcal{S}}{[I: \mathsf{Prop}|I \to s]}$$

A singleton definition has only one constructor and all the arguments of this constructor have type Prop. In that case, there is a canonical way to interpret the informative extraction on an object in that type, such that the elimination on any sort s is legal. Typical examples are the conjunction of non-informative propositions and the equality. If there is an hypothesis h: a = b in the local context, it can be used for rewriting not only in logical propositions but also in any type.

An empty definition has no constructors, in that case also, elimination on any sort is allowed.

**Type of branches.** Let c be a term of type C, we assume C is a type of constructor for an inductive type I. Let P be a term that represents the property to be proved. We assume r is the number of parameters and p is the number of arguments.

We define a new type  $\{c:C\}^P$  which represents the type of the branch corresponding to the c:C constructor.

$$\{c : (I \ p_1 \dots p_r \ t_1 \dots t_p)\}^P \equiv (P \ t_1 \dots \ t_p \ c)$$
  
$$\{c : \forall \ x : T, C\}^P \equiv \forall \ x : T, \{(c \ x) : C\}^P$$

We write  $\{c\}^P$  for  $\{c:C\}^P$  with C the type of c.

**Example.** The following term in concrete syntax:

```
match t as 1 return P' with
| nil _ => t1
| cons _ hd t1 => t2
end
```

can be represented in abstract syntax as

$$case(t, P, f_1 | f_2)$$

where

$$egin{array}{lll} P &=& \lambda \, l \, . \, P' \\ f_1 &=& t_1 \\ f_2 &=& \lambda \, (hd: \mathsf{nat}) \, . \, \lambda \, (tl: \mathsf{list} \, \mathsf{nat}) \, . \, t_2 \end{array}$$

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According to the definition:

$$\begin{split} &\{(\mathsf{nil}\;\mathsf{nat})\}^P \equiv \{(\mathsf{nil}\;\mathsf{nat}): (\mathsf{list}\;\mathsf{nat})\}^P \equiv (P\;(\mathsf{nil}\;\mathsf{nat})) \\ &\{(\mathsf{cons}\;\mathsf{nat})\}^P \equiv \{(\mathsf{cons}\;\mathsf{nat}): (\mathsf{nat}\to\mathsf{list}\;\mathsf{nat}\to\mathsf{list}\;\mathsf{nat})\}^P \equiv \\ &\equiv \forall n: \mathsf{nat}, \{(\mathsf{cons}\;\mathsf{nat}\;n): \mathsf{list}\;\mathsf{nat}\to\mathsf{list}\;\mathsf{nat})\}^P \equiv \\ &\equiv \forall n: \mathsf{nat}, \forall l: \mathsf{list}\;\mathsf{nat}, \{(\mathsf{cons}\;\mathsf{nat}\;n\;l): \mathsf{list}\;\mathsf{nat})\}^P \equiv \\ &\equiv \forall n: \mathsf{nat}, \forall l: \mathsf{list}\;\mathsf{nat}, (P\;(\mathsf{cons}\;\mathsf{nat}\;n\;l)). \end{split}$$

Given some P, then  $\{(\text{nil nat})\}^P$  represents the expected type of  $f_1$ , and  $\{(\text{cons nat})\}^P$  represents the expected type of  $f_2$ .

Typing rule. Our very general destructor for inductive definition enjoys the following typing rule

#### match

$$\frac{E[\Gamma] \vdash c : (I \ q_1 \ldots q_r \ t_1 \ldots t_s) \ E[\Gamma] \vdash P : B \ [(I \ q_1 \ldots q_r) | B] \ (E[\Gamma] \vdash f_i : \{(c_{p_i} \ q_1 \ldots q_r)\}^P)_{i=1\ldots l}}{E[\Gamma] \vdash \mathsf{case}(c, P, f_1 | \ldots | f_l) : (P \ t_1 \ldots t_s \ c)}$$

provided I is an inductive type in a definition  $\operatorname{Ind}[r](\Gamma_I := \Gamma_C)$  with  $\Gamma_C = [c_1 : C_1; \dots; c_n : C_n]$  and  $c_{p_1} \dots c_{p_l}$  are the only constructors of I.

**Example.** Below is a typing rule for the term shown in the previous example:

$$\frac{E[\Gamma] \vdash t : (\mathsf{list} \ \mathsf{nat}) \quad E[\Gamma] \vdash P : B \quad [(\mathsf{list} \ \mathsf{nat}) | B] \quad E[\Gamma] \vdash f_1 : \{(\mathsf{nil} \ \mathsf{nat})\}^P \quad E[\Gamma] \vdash f_2 : \{(\mathsf{cons} \ \mathsf{nat})\}^P \\ E[\Gamma] \vdash \mathsf{case}(t, P, f_1 | f_2) : (P \ t)$$

**Definition of**  $\iota$ **-reduction.** We still have to define the  $\iota$ -reduction in the general case.

A  $\iota$ -redex is a term of the following form:

$$case((c_{p_i} \ q_1 \dots q_r \ a_1 \dots a_m), P, f_1 | \dots | f_l)$$

with  $c_{p_i}$  the *i*-th constructor of the inductive type *I* with *r* parameters.

The  $\iota$ -contraction of this term is  $(f_i \ a_1 \dots a_m)$  leading to the general reduction rule:

$$\mathsf{case}((c_n, q_1 \dots q_r, a_1 \dots a_m), P, f_1 | \dots | f_n) \triangleright_{\iota} (f_i, a_1 \dots a_m)$$

#### 4.5.4 Fixpoint definitions

The second operator for elimination is fixpoint definition. This fixpoint may involve several mutually recursive definitions. The basic concrete syntax for a recursive set of mutually recursive declarations is (with  $\Gamma_i$  contexts):

fix 
$$f_1(\Gamma_1): A_1:=t_1$$
 with . . . with  $f_n(\Gamma_n): A_n:=t_n$ 

The terms are obtained by projections from this set of declarations and are written

fix 
$$f_1(\Gamma_1):A_1:=t_1$$
 with  $\ldots$  with  $f_n(\Gamma_n):A_n:=t_n$  for  $f_i$ 

In the inference rules, we represent such a term by

Fix 
$$f_i\{f_1: A_1':=t_1'\dots f_n: A_n':=t_n'\}$$

with  $t_i'$  (resp.  $A_i'$ ) representing the term  $t_i$  abstracted (resp. generalized) with respect to the bindings in the context  $\Gamma_i$ , namely  $t_i' = \lambda \Gamma_i$ .  $t_i$  and  $A_i' = \forall \Gamma_i$ ,  $A_i$ .

#### Typing rule

The typing rule is the expected one for a fixpoint.

**Fix** 

$$\frac{(E[\Gamma] \vdash A_i : s_i)_{i=1...n} \quad (E[\Gamma, f_1 : A_1, \dots, f_n : A_n] \vdash t_i : A_i)_{i=1...n}}{E[\Gamma] \vdash \text{Fix } f_i \{ f_1 : A_1 := t_1 \dots f_n : A_n := t_n \} : A_i}$$

Any fixpoint definition cannot be accepted because non-normalizing terms allow proofs of absurdity. The basic scheme of recursion that should be allowed is the one needed for defining primitive recursive functionals. In that case the fixpoint enjoys a special syntactic restriction, namely one of the arguments belongs to an inductive type, the function starts with a case analysis and recursive calls are done on variables coming from patterns and representing subterms. For instance in the case of natural numbers, a proof of the induction principle of type

$$\forall P : \mathsf{nat} \to \mathsf{Prop}, (P \, \mathsf{O}) \to (\forall n : \mathsf{nat}, (P \, n) \to (P \, (\mathsf{S} \, n))) \to \forall n : \mathsf{nat}, (P \, n)$$

can be represented by the term:

$$\lambda P: \mathsf{nat} \to \mathsf{Prop.}\ \lambda f: (P\ \mathsf{O}).\ \lambda g: (\forall n: \mathsf{nat}, (P\ n) \to (P\ (\ \mathsf{S}\ n))).$$
 Fix  $h\{h: \forall n: \mathsf{nat}, (P\ n) := \lambda n: \mathsf{nat}.\ \mathsf{case}(n, P, f\mid \lambda p: \mathsf{nat}.\ (q\ p\ (h\ p)))\}$ 

Before accepting a fixpoint definition as being correctly typed, we check that the definition is "guarded". A precise analysis of this notion can be found in [67]. The first stage is to precise on which argument the fixpoint will be decreasing. The type of this argument should be an inductive definition. For doing this, the syntax of fixpoints is extended and becomes

Fix 
$$f_i\{f_1/k_1: A_1:=t_1\dots f_n/k_n: A_n:=t_n\}$$

where  $k_i$  are positive integers. Each  $k_i$  represents the index of pararameter of  $f_i$ , on which  $f_i$  is decreasing. Each  $A_i$  should be a type (reducible to a term) starting with at least  $k_i$  products  $\forall y_1 : B_1, \ldots \forall y_{k_i} : B_{k_i}, A'_i$  and  $B_{k_i}$  an is unductive type.

Now in the definition  $t_i$ , if  $f_j$  occurs then it should be applied to at least  $k_j$  arguments and the  $k_j$ -th argument should be syntactically recognized as structurally smaller than  $y_k$ .

The definition of being structurally smaller is a bit technical. One needs first to define the notion of recursive arguments of a constructor. For an inductive definition  $\operatorname{Ind}[r](\Gamma_I := \Gamma_C)$ , if the type of a constructor c has the form  $\forall p_1: P_1, \ldots \forall p_r: P_r, \forall x_1: T_1, \ldots \forall x_r: T_r, (I_j \ p_1 \ldots p_r \ t_1 \ldots t_s)$ , then the recursive arguments will correspond to  $T_i$  in which one of the  $I_l$  occurs.

The main rules for being structurally smaller are the following: Given a variable y of type an inductive definition in a declaration  $\operatorname{Ind}[r](\Gamma_I := \Gamma_C)$  where  $\Gamma_I$  is  $[I_1 : A_1; \ldots; I_k : A_k]$ , and  $\Gamma_C$  is  $[c_1 : C_1; \ldots; c_n : C_n]$ . The terms structurally smaller than y are:

- $(t \ u)$  and  $\lambda x : u \cdot t$  when t is structurally smaller than y.
- case $(c, P, f_1 \dots f_n)$  when each  $f_i$  is structurally smaller than y. If c is y or is structurally smaller than y, its type is an inductive definition  $I_p$  part of the inductive declaration corresponding to y. Each  $f_i$  corresponds to a type of constructor  $C_q \equiv \forall p_1 : P_1, \dots, \forall p_r : P_r, \forall y_1 : B_1, \dots \forall y_k : B_k, (I \ a_1 \dots a_k)$  and can consequently be written  $\lambda y_1 : B'_1 \dots \lambda y_k : B'_k \cdot g_i$ . ( $B'_i$  is obtained from  $B_i$  by substituting parameters variables) the variables  $y_j$  occurring in  $g_i$  corresponding to recursive arguments  $B_i$  (the ones in which one of the  $I_l$  occurs) are structurally smaller than y.

4.5 Inductive definitions

The following definitions are correct, we enter them using the Fixpoint command as described in Section 1.3.4 and show the internal representation.

```
Coq < Fixpoint plus (n m:nat) {struct n} : nat :=</pre>
        match n with
        | \circ => m
        | S p => S (plus p m)
        end.
plus is defined
plus is recursively defined (decreasing on 1st argument)
Coq < Print plus.
plus =
fix plus (n m : nat) {struct n} : nat :=
  match n with
  / O => m
  | S p => S (plus p m)
  end
     : nat -> nat -> nat
Argument scopes are [nat_scope nat_scope]
Coq < Fixpoint lgth (A:Set) (1:list A) {struct l} : nat :=</pre>
        match 1 with
        | nil _ => 0
        | cons _ a l' => S (lgth A l')
        end.
lgth is defined
lgth is recursively defined (decreasing on 2nd argument)
Coq < Print lgth.
lgth =
fix lgth (A : Set) (l : list A) {struct l} : nat :=
  match 1 with
  / nil _ => 0
  / cons _ _ 1' => S (lgth A 1')
  end
     : forall A : Set, list A -> nat
Argument scopes are [type_scope _]
Coq < Fixpoint sizet (t:tree) : nat := let (f) := t in S (sizef f)
       with sizef (f:forest) : nat :=
       match f with
        \mid emptyf => 0
        | consf t f => plus (sizet t) (sizef f)
        end.
sizet is defined
sizef is defined
sizet, sizef are recursively defined (decreasing respectively on 1st,
1st arguments)
Coq < Print sizet.
sizet =
fix sizet (t : tree) : nat := let (f) := t in S (sizef f)
with sizef (f : forest) : nat :=
 match f with
  | emptyf => 0
```

```
| consf t f0 => plus (sizet t) (sizef f0)
end
for sizet
   : tree -> nat
```

#### **Reduction rule**

Let F be the set of declarations:  $f_1/k_1: A_1:=t_1\dots f_n/k_n: A_n:=t_n$ . The reduction for fixpoints is:

$$(\operatorname{Fix} f_i\{F\} \ a_1 \dots a_{k_i}) \rhd_\iota t_i\{(f_k/\operatorname{Fix} f_k\{F\})_{k=1\dots n}\} \ a_1 \dots a_{k_i}$$

when  $a_{k_i}$  starts with a constructor. This last restriction is needed in order to keep strong normalization and corresponds to the reduction for primitive recursive operators. The following reductions are now possible:

$$\begin{array}{ccc} \mathsf{plus} \; (\mathsf{S} \; (\mathsf{S} \; \mathsf{O})) \; (\mathsf{S} \; \mathsf{O}) & \rhd_{\iota} & \mathsf{S} \; (\mathsf{plus} \; (\mathsf{S} \; \mathsf{O}) \; (\mathsf{S} \; \mathsf{O})) \\ & \rhd_{\iota} & \mathsf{S} \; (\mathsf{S} \; (\mathsf{plus} \; \mathsf{O} \; (\mathsf{S} \; \mathsf{O}))) \\ & \rhd_{\iota} & \mathsf{S} \; (\mathsf{S} \; (\mathsf{S} \; \mathsf{O})) \end{array}$$

#### **Mutual induction**

The principles of mutual induction can be automatically generated using the Scheme command described in Section 13.1.

# 4.6 Admissible rules for global environments

From the original rules of the type system, one can show the admissibility of rules which change the local context of definition of objects in the global environment. We show here the admissible rules that are used used in the discharge mechanism at the end of a section.

**Abstraction.** One can modify a global declaration by generalizing it over a previously assumed constant c. For doing that, we need to modify the reference to the global declaration in the subsequent global environment and local context by explicitly applying this constant to the constant c'.

Below, if  $\Gamma$  is a context of the form  $[y_1:A_1;\ldots;y_n:A_n]$ , we write  $\forall x:U,\Gamma\{c/x\}$  to mean  $[y_1:\forall \,x:U,A_1\{c/x\};\ldots;y_n:\forall \,x:U,A_n\{c/x\}]$  and  $E\{|\Gamma|/|\Gamma|c\}$ . to mean the parallel substitution  $E\{y_1/(y_1\,c)\}\ldots\{y_n/(y_n\,c)\}$ .

#### First abstracting property:

$$\begin{split} \mathcal{WF}(E;c:U;E';c':=t:T;E'')[\Gamma] \\ \overline{\mathcal{WF}(E;c:U;E';c':=\lambda x:U.\ t\{c/x\}:\forall\ x:U,T\{c/x\};E''\{c'/(c'\ c)\})[\Gamma\{c/(c\ c')\}]} \\ & \frac{\mathcal{WF}(E;c:U;E';c':T;E'')[\Gamma]}{\mathcal{WF}(E;c:U;E';c':\forall\ x:U,T\{c/x\};E''\{c'/(c'\ c)\})[\Gamma\{c/(c\ c')\}]} \\ \\ & \frac{\mathcal{WF}(E;c:U;E';c':\forall\ x:U,T\{c/x\};E''\{c'/(c'\ c)\})[\Gamma\{c/(c\ c')\}]}{\mathcal{WF}(E;c:U;E';\ln d[p](\Gamma_I:=\Gamma_C);E'')[\Gamma]} \\ \\ & \frac{\mathcal{WF}(E;c:U;E';\ln d[p](\Gamma_I:=\Gamma_C);E'')[\Gamma]}{\mathcal{WF}\left[\Gamma\{|\Gamma_I,\Gamma_C|/|\Gamma_I,\Gamma_C|\ c\}\right]} \end{split}$$

One can similarly modify a global declaration by generalizing it over a previously defined constant c'. Below, if  $\Gamma$  is a context of the form  $[y_1:A_1;\ldots;y_n:A_n]$ , we write  $\Gamma\{c/u\}$  to mean  $[y_1:A_1\{c/u\};\ldots;y_n:A_n\{c/u\}]$ .

#### Second abstracting property:

$$\begin{split} \mathcal{WF}(E;c := u : U; E'; c' := t : T; E'')[\Gamma] \\ \overline{\mathcal{WF}(E;c := u : U; E'; c' := (\text{let } x := u : U \text{ in } t\{c/x\}) : T\{c/u\}; E'')[\Gamma]} \\ \underline{\mathcal{WF}(E;c := u : U; E'; c' : T; E'')[\Gamma]} \\ \overline{\mathcal{WF}(E;c := u : U; E'; c' : T\{c/u\}; E'')[\Gamma]} \\ \underline{\mathcal{WF}(E;c := u : U; E'; \text{Ind}[p](\Gamma_I := \Gamma_C); E'')[\Gamma]} \\ \overline{\mathcal{WF}(E;c := u : U; E'; \text{Ind}[p](\Gamma_I\{c/u\} := \Gamma_C\{c/u\}); E'')[\Gamma]} \end{split}$$

**Pruning the local context.** If one abstracts or substitutes constants with the above rules then it may happen that some declared or defined constant does not occur any more in the subsequent global environment and in the local context. One can consequently derive the following property.

#### First pruning property:

$$\frac{\mathcal{WF}(E;c:U;E')[\Gamma] \qquad c \text{ does not occur in } E' \text{ and } \Gamma}{\mathcal{WF}(E;E')[\Gamma]}$$

**Second pruning property:** 

$$\frac{\mathcal{WF}(E;c:=u:U;E')[\Gamma] \qquad c \text{ does not occur in } E' \text{ and } \Gamma}{\mathcal{WF}(E;E')[\Gamma]}$$

# 4.7 Co-inductive types

The implementation contains also co-inductive definitions, which are types inhabited by infinite objects. More information on co-inductive definitions can be found in [68, 70, 71].

# 4.8 The Calculus of Inductive Construction with impredicative Set

CoQ can be used as a type-checker for the Calculus of Inductive Constructions with an impredicative sort Set by using the compiler option -impredicative-set. For example, using the ordinary coqtop command, the following is rejected.

```
Coq < Fail Definition id: Set := forall X:Set, X->X. The command has indeed failed with message: The term "forall X:Set, X->X" has type "Type" while it is expected to have type "Set".
```

while it will type-check, if one uses instead the coqtop -impredicative-set command.

The major change in the theory concerns the rule for product formation in the sort Set, which is extended to a domain in any sort:

**Prod** 

$$\frac{E[\Gamma] \vdash T: s \quad s \in \mathcal{S} \quad E[\Gamma :: (x:T)] \vdash U: \mathsf{Set}}{E[\Gamma] \vdash \forall \ x: T, U: \mathsf{Set}}$$

This extension has consequences on the inductive definitions which are allowed. In the impredicative system, one can build so-called *large inductive definitions* like the example of second-order existential quantifier (exSet).

There should be restrictions on the eliminations which can be performed on such definitions. The eliminations rules in the impredicative system for sort Set become:

Set

$$\frac{s \in \{\mathsf{Prop}, \mathsf{Set}\}}{[I : \mathsf{Set}|I \to s]} \quad \frac{I \text{ is a small inductive definition} \quad s \in \{\mathsf{Type}(i)\}}{[I : \mathsf{Set}|I \to s]}$$

# **Chapter 5**

# The Module System

The module system extends the Calculus of Inductive Constructions providing a convenient way to structure large developments as well as a means of massive abstraction.

## 5.1 Modules and module types

**Access path.** It is denoted by p, it can be either a module variable X or, if p' is an access path and id an identifier, then p'.id is an access path.

**Structure element.** It is denoted by e and is either a definition of a constant, an assumption, a definition of an inductive, a definition of a module, an alias of module or a module type abbreviation.

**Structure expression.** It is denoted by S and can be:

- an access path p
- a plain structure Struct e; ...; e End
- a functor Functor (X : S) S', where X is a module variable, S and S' are structure expression
- an application Sp, where S is a structure expression and p an access path
- a refined structure S with p := p' or S with p := t : T where S is a structure expression, p and p' are access paths, t is a term and T is the type of t.

**Module definition,** is written Mod(X : S[ := S']) and consists of a module variable X, a module type S which can be any structure expression and optionally a module implementation S' which can be any structure expression except a refined structure.

**Module alias,** is written ModA(X == p) and consists of a module variable X and a module path p.

**Module type abbreviation,** is written  $\mathsf{ModType}(Y := S)$ , where Y is an identifier and S is any structure expression .

# **5.2** Typing Modules

In order to introduce the typing system we first slightly extend the syntactic class of terms and environments given in section 4.1. The environments, apart from definitions of constants and inductive types now also hold any other structure elements. Terms, apart from variables, constants and complex terms, include also access paths.

We also need additional typing judgments:

- $E[] \vdash WF(S)$ , denoting that a structure S is well-formed,
- $E[] \vdash p : S$ , denoting that the module pointed by p has type S in environment E.
- $E[] \vdash S \longrightarrow \overline{S}$ , denoting that a structure S is evaluated to a structure  $\overline{S}$  in weak head normal form.
- $E[] \vdash S_1 \lt : S_2$ , denoting that a structure  $S_1$  is a subtype of a structure  $S_2$ .
- $E[] \vdash e_1 <: e_2$ , denoting that a structure element  $e_1$  is more precise that a structure element  $e_2$ .

The rules for forming structures are the following:

#### WF-STR

$$\frac{\mathcal{WF}(E;E')[]}{E[] \vdash \mathcal{WF}(\mathsf{Struct}\; E'\; \mathsf{End})}$$

WF-FUN

$$\frac{E;\mathsf{Mod}(X:S)[] \vdash \mathcal{WF}(\overline{S'})}{E[] \vdash \mathcal{WF}(\mathsf{Functor}(X:S) \ S')}$$

Evaluation of structures to weak head normal form:

#### **WEVAL-APP**

$$\begin{array}{c} E[] \vdash S \longrightarrow \mathsf{Functor}(X:S_1) \ S_2 \quad E[] \vdash S_1 \longrightarrow \overline{S_1} \\ \hline E[] \vdash p:S_3 \quad E[] \vdash S_3 <: \overline{S_1} \\ \hline E[] \vdash S \ p \longrightarrow S_2\{p/X, t_1/p_1.c_1, \dots, t_n/p_n.c_n\} \end{array}$$

In the last rule,  $\{t_1/p_1.c_1,\ldots,t_n/p_n.c_n\}$  is the resulting substitution from the inlining mechanism. We substitute in S the inlined fields  $p_i.c_i$  form  $Mod(X:S_1)$  by the corresponding delta-reduced term  $t_i$  in p.

#### **WEVAL-WITH-MOD**

$$\begin{split} E[] \vdash S &\longrightarrow \mathsf{Struct}\ e_1; \dots; e_i; \mathsf{Mod}(X:S_1); e_{i+2}; \dots; e_n\ \mathsf{End} \quad \underbrace{E; e_1; \dots; e_i}[] \vdash S_1 \longrightarrow \overline{S_1} \\ E[] \vdash p:S_2 \qquad E; e_1; \dots; e_i[] \vdash S_2 <: \overline{S_1} \end{split}$$
 
$$E[] \vdash S \ \mathsf{with}\ x := p \longrightarrow \\ \mathsf{Struct}\ e_1; \dots; e_i; \mathsf{ModA}(X == p); e_{i+2}\{p/X\}; \dots; e_n\{p/X\}\ \mathsf{End} \end{split}$$

#### WEVAL-WITH-MOD-REC

$$\begin{split} E[] \vdash S &\longrightarrow \mathsf{Struct}\ e_1; \dots; e_i; \mathsf{Mod}(X_1:S_1); e_{i+2}; \dots; e_n\ \mathsf{End} \\ &E e_1; \dots; e_i[] \vdash S_1\ \mathsf{with}\ p := p_1 \longrightarrow \overline{S_2} \\ \hline &E[] \vdash S\ \mathsf{with}\ X_1.p := p_1 \longrightarrow \\ \mathsf{Struct}\ e_1; \dots; e_i; \mathsf{Mod}(X:\overline{S_2}); e_{i+2}\{p_1/X_1.p\}; \dots; e_n\{p_1/X_1.p\}\ \mathsf{End} \end{split}$$

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#### **WEVAL-WITH-DEF**

$$\begin{split} E[] \vdash S &\longrightarrow \mathsf{Struct}\ e_1; \dots; e_i; \mathsf{Assum}()(c:T_1); e_{i+2}; \dots; e_n \ \mathsf{End} \\ E; e_1; \dots; e_i[] \vdash \mathsf{Def}()(c:=t:T) <: \mathsf{Assum}()(c:T_1) \\ &\qquad \qquad E[] \vdash S \ \mathsf{with}\ c:=t:T \longrightarrow \\ \mathsf{Struct}\ e_1; \dots; e_i; \mathsf{Def}()(c:=t:T); e_{i+2}; \dots; e_n \ \mathsf{End} \end{split}$$

#### WEVAL-WITH-DEF-REC

$$E[] \vdash S \longrightarrow \mathsf{Struct}\ e_1; \dots; e_i; \mathsf{Mod}(X_1:S_1); e_{i+2}; \dots; e_n \ \mathsf{End}$$
 
$$E; e_1; \dots; e_i[] \vdash S_1 \ \mathsf{with}\ p := p_1 \longrightarrow \overline{S_2}$$
 
$$E[] \vdash S \ \mathsf{with}\ X_1.p := t : T \longrightarrow$$
 
$$\mathsf{Struct}\ e_1; \dots; e_i; \mathsf{Mod}(X:\overline{S_2}); e_{i+2}; \dots; e_n \ \mathsf{End}$$

#### WEVAL-PATH-MOD

$$E[] \vdash p \longrightarrow \mathsf{Struct}\ e_1; \dots; e_i; \mathsf{Mod}(X : S\ [ := S_1 J); e_{i+2}; \dots; e_n \ \mathsf{End}$$
 
$$E[] \vdash S \longrightarrow \overline{S}$$
 
$$E[] \vdash p.X \longrightarrow \overline{S}$$
 
$$\underbrace{\mathcal{WF}(E)[] \quad \mathsf{Mod}(X : S\ [ := S_1 J) \in E}_{E[] \vdash S \longrightarrow \overline{S}}$$
 
$$E[] \vdash X \longrightarrow \overline{S}$$

#### **WEVAL-PATH-ALIAS**

$$E[] \vdash p \longrightarrow \mathsf{Struct}\ e_1; \dots; e_i; \mathsf{ModA}(X == p_1); e_{i+2}; \dots; e_n \ \mathsf{End}$$
 
$$E[] \vdash p_1 \longrightarrow \overline{S}$$
 
$$E[] \vdash p.X \longrightarrow \overline{S}$$
 
$$\mathcal{WF}(E)[] \quad \mathsf{ModA}(X == p_1) \in E$$
 
$$E[] \vdash p_1 \longrightarrow \overline{S}$$
 
$$E[] \vdash X \longrightarrow \overline{S}$$

#### **WEVAL-PATH-TYPE**

$$E[] \vdash p \longrightarrow \mathsf{Struct}\ e_1; \dots; e_i; \mathsf{ModType}(Y := S); e_{i+2}; \dots; e_n \ \mathsf{End}$$

$$E[] \vdash p . Y \longrightarrow \overline{S}$$

#### **WEVAL-PATH-TYPE**

$$\begin{array}{ccc} \mathcal{WF}(E)[] & \mathsf{ModType}(Y := S) \in E \\ & E[] \vdash S \longrightarrow \overline{S} \\ \hline & E[] \vdash Y \longrightarrow \overline{S} \end{array}$$

Rules for typing module:

#### MT-EVAL

$$\frac{E[] \vdash p \longrightarrow \overline{S}}{E[] \vdash p : \overline{S}}$$

#### **MT-STR**

$$\frac{E[] \vdash p : S}{E[] \vdash p : S/p}$$

The last rule, called strengthening is used to make all module fields manifestly equal to themselves. The notation S/p has the following meaning:

- if  $S \longrightarrow \mathsf{Struct}\ e_1; \ldots; e_n$  End then  $S/p = \mathsf{Struct}\ e_1/p; \ldots; e_n/p$  End where e/p is defined as follows:
  - $Def()(c := t : T)/p^1 = Def()(c := t : T)$
  - $\operatorname{Assum}()(c:U)/p = \operatorname{Def}()(c:=p.c:U)$
  - Mod(X:S)/p = ModA(X == p.X)
  - $\operatorname{\mathsf{ModA}}(X == p')/p = \operatorname{\mathsf{ModA}}(X == p')$
  - $\operatorname{Ind}[\Gamma_P](\Gamma_C := \Gamma_I)/p = \operatorname{Ind}_p()[\Gamma_P](\Gamma_C := \Gamma_I)$
  - $\operatorname{Ind}_{p'}()[\Gamma_P](\Gamma_C := \Gamma_I)/p = \operatorname{Ind}_{p'}()[\Gamma_P](\Gamma_C := \Gamma_I)$
- if  $S \longrightarrow \operatorname{Functor}(X : S') S''$  then S/p = S

The notation  $\operatorname{Ind}_p()[\Gamma_P](\Gamma_C := \Gamma_I)$  denotes an inductive definition that is definitionally equal to the inductive definition in the module denoted by the path p. All rules which have  $\operatorname{Ind}[\Gamma_P](\Gamma_C := \Gamma_I)$  as premises are also valid for  $\operatorname{Ind}_p()[\Gamma_P](\Gamma_C := \Gamma_I)$ . We give the formation rule for  $\operatorname{Ind}_p()[\Gamma_P](\Gamma_C := \Gamma_I)$  below as well as the equality rules on inductive types and constructors.

The module subtyping rules:

#### **MSUB-STR**

$$\begin{split} E; e_1; \dots; e_n[] \vdash e_{\sigma(i)} <: e_i' \ \text{ for } i = 1..m \\ \sigma: \{1 \dots m\} \to \{1 \dots n\} \ \text{ injective} \\ \hline E[] \vdash \mathsf{Struct} \ e_1; \dots; e_n \ \mathsf{End} <: \mathsf{Struct} \ e_1'; \dots; e_m' \ \mathsf{End} \end{split}$$

#### **MSUB-FUN**

$$\frac{E[] \vdash \overline{S_1'} <: \overline{S_1} \qquad E; \mathsf{Mod}(X:S_1')[] \vdash \overline{S_2} <: \overline{S_2'}}{E[] \vdash \mathsf{Functor}(X:S_1) \ S_2 <: \mathsf{Functor}(X:S_1') \ S_2'}$$

Structure element subtyping rules:

#### **ASSUM-ASSUM**

$$\frac{E[] \vdash T_1 \leq_{\beta\delta\iota\zeta\eta} T_2}{E[] \vdash \mathsf{Assum}()(c:T_1) <: \mathsf{Assum}()(c:T_2)}$$

#### **DEF-ASSUM**

$$\frac{E[] \vdash T_1 \leq_{\beta \delta \iota \zeta \eta} T_2}{E[] \vdash \mathsf{Def}()(c := t : T_1) <: \mathsf{Assum}()(c : T_2)}$$

#### **ASSUM-DEF**

$$\frac{E[] \vdash T_1 \leq_{\beta\delta\iota\zeta\eta} T_2 \qquad E[] \vdash c =_{\beta\delta\iota\zeta\eta} t_2}{E[] \vdash \mathsf{Assum}()(c:T_1) <: \mathsf{Def}()(c:=t_2:T_2)}$$

<sup>&</sup>lt;sup>1</sup>Opaque definitions are processed as assumptions.

**DEF-DEF** 

$$\frac{E[] \vdash T_1 \leq_{\beta\delta\iota\zeta\eta} T_2 \qquad E[] \vdash t_1 =_{\beta\delta\iota\zeta\eta} t_2}{E[] \vdash \mathsf{Def}()(c := t_1 : T_1) <: \mathsf{Def}()(c := t_2 : T_2)}$$

**IND-IND** 

$$\frac{E[] \vdash \Gamma_P =_{\beta \delta \iota \zeta \eta} \Gamma_P' \qquad E[\Gamma_P] \vdash \Gamma_C =_{\beta \delta \iota \zeta \eta} \Gamma_C' \qquad E[\Gamma_P; \Gamma_C] \vdash \Gamma_I =_{\beta \delta \iota \zeta \eta} \Gamma_I'}{E[] \vdash \mathsf{Ind}[\Gamma_P](\Gamma_C := \Gamma_I) <: \mathsf{Ind}[\Gamma_P'](\Gamma_C' := \Gamma_I')}$$

**INDP-IND** 

$$\frac{E[] \vdash \Gamma_P =_{\beta\delta\iota\zeta\eta} \Gamma_P' \qquad E[\Gamma_P] \vdash \Gamma_C =_{\beta\delta\iota\zeta\eta} \Gamma_C' \qquad E[\Gamma_P; \Gamma_C] \vdash \Gamma_I =_{\beta\delta\iota\zeta\eta} \Gamma_I'}{E[] \vdash \mathsf{Ind}_p()[\Gamma_P](\Gamma_C := \Gamma_I) \qquad <: \mathsf{Ind}[\Gamma_P'](\Gamma_C' := \Gamma_I')}$$

INDP-INDP

$$\frac{E[] \vdash \Gamma_P =_{\beta\delta\iota\zeta\eta} \Gamma_P' \qquad E[\Gamma_P] \vdash \Gamma_C =_{\beta\delta\iota\zeta\eta} \Gamma_C' \qquad E[\Gamma_P; \Gamma_C] \vdash \Gamma_I =_{\beta\delta\iota\zeta\eta} \Gamma_I' \qquad E[] \vdash p =_{\beta\delta\iota\zeta\eta} p'}{E[] \vdash \mathsf{Ind}_p()[\Gamma_P](\Gamma_C := \Gamma_I)} \qquad <: \mathsf{Ind}_{p'}()[\Gamma_P'](\Gamma_C' := \Gamma_I')$$

**MOD-MOD** 

$$\frac{E[] \vdash S_1 <: S_2}{E[] \vdash \mathsf{Mod}(X : S_1) <: \mathsf{Mod}(X : S_2)}$$

**ALIAS-MOD** 

$$\frac{E[] \vdash p : S_1 \qquad E[] \vdash S_1 <: S_2}{E[] \vdash \mathsf{ModA}(X == p) <: \mathsf{Mod}(X : S_2)}$$

**MOD-ALIAS** 

$$\frac{E[] \vdash p : S_2 \qquad E[] \vdash S_1 <: S_2 \qquad E[] \vdash X =_{\beta \delta \iota \zeta \eta} p}{E[] \vdash \mathsf{Mod}(X : S_1) <: \mathsf{ModA}(X == p)}$$

**ALIAS-ALIAS** 

$$\frac{E[] \vdash p_1 =_{\beta \delta \iota \zeta \eta} p_2}{E[] \vdash \mathsf{ModA}(X == p_1) <: \mathsf{ModA}(X == p_2)}$$

**MODTYPE-MODTYPE** 

$$\frac{E[] \vdash S_1 <: S_2 \qquad E[] \vdash S_2 <: S_1}{E[] \vdash \mathsf{ModType}(Y := S_1) <: \mathsf{ModType}(Y := S_2)}$$

New environment formation rules

WF-MOD

$$\frac{\mathcal{WF}(E)[] \qquad E[] \vdash \mathcal{WF}(S)}{\mathcal{WF}(E; \mathsf{Mod}(X:S))[]}$$

WF-MOD

$$E[] \vdash S_2 <: S_1$$

$$\mathcal{WF}(E)[] \quad E[] \vdash \mathcal{WF}(S_1) \quad E[] \vdash \mathcal{WF}(S_2)$$

$$\mathcal{WF}(E; \mathsf{Mod}(X : S_1 \mathit{[} := S_2 \mathit{]}))[]$$

**WF-ALIAS** 

$$\frac{\mathcal{WF}(E)[] \qquad E[] \vdash p : S}{\mathcal{WF}(E, \mathsf{ModA}(X == p))[]}$$

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WF-MODTYPE

$$\frac{\mathcal{WF}(E)[] \qquad E[] \vdash \mathcal{WF}(S)}{\mathcal{WF}(E,\mathsf{ModType}(Y:=S))[]}$$

**WF-IND** 

$$\begin{split} \mathcal{WF}(E; \mathsf{Ind}[\Gamma_P](\Gamma_C := \Gamma_I))[] \\ E[] \vdash p : \mathsf{Struct}\ e_1; \dots; e_n; \mathsf{Ind}[\Gamma_P'](\Gamma_C' := \Gamma_I'); \dots \mathsf{End}: \\ E[] \vdash \mathsf{Ind}[\Gamma_P'](\Gamma_C' := \Gamma_I') <: \mathsf{Ind}[\Gamma_P](\Gamma_C := \Gamma_I) \\ \\ \mathcal{WF}(E; \mathsf{Ind}_p()[\Gamma_P](\Gamma_C := \Gamma_I))[] \end{split}$$

Component access rules

**ACC-TYPE** 

$$\frac{E[\Gamma] \vdash p : \mathsf{Struct}\ e_1; \ldots; e_i; \mathsf{Assum}()(c:T); \ldots \ \mathsf{End}}{E[\Gamma] \vdash p.c:T}$$

$$\frac{E[\Gamma] \vdash p : \mathsf{Struct}\ e_1; \ldots; e_i; \mathsf{Def}()(c := t : T); \ldots \ \mathsf{End}}{E[\Gamma] \vdash p.c : T}$$

ACC-DELTA Notice that the following rule extends the delta rule defined in section 4.3

$$\frac{E[\Gamma] \vdash p : \mathsf{Struct}\ e_1; \ldots; e_i; \mathsf{Def}()(c := t : U); \ldots\ \mathsf{End}}{E[\Gamma] \vdash p.c \, \triangleright_{\delta} t}$$

In the rules below we assume  $\Gamma_P$  is  $[p_1:P_1;\ldots;p_r:P_r]$ ,  $\Gamma_I$  is  $[I_1:A_1;\ldots;I_k:A_k]$ , and  $\Gamma_C$  is  $[c_1:C_1;\ldots;c_n:C_n]$ 

**ACC-IND** 

$$\frac{E[\Gamma] \vdash p : \mathsf{Struct}\ e_1; \dots; e_i; \mathsf{Ind}[\Gamma_P](\Gamma_C := \Gamma_I); \dots \ \mathsf{End}}{E[\Gamma] \vdash p.I_j : (p_1 : P_1) \dots (p_r : P_r)A_j}$$

$$\frac{E[\Gamma] \vdash p : \mathsf{Struct}\ e_1; \ldots; e_i; \mathsf{Ind}[\Gamma_P](\Gamma_C := \Gamma_I); \ldots \ \mathsf{End}}{E[\Gamma] \vdash p.c_m : (p_1 : P_1) \ldots (p_r : P_r) C_m I_j (I_j\ p_1 \ldots p_r)_{j=1\ldots k}}$$

**ACC-INDP** 

$$\frac{E[] \vdash p : \mathsf{Struct}\ e_1; \ldots; e_i; \mathsf{Ind}_{p'}()[\Gamma_P](\Gamma_C := \Gamma_I\ )\ ; \ldots \ \mathsf{End}}{E[] \vdash p.I_i \rhd_\delta p'.I_i}$$

$$\frac{E[] \vdash p : \mathsf{Struct}\ e_1; \ldots; e_i; \mathsf{Ind}_{p'}()[\Gamma_P](\Gamma_C := \Gamma_I\ )\ ; \ldots \ \mathsf{End}}{E[] \vdash p.c_i \, \bowtie_\delta p'.c_i}$$

# Part II The proof engine

# **Chapter 6**

# Vernacular commands

# 6.1 Displaying

# 6.1.1 Print qualid.

This command displays on the screen information about the declared or defined object referred by qualid.

## **Error messages:**

1. qualid not a defined object

#### Variants:

- 1. Print Term *qualid*.

  This is a synonym to Print *qualid* when *qualid* denotes a global constant.
- 2. About qualid.

This displays various information about the object denoted by *qualid*: its kind (module, constant, assumption, inductive, constructor, abbreviation, ...), long name, type, implicit arguments and argument scopes. It does not print the body of definitions or proofs.

### **6.1.2** Print All.

This command displays information about the current state of the environment, including sections and modules.

#### **Variants:**

- 1. Inspect num.
  - This command displays the *num* last objects of the current environment, including sections and modules.
- 2. Print Section *ident*. should correspond to a currently open section, this command displays the objects defined since the beginning of this section.

# **6.2** Flags, Options and Tables

CoQ configurability is based on flags (e.g. Set Printing All in Section 2.9), options (e.g. Set Printing Width *integer* in Section 6.9.3), or tables (e.g. Add Printing Record *ident*, in Section 2.2.4). The names of flags, options and tables are made of non-empty sequences of identifiers (conventionally with capital initial letter). The general commands handling flags, options and tables are given below.

## **6.2.1** Set flag.

This command switches flag on. The original state of flag is restored when the current module ends.

#### Variants:

- 1. Local Set flag.
  - This command switches *flag* on. The original state of *flag* is restored when the current *section* ends.
- 2. Global Set flag.

This command switches *flag* on. The original state of *flag* is *not* restored at the end of the module. Additionally, if set in a file, *flag* is switched on when the file is Require-d.

# **6.2.2** Unset flag.

This command switches flag off. The original state of flag is restored when the current module ends.

#### Variants:

- 1. Local Unset flag.
  - This command switches *flag* off. The original state of *flag* is restored when the current *section* ends.
- 2. Global Unset flag.

This command switches *flag* off. The original state of *flag* is *not* restored at the end of the module. Additionally, if set in a file, *flag* is switched off when the file is Require-d.

# **6.2.3** Test flag.

This command prints whether flag is on or off.

# **6.2.4** Set option value.

This command sets *option* to *value*. The original value of *option* is restored when the current module ends.

## Variants:

- 1. Local Set *option value*. This command sets *option* to *value*. The original value of *option* is restored at the end of the module.
- 2. Global Set *option value*. This command sets *option* to *value*. The original value of *option* is *not* restored at the end of the module. Additionally, if set in a file, *option* is set to *value* when the file is Require-d.

# 6.2.5 Unset option.

This command resets option to its default value.

#### **Variants:**

1. Local Unset option.

This command resets *option* to its default value. The original state of *option* is restored when the current *section* ends.

2. Global Unset option.

This command resets *option* to its default value. The original state of *option* is *not* restored at the end of the module. Additionally, if unset in a file, *option* is reset to its default value when the file is Require-d.

## **6.2.6** Test option.

This command prints the current value of option.

#### **6.2.7** Tables

The general commands for tables are Add table value, Remove table value, Test table, Test table for value and Print Table table.

# 6.2.8 Print Options.

This command lists all available flags, options and tables.

#### Variants:

1. Print Tables.

This is a synonymous of Print Options.

# **6.3** Requests to the environment

# **6.3.1** Check *term*.

This command displays the type of *term*. When called in proof mode, the term is checked in the local context of the current subgoal.

#### Variants:

1. selector: Check *term*. specifies on which subgoal to perform typing (see Section 8.1).

## **6.3.2** Eval convtactic in term.

This command performs the specified reduction on *term*, and displays the resulting term with its type. The term to be reduced may depend on hypothesis introduced in the first subgoal (if a proof is in progress).

See also: Section 8.7.

# 6.3.3 Compute term.

This command performs a call-by-value evaluation of *term* by using the bytecode-based virtual machine. It is a shortcut for Eval vm\_compute in *term*.

See also: Section 8.7.

# **6.3.4** Extraction term.

This command displays the extracted term from *term*. The extraction is processed according to the distinction between Set and Prop; that is to say, between logical and computational content (see Section 4.1.1). The extracted term is displayed in OBJECTIVE CAML syntax, where global identifiers are still displayed as in CoQ terms.

#### **Variants:**

1. Recursive Extraction  $qualid_1 \dots qualid_n$ .

Recursively extracts all the material needed for the extraction of global  $qualid_1, \dots, qualid_n$ .

See also: Chapter 23.

## 6.3.5 Print Assumptions qualid.

This commands display all the assumptions (axioms, parameters and variables) a theorem or definition depends on. Especially, it informs on the assumptions with respect to which the validity of a theorem relies.

# Variants:

- 1. Print Opaque Dependencies *qualid*.

  Displays the set of opaque constants *qualid* relies on in addition to the assumptions.
- 2. Print Transparent Dependencies *qualid*.

  Displays the set of transparent constants *qualid* relies on in addition to the assumptions.
- 3. Print All Dependencies *qualid*.

  Displays all assumptions and constants *qualid* relies on.

# 6.3.6 Search qualid.

This command displays the name and type of all objects (hypothesis of the current goal, theorems, axioms, etc) of the current context whose statement contains *qualid*. This command is useful to remind the user of the name of library lemmas.

# **Error messages:**

1. The reference *qualid* was not found in the current environment There is no constant in the environment named *qualid*.

#### **Variants:**

1. Search string.

If *string* is a valid identifier, this command displays the name and type of all objects (theorems, axioms, etc) of the current context whose name contains *string*. If *string* is a notation's string denoting some reference *qualid* (referred to by its main symbol as in "+" or by its notation's string as in "\_ + \_" or "\_ 'U' \_", see Section 12.1), the command works like Search *qualid*.

2. Search string%key.

The string string must be a notation or the main symbol of a notation which is then interpreted in the scope bound to the delimiting key key (see Section 12.2.2).

3. Search term\_pattern.

This searches for all statements or types of definition that contains a subterm that matches the pattern *term\_pattern* (holes of the pattern are either denoted by "\_" or by "?ident" when non linear patterns are expected).

4. Search [-]term\_pattern-string ... [-]term\_pattern-string.

where term\_pattern-string is a term\_pattern or a string, or a string followed by a scope delimiting key %key.

This generalization of Search searches for all objects whose statement or type contains a subterm matching term\_pattern (or qualid if string is the notation for a reference qualid) and whose name contains all string of the request that correspond to valid identifiers. If a term\_pattern or a string is prefixed by "-", the search excludes the objects that mention that term\_pattern or that string.

- 5. Search term\_pattern-string ... term\_pattern-string inside  $module_1$  ...  $module_n$ . This restricts the search to constructions defined in modules  $module_1$  ...  $module_n$ .
- 6. Search term\_pattern-string ... term\_pattern-string outside module<sub>1</sub>...module<sub>n</sub>.

This restricts the search to constructions not defined in modules  $module_1 \dots module_n$ .

7. selector: Search [-]term\_pattern-string ... [-]term\_pattern-string.

This specifies the goal on which to search hypothesis (see Section 8.1). By default the 1st goal is searched. This variant can be combined with other variants presented here.

#### **Examples:**

```
Coq < Require Import ZArith.
Coq < Search Z.mul Z.add "distr".
fast_Zmult_plus_distr_1:
   forall (n m p : Z) (P : Z -> Prop),
   P (n * p + m * p)%Z -> P ((n + m) * p)%Z
Z.mul_add_distr_r:
   forall n m p : Z, ((n + m) * p)%Z = (n * p + m * p)%Z
Z.mul_add_distr_l:
   forall n m p : Z, (n * (m + p))%Z = (n * m + n * p)%Z
```

```
Coq < Search "+"%Z "*"%Z "distr" -positive -Prop.
Z.mul_add_distr_r:
    forall n m p : Z, ((n + m) * p)%Z = (n * p + m * p)%Z
Z.mul_add_distr_l:
    forall n m p : Z, (n * (m + p))%Z = (n * m + n * p)%Z
Coq < Search (?x * _ + ?x * _)%Z outside OmegaLemmas.
Z.mul_add_distr_l:
    forall n m p : Z, (n * (m + p))%Z = (n * m + n * p)%Z</pre>
```

Warning: Up to CoQ version 8.4, Search had the behavior of current SearchHead and the behavior of current Search was obtained with command SearchAbout. For compatibility, the deprecated name SearchAbout can still be used as a synonym of Search. For compatibility, the list of objects to search when using SearchAbout may also be enclosed by optional [ ] delimiters.

#### 6.3.7 SearchHead term.

This command displays the name and type of all hypothesis of the current goal (if any) and theorems of the current context whose statement's conclusion has the form  $(term \ t1 \ .. \ tn)$ . This command is useful to remind the user of the name of library lemmas.

## Variants:

- 1. SearchHead term inside  $module_1 \dots module_n$ .

  This restricts the search to constructions defined in modules  $module_1 \dots module_n$ .
- 2. SearchHead term outside  $module_1 \dots module_n$ .

  This restricts the search to constructions not defined in modules  $module_1 \dots module_n$ .

# **Error messages:**

- (a) Module/section *module* not found No module *module* has been required (see Section 6.5.1).
- 3. selector: SearchHead term.

This specifies the goal on which to search hypothesis (see Section 8.1). By default the 1st goal is searched. This variant can be combined with other variants presented here.

Warning: Up to Coo version 8.4, SearchHead was named Search.

### **6.3.8** SearchPattern term.

This command displays the name and type of all hypothesis of the current goal (if any) and theorems of the current context whose statement's conclusion or last hypothesis and conclusion matches the expression *term* where holes in the latter are denoted by "\_". It is a variant of Search *term\_pattern* that does not look for subterms but searches for statements whose conclusion has exactly the expected form, or whose statement finishes by the given series of hypothesis/conclusion.

```
Coq < Require Import Arith.
Coq < SearchPattern (_ + _ = _ + _).
f_equal2_plus:
  forall x1 y1 x2 y2 : nat, x1 = y1 -> x2 = y2 -> x1 + x2 = y1 + y2
plus\_Snm\_nSm: forall n m: nat, S n + m = n + S m
plus\_assoc\_reverse: forall n m p : nat, n + m + p = n + (m + p)
Nat.add\_succ\_comm: forall n m : nat, S n + m = n + S m
Nat.add_comm: forall n \ m : nat, n + m = m + n
Nat.add_assoc: forall n m p : nat, n + (m + p) = n + m + p
Nat.add\_shuffle0: forall n m p : nat, n + m + p = n + p + m
Nat.add_shuffle1: forall n m p q : nat, n + m + (p + q) = n + p + (m + q)
Nat.add_shuffle2: forall n m p q: nat, n + m + (p + q) = n + q + (m + p)
Nat.add_shuffle3: forall n m p : nat, n + (m + p) = m + (n + p)
Coq < SearchPattern (nat -> bool).
Init.Nat.eqb: nat -> nat -> bool
Init.Nat.leb: nat -> nat -> bool
Init.Nat.ltb: nat -> nat -> bool
Init.Nat.even: nat -> bool
Init.Nat.odd: nat -> bool
Init.Nat.testbit: nat -> nat -> bool
BinNat.N.testbit_nat: BinNums.N -> nat -> bool
BinNatDef.N.testbit_nat: BinNums.N -> nat -> bool
Nat.eqb: nat -> nat -> bool
Nat.leb: nat -> nat -> bool
Nat.1tb: nat -> nat -> bool
Nat.even: nat -> bool
Nat.odd: nat -> bool
Nat.testbit: nat -> nat -> bool
BinPos.Pos.testbit_nat: BinNums.positive -> nat -> bool
BinPosDef.Pos.testbit_nat: BinNums.positive -> nat -> bool
Coq < SearchPattern (forall 1 : list _, _ 1 1).</pre>
List.lel_refl: forall (A : Type) (1 : list A), List.lel 1 1
List.incl_refl: forall (A : Type) (l : list A), List.incl l l
```

Patterns need not be linear: you can express that the same expression must occur in two places by using pattern variables '?ident'.

```
Coq < SearchPattern (?X1 + \_ = \_ + ?X1).
Nat.add_comm: forall n m : nat, n + m = m + n
```

#### Variants:

1. SearchPattern term inside  $module_1$  ...  $module_n$ .

This restricts the search to constructions defined in modules  $module_1 \dots module_n$ .

2. SearchPattern term outside  $module_1$  ...  $module_n$ .

This restricts the search to constructions not defined in modules  $module_1$  ...  $module_n$ .

3. selector: SearchPattern term.

This specifies the goal on which to search hypothesis (see Section 8.1). By default the 1st goal is searched. This variant can be combined with other variants presented here.

### **6.3.9** SearchRewrite term.

This command displays the name and type of all hypothesis of the current goal (if any) and theorems of the current context whose statement's conclusion is an equality of which one side matches the expression *term*. Holes in *term* are denoted by "."

#### Variants:

- 1. SearchRewrite term inside  $module_1$  ...  $module_n$ .

  This restricts the search to constructions defined in modules  $module_1$  ...  $module_n$ .
- 2. SearchRewrite term outside  $module_1$  ...  $module_n$ .

  This restricts the search to constructions not defined in modules  $module_1$  ...  $module_n$ .
- 3. selector: SearchRewrite term.

This specifies the goal on which to search hypothesis (see Section 8.1). By default the 1st goal is searched. This variant can be combined with other variants presented here.

#### **Nota Bene:**

For the Search, SearchHead, SearchPattern and SearchRewrite queries, it is possible to globally filter the search results via the command Add Search Blacklist "substring1". A lemma whose fully-qualified name contains any of the declared substrings will be removed from the search results. The default blacklisted substrings are "\_subproof" "Private\_". The command Remove Search Blacklist ... allows expunging this blacklist.

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# **6.3.10** Locate qualid.

This command displays the full name of objects whose name is a prefix of the qualified identifier *qualid*, and consequently the CoQ module in which they are defined. It searches for objects from the different qualified name spaces of CoQ: terms, modules, Ltac, etc.

```
Coq < Locate nat.

Inductive Coq.Init.Datatypes.nat

Coq < Locate Datatypes.O.

Constructor Coq.Init.Datatypes.O

(shorter name to refer to it in current context is 0)

Coq < Locate Init.Datatypes.O.

Constructor Coq.Init.Datatypes.O

(shorter name to refer to it in current context is 0)

Coq < Locate Coq.Init.Datatypes.O.

Constructor Coq.Init.Datatypes.O.

Constructor Coq.Init.Datatypes.O

(shorter name to refer to it in current context is 0)

Coq < Locate I.Dont.Exist.

No object of suffix

I.Dont.Exist
```

#### **Variants:**

- Locate Term qualid.
   As Locate but restricted to terms.
- 2. Locate Module qualid. As Locate but restricted to modules.
- Locate Ltac qualid.
   As Locate but restricted to tactics.

See also: Section 12.1.10

# **6.4** Loading files

CoQ offers the possibility of loading different parts of a whole development stored in separate files. Their contents will be loaded as if they were entered from the keyboard. This means that the loaded files are ASCII files containing sequences of commands for CoQ's toplevel. This kind of file is called a *script* for CoQ. The standard (and default) extension of CoQ's script files is .v.

## 6.4.1 Load ident.

This command loads the file named *ident*.v, searching successively in each of the directories specified in the *loadpath*. (see Section 2.6.3)

### Variants:

1. Load string.

Loads the file denoted by the string *string*, where *string* is any complete filename. Then the  $\sim$  and . . abbreviations are allowed as well as shell variables. If no extension is specified, CoQ will use the default extension .  $\vee$ 

2. Load Verbose *ident*., Load Verbose *string*Display, while loading, the answers of CoQ to each command (including tactics) contained in the loaded file **See also:** Section 6.9.1

#### **Error messages:**

1. Can't find file ident on loadpath

# 6.5 Compiled files

This section describes the commands used to load compiled files (see Chapter 14 for documentation on how to compile a file). A compiled file is a particular case of module called *library file*.

# 6.5.1 Require qualid.

This command looks in the loadpath for a file containing module *qualid* and adds the corresponding module to the environment of CoQ. As library files have dependencies in other library files, the command Require *qualid* recursively requires all library files the module *qualid* depends on and adds the corresponding modules to the environment of CoQ too. CoQ assumes that the compiled files have been produced by a valid CoQ compiler and their contents are then not replayed nor rechecked.

To locate the file in the file system, *qualid* is decomposed under the form *dirpath*. *ident* and the file *ident*. vo is searched in the physical directory of the file system that is mapped in CoQ loadpath to the logical path *dirpath* (see Section 2.6.3). The mapping between physical directories and logical names at the time of requiring the file must be consistent with the mapping used to compile the file. If several files match, one of them is picked in an unspecified fashion.

## Variants:

1. Require Import qualid.

This loads and declares the module *qualid* and its dependencies then imports the contents of *qualid* as described in Section 2.5.8.

It does not import the modules on which *qualid* depends unless these modules were itself required in module *qualid* using Require Export, as described below, or recursively required through a sequence of Require Export.

If the module required has already been loaded, Require Import qualid simply imports it, as Import qualid would.

2. Require Export qualid.

This command acts as Require Import qualid, but if a further module, say A, contains a command Require Export B, then the command Require Import A also imports the module B.

3. Require [Import | Export]  $qualid_1 \dots qualid_n$ .

This loads the modules  $qualid_1, \ldots, qualid_n$  and their recursive dependencies. If Import or Export is given, it also imports  $qualid_1, \ldots, qualid_n$  and all the recursive dependencies that were marked or transitively marked as Export.

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4. From dirpath Require qualid.

This command acts as Require, but picks any library whose absolute name is of the form dirpath. dirpath'. qualid for some dirpath'. This is useful to ensure that the qualid library comes from a given package by making explicit its absolute root.

#### **Error messages:**

- 1. Cannot load qualid: no physical path bound to dirpath
- 2. Cannot find library foo in loadpath

The command did not find the file foo.vo. Either foo.v exists but is not compiled or foo.vo is in a directory which is not in your LoadPath (see Section 2.6.3).

3. Compiled library ident.vo makes inconsistent assumptions over library qualid

The command tried to load library file *ident*.vo that depends on some specific version of library *qualid* which is not the one already loaded in the current CoQ session. Probably *ident*.v was not properly recompiled with the last version of the file containing module *qualid*.

4. Bad magic number

The file *ident*. vo was found but either it is not a CoQ compiled module, or it was compiled with an older and incompatible version of CoQ.

- 5. The file *ident*.vo contains library *dirpath* and not library *dirpath'*The library file *dirpath'* is indirectly required by the Require command but it is bound in the current loadpath to the file *ident*.vo which was bound to a different library name *dirpath* at the time it was compiled.
- 6. Require is not allowed inside a module or a module type

  This command is not allowed inside a module or a module type being defined. It is meant to
  describe a dependency between compilation units. Note however that the commands Import
  and Export alone can be used inside modules (see Section 2.5.8).

See also: Chapter 14

#### 6.5.2 Print Libraries.

This command displays the list of library files loaded in the current CoQ session. For each of these libraries, it also tells if it is imported.

### **6.5.3** Declare ML Module $string_1$ ... $string_n$ .

This commands loads the OBJECTIVE CAML compiled files  $string_1 \dots string_n$  (dynamic link). It is mainly used to load tactics dynamically. The files are searched into the current OBJECTIVE CAML loadpath (see the command Add ML Path in the Section 2.6.3). Loading of OBJECTIVE CAML files is only possible under the bytecode version of coqtop (i.e. coqtop called with options -byte, see chapter 14), or when COQ has been compiled with a version of OBJECTIVE CAML that supports native Dynlink ( $\geq 3.11$ ).

#### Variants:

1. Local Declare ML Module  $string_1$  ..  $string_n$ . This variant is not exported to the modules that import the module where they occur, even if outside a section.

#### **Error messages:**

- 1. File not found on loadpath: string
- 2. Loading of ML object file forbidden in a native Coo

#### 6.5.4 Print ML Modules.

This print the name of all OBJECTIVE CAML modules loaded with Declare ML Module. To know from where these module were loaded, the user should use the command Locate File (see Section 6.6.10)

# 6.6 Loadpath

Loadpaths are preferably managed using CoQ command line options (see Section 2.6.3) but there remain vernacular commands to manage them for practical purposes. Such commands are only meant to be issued in the toplevel, and using them in source files is discouraged.

#### 6.6.1 Pwd.

This command displays the current working directory.

## **6.6.2** Cd string.

This command changes the current directory according to string which can be any valid path.

## Variants:

1. Cd. Is equivalent to Pwd.

### **6.6.3** Add LoadPath string as dirpath.

This command is equivalent to the command line option -Q dirpath string. It adds the physical directory string to the current CoQ loadpath and maps it to the logical directory dirpath.

#### Variants:

1. Add LoadPath *string*.

Performs as Add LoadPath *string* as *dirpath* but for the empty directory path.

## **6.6.4** Add Rec LoadPath string as dirpath.

This command is equivalent to the command line option  $-\mathbb{R}$  dirpath string. It adds the physical directory string and all its subdirectories to the current CoQ loadpath.

#### Variants:

1. Add Rec LoadPath *string*.

Works as Add Rec LoadPath *string* as *dirpath* but for the empty logical directory path.

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# **6.6.5** Remove LoadPath string.

This command removes the path *string* from the current CoQ loadpath.

#### 6.6.6 Print LoadPath.

This command displays the current Coo loadpath.

#### Variants:

1. Print LoadPath *dirpath*.

Works as Print LoadPath but displays only the paths that extend the *dirpath* prefix.

# **6.6.7** Add ML Path string.

This command adds the path *string* to the current OBJECTIVE CAML loadpath (see the command Declare ML Module in the Section 6.5).

# 6.6.8 Add Rec ML Path string.

This command adds the directory *string* and all its subdirectories to the current OBJECTIVE CAML loadpath (see the command Declare ML Module in the Section 6.5).

## 6.6.9 Print ML Path string.

This command displays the current OBJECTIVE CAML loadpath. This command makes sense only under the bytecode version of coqtop, i.e. using option -byte (see the command Declare ML Module in the section 6.5).

### **6.6.10** Locate File string.

This command displays the location of file *string* in the current loadpath. Typically, *string* is a .cmo or .vo or .v file.

# 6.6.11 Locate Library dirpath.

This command gives the status of the CoQ module *dirpath*. It tells if the module is loaded and if not searches in the load path for a module of logical name *dirpath*.

# 6.7 Backtracking

The backtracking commands described in this section can only be used interactively, they cannot be part of a vernacular file loaded via Load or compiled by cogc.

## 6.7.1 Reset *ident*.

This command removes all the objects in the environment since *ident* was introduced, including *ident*. *ident* may be the name of a defined or declared object as well as the name of a section. One cannot reset over the name of a module or of an object inside a module.

#### **Error messages:**

1. ident: no such entry

#### Variants:

1. Reset Initial.

Goes back to the initial state, just after the start of the interactive session.

# 6.7.2 Back.

This commands undoes all the effects of the last vernacular command. Commands read from a vernacular file via a Load are considered as a single command. Proof management commands are also handled by this command (see Chapter 7). For that, Back may have to undo more than one command in order to reach a state where the proof management information is available. For instance, when the last command is a Qed, the management information about the closed proof has been discarded. In this case, Back will then undo all the proof steps up to the statement of this proof.

#### **Variants:**

1. Back n

Undoes n vernacular commands. As for Back, some extra commands may be undone in order to reach an adequate state. For instance Back  $\,$ n will not re-enter a closed proof, but rather go just before that proof.

#### **Error messages:**

1. Invalid backtrack

The user wants to undo more commands than available in the history.

#### 6.7.3 BackTo num.

This command brings back the system to the state labeled num, forgetting the effect of all commands executed after this state. The state label is an integer which grows after each successful command. It is displayed in the prompt when in -emacs mode. Just as Back (see above), the BackTo command now handles proof states. For that, it may have to undo some extra commands and end on a state  $num' \le num$  if necessary.

# Variants:

- 1. Backtrack  $num_1$   $num_2$   $num_3$ .

  Backtrack is a *deprecated* form of BackTo which allows explicitly manipulating the proof environment. The three numbers  $num_1$ ,  $num_2$  and  $num_3$  represent the following:
  - *num*<sub>3</sub>: Number of Abort to perform, i.e. the number of currently opened nested proofs that must be canceled (see Chapter 7).
  - num<sub>2</sub>: *Proof state number* to unbury once aborts have been done. CoQ will compute the number of Undo to perform (see Chapter 7).
  - $num_1$ : State label to reach, as for BackTo.

#### **Error messages:**

1. Invalid backtrack

The destination state label is unknown.

# 6.8 Quitting and debugging

# **6.8.1** Quit.

This command permits to quit CoQ.

# **6.8.2** Drop.

This is used mostly as a debug facility by CoQ's implementors and does not concern the casual user. This command permits to leave CoQ temporarily and enter the OBJECTIVE CAML toplevel. The OBJECTIVE CAML command:

```
#use "include";;
```

add the right loadpaths and loads some toplevel printers for all abstract types of CoQ- section\_path, identifiers, terms, judgments, .... You can also use the file base\_include instead, that loads only the pretty-printers for section\_paths and identifiers. You can return back to CoQ with the command:

```
go();;
```

#### **Warnings:**

- 1. It only works with the bytecode version of CoQ (i.e. coqtop called with option -byte, see the contents of Section 14.1).
- 2. You must have compiled CoQ from the source package and set the environment variable COQTOP to the root of your copy of the sources (see Section 14.3.2).

#### 6.8.3 Time command.

This command executes the vernacular command command and display the time needed to execute it.

# 6.8.4 Redirect "file" command.

This command executes the vernacular command command, redirecting its output to "file.out".

#### 6.8.5 Timeout int command.

This command executes the vernacular command *command*. If the command has not terminated after the time specified by the integer (time expressed in seconds), then it is interrupted and an error message is displayed.

#### 6.8.6 Set Default Timeout int.

After using this command, all subsequent commands behave as if they were passed to a Timeout command. Commands already starting by a Timeout are unaffected.

## 6.8.7 Unset Default Timeout.

This command turns off the use of a default timeout.

#### 6.8.8 Test Default Timeout.

This command displays whether some default timeout has be set or not.

# 6.9 Controlling display

# **6.9.1** Set Silent.

This command turns off the normal displaying.

## 6.9.2 Unset Silent.

This command turns the normal display on.

# 6.9.3 Set Printing Width integer.

This command sets which left-aligned part of the width of the screen is used for display.

## 6.9.4 Unset Printing Width.

This command resets the width of the screen used for display to its default value (which is 78 at the time of writing this documentation).

## 6.9.5 Test Printing Width.

This command displays the current screen width used for display.

# 6.9.6 Set Printing Depth integer.

This command sets the nesting depth of the formatter used for pretty-printing. Beyond this depth, display of subterms is replaced by dots.

# 6.9.7 Unset Printing Depth.

This command resets the nesting depth of the formatter used for pretty-printing to its default value (at the time of writing this documentation, the default value is 50).

# 6.9.8 Test Printing Depth.

This command displays the current nesting depth used for display.

# 6.10 Controlling the reduction strategies and the conversion algorithm

CoQ provides reduction strategies that the tactics can invoke and two different algorithms to check the convertibility of types. The first conversion algorithm lazily compares applicative terms while the other is a brute-force but efficient algorithm that first normalizes the terms before comparing them. The second algorithm is based on a bytecode representation of terms similar to the bytecode representation used in the ZINC virtual machine [98]. It is especially useful for intensive computation of algebraic values, such

as numbers, and for reflection-based tactics. The commands to fine-tune the reduction strategies and the lazy conversion algorithm are described first.

# **6.10.1** Opaque qualid<sub>1</sub> ... qualid<sub>n</sub>.

This command has an effect on unfoldable constants, i.e. on constants defined by Definition or Let (with an explicit body), or by a command assimilated to a definition such as Fixpoint, Program Definition, etc, or by a proof ended by Defined. The command tells not to unfold the constants  $qualid_1 \dots qualid_n$  in tactics using  $\delta$ -conversion (unfolding a constant is replacing it by its definition).

Opaque has also an effect on the conversion algorithm of CoQ, telling it to delay the unfolding of a constant as much as possible when CoQ has to check the conversion (see Section 4.3) of two distinct applied constants.

The scope of Opaque is limited to the current section, or current file, unless the variant Global Opaque  $qualid_1 \ldots qualid_n$  is used.

**See also:** sections 8.7, 8.16, 7.1

## **Error messages:**

1. The reference *qualid* was not found in the current environment There is no constant referred by *qualid* in the environment. Nevertheless, if you asked Opaque foo bar and if bar does not exist, foo is set opaque.

# **6.10.2** Transparent $qualid_1 \dots qualid_n$ .

This command is the converse of Opaque and it applies on unfoldable constants to restore their unfoldability after an Opaque command.

Note in particular that constants defined by a proof ended by <code>Qed</code> are not unfoldable and <code>Transparent</code> has no effect on them. This is to keep with the usual mathematical practice of *proof irrelevance*: what matters in a mathematical development is the sequence of lemma statements, not their actual proofs. This distinguishes lemmas from the usual defined constants, whose actual values are of course relevant in general.

The scope of Transparent is limited to the current section, or current file, unless the variant Global Transparent  $qualid_1 \dots qualid_n$  is used.

#### **Error messages:**

1. The reference *qualid* was not found in the current environment. There is no constant referred by *qualid* in the environment.

**See also:** sections 8.7, 8.16, 7.1

# **6.10.3** Strategy level [ qualid<sub>1</sub> ... qualid<sub>n</sub> ].

This command generalizes the behavior of Opaque and Transparent commands. It is used to fine-tune the strategy for unfolding constants, both at the tactic level and at the kernel level. This command associates a level to  $qualid_1 \dots qualid_n$ . Whenever two expressions with two distinct head constants are compared (for instance, this comparison can be triggered by a type cast), the one with lower level is expanded first. In case of a tie, the second one (appearing in the cast type) is expanded.

Levels can be one of the following (higher to lower):

**opaque**: level of opaque constants. They cannot be expanded by tactics (behaves like  $+\infty$ , see next item).

**num**: levels indexed by an integer. Level 0 corresponds to the default behavior, which corresponds to transparent constants. This level can also be referred to as **transparent**. Negative levels correspond to constants to be expanded before normal transparent constants, while positive levels correspond to constants to be expanded after normal transparent constants.

**expand**: level of constants that should be expanded first (behaves like  $-\infty$ )

These directives survive section and module closure, unless the command is prefixed by Local. In the latter case, the behavior regarding sections and modules is the same as for the Transparent and Opaque commands.

# 6.10.4 Print Strategy qualid.

This command prints the strategy currently associated to *qualid*. It fails if *qualid* is not an unfoldable reference, that is, neither a variable nor a constant.

### **Error messages:**

1. The reference is not unfoldable.

#### Variants:

1. Print Strategies
Print all the currently non-transparent strategies.

#### **6.10.5** Declare Reduction ident := convtactic.

This command allows giving a short name to a reduction expression, for instance lazy beta delta [foo bar]. This short name can then be used in Eval ident in ... or eval directives. This command accepts the Local modifier, for discarding this reduction name at the end of the file or module. For the moment the name cannot be qualified. In particular declaring the same name in several modules or in several functor applications will be refused if these declarations are not local. The name ident cannot be used directly as an Ltac tactic, but nothing prevent the user to also perform a Ltac ident := convtactic.

# See also: sections 8.7

# **6.11** Controlling the locality of commands

### 6.11.1 Local, Global

Some commands support a Local or Global prefix modifier to control the scope of their effect. There are four kinds of commands:

• Commands whose default is to extend their effect both outside the section and the module or library file they occur in.

For these commands, the Local modifier limits the effect of the command to the current section or module it occurs in.

As an example, the Coercion (see Section 2.8) and Strategy (see Section 6.10.3) commands belong to this category.

• Commands whose default behavior is to stop their effect at the end of the section they occur in but to extent their effect outside the module or library file they occur in.

For these commands, the Local modifier limits the effect of the command to the current module if the command does not occur in a section and the Global modifier extends the effect outside the current sections and current module if the command occurs in a section.

As an example, the Implicit Arguments (see Section 2.7), Ltac (see Chapter 9) or Notation (see Section 12.1) commands belong to this category.

Notice that a subclass of these commands do not support extension of their scope outside sections at all and the Global is not applicable to them.

• Commands whose default behavior is to stop their effect at the end of the section or module they occur in.

For these commands, the Global modifier extends their effect outside the sections and modules they occurs in.

The Transparent and Opaque (see Section 6.10) commands belong to this category.

 Commands whose default behavior is to extend their effect outside sections but not outside modules when they occur in a section and to extend their effect outside the module or library file they occur in when no section contains them.

For these commands, the Local modifier limits the effect to the current section or module while the Global modifier extends the effect outside the module even when the command occurs in a section.

The Set and Unset commands belong to this category.

# Chapter 7

# **Proof handling**

In CoQ's proof editing mode all top-level commands documented in Chapter 6 remain available and the user has access to specialized commands dealing with proof development pragmas documented in this section. He can also use some other specialized commands called *tactics*. They are the very tools allowing the user to deal with logical reasoning. They are documented in Chapter 8.

When switching in editing proof mode, the prompt Coq < is changed into *ident* < where *ident* is the declared name of the theorem currently edited.

At each stage of a proof development, one has a list of goals to prove. Initially, the list consists only in the theorem itself. After having applied some tactics, the list of goals contains the subgoals generated by the tactics.

To each subgoal is associated a number of hypotheses called the *local\_context* of the goal. Initially, the local context contains the local variables and hypotheses of the current section (see Section 1.3.1) and the local variables and hypotheses of the theorem statement. It is enriched by the use of certain tactics (see e.g. intro in Section 8.3.1).

When a proof is completed, the message Proof completed is displayed. One can then register this proof as a defined constant in the environment. Because there exists a correspondence between proofs and terms of  $\lambda$ -calculus, known as the *Curry-Howard isomorphism* [81, 6, 75, 85], CoQ stores proofs as terms of CIC. Those terms are called *proof terms*.

**Error message:** When one attempts to use a proof editing command out of the proof editing mode, CoQ raises the error message: No focused proof.

# 7.1 Switching on/off the proof editing mode

The proof editing mode is entered by asserting a statement, which typically is the assertion of a theorem:

```
Theorem ident [binders]: form.
```

The list of assertion commands is given in Section 1.3.5. The command Goal can also be used.

#### **7.1.1** Goal form.

This is intended for quick assertion of statements, without knowing in advance which name to give to the assertion, typically for quick testing of the provability of a statement. If the proof of the statement is eventually completed and validated, the statement is then bound to the name Unnamed\_thm (or a variant of this name not already used for another statement).

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#### 7.1.2 Oed.

This command is available in interactive editing proof mode when the proof is completed. Then <code>Qed</code> extracts a proof term from the proof script, switches back to CoQ top-level and attaches the extracted proof term to the declared name of the original goal. This name is added to the environment as an <code>Opaque</code> constant.

#### **Error messages:**

- 1. Attempt to save an incomplete proof
- 2. Sometimes an error occurs when building the proof term, because tactics do not enforce completely the term construction constraints.

The user should also be aware of the fact that since the proof term is completely rechecked at this point, one may have to wait a while when the proof is large. In some exceptional cases one may even incur a memory overflow.

#### **Variants:**

1. Defined.

Defines the proved term as a transparent constant.

2. Save.

This is a deprecated equivalent to Qed.

3. Save ident.

Forces the name of the original goal to be *ident*. This command (and the following ones) can only be used if the original goal has been opened using the Goal command.

4. Save Theorem ident.

Save Lemma ident.

Save Remark ident.

Save Fact ident. Save Corollary ident. Save Proposition ident.

Are equivalent to Save ident.

### 7.1.3 Admitted.

This command is available in interactive editing proof mode to give up the current proof and declare the initial goal as an axiom.

# **7.1.4** Proof *term*.

This command applies in proof editing mode. It is equivalent to exact term; Save. That is, you have to give the full proof in one gulp, as a proof term (see Section 8.2.1).

Variant: Proof.

Is a noop which is useful to delimit the sequence of tactic commands which start a proof, after a Theorem command. It is a good practice to use Proof. as an opening parenthesis, closed in the script with a closing Qed.

See also: Proof with tactic. in Section 8.9.7.

# **7.1.5** Proof using $ident_1 \dots ident_n$ .

This command applies in proof editing mode. It declares the set of section variables (see 1.3.1) used by the proof. At Qed time, the system will assert that the set of section variables actually used in the proof is a subset of the declared one.

The set of declared variables is closed under type dependency. For example if T is variable and a is a variable of type T, the commands Proof using a and Proof using T a are actually equivalent.

```
Variant: Proof using ident_1 \dots ident_n with tactic. in Section 8.9.7.
```

Variant: Proof using All.

Use all section variables.

Variant: Proof using Type. Variant: Proof using.

Use only section variables occurring in the statement.

```
Variant: Proof using Type*.
```

The  $\star$  operator computes the forward transitive closure. E.g. if the variable H has type p < 5 then H is in p $\star$  since p occurs in the type of H. Type $\star$  is the forward transitive closure of the entire set of section variables occurring in the statement.

```
Variant: Proof using -(ident_1...ident_n). Use all section variables except ident_1...ident_n.
```

**Variant:** Proof using collection<sub>1</sub> + collection<sub>2</sub>.

Variant: Proof using  $collection_1$  -  $collection_2$ .

**Variant:** Proof using collection - (  $ident_1 ... ident_n$  ).

Variant: Proof using collection \* .

Use section variables being, respectively, in the set union, set difference, set complement, set forward transitive closure. See Section 7.1.5 to know how to form a named collection. The  $\star$  operator binds stronger than + and -.

### Proof using options

The following options modify the behavior of Proof using.

```
Variant: Set Default Proof Using "expression".
```

Use expression as the default Proof using value. E.g. Set Default Proof Using "a b". will complete all Proof commands not followed by a using part with using a b.

```
Variant: Set Suggest Proof Using.
```

When Qed is performed, suggest a using annotation if the user did not provide one.

### Name a set of section hypotheses for Proof using

The command Collection can be used to name a set of section hypotheses, with the purpose of making Proof using annotations more compact.

```
Variant: Collection Some := x y z.
```

Define the collection named "Some" containing x y and z

```
Variant: Collection Fewer := Some - x.
```

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Define the collection named "Fewer" containing only x y

```
Variant: Collection Many := Fewer + Some. Variant: Collection Many := Fewer - Some.
```

Define the collection named "Many" containing the set union or set difference of "Fewer" and "Some".

```
Variant: Collection Many := Fewer - (x y).
```

Define the collection named "Many" containing the set difference of "Fewer" and the unnamed collection x y.

#### 7.1.6 Abort.

This command cancels the current proof development, switching back to the previous proof development, or to the CoQ toplevel if no other proof was edited.

#### **Error messages:**

1. No focused proof (No proof-editing in progress)

#### Variants:

1. Abort ident.

Aborts the editing of the proof named ident.

2. Abort All.

Aborts all current goals, switching back to the Coo toplevel.

#### 7.1.7 Existential num := term.

This command instantiates an existential variable. *num* is an index in the list of uninstantiated existential variables displayed by Show Existentials (described in Section 7.3.1).

This command is intended to be used to instantiate existential variables when the proof is completed but some uninstantiated existential variables remain. To instantiate existential variables during proof edition, you should use the tactic instantiate.

```
See also: instantiate (num := term). in Section 8.4.4. See also: Grab Existential Variables. below.
```

#### 7.1.8 Grab Existential Variables.

This command can be run when a proof has no more goal to be solved but has remaining uninstantiated existential variables. It takes every uninstantiated existential variable and turns it into a goal.

# 7.2 Navigation in the proof tree

#### 7.2.1 Undo.

This command cancels the effect of the last command. Thus, it backtracks one step.

#### **Variants:**

1. Undo num.

Repeats Undo num times.

# **7.2.2** Restart.

This command restores the proof editing process to the original goal.

### **Error messages:**

1. No focused proof to restart

### **7.2.3** Focus.

This focuses the attention on the first subgoal to prove and the printing of the other subgoals is suspended until the focused subgoal is solved or unfocused. This is useful when there are many current subgoals which clutter your screen.

#### Variant:

1. Focus num.

This focuses the attention on the  $num^{th}$  subgoal to prove.

### 7.2.4 Unfocus.

This command restores to focus the goal that were suspended by the last Focus command.

# 7.2.5 Unfocused.

Succeeds in the proof is fully unfocused, fails is there are some goals out of focus.

### **7.2.6** { and }

The command { (without a terminating period) focuses on the first goal, much like Focus. does, however, the subproof can only be unfocused when it has been fully solved (*i.e.* when there is no focused goal left). Unfocusing is then handled by } (again, without a terminating period). See also example in next section.

Note that when a focused goal is proved a message is displayed together with a suggestion about the right bullet or } to unfocus it or focus the next one.

# **Error messages:**

- 1. This proof is focused, but cannot be unfocused this way You are trying to use } but the current subproof has not been fully solved.
- 2. see also error message about bullets below.

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#### **7.2.7 Bullets**

Alternatively to  $\{$  and  $\}$ , proofs can be structured with bullets. The use of a bullet b for the first time focuses on the first goal g, the same bullet cannot be used again until the proof of g is completed, then it is mandatory to focus the next goal with b. The consequence is that g and all goals present when g was focused are focused with the same bullet b. See the example below.

Different bullets can be used to nest levels. The scope of bullet does not go beyond enclosing { and }, so bullets can be reused as further nesting levels provided they are delimited by these. Available bullets are -, +, +, -, ++, +, +, -, +++, ++, ++, ++, ... (without a terminating period).

Note again that when a focused goal is proved a message is displayed together with a suggestion about the right bullet or } to unfocus it or focus the next one.

Remark: In PROOF GENERAL (Emacs interface to CoQ), you must use bullets with the priority ordering shown above to have a correct indentation. For example – must be the outer bullet and \*\* the inner one in the example below.

The following example script illustrates all these features:

```
Coq < Goal (((True/\True)/\True)/\True)/\True.</pre>
Coq < Proof.
Coq <
        split.
        - split.
Coq <
          + split.
Coq <
             ** { split.
Coq <
Coq <
                 - trivial.
                 - trivial.
Coq <
               }
Coq <
             ** trivial.
Coq <
Coq <
          + trivial.
        - assert True.
Coq <
          { trivial. }
Coq <
Coa <
          assumption.
```

#### **Error messages:**

- Wrong bullet bullet1: Current bullet bullet2 is not finished.
   Before using bullet bullet1 again, you should first finish proving the current focused goal. Note that bullet1 and bullet2 may be the same.
- 2. Wrong bullet bullet1: Bullet bullet2 is mandatory here. You must put bullet2 to focus next goal. No other bullet is allowed here.
- 3. No such goal. Focus next goal with bullet *bullet*.

  You tried to applied a tactic but no goal where under focus. Using *bullet* is mandatory here.
- 4. No such goal. Try unfocusing with "}". You just finished a goal focused by {, you must unfocus it with "}".

The bullet behavior can be controlled by the following commands.

Set Bullet Behavior "None".

This makes bullets inactive.

Set Bullet Behavior "Strict Subproofs".

This makes bullets active (this is the default behavior).

# 7.3 Requesting information

#### 7.3.1 Show.

This command displays the current goals.

#### Variants:

1. Show num.

Displays only the *num*-th subgoal.

# **Error messages:**

- (a) No such goal
- (b) No focused proof
- 2. Show Script.

Displays the whole list of tactics applied from the beginning of the current proof. This tactics script may contain some holes (subgoals not yet proved). They are printed under the form <Your Tactic Text here>.

3. Show Proof.

It displays the proof term generated by the tactics that have been applied. If the proof is not completed, this term contain holes, which correspond to the sub-terms which are still to be constructed. These holes appear as a question mark indexed by an integer, and applied to the list of variables in the context, since it may depend on them. The types obtained by abstracting away the context from the type of each hole-placer are also printed.

4. Show Conjectures.

It prints the list of the names of all the theorems that are currently being proved. As it is possible to start proving a previous lemma during the proof of a theorem, this list may contain several names.

5. Show Intro.

If the current goal begins by at least one product, this command prints the name of the first product, as it would be generated by an anonymous Intro. The aim of this command is to ease the writing of more robust scripts. For example, with an appropriate PROOF GENERAL macro, it is possible to transform any anonymous Intro into a qualified one such as Intro y13. In the case of a non-product goal, it prints nothing.

6. Show Intros.

This command is similar to the previous one, it simulates the naming process of an Intros.

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#### 7. Show Existentials.

It displays the set of all uninstantiated existential variables in the current proof tree, along with the type and the context of each variable.

8. Show Universes.

It displays the set of all universe constraints and its normalized form at the current stage of the proof, useful for debugging universe inconsistencies.

#### 7.3.2 Guarded.

Some tactics (e.g. refine 8.2.3) allow to build proofs using fixpoint or co-fixpoint constructions. Due to the incremental nature of interactive proof construction, the check of the termination (or guardedness) of the recursive calls in the fixpoint or cofixpoint constructions is postponed to the time of the completion of the proof.

The command Guarded allows checking if the guard condition for fixpoint and cofixpoint is violated at some time of the construction of the proof without having to wait the completion of the proof."

# 7.4 Controlling the effect of proof editing commands

# 7.4.1 Set Hyps Limit num.

This command sets the maximum number of hypotheses displayed in goals after the application of a tactic. All the hypotheses remains usable in the proof development.

# 7.4.2 Unset Hyps Limit.

This command goes back to the default mode which is to print all available hypotheses.

# 7.4.3 Set Automatic Introduction.

The option Automatic Introduction controls the way binders are handled in assertion commands such as Theorem *ident [binders]*: form. When the option is set, which is the default, binders are automatically put in the local context of the goal to prove.

The option can be unset by issuing Unset Automatic Introduction. When the option is unset, *binders* are discharged on the statement to be proved and a tactic such as intro (see Section 8.3.1) has to be used to move the assumptions to the local context.

# 7.5 Controlling memory usage

When experiencing high memory usage the following commands can be used to force Coq to optimize some of its internal data structures.

### 7.5.1 Optimize Proof.

This command forces Coq to shrink the data structure used to represent the ongoing proof.

# 7.5.2 Optimize Heap.

This command forces the OCaml runtime to perform a heap compaction. This is in general an expensive operation. See: http://caml.inria.fr/pub/docs/manual-ocaml/libref/Gc.html# VALcompact

7 Proof handling

# **Chapter 8**

# **Tactics**

A deduction rule is a link between some (unique) formula, that we call the *conclusion* and (several) formulas that we call the *premises*. A deduction rule can be read in two ways. The first one says: "if I know this and this then I can deduce this". For instance, if I have a proof of A and a proof of B then I have a proof of  $A \wedge B$ . This is forward reasoning from premises to conclusion. The other way says: "to prove this I have to prove this and this". For instance, to prove  $A \wedge B$ , I have to prove A and I have to prove B. This is backward reasoning from conclusion to premises. We say that the conclusion is the goal to prove and premises are the subgoals. The tactics implement backward reasoning. When applied to a goal, a tactic replaces this goal with the subgoals it generates. We say that a tactic reduces a goal to its subgoal(s).

Each (sub)goal is denoted with a number. The current goal is numbered 1. By default, a tactic is applied to the current goal, but one can address a particular goal in the list by writing n:tactic which means "apply tactic tactic to goal number n". We can show the list of subgoals by typing Show (see Section 7.3.1).

Since not every rule applies to a given statement, every tactic cannot be used to reduce any goal. In other words, before applying a tactic to a given goal, the system checks that some *preconditions* are satisfied. If it is not the case, the tactic raises an error message.

Tactics are built from atomic tactics and tactic expressions (which extends the folklore notion of tactical) to combine those atomic tactics. This chapter is devoted to atomic tactics. The tactic language will be described in Chapter 9.

# **8.1** Invocation of tactics

A tactic is applied as an ordinary command. It may be preceded by a goal selector: all if the tactic is to be applied to every focused goal simultaneously, or a natural number n if it is to be applied to the n-th goal. If no selector is specified, the default selector (see Section 8.1.1) is used.

## 8.1.1 Set Default Goal Selector "selector".

After using this command, the default selector — used when no selector is specified when applying a tactic — is set to the chosen value. The initial value is 1, hence the tactics are, by default, applied to the first goal. Using Set Default Goal Selector "all" will make is so that tactics are, by

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default, applied to every goal simultaneously. Then, to apply a tactic tac to the first goal only, you can write 1:tac.

```
8.1.2 Test Default Goal Selector.
```

This command displays the current default selector.

## 8.1.3 Bindings list

Tactics that take a term as argument may also support a bindings list, so as to instantiate some parameters of the term by name or position. The general form of a term equipped with a bindings list is term with bindings\_list where bindings\_list may be of two different forms:

• In a bindings list of the form  $(ref_1 := term_1) \dots (ref_n := term_n)$ , ref is either an ident or a num. The references are determined according to the type of term. If  $ref_i$  is an identifier, this identifier has to be bound in the type of term and the binding provides the tactic with an instance for the parameter of this name. If  $ref_i$  is some number n, this number denotes the n-th non dependent premise of the term, as determined by the type of term.

Error message: No such binder

• A bindings list can also be a simple list of terms  $term_1 \dots term_n$ . In that case the references to which these terms correspond are determined by the tactic. In case of induction, destruct, elim and case (see Section 9) the terms have to provide instances for all the dependent products in the type of term while in the case of apply, or of constructor and its variants, only instances for the dependent products that are not bound in the conclusion of the type are required.

Error message: Not the right number of missing arguments

#### 8.1.4 Occurrences sets and occurrences clauses

An occurrences clause is a modifier to some tactics that obeys the following syntax:

The role of an occurrence clause is to select a set of occurrences of a term in a goal. In the first case, the  $ident_i$  [at  $num_1^i$  ...  $num_{n_i}^i$ ] parts indicate that occurrences have to be selected in the hypotheses named  $ident_i$ . If no numbers are given for hypothesis  $ident_i$ , then all the occurrences of term in the hypothesis are selected. If numbers are given, they refer to occurrences of term when the term is printed using option Set Printing All (see Section 2.9), counting from left to right. In particular, occurrences of term in implicit arguments (see Section 2.7) or coercions (see Section 2.8) are counted.

If a minus sign is given between at and the list of occurrences, it negates the condition so that the clause denotes all the occurrences except the ones explicitly mentioned after the minus sign.

As an exception to the left-to-right order, the occurrences in the return subexpression of a match are considered *before* the occurrences in the matched term.

In the second case, the  $\star$  on the left of | – means that all occurrences of *term* are selected in every hypothesis.

In the first and second case, if  $\star$  is mentioned on the right of |-, the occurrences of the conclusion of the goal have to be selected. If some numbers are given, then only the occurrences denoted by these numbers are selected. In no numbers are given, all occurrences of *term* in the goal are selected.

Finally, the last notation is an abbreviation for \* |- \*. Note also that |- is optional in the first case when no \* is given.

Here are some tactics that understand occurrences clauses: set, remember, induction, destruct.

**See also:** Sections 8.3.7, 8.5.2, 2.9.

# 8.2 Applying theorems

#### **8.2.1** exact term

This tactic applies to any goal. It gives directly the exact proof term of the goal. Let T be our goal, let p be a term of type U then exact p succeeds iff T and U are convertible (see Section 4.3).

# **Error messages:**

1. Not an exact proof

# Variants:

1. eexact term

This tactic behaves like exact but is able to handle terms and goals with meta-variables.

# 8.2.2 assumption

This tactic looks in the local context for an hypothesis which type is equal to the goal. If it is the case, the subgoal is proved. Otherwise, it fails.

#### **Error messages:**

1. No such assumption

#### Variants:

1. eassumption

This tactic behaves like assumption but is able to handle goals with meta-variables.

# 8.2.3 refine term

This tactic applies to any goal. It behaves like exact with a big difference: the user can leave some holes (denoted by \_ or (\_:type)) in the term. refine will generate as many subgoals as there are holes in the term. The type of holes must be either synthesized by the system or declared by an explicit cast like (\_:nat->Prop). Any subgoal that occurs in other subgoals is automatically shelved, as if

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calling shelve\_unifiable (see Section 8.17.4). This low-level tactic can be useful to advanced users.

## **Example:**

```
Coq < Inductive Option : Set :=
       | Fail : Option
       | Ok : bool -> Option.
Coq < Definition get : forall x:Option, x <> Fail -> bool.
1 subgoal
 _____
 forall x : Option, x <> Fail -> bool
Coq < refine
      (fun x:Option =>
         match x return x <> Fail -> bool with
         | Fail =>
         | Ok b => fun _ => b
         end).
1 subgoal
 x : Option
 Fail <> Fail -> bool
Coq < intros; absurd (Fail = Fail); trivial.
No more subgoals.
Coq < Defined.
```

#### **Error messages:**

- 1. invalid argument: the tactic refine does not know what to do with the term you gave.
- Refine passed ill-formed term: the term you gave is not a valid proof (not easy to debug in general). This message may also occur in higher-level tactics that call refine internally.
- 3. Cannot infer a term for this placeholder: there is a hole in the term you gave which type cannot be inferred. Put a cast around it.

#### Variants:

1. simple refine term

This tactic behaves like refine, but it does not shelve any subgoal. It does not perform any beta-reduction either.

# 8.2.4 apply term

This tactic applies to any goal. The argument *term* is a term well-formed in the local context. The tactic apply tries to match the current goal against the conclusion of the type of *term*. If it succeeds, then the tactic returns as many subgoals as the number of non-dependent premises of the type of *term*.

If the conclusion of the type of *term* does not match the goal *and* the conclusion is an inductive type isomorphic to a tuple type, then each component of the tuple is recursively matched to the goal in the left-to-right order.

The tactic apply relies on first-order unification with dependent types unless the conclusion of the type of term is of the form  $(P \ t_1 \ \dots \ t_n)$  with P to be instantiated. In the latter case, the behavior depends on the form of the goal. If the goal is of the form  $(\text{fun } x => Q) \ u_1 \ \dots \ u_n$  and the  $t_i$  and  $u_i$  unifies, then P is taken to be (fun x => Q). Otherwise, apply tries to define P by abstracting over  $t_1 \dots t_n$  in the goal. See pattern in Section 8.7.7 to transform the goal so that it gets the form  $(\text{fun } x => Q) \ u_1 \ \dots \ u_n$ .

#### **Error messages:**

1. Impossible to unify ... with ...

The apply tactic failed to match the conclusion of *term* and the current goal. You can help the apply tactic by transforming your goal with the change or pattern tactics (see sections 8.7.7, 8.6.5).

2. Unable to find an instance for the variables *ident* ... *ident*This occurs when some instantiations of the premises of *term* are not deducible from the unification. This is the case, for instance, when you want to apply a transitivity property. In this case, you have to use one of the variants below:

#### Variants:

1. apply term with  $term_1 \dots term_n$ 

Provides apply with explicit instantiations for all dependent premises of the type of *term* that do not occur in the conclusion and consequently cannot be found by unification. Notice that  $term_1$  ...  $term_n$  must be given according to the order of these dependent premises of the type of term.

Error message: Not the right number of missing arguments

2. apply term with  $(ref_1 := term_1)$  ...  $(ref_n := term_n)$ 

This also provides apply with values for instantiating premises. Here, variables are referred by names and non-dependent products by increasing numbers (see syntax in Section 8.1.3).

3. apply  $term_1$ , ...,  $term_n$ 

This is a shortcut for apply  $term_1$ ; [ .. | ...; [ ... | apply  $term_n$  ] ...], i.e. for the successive applications of  $term_{i+1}$  on the last subgoal generated by apply  $term_i$ , starting from the application of  $term_1$ .

4. eapply term

The tactic eapply behaves like apply but it does not fail when no instantiations are deducible for some variables in the premises. Rather, it turns these variables into existential variables which are variables still to instantiate (see Section 2.11). The instantiation is intended to be found later in the proof.

5. simple apply term

This behaves like apply but it reasons modulo conversion only on subterms that contain no variables to instantiate. For instance, the following example does not succeed because it would require the conversion of id ?foo and O.

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Because it reasons modulo a limited amount of conversion, simple apply fails quicker than apply and it is then well-suited for uses in used-defined tactics that backtrack often. Moreover, it does not traverse tuples as apply does.

6. [simple] apply  $term_1$  [with  $bindings\_list_1$ ], ...,  $term_n$  [with  $bindings\_list_n$ ] [simple] eapply  $term_1$  [with  $bindings\_list_1$ ], ...,  $term_n$  [with  $bindings\_list_n$ ] This summarizes the different syntaxes for apply and eapply.

## 7. lapply term

This tactic applies to any goal, say G. The argument *term* has to be well-formed in the current context, its type being reducible to a non-dependent product  $A \to B$  with B possibly containing products. Then it generates two subgoals  $B\to G$  and A. Applying lapply H (where H has type  $A\to B$  and B does not start with a product) does the same as giving the sequence cut B. 2:apply H. where cut is described below.

**Warning:** When *term* contains more than one non dependent product the tactic lapply only takes into account the first product.

#### **Example:** Assume we have a transitive relation R on nat:

```
Coq < Variable R : nat -> nat -> Prop.
Coq < Hypothesis Rtrans : forall x y z:nat, R x y -> R y z -> R x z.
Coq < Variables n m p : nat.
Coq < Hypothesis Rnm : R n m.
Coq < Hypothesis Rmp : R m p.</pre>
```

Consider the goal  $(R \ n \ p)$  provable using the transitivity of R:

```
Coq < Goal R n p.
```

The direct application of Rtrans with apply fails because no value for y in Rtrans is found by apply:

```
Coq < Fail apply Rtrans.</pre>
The command has indeed failed with message:
Unable to find an instance for the variable y.
1 subgoal
 ______
 R n p
  A solution is to apply (Rtrans n m p) or (Rtrans n m).
Coq < apply (Rtrans n m p).
2 subgoals
  _____
subgoal 2 is:
Rmp
  Note that n can be inferred from the goal, so the following would work too.
Coq < apply (Rtrans <math>\_m).
  More elegantly, apply Rtrans with (y:=m) allows only mentioning the unknown m:
Coq < apply Rtrans with (y := m).
  Another solution is to mention the proof of (R x y) in Rtrans...
Coq < apply Rtrans with (1 := Rnm).
1 subgoal
 Rmp
  ... or the proof of (R \ y \ z).
Coq < apply Rtrans with (2 := Rmp).
1 subgoal
```

On the opposite, one can use eapply which postpones the problem of finding m. Then one can apply the hypotheses Rnm and Rmp. This instantiates the existential variable and completes the proof.

```
Coq < apply Rnm.

1 subgoal

------
R m p

Coq < apply Rmp.

No more subgoals.
```

**Remark:** When the conclusion of the type of the term to apply is an inductive type isomorphic to a tuple type and *apply* looks recursively whether a component of the tuple matches the goal, it excludes components whose statement would result in applying an universal lemma of the form forall A, ... -> A. Excluding this kind of lemma can be avoided by setting the following option:

```
Set Universal Lemma Under Conjunction
```

This option, which preserves compatibility with versions of CoQ prior to 8.4 is also available for apply *term* in *ident* (see Section 8.2.5).

## **8.2.5** apply *term* in *ident*

This tactic applies to any goal. The argument *term* is a term well-formed in the local context and the argument *ident* is an hypothesis of the context. The tactic apply *term* in *ident* tries to match the conclusion of the type of *ident* against a non-dependent premise of the type of *term*, trying them from right to left. If it succeeds, the statement of hypothesis *ident* is replaced by the conclusion of the type of *term*. The tactic also returns as many subgoals as the number of other non-dependent premises in the type of *term* and of the non-dependent premises of the type of *ident*. If the conclusion of the type of *term* does not match the goal *and* the conclusion is an inductive type isomorphic to a tuple type, then the tuple is (recursively) decomposed and the first component of the tuple of which a non-dependent premise matches the conclusion of the type of *ident*. Tuples are decomposed in a width-first left-to-right order (for instance if the type of H1 is a A <-> B statement, and the type of H2 is A then apply H1 in H2 transforms the type of H2 into B). The tactic apply relies on first-order pattern-matching with dependent types.

## **Error messages:**

- 1. Statement without assumptions

  This happens if the type of *term* has no non dependent premise.
- 2. Unable to apply

This happens if the conclusion of *ident* does not match any of the non dependent premises of the type of *term*.

# Variants:

- 1. apply term , ... , term in ident

  This applies each of term in sequence in ident.
- 2. apply term with bindings\_list, ..., term with bindings\_list in ident
  This does the same but uses the bindings in each bindings\_list to instantiate the parameters of the corresponding type of term (see syntax of bindings in Section 8.1.3).

- 3. eapply term with bindings\_list , ... , term with bindings\_list in ident

  This works as apply term with bindings\_list , ... , term with bindings\_list in ident but turns unresolved bindings into existential variables, if any, instead of failing.
- 4. apply term with bindings\_list , ... , term with bindings\_list in ident as intro\_pattern

This works as apply term with bindings\_list , ... , term with bindings\_list in ident then applies the intro\_pattern to the hypothesis ident.

5. eapply term with bindings\_list , ... , term with bindings\_list in ident as intro\_pattern

This works as apply term with bindings\_list , ... , term with bindings\_list in ident as intro\_pattern but using eapply.

6. simple apply term in ident

This behaves like apply term in ident but it reasons modulo conversion only on subterms that contain no variables to instantiate. For instance, if id := fun x:nat => x and H : forall y, id y = y -> True and H0 : O = O then simple apply H in H0 does not succeed because it would require the conversion of id ?1234 and O where ?1234 is a variable to instantiate. Tactic simple apply term in ident does not either traverse tuples as apply term in ident does.

7. [simple] apply term [with bindings\_list], ..., term [with bindings\_list] in ident [as intro\_pattern]
[simple] eapply term [with bindings\_list], ..., term [with bindings\_list] in ident [as intro\_pattern]

This summarizes the different syntactic variants of apply term in ident and eapply term in ident.

#### **8.2.6** constructor num

This tactic applies to a goal such that its conclusion is an inductive type (say I). The argument *num* must be less or equal to the numbers of constructor(s) of I. Let ci be the i-th constructor of I, then constructor i is equivalent to intros; apply ci.

#### **Error messages:**

- 1. Not an inductive product
- 2. Not enough constructors

# Variants:

1. constructor

This tries constructor 1 then constructor  $2, \ldots$ , then constructor n where n is the number of constructors of the head of the goal.

2. constructor num with bindings\_list

Let ci be the i-th constructor of I, then constructor i with bindings\_list is equivalent to intros; apply ci with bindings\_list.

Warning: the terms in the *bindings\_list* are checked in the context where constructor is executed and not in the context where apply is executed (the introductions are not taken into account).

3. split

This applies only if I has a single constructor. It is then equivalent to constructor 1. It is typically used in the case of a conjunction  $A \wedge B$ .

Error message: Not an inductive goal with 1 constructor

4. exists bindings\_list

This applies only if I has a single constructor. It is then equivalent to intros; constructor 1 with *bindings\_list*. It is typically used in the case of an existential quantification  $\exists x, P(x)$ .

Error message: Not an inductive goal with 1 constructor

5. exists bindings\_list , ... , bindings\_list

This iteratively applies exists bindings\_list.

6. left
 right

These tactics apply only if I has two constructors, for instance in the case of a disjunction  $A \vee B$ . Then, they are respectively equivalent to constructor 1 and constructor 2.

Error message: Not an inductive goal with 2 constructors

7. left with bindings\_list right with bindings\_list split with bindings\_list

As soon as the inductive type has the right number of constructors, these expressions are equivalent to calling constructor i with  $bindings\_list$  for the appropriate i.

8. econstructor

eexists
esplit
eleft
eright

These tactics and their variants behave like constructor, exists, split, left, right and their variants but they introduce existential variables instead of failing when the instantiation of a variable cannot be found (cf eapply and Section 8.2.4).

# **8.3** Managing the local context

## **8.3.1** intro

This tactic applies to a goal that is either a product or starts with a let binder. If the goal is a product, the tactic implements the "Lam" rule given in Section 4.2<sup>1</sup>. If the goal starts with a let binder, then the tactic implements a mix of the "Let" and "Conv".

If the current goal is a dependent product  $\forall x:T,\ U$  (resp let x:=t in U) then intro puts x:T (resp x:=t) in the local context. The new subgoal is U.

If the goal is a non-dependent product  $T \to U$ , then it puts in the local context either  $\operatorname{H} n: T$  (if T is of type Set or Prop) or  $\operatorname{X} n: T$  (if the type of T is  $\operatorname{Type}$ ). The optional index n is such that  $\operatorname{H} n$  or  $\operatorname{X} n$  is a fresh identifier. In both cases, the new subgoal is U.

If the goal is neither a product nor starting with a let definition, the tactic intro applies the tactic hnf until the tactic intro can be applied or the goal is not head-reducible.

## **Error messages:**

- 1. No product even after head-reduction
- 2. ident is already used

#### Variants:

1. intros

This repeats intro until it meets the head-constant. It never reduces head-constants and it never fails.

2. intro ident

This applies intro but forces ident to be the name of the introduced hypothesis.

Error message: name ident is already used

**Remark:** If a name used by intro hides the base name of a global constant then the latter can still be referred to by a qualified name (see 2.6.2).

3. intros  $ident_1$  ...  $ident_n$ 

This is equivalent to the composed tactic intro  $ident_1$ ; . . . ; intro  $ident_n$ .

More generally, the intros tactic takes a pattern as argument in order to introduce names for components of an inductive definition or to clear introduced hypotheses. This is explained in 8.3.2.

4. intros until ident

This repeats intro until it meets a premise of the goal having form ( *ident* : *term* ) and discharges the variable named *ident* of the current goal.

Error message: No such hypothesis in current goal

<sup>&</sup>lt;sup>1</sup>Actually, only the second subgoal will be generated since the other one can be automatically checked.

#### 5. intros until num

This repeats intro until the *num*-th non-dependent product. For instance, on the subgoal forall x y:nat,  $x=y \rightarrow y=x$  the tactic intros until 1 is equivalent to intros x y H, as  $x=y \rightarrow y=x$  is the first non-dependent product. And on the subgoal forall x y z:nat,  $x=y \rightarrow y=x$  the tactic intros until 1 is equivalent to intros x y z as the product on z can be rewritten as a non-dependent product: forall x y:nat, nat  $\rightarrow x=y \rightarrow y=x$ 

Error message: No such hypothesis in current goal

This happens when *num* is 0 or is greater than the number of non-dependent products of the goal.

```
6. intro after ident
intro before ident
intro at top
intro at bottom
```

These tactics apply intro and move the freshly introduced hypothesis respectively after the hypothesis *ident*, before the hypothesis *ident*, at the top of the local context, or at the bottom of the local context. All hypotheses on which the new hypothesis depends are moved too so as to respect the order of dependencies between hypotheses. Note that intro at bottom is a synonym for intro with no argument.

Error message: No such hypothesis: ident

```
7. intro ident<sub>1</sub> after ident<sub>2</sub> intro ident<sub>1</sub> before ident<sub>2</sub> intro ident<sub>1</sub> at top intro ident<sub>1</sub> at bottom
```

These tactics behave as previously but naming the introduced hypothesis  $ident_1$ . It is equivalent to intro  $ident_1$  followed by the appropriate call to move (see Section 8.3.5).

# **8.3.2** intros intro\_pattern ... intro\_pattern

This extension of the tactic intros combines introduction of variables or hypotheses and case analysis. An *introduction pattern* is either:

- a naming introduction pattern, i.e. either one of:
  - the pattern?
  - the pattern ?ident
  - an identifier
- an action introduction pattern which itself classifies into:
  - a disjunctive/conjunctive introduction pattern, i.e. either one of:
    - \* a disjunction of lists of patterns:  $[p_{11} \ldots p_{1m_1} \mid \ldots \mid p_{11} \ldots p_{nm_n}]$
    - \* a conjunction of patterns:  $(p_1, \dots, p_n)$
    - \* a list of patterns  $(p_1 \& \ldots \& p_n)$  for sequence of right-associative binary constructs

- an equality introduction pattern, i.e. either one of:
  - \* a pattern for decomposing an equality:  $[= p_1 \dots p_n]$
  - \* the rewriting orientations: -> or <-
- the on-the-fly application of lemmas:  $p * term_1 ... * term_n$  where p itself is not a pattern for on-the-fly application of lemmas (note: syntax is in experimental stage)
- the wildcard:

Introduction patterns can be combined into lists. An *introduction pattern list* is a list of introduction patterns possibly containing the filling introduction patterns  $\star$  and  $\star\star$ .

Assuming a goal of type  $Q \to P$  (non-dependent product), or of type  $\forall x : T, P$  (dependent product), the behavior of intros p is defined inductively over the structure of the introduction pattern p:

- introduction on ? performs the introduction, and lets CoQ choose a fresh name for the variable;
- introduction on ? ident performs the introduction, and lets CoQ choose a fresh name for the variable based on ident;
- introduction on *ident* behaves as described in Section 8.3.1;
- introduction over a disjunction of list of patterns  $[p_{11} \ldots p_{1m_1} \mid \ldots \mid p_{11} \ldots p_{nm_n}]$  expects the product to be over an inductive type whose number of constructors is n (or more generally over a type of conclusion an inductive type built from n constructors, e.g.  $\mathbb{C} \to \mathbb{A} \setminus \mathbb{B}$  with n=2 since  $\mathbb{A} \setminus \mathbb{B}$  has 2 constructors): it destructs the introduced hypothesis as destruct (see Section 8.5.1) would and applies on each generated subgoal the corresponding tactic; intros  $p_{i1}$  ...  $p_{im_i}$ ; if the disjunctive pattern is part of a sequence of patterns, then  $\mathbb{C} \setminus \mathbb{C} \setminus \mathbb{C}$  completes the pattern so that all the arguments of the constructors of the inductive type are introduced (for instance, the list of patterns  $[\ |\ ]$  H applied on goal forall x:nat, x=0  $\to$  0=x behaves the same as the list of patterns  $[\ |\ ]$  H, up to one exception explained in the Remark below);
- introduction over a conjunction of patterns  $(p_1, \ldots, p_n)$  expects the goal to be a product over an inductive type I with a single constructor that itself has at least n arguments: it performs a case analysis over the hypothesis, as destruct would, and applies the patterns  $p_1 \ldots p_n$  to the arguments of the constructor of I (observe that  $(p_1, \ldots, p_n)$ ) is an alternative notation for  $[p_1 \ldots p_n]$ );
- introduction via  $(p_1 \& \ldots \& p_n)$  is a shortcut for introduction via  $(p_1, (\ldots, (\ldots, p_n) \ldots))$ ; it expects the hypothesis to be a sequence of right-associative binary inductive constructors such as conj or ex\_intro; for instance, an hypothesis with type A / (exists x, B / C / D) can be introduced via pattern (a & x & b & c & d);
- if the product is over an equality type, then a pattern of the form  $[=p_1 \ldots p_n]$  applies either injection (see Section 8.5.7) or discriminate (see Section 8.5.6) instead of destruct; if injection is applicable, the patterns  $p_1, \ldots, p_n$  are used on the hypotheses generated by injection; if the number of patterns is smaller than the number of hypotheses generated, the pattern? is used to complete the list;
- introduction over -> (respectively <-) expects the hypothesis to be an equality and the right-hand-side (respectively the left-hand-side) is replaced by the left-hand-side (respectively the right-hand-side) in the conclusion of the goal; the hypothesis itself is erased; if the term to substitute is a variable, it is substituted also in the context of goal and the variable is removed too;

• introduction over a pattern  $p * term_1 ... * term_n$  first applies  $term_1, ..., term_n$  on the hypothesis to be introduced (as in apply  $term_1, ..., term_n$  in) prior to the application of the introduction pattern p;

- introduction on the wildcard depends on whether the product is dependent or not: in the nondependent case, it erases the corresponding hypothesis (i.e. it behaves as an intro followed by a clear, cf Section 8.3.3) while in the dependent case, it succeeds and erases the variable only if the wildcard is part of a more complex list of introduction patterns that also erases the hypotheses depending on this variable;
- introduction over \* introduces all forthcoming quantified variables appearing in a row; introduction over \*\* introduces all forthcoming quantified variables or hypotheses until the goal is not any more a quantification or an implication.

Then, if  $p_1 \dots p_n$  is a list of introduction patterns possibly containing  $\star$  or  $\star\star$ , intros  $p_1 \dots p_n$ 

- introduction over \* introduces all forthcoming quantified variables appearing in a row;
- introduction over \*\* introduces all forthcoming quantified variables or hypotheses until the goal is not any more a quantification or an implication;
- introduction over an introduction pattern p introduces the forthcoming quantified variables or premise of the goal and applies the introduction pattern p to it.

## **Example:**

**Remark:** intros  $p_1$  ...  $p_n$  is not fully equivalent to intros  $p_1$ ;...; intros  $p_n$  for the following reasons:

- A wildcard pattern never succeeds when applied isolated on a dependent product, while it succeeds as part of a list of introduction patterns if the hypotheses that depends on it are erased too.
- A disjunctive or conjunctive pattern followed by an introduction pattern forces the introduction in the context of all arguments of the constructors before applying the next pattern while a terminal disjunctive or conjunctive pattern does not. Here is an example

```
Coq < Goal forall n:nat, n = 0 \rightarrow n = 0.
1 subgoal
 forall n : nat, n = 0 \rightarrow n = 0
Coq < intros [ | ] H.
2 subgoals
 H : 0 = 0
 _____
 0 = 0
subgoal 2 is:
S n = 0
Coq < Show 2.
subgoal 2 is:
 n : nat
 H : S n = 0
 _____
 S n = 0
Coq < Undo.
1 subgoal
 _____
 forall n: nat, n = 0 -> n = 0
Coq < intros [ | ]; intros H.
2 subgoals
 H : 0 = 0
 0 = 0
subgoal 2 is:
S H = 0 -> S H = 0
Coq < Show 2.
subgoal 2 is:
 H : nat
 _____
 S H = 0 -> S H = 0
```

This later behavior can be avoided by setting the following option:

```
Set Bracketing Last Introduction Pattern
```

# 8.3.3 clear ident

This tactic erases the hypothesis named *ident* in the local context of the current goal. As a consequence, *ident* is no more displayed and no more usable in the proof development.

## **Error messages:**

- 1. No such hypothesis
- 2. ident is used in the conclusion
- 3. ident is used in the hypothesis ident'

#### Variants:

```
1. clear ident_1 ... ident_n

This is equivalent to clear ident_1. ... clear ident_n.
```

2. clearbody ident

This tactic expects *ident* to be a local definition then clears its body. Otherwise said, this tactic turns a definition into an assumption.

Error message: ident is not a local definition

```
3. clear - ident_1 ... ident_n
```

This tactic clears all the hypotheses except the ones depending in the hypotheses named  $ident_1 \dots ident_n$  and in the goal.

4. clear

This tactic clears all the hypotheses except the ones the goal depends on.

5. clear dependent ident

This clears the hypothesis *ident* and all the hypotheses that depend on it.

```
8.3.4 revert ident_1 ... ident_n
```

This applies to any goal with variables  $ident_1 \dots ident_n$ . It moves the hypotheses (possibly defined) to the goal, if this respects dependencies. This tactic is the inverse of intro.

## **Error messages:**

- 1. No such hypothesis
- 2. ident is used in the hypothesis ident'

## **Variants:**

1. revert dependent ident

This moves to the goal the hypothesis *ident* and all the hypotheses that depend on it.

# **8.3.5** move $ident_1$ after $ident_2$

This moves the hypothesis named  $ident_1$  in the local context after the hypothesis named  $ident_2$ . The proof term is not changed.

If  $ident_1$  comes before  $ident_2$  in the order of dependencies, then all the hypotheses between  $ident_1$  and  $ident_2$  that (possibly indirectly) depend on  $ident_1$  are moved too.

If  $ident_1$  comes after  $ident_2$  in the order of dependencies, then all the hypotheses between  $ident_1$  and  $ident_2$  that (possibly indirectly) occur in  $ident_1$  are moved too.

#### Variants:

1. move  $ident_1$  before  $ident_2$ 

This moves  $ident_1$  towards and just before the hypothesis named  $ident_2$ .

2. move ident at top

This moves *ident* at the top of the local context (at the beginning of the context).

3. move *ident* at bottom

This moves *ident* at the bottom of the local context (at the end of the context).

## **Error messages:**

- 1. No such hypothesis
- 2. Cannot move ident<sub>1</sub> after ident<sub>2</sub>: it occurs in ident<sub>2</sub>
- 3. Cannot move  $ident_1$  after  $ident_2$ : it depends on  $ident_2$

# **8.3.6** rename $ident_1$ into $ident_2$

This renames hypothesis  $ident_1$  into  $ident_2$  in the current context. The name of the hypothesis in the proof-term, however, is left unchanged.

## Variants:

1. rename  $ident_1$  into  $ident_2$ , ...,  $ident_{2k-1}$  into  $ident_{2k}$ 

This renames the variables  $ident_1 \dots ident_2k - 1$  into respectively  $ident_2 \dots ident_2k$  in parallel. In particular, the target identifiers may contain identifiers that exist in the source context, as long as the latter are also renamed by the same tactic.

#### **Error messages:**

- 1. No such hypothesis
- 2.  $ident_2$  is already used

```
8.3.7 set ( ident := term )
```

This replaces *term* by *ident* in the conclusion of the current goal and adds the new definition *ident* := *term* to the local context.

If term has holes (i.e. subexpressions of the form "\_"), the tactic first checks that all subterms matching the pattern are compatible before doing the replacement using the leftmost subterm matching the pattern.

## **Error messages:**

1. The variable ident is already defined

#### **Variants:**

1. set ( ident := term ) in goal\_occurrences

This notation allows specifying which occurrences of *term* have to be substituted in the context. The in *goal\_occurrences* clause is an occurrence clause whose syntax and behavior are described in Section 8.1.4.

```
2. set ( ident binder ... binder := term )
  This is equivalent to set ( ident := fun binder ... binder => term ).
```

3. set term

This behaves as set ( *ident* := *term* ) but *ident* is generated by CoQ. This variant also supports an occurrence clause.

4. set (  $ident_0$  binder ... binder := term ) in goal\_occurrences set term in goal\_occurrences

These are the general forms that combine the previous possibilities.

5. remember *term* as *ident* 

This behaves as set ( ident := term ) in \* and using a logical (Leibniz's) equality instead of a local definition.

6. remember term as ident eqn:ident

This behaves as remember term as ident, except that the name of the generated equality is also given.

7. remember term as ident in goal\_occurrences

This is a more general form of remember that remembers the occurrences of term specified by an occurrences set.

```
8. pose ( ident := term )
```

This adds the local definition ident := term to the current context without performing any replacement in the goal or in the hypotheses. It is equivalent to set ( ident := term ) in |-.

```
9. pose ( ident binder ... binder := term )
This is equivalent to pose ( ident := fun binder ... binder => term ).
```

10. pose term

This behaves as pose ( ident := term ) but ident is generated by CoQ.

# **8.3.8** decompose [ $qualid_1$ ... $qualid_n$ ] term

This tactic recursively decomposes a complex proposition in order to obtain atomic ones.

#### **Example:**

decompose does not work on right-hand sides of implications or products.

#### **Variants:**

- decompose sum term
   This decomposes sum types (like or).
- 2. decompose record term

This decomposes record types (inductive types with one constructor, like and and exists and those defined with the Record macro, see Section 2.1).

# 8.4 Controlling the proof flow

# **8.4.1** assert ( *ident* : *form* )

This tactic applies to any goal. assert (H: U) adds a new hypothesis of name H asserting U to the current goal and opens a new subgoal U<sup>2</sup>. The subgoal U comes first in the list of subgoals remaining to prove.

# **Error messages:**

1. Not a proposition or a type

Arises when the argument form is neither of type Prop, Set nor Type.

## Variants:

1. assert form

This behaves as assert ( ident : form ) but ident is generated by CoQ.

2. assert form by tactic

This tactic behaves like assert but applies tactic to solve the subgoals generated by assert.

Error message: Proof is not complete

<sup>&</sup>lt;sup>2</sup>This corresponds to the cut rule of sequent calculus.

3. assert form as intro\_pattern

If *intro\_pattern* is a naming introduction pattern (see Section 8.3.2), the hypothesis is named after this introduction pattern (in particular, if *intro\_pattern* is *ident*, the tactic behaves like assert (*ident* : *form*)).

If *intro\_pattern* is a disjunctive/conjunctive introduction pattern, the tactic behaves like assert form followed by a destruct using this introduction pattern.

If *intro\_pattern* is a rewriting intropattern pattern, the tactic behaves like assert *form* followed by a call to subst on the resulting hypothesis, if applicable, or to rewrite otherwise.

If *intro\_pattern* is an injection intropattern pattern, the tactic behaves like assert *form* followed by injection using this introduction pattern.

4. assert form as intro\_pattern by tactic

This combines the two previous variants of assert.

5. assert ( ident := term )

This behaves as assert (*ident*: *type*); [exact *term*|idtac] where *type* is the type of *term*. This is deprecated in favor of pose proof.

Error message: Variable ident is already declared

6. pose proof term as intro\_pattern

This tactic behaves like assert T as  $intro\_pattern$  by exact term where T is the type of term

In particular, pose proof term as ident behaves as assert (ident := term) and pose proof term as intro\_pattern is the same as applying the intro\_pattern to term.

7. enough (ident : form)

This adds a new hypothesis of name *ident* asserting *form* to the goal the tactic enough is applied to. A new subgoal stating *form* is inserted after the initial goal rather than before it as assert would do.

8. enough form

This behaves like enough (*ident*: form) with the name *ident* of the hypothesis generated by Coo.

9. enough form as intro\_pattern

This behaves like enough form using intro\_pattern to name or destruct the new hypothesis.

10. enough (ident: form) by tactic enough form by tactic enough form as intro\_pattern by tactic

This behaves as above but with *tactic* expected to solve the initial goal after the extra assumption *form* is added and possibly destructed. If the as *intro\_pattern* clause generates more than one subgoal, *tactic* is applied to all of them.

#### 11. cut form

This tactic applies to any goal. It implements the non-dependent case of the "App" rule given in Section 4.2. (This is Modus Ponens inference rule.) cut U transforms the current goal T into the two following subgoals: U -> T and U. The subgoal U -> T comes first in the list of remaining subgoal to prove.

```
12. specialize (ident term_1 \dots term_n) specialize ident with bindings\_list
```

The tactic specialize works on local hypothesis *ident*. The premises of this hypothesis (either universal quantifications or non-dependent implications) are instantiated by concrete terms coming either from arguments  $term_1 \dots term_n$  or from a bindings list (see Section 8.1.3 for more about bindings lists). In the second form, all instantiation elements must be given, whereas in the first form the application to  $term_1 \dots term_n$  can be partial. The first form is equivalent to assert ( $ident' := ident term_1 \dots term_n$ ); clear ident; rename ident' into ident.

The name *ident* can also refer to a global lemma or hypothesis. In this case, for compatibility reasons, the behavior of specialize is close to that of generalize: the instantiated statement becomes an additional premise of the goal.

#### **Error messages:**

- (a) ident is used in hypothesis ident'
- (b) ident is used in conclusion

# **8.4.2** generalize *term*

This tactic applies to any goal. It generalizes the conclusion with respect to some term.

## **Example:**

If the goal is G and t is a subterm of type T in the goal, then <code>generalize</code> t replaces the goal by forall (x:T), G' where G' is obtained from G by replacing all occurrences of t by x. The name of the variable (here n) is chosen based on T.

#### **Variants:**

```
1. generalize term_1 , ... , term_n
```

This is equivalent to generalize  $term_n$ ; ...; generalize  $term_1$ . Note that the sequence of  $term_i$ 's are processed from n to 1.

2. generalize term at  $num_1$  ...  $num_i$ 

This is equivalent to generalize term but it generalizes only over the specified occurrences of term (counting from left to right on the expression printed using option Set Printing All).

3. generalize term as ident

This is equivalent to generalize term but it uses ident to name the generalized hypothesis.

```
4. generalize term_1 at num_{11} ... num_{1i_1} as ident_1 , ... , term_n at num_{n1} ... num_{ni_n} as ident_2
```

This is the most general form of generalize that combines the previous behaviors.

5. generalize dependent term

This generalizes *term* but also *all* hypotheses that depend on *term*. It clears the generalized hypotheses.

```
8.4.3 evar ( ident : term )
```

The evar tactic creates a new local definition named *ident* with type *term* in the context. The body of this binding is a fresh existential variable.

```
8.4.4 instantiate ( num := term )
```

The instantiate tactic refines (see Section 8.2.3) an existential variable with the term *term*. The *num* argument is the position of the existential variable from right to left in the conclusion. This cannot be the number of the existential variable since this number is different in every session.

When you are referring to hypotheses which you did not name explicitly, be aware that Coq may make a different decision on how to name the variable in the current goal and in the context of the existential variable. This can lead to surprising behaviors.

## **Variants:**

```
1. instantiate ( num := term ) in ident
```

```
2. instantiate ( num := term ) in ( Value of ident )
```

```
3. instantiate ( num := term ) in ( Type of ident )
```

These allow to refer respectively to existential variables occurring in a hypothesis or in the body or the type of a local definition.

4. instantiate

Without argument, the instantiate tactic tries to solve as many existential variables as possible, using information gathered from other tactics in the same tactical. This is automatically done after each complete tactic (i.e. after a dot in proof mode), but not, for example, between each tactic when they are sequenced by semicolons.

## **8.4.5** admit

The admit tactic allows temporarily skipping a subgoal so as to progress further in the rest of the proof. A proof containing admitted goals cannot be closed with Qed but only with Admitted.

#### Variants:

```
    give_up
    Synonym of admit.
```

## **8.4.6** absurd *term*

This tactic applies to any goal. The argument *term* is any proposition P of type Prop. This tactic applies False elimination, that is it deduces the current goal from False, and generates as subgoals  $\sim P$  and P. It is very useful in proofs by cases, where some cases are impossible. In most cases, P or  $\sim P$  is one of the hypotheses of the local context.

## 8.4.7 contradiction

This tactic applies to any goal. The contradiction tactic attempts to find in the current context (after all intros) one hypothesis that is equivalent to False. It permits to prune irrelevant cases. This tactic is a macro for the tactics sequence intros; elimtype False; assumption.

## **Error messages:**

1. No such assumption

#### Variants:

1. contradiction ident

The proof of False is searched in the hypothesis named ident.

## 8.4.8 contradict ident

This tactic allows manipulating negated hypothesis and goals. The name *ident* should correspond to a hypothesis. With contradict H, the current goal and context is transformed in the following way:

```
H:¬A ⊢ B becomes ⊢ A
H:¬A ⊢ ¬B becomes H: B ⊢ A
H: A ⊢ B becomes ⊢ ¬A
H: A ⊢ ¬B becomes H: B ⊢ ¬A
```

# **8.4.9** exfalso

This tactic implements the "ex falso quodlibet" logical principle: an elimination of False is performed on the current goal, and the user is then required to prove that False is indeed provable in the current context. This tactic is a macro for elimtype False.

# 8.5 Case analysis and induction

The tactics presented in this section implement induction or case analysis on inductive or co-inductive objects (see Section 4.5).

## 8.5.1 destruct term

This tactic applies to any goal. The argument *term* must be of inductive or co-inductive type and the tactic generates subgoals, one for each possible form of *term*, i.e. one for each constructor of the inductive or co-inductive type. Unlike induction, no induction hypothesis is generated by destruct.

There are special cases:

- If term is an identifier ident denoting a quantified variable of the conclusion of the goal, then destruct ident behaves as intros until ident; destruct ident. If ident is not anymore dependent in the goal after application of destruct, it is erased (to avoid erasure, use parentheses, as in destruct (ident)).
- If term is a num, then destruct num behaves as intros until num followed by destruct applied to the last introduced hypothesis. Remark: For destruction of a numeral, use syntax destruct (num) (not very interesting anyway).
- In case *term* is an hypothesis *ident* of the context, and *ident* is not anymore dependent in the goal after application of destruct, it is erased (to avoid erasure, use parentheses, as in destruct (*ident*)).
- The argument *term* can also be a pattern of which holes are denoted by "\_". In this case, the tactic checks that all subterms matching the pattern in the conclusion and the hypotheses are compatible and performs case analysis using this subterm.

#### Variants:

```
1. destruct term_1, ..., term_n
This is a shortcut for destruct term_1; ...; destruct term_n.
```

2. destruct term as disj\_conj\_intro\_pattern

This behaves as destruct term but uses the names in  $intro\_pattern$  to name the variables introduced in the context. The  $intro\_pattern$  must have the form  $[p_{11} \dots p_{1n_1} \mid \dots \mid p_{m1} \dots p_{mn_m}]$  with m being the number of constructors of the type of term. Each variable introduced by destruct in the context of the  $i^{th}$  goal gets its name from the list  $p_{i1} \dots p_{in_i}$  in order. If there are not enough names, destruct invents names for the remaining variables to introduce. More generally, the  $p_{ij}$  can be any introduction pattern (see Section 8.3.2). This provides a concise notation for chaining destruction of an hypothesis.

3. destruct term eqn: naming intro pattern

This behaves as destruct *term* but adds an equation between *term* and the value that *term* takes in each of the possible cases. The name of the equation is specified by *naming\_intro\_pattern* (see Section 8.3.2), in particular? can be used to let Coq generate a fresh name.

# 4. destruct term with bindings\_list

This behaves like destruct term providing explicit instances for the dependent premises of the type of term (see syntax of bindings in Section 8.1.3).

## 5. edestruct term

This tactic behaves like destruct term except that it does not fail if the instance of a dependent premises of the type of term is not inferable. Instead, the unresolved instances are left as existential variables to be inferred later, in the same way as eapply does (see Section 8.2.4).

6. destruct term<sub>1</sub> using term<sub>2</sub> destruct term<sub>1</sub> using term<sub>2</sub> with bindings\_list

These are synonyms of induction  $term_1$  using  $term_2$  and induction  $term_1$  using  $term_2$  with  $bindings\_list$ .

7. destruct term in goal\_occurrences

This syntax is used for selecting which occurrences of *term* the case analysis has to be done on. The in *goal\_occurrences* clause is an occurrence clause whose syntax and behavior is described in Section 8.1.4.

8. destruct  $term_1$  with  $bindings\_list_1$  as  $disj\_conj\_intro\_pattern$  eqn:  $naming\_intro\_pattern$  using  $term_2$  with  $bindings\_list_2$  in  $goal\_occurrences$  edestruct  $term_1$  with  $bindings\_list_1$  as  $disj\_conj\_intro\_pattern$  eqn:  $naming\_intro\_pattern$  using  $term_2$  with  $bindings\_list_2$  in  $goal\_occurrences$ 

These are the general forms of destruct and edestruct. They combine the effects of the with, as, eqn:, using, and in clauses.

9. case term

The tactic case is a more basic tactic to perform case analysis without recursion. It behaves as elim *term* but using a case-analysis elimination principle and not a recursive one.

10. case term with bindings\_list

Analogous to elim term with bindings\_list above.

11. ecase term

ecase term with bindings\_list

In case the type of *term* has dependent premises, or dependent premises whose values are not inferable from the with *bindings\_list* clause, ecase turns them into existential variables to be resolved later on.

12. simple destruct ident

This tactic behaves as intros until *ident*; case *ident* when *ident* is a quantified variable of the goal.

13. simple destruct num

This tactic behaves as intros until *num*; case *ident* where *ident* is the name given by intros until *num* to the *num*-th non-dependent premise of the goal.

```
14. case_eq term
```

The tactic case\_eq is a variant of the case tactic that allow to perform case analysis on a term without completely forgetting its original form. This is done by generating equalities between the original form of the term and the outcomes of the case analysis.

#### **8.5.2** induction term

This tactic applies to any goal. The argument *term* must be of inductive type and the tactic induction generates subgoals, one for each possible form of *term*, i.e. one for each constructor of the inductive type.

If the argument is dependent in either the conclusion or some hypotheses of the goal, the argument is replaced by the appropriate constructor form in each of the resulting subgoals and induction hypotheses are added to the local context using names whose prefix is IH.

There are particular cases:

- If term is an identifier ident denoting a quantified variable of the conclusion of the goal, then induction ident behaves as intros until ident; induction ident. If ident is not anymore dependent in the goal after application of induction, it is erased (to avoid erasure, use parentheses, as in induction (ident)).
- If term is a num, then induction num behaves as intros until num followed by induction applied to the last introduced hypothesis. Remark: For simple induction on a numeral, use syntax induction (num) (not very interesting anyway).
- In case *term* is an hypothesis *ident* of the context, and *ident* is not anymore dependent in the goal after application of induction, it is erased (to avoid erasure, use parentheses, as in induction (*ident*)).
- The argument *term* can also be a pattern of which holes are denoted by "\_". In this case, the tactic checks that all subterms matching the pattern in the conclusion and the hypotheses are compatible and performs induction using this subterm.

## **Example:**

## **Error messages:**

- 1. Not an inductive product
- 2. Unable to find an instance for the variables *ident* ...*ident*Use in this case the variant elim ... with ... below.

#### Variants:

1. induction term as disj\_conj\_intro\_pattern

This behaves as induction term but uses the names in  $disj\_conj\_intro\_pattern$  to name the variables introduced in the context. The  $disj\_conj\_intro\_pattern$  must typically be of the form [  $p_{11} \ldots p_{1n_1} \mid \ldots \mid p_{m1} \ldots p_{mn_m}$  ] with m being the number of constructors of the type of term. Each variable introduced by induction in the context of the  $i^{th}$  goal gets its name from the list  $p_{i1} \ldots p_{in_i}$  in order. If there are not enough names, induction invents names for the remaining variables to introduce. More generally, the  $p_{ij}$  can be any disjunctive/conjunctive introduction pattern (see Section 8.3.2). For instance, for an inductive type with one constructor, the pattern notation  $(p_1, \ldots, p_n)$  can be used instead of  $[p_1, \ldots, p_n]$ .

2. induction term with bindings\_list

This behaves like induction *term* providing explicit instances for the premises of the type of *term* (see the syntax of bindings in Section 8.1.3).

3. einduction term

This tactic behaves like induction term excepts that it does not fail if some dependent premise of the type of term is not inferable. Instead, the unresolved premises are posed as existential variables to be inferred later, in the same way as eapply does (see Section 8.2.4).

4. induction  $term_1$  using  $term_2$ 

This behaves as induction  $term_1$  but using  $term_2$  as induction scheme. It does not expect the conclusion of the type of  $term_1$  to be inductive.

5. induction  $term_1$  using  $term_2$  with  $bindings\_list$ 

This behaves as induction  $term_1$  using  $term_2$  but also providing instances for the premises of the type of  $term_2$ .

6. induction  $term_1$ , ...,  $term_n$  using qualid

This syntax is used for the case *qualid* denotes an induction principle with complex predicates as the induction principles generated by Function or Functional Scheme may be.

7. induction term in goal\_occurrences

This syntax is used for selecting which occurrences of *term* the induction has to be carried on. The in *goal\_occurrences* clause is an occurrence clause whose syntax and behavior is described in Section 8.1.4. If variables or hypotheses not mentioning *term* in their type are listed in *goal\_occurrences*, those are generalized as well in the statement to prove.

## **Example:**

```
Coq < Lemma comm x y : x + y = y + x.
1 subgoal
 x, y: nat
 x + y = y + x
Coq < induction y in x |-*.
2 subgoals
 x : nat
 x + 0 = 0 + x
subgoal 2 is:
x + S y = S y + x
Coq < Show 2.
subgoal 2 is:
 x, y: nat
 IHy: forall x: nat, x + y = y + x
 _____
 x + S y = S y + x
```

8. induction  $term_1$  with  $bindings\_list_1$  as  $disj\_conj\_intro\_pattern$  using  $term_2$  with  $bindings\_list_2$  in  $goal\_occurrences$  einduction  $term_1$  with  $bindings\_list_1$  as  $disj\_conj\_intro\_pattern$  using  $term_2$  with  $bindings\_list_2$  in  $goal\_occurrences$ 

These are the most general forms of induction and einduction. It combines the effects of the with, as, using, and in clauses.

## 9. elim term

This is a more basic induction tactic. Again, the type of the argument term must be an inductive type. Then, according to the type of the goal, the tactic <code>elim</code> chooses the appropriate destructor and applies it as the tactic <code>apply</code> would do. For instance, if the proof context contains <code>n:nat</code> and the current goal is <code>T</code> of type <code>Prop</code>, then <code>elim</code> <code>n</code> is equivalent to <code>apply nat\_ind</code> with (<code>n:=n</code>). The tactic <code>elim</code> does not modify the context of the goal, neither introduces the induction loading into the context of hypotheses.

More generally, elim term also works when the type of term is a statement with premises and whose conclusion is inductive. In that case the tactic performs induction on the conclusion of the type of term and leaves the non-dependent premises of the type as subgoals. In the case of dependent products, the tactic tries to find an instance for which the elimination lemma applies and fails otherwise.

10. elim term with bindings\_list

Allows to give explicit instances to the premises of the type of term (see Section 8.1.3).

11 oolim term

In case the type of *term* has dependent premises, this turns them into existential variables to be resolved later on.

12. elim term<sub>1</sub> using term<sub>2</sub> elim term<sub>1</sub> using term<sub>2</sub> with bindings\_list

Allows the user to give explicitly an elimination predicate  $term_2$  that is not the standard one for the underlying inductive type of  $term_1$ . The  $bindings\_list$  clause allows instantiating premises of the type of  $term_2$ .

13. elim  $term_1$  with  $bindings\_list_1$  using  $term_2$  with  $bindings\_list_2$  eelim  $term_1$  with  $bindings\_list_1$  using  $term_2$  with  $bindings\_list_2$ 

These are the most general forms of elim and eelim. It combines the effects of the using clause and of the two uses of the with clause.

14. elimtype form

The argument form must be inductively defined. elimtype I is equivalent to cut I. intro Hn; elim Hn; clear Hn. Therefore the hypothesis Hn will not appear in the context(s) of the subgoal(s). Conversely, if t is a term of (inductive) type I that does not occur in the goal, then elim t is equivalent to elimtype I; 2: exact t.

15. simple induction ident

This tactic behaves as intros until *ident*; elim *ident* when *ident* is a quantified variable of the goal.

16. simple induction num

This tactic behaves as intros until *num*; elim *ident* where *ident* is the name given by intros until *num* to the *num*-th non-dependent premise of the goal.

# **8.5.3** double induction $ident_1$ $ident_2$

This tactic is deprecated and should be replaced by induction  $ident_1$ ; induction  $ident_2$  (or induction  $ident_1$ ; destruct  $ident_2$  depending on the exact needs).

#### Variant:

1. double induction  $num_1$   $num_2$ 

This tactic is deprecated and should be replaced by induction  $num_1$ ; induction  $num_3$  where  $num_3$  is the result of  $num_2$ - $num_1$ .

# 8.5.4 dependent induction ident

The *experimental* tactic dependent induction performs induction-inversion on an instantiated inductive predicate. One needs to first require the Coq.Program.Equality module to use this tactic. The tactic is based on the BasicElim tactic by Conor McBride [107] and the work of Cristina

Cornes around inversion [36]. From an instantiated inductive predicate and a goal, it generates an equivalent goal where the hypothesis has been generalized over its indexes which are then constrained by equalities to be the right instances. This permits to state lemmas without resorting to manually adding these equalities and still get enough information in the proofs.

## **Example:**

Here we did not get any information on the indexes to help fulfill this proof. The problem is that, when we use the induction tactic, we lose information on the hypothesis instance, notably that the second argument is 1 here. Dependent induction solves this problem by adding the corresponding equality to the context.

The subgoal is cleaned up as the tactic tries to automatically simplify the subgoals with respect to the generated equalities. In this enriched context, it becomes possible to solve this subgoal.

Now we are in a contradictory context and the proof can be solved.

```
Coq < inversion H.
No more subgoals.</pre>
```

This technique works with any inductive predicate. In fact, the dependent induction tactic is just a wrapper around the induction tactic. One can make its own variant by just writing a new tactic based on the definition found in Coq.Program.Equality.

#### Variants:

1. dependent induction *ident* generalizing  $ident_1$  ...  $ident_n$ 

This performs dependent induction on the hypothesis *ident* but first generalizes the goal by the given variables so that they are universally quantified in the goal. This is generally what one wants to do with the variables that are inside some constructors in the induction hypothesis. The other ones need not be further generalized.

2. dependent destruction ident

This performs the generalization of the instance *ident* but uses destruct instead of induction on the generalized hypothesis. This gives results equivalent to inversion or dependent inversion if the hypothesis is dependent.

**See also:** 10.1 for a larger example of dependent induction and an explanation of the underlying technique.

```
8.5.5 functional induction (qualid term<sub>1</sub> ... term<sub>n</sub>)
```

The tactic functional induction performs case analysis and induction following the definition of a function. It makes use of a principle generated by Function (see Section 2.3) or Functional Scheme (see Section 13.2).

```
Coq < Functional Scheme minus_ind := Induction for minus Sort Prop.</pre>
sub_equation is defined
minus_ind is defined
Coq < Check minus_ind.
minus_ind
     : forall P : nat -> nat -> nat -> Prop,
        (forall n m : nat, n = 0 \rightarrow P 0 m n) \rightarrow
        (forall n m k : nat, n = S k \rightarrow m = 0 \rightarrow P (S k) 0 n) \rightarrow
        (forall n m k : nat,
         n = S k \rightarrow
         forall 1 : nat, m = S \ 1 \rightarrow P \ k \ 1 \ (k - 1) \rightarrow P \ (S \ k) \ (S \ 1) \ (k - 1)) \rightarrow
        forall n m : nat, P n m (n - m)
Coq < Lemma le_minus (n m:nat) : n - m <= n.
1 subgoal
  n, m : nat
  Coq < functional induction (minus n m) using minus_ind; simpl; auto.</pre>
No more subgoals.
Coq < Qed.
```

**Remark:**  $(qualid\ term_1\ \dots\ term_n)$  must be a correct full application of qualid. In particular, the rules for implicit arguments are the same as usual. For example use @ qualid if you want to write implicit arguments explicitly.

**Remark:** Parentheses over *qualid*...  $term_n$  are mandatory.

**Remark:** functional induction (f x1 x2 x3) is actually a wrapper for induction x1, x2, x3, (f x1 x2 x3) using *qualid* followed by a cleaning phase, where *qualid* is the induction principle registered for f (by the Function (see Section 2.3) or Functional Scheme (see Section 13.2) command) corresponding to the sort of the goal. Therefore functional induction may fail if the induction scheme *qualid* is not defined. See also Section 2.3 for the function terms accepted by Function.

**Remark:** There is a difference between obtaining an induction scheme for a function by using Function (see Section 2.3) and by using Functional Scheme after a normal definition using Fixpoint or Definition. See 2.3 for details.

See also: 2.3,13.2,13.2, 8.14.1

# **Error messages:**

- 1. Cannot find induction information on qualid
- 2. Not the right number of induction arguments

#### Variants:

1. functional induction (qualid  $term_1$  ...  $term_n$ ) as  $disj\_conj\_intro\_pattern$  using  $term_{m+1}$  with  $bindings\_list$ 

Similarly to Induction and elim (see Section 8.5.2), this allows giving explicitly the name of the introduced variables, the induction principle, and the values of dependent premises of the elimination scheme, including *predicates* for mutual induction when *qualid* is part of a mutually recursive definition.

#### **8.5.6** discriminate term

This tactic proves any goal from an assumption stating that two structurally different terms of an inductive set are equal. For example, from (S (S O)) = (S O) we can derive by absurdity any proposition.

The argument term is assumed to be a proof of a statement of conclusion  $term_1 = term_2$  with  $term_1$  and  $term_2$  being elements of an inductive set. To build the proof, the tactic traverses the normal forms<sup>3</sup> of  $term_1$  and  $term_2$  looking for a couple of subterms u and w (u subterm of the normal form of  $term_1$  and w subterm of the normal form of  $term_2$ ), placed at the same positions and whose head symbols are two different constructors. If such a couple of subterms exists, then the proof of the current goal is completed, otherwise the tactic fails.

**Remark:** The syntax discriminate *ident* can be used to refer to a hypothesis quantified in the goal. In this case, the quantified hypothesis whose name is *ident* is first introduced in the local context using intros until *ident*.

#### **Error messages:**

<sup>&</sup>lt;sup>3</sup>Reminder: opaque constants will not be expanded by  $\delta$  reductions.

- 1. No primitive equality found
- 2. Not a discriminable equality

#### Variants:

1. discriminate num

This does the same thing as intros until *num* followed by discriminate *ident* where *ident* is the identifier for the last introduced hypothesis.

2. discriminate term with bindings\_list

This does the same thing as discriminate term but using the given bindings to instantiate parameters or hypotheses of term.

3. ediscriminate *num* ediscriminate *term [with bindings\_list]* 

This works the same as discriminate but if the type of *term*, or the type of the hypothesis referred to by *num*, has uninstantiated parameters, these parameters are left as existential variables.

4. discriminate

This behaves like discriminate *ident* if *ident* is the name of an hypothesis to which discriminate is applicable; if the current goal is of the form  $term_1 <> term_2$ , this behaves as intro *ident*; discriminate *ident*.

Error message: No discriminable equalities

# 8.5.7 injection term

The injection tactic is based on the fact that constructors of inductive sets are injections. That means that if c is a constructor of an inductive set, and if  $(c\ \vec{t_1})$  and  $(c\ \vec{t_2})$  are two terms that are equal then  $\vec{t_1}$  and  $\vec{t_2}$  are equal too.

If term is a proof of a statement of conclusion  $term_1 = term_2$ , then injection applies injectivity as deep as possible to derive the equality of all the subterms of  $term_1$  and  $term_2$  placed in the same positions. For example, from (S(Sn)) = (S(Sm)) we may derive n = (Sm). To use this tactic  $term_1$  and  $term_2$  should be elements of an inductive set and they should be neither explicitly equal, nor structurally different. We mean by this that, if  $n_1$  and  $n_2$  are their respective normal forms, then:

- n<sub>1</sub> and n<sub>2</sub> should not be syntactically equal,
- there must not exist any pair of subterms u and w, u subterm of  $n_1$  and w subterm of  $n_2$ , placed in the same positions and having different constructors as head symbols.

If these conditions are satisfied, then, the tactic derives the equality of all the subterms of  $term_1$  and  $term_2$  placed in the same positions and puts them as antecedents of the current goal.

**Example:** Consider the following goal:

```
Coq < Show.
1 subgoal
 1 : list
 n : nat
 H : P nil
 H0 : cons n l = cons 0 nil
 P 1
Coq < injection H0.
1 subgoal
 1 : list
 n : nat
 H : P nil
 H0 : cons n l = cons 0 nil
 _____
 1 = ni1 -> n = 0 -> P 1
```

Beware that injection yields an equality in a sigma type whenever the injected object has a dependent type P with its two instances in different types  $(P t_1 \dots t_n)$  and  $(P u_1 \dots u_n)$ . If  $t_1$  and  $u_1$  are the same and have for type an inductive type for which a decidable equality has been declared using the command Scheme Equality (see 13.1), the use of a sigma type is avoided.

**Remark:** If some quantified hypothesis of the goal is named *ident*, then injection *ident* first introduces the hypothesis in the local context using intros until *ident*.

### **Error messages:**

- 1. Not a projectable equality but a discriminable one
- 2. Nothing to do, it is an equality between convertible terms
- 3. Not a primitive equality

#### Variants:

1. injection num

This does the same thing as intros until *num* followed by injection *ident* where *ident* is the identifier for the last introduced hypothesis.

2. injection term with bindings\_list

This does the same as injection *term* but using the given bindings to instantiate parameters or hypotheses of *term*.

```
3. einjection num
  einjection term [with bindings_list]
```

This works the same as injection but if the type of *term*, or the type of the hypothesis referred to by *num*, has uninstantiated parameters, these parameters are left as existential variables.

## 4. injection

If the current goal is of the form  $term_1 \iff term_2$ , this behaves as intro ident; injection ident

Error message: goal does not satisfy the expected preconditions

5. injection term [with bindings\_list] as intro\_pattern ... intro\_pattern injection num as intro\_pattern ... intro\_pattern injection as intro\_pattern ... intro\_pattern einjection term [with bindings\_list] as intro\_pattern ... intro\_pattern einjection num as intro\_pattern ... intro\_pattern einjection as intro\_pattern ... intro\_pattern

These variants apply intros *intro\_pattern* ... *intro\_pattern* after the call to injection or einjection so that all equalities generated are moved in the context of hypotheses. The number of *intro\_pattern* must not exceed the number of equalities newly generated. If it is smaller, fresh names are automatically generated to adjust the list of *intro\_pattern* to the number of new equalities. The original equality is erased if it corresponds to an hypothesis.

## 8.5.8 inversion ident

Let the type of *ident* in the local context be  $(I \ \vec{t})$ , where I is a (co)inductive predicate. Then, inversion applied to *ident* derives for each possible constructor  $c_i$  of  $(I \ \vec{t})$ , all the necessary conditions that should hold for the instance  $(I \ \vec{t})$  to be proved by  $c_i$ .

**Remark:** If *ident* does not denote a hypothesis in the local context but refers to a hypothesis quantified in the goal, then the latter is first introduced in the local context using intros until *ident*.

**Remark:** As inversion proofs may be large in size, we recommend the user to stock the lemmas whenever the same instance needs to be inverted several times. See Section 13.3.

#### Variants:

1. inversion num

This does the same thing as intros until *num* then inversion *ident* where *ident* is the identifier for the last introduced hypothesis.

2. inversion clear ident

This behaves as inversion and then erases ident from the context.

3. inversion ident as intro\_pattern

This generally behaves as inversion but using names in *intro\_pattern* for naming hypotheses. The *intro\_pattern* must have the form  $[p_{11} \dots p_{1n_1} | \dots | p_{m1} \dots p_{mn_m}]$  with m being the number of constructors of the type of *ident*. Be careful that the list must be of length m even if inversion discards some cases (which is precisely one of its roles): for the discarded cases, just use an empty list (i.e.  $n_i = 0$ ).

The arguments of the  $i^{th}$  constructor and the equalities that inversion introduces in the context of the goal corresponding to the  $i^{th}$  constructor, if it exists, get their names from the list  $p_{i1}$  ... $p_{in_i}$  in order. If there are not enough names, inversion invents names for the remaining variables to introduce. In case an equation splits into several equations (because inversion

applies injection on the equalities it generates), the corresponding name  $p_{ij}$  in the list must be replaced by a sublist of the form  $[p_{ij1} \ldots p_{ijq}]$  (or, equivalently,  $(p_{ij1}, \ldots, p_{ijq})$ ) where q is the number of subequalities obtained from splitting the original equation. Here is an example.

The inversion ... as variant of inversion generally behaves in a slightly more expectable way than inversion (no artificial duplication of some hypotheses referring to other hypotheses) To take benefit of these improvements, it is enough to use inversion ... as [], letting the names being finally chosen by CoQ.

```
Cog < Inductive contains0 : list nat -> Prop :=
       | in_hd : forall 1, contains0 (0 :: 1)
       | in_tl : forall l b, contains0 l -> contains0 (b :: 1).
contains0 is defined
contains0 ind is defined
Coq < Goal forall 1:list nat, contains0 (1 :: 1) -> contains0 1.
1 subgoal
 ______
 forall 1 : Datatypes.list nat, contains0 (1 :: 1) -> contains0 1
Coq < intros | H; inversion H as [ | l' p Hl' [Heqp Heql'] ].
1 subgoal
 1 : Datatypes.list nat
 H : contains0 (1 :: 1)
 l' : Datatypes.list nat
 p : nat
 Hl': contains0 l
 Heqp : p = 1
 Heql': 1' = 1
 _____
 contains0 1
```

4. inversion num as intro\_pattern

This allows naming the hypotheses introduced by inversion *num* in the context.

5. inversion\_clear ident as intro\_pattern

This allows naming the hypotheses introduced by inversion\_clear in the context. Notice that hypothesis names can be provided as if inversion were called, even though the inversion\_clear will eventually erase the hypotheses.

6. inversion ident in ident<sub>1</sub> ... ident<sub>n</sub>

Let  $ident_1 \dots ident_n$ , be identifiers in the local context. This tactic behaves as generalizing  $ident_1 \dots ident_n$ , and then performing inversion.

7. inversion ident as intro\_pattern in ident<sub>1</sub> ... ident<sub>n</sub>

This allows naming the hypotheses introduced in the context by inversion ident in  $ident_1$  . . .  $ident_n$ .

8. inversion\_clear ident in  $ident_1$  ...  $ident_n$ 

Let  $ident_1 \dots ident_n$ , be identifiers in the local context. This tactic behaves as generalizing  $ident_1 \dots ident_n$ , and then performing inversion\_clear.

9. inversion\_clear ident as intro\_pattern in ident<sub>1</sub> ... ident<sub>n</sub>

This allows naming the hypotheses introduced in the context by inversion\_clear ident in  $ident_1 \ldots ident_n$ .

10. dependent inversion ident

That must be used when *ident* appears in the current goal. It acts like inversion and then substitutes *ident* for the corresponding term in the goal.

11. dependent inversion ident as intro\_pattern

This allows naming the hypotheses introduced in the context by dependent inversion ident.

12. dependent inversion\_clear ident

Like dependent inversion, except that ident is cleared from the local context.

13. dependent inversion\_clear ident as intro\_pattern

This allows naming the hypotheses introduced in the context by dependent inversion clear *ident*.

14. dependent inversion ident with term

This variant allows you to specify the generalization of the goal. It is useful when the system fails to generalize the goal automatically. If *ident* has type  $(I\ \vec{t})$  and I has type  $\forall (\vec{x}:\vec{T}), s$ , then term must be of type  $I: \forall (\vec{x}:\vec{T}), I\ \vec{x} \to s'$  where s' is the type of the goal.

15. dependent inversion ident as intro\_pattern with term

This allows naming the hypotheses introduced in the context by dependent inversion ident with term.

16. dependent inversion\_clear ident with term

Like dependent inversion ... with but clears ident from the local context.

17. dependent inversion\_clear ident as intro\_pattern with term

This allows naming the hypotheses introduced in the context by dependent inversion clear *ident* with *term*.

18. simple inversion ident

It is a very primitive inversion tactic that derives all the necessary equalities but it does not simplify the constraints as inversion does.

19. simple inversion ident as intro\_pattern

This allows naming the hypotheses introduced in the context by simple inversion.

20. inversion ident using ident'

Let *ident* have type  $(I \ \vec{t})$   $(I \ \text{an inductive predicate})$  in the local context, and *ident'* be a (dependent) inversion lemma. Then, this tactic refines the current goal with the specified lemma.

21. inversion ident using ident' in ident<sub>1</sub>... ident<sub>n</sub>

This tactic behaves as generalizing  $ident_1...ident_n$ , then doing inversion ident using ident'.

## **Example 1:** Non-dependent inversion

Let us consider the relation Le over natural numbers and the following variables:

Let us consider the following goal:

To prove the goal, we may need to reason by cases on H and to derive that m is necessarily of the form  $(S \ m_0)$  for certain  $m_0$  and that  $(Le \ n \ m_0)$ . Deriving these conditions corresponds to prove that the only possible constructor of  $(Le \ (S \ n) \ m)$  is LeS and that we can invert the -> in the type of LeS. This inversion is possible because Le is the smallest set closed by the constructors LeO and LeS.

Note that m has been substituted in the goal for (S m0) and that the hypothesis (Le n m0) has been added to the context.

Sometimes it is interesting to have the equality m = (S m0) in the context to use it after. In that case we can use inversion that does not clear the equalities:

```
Coq < inversion H.
1 subgoal

n, m : nat
H : Le (S n) m</pre>
```

## **Example 2:** Dependent inversion

Let us consider the following goal:

As H occurs in the goal, we may want to reason by cases on its structure and so, we would like inversion tactics to substitute H by the corresponding term in constructor form. Neither Inversion nor Inversion\_clear make such a substitution. To have such a behavior we use the dependent inversion tactics:

Note that H has been substituted by (LeS n m0 1) and m by (S m0).

## **8.5.9** fix ident num

This tactic is a primitive tactic to start a proof by induction. In general, it is easier to rely on higher-level induction tactics such as the ones described in Section 8.5.2.

In the syntax of the tactic, the identifier *ident* is the name given to the induction hypothesis. The natural number *num* tells on which premise of the current goal the induction acts, starting from 1 and counting both dependent and non dependent products. Especially, the current lemma must be composed of at least *num* products.

Like in a fix expression, the induction hypotheses have to be used on structurally smaller arguments. The verification that inductive proof arguments are correct is done only at the time of registering the lemma in the environment. To know if the use of induction hypotheses is correct at some time of the interactive development of a proof, use the command Guarded (see Section 7.3.2).

#### **Variants:**

```
1. fix ident_1 num with ( ident_2 binder_2 ... binder_2 [{ struct ident'_2 }] : type_2 ) ... ( ident_n binder_n ... binder_n [{ struct ident'_n }] : type_n )
```

This starts a proof by mutual induction. The statements to be simultaneously proved are respectively forall  $binder_2 \dots binder_2$ ,  $type_2, \dots$ , forall  $binder_n \dots binder_n$ ,  $type_n$ . The identifiers  $ident_1 \dots ident_n$  are the names of the induction hypotheses. The identifiers  $ident_2' \dots ident_n'$  are the respective names of the premises on which the induction is performed in the statements to be simultaneously proved (if not given, the system tries to guess itself what they are).

## 8.5.10 cofix ident

This tactic starts a proof by coinduction. The identifier *ident* is the name given to the coinduction hypothesis. Like in a cofix expression, the use of induction hypotheses have to guarded by a constructor. The verification that the use of co-inductive hypotheses is correct is done only at the time of registering the lemma in the environment. To know if the use of coinduction hypotheses is correct at some time of the interactive development of a proof, use the command Guarded (see Section 7.3.2).

#### Variants:

```
1. cofix ident_1 with ( ident_2 binder_2 ... binder_2 : type_2 ) ... ( ident_n binder_n ... binder_n : type_n )
```

This starts a proof by mutual coinduction. The statements to be simultaneously proved are respectively forall  $binder_2 \dots binder_2$ ,  $type_2, \dots$ , forall  $binder_n \dots binder_n$ ,  $type_n$ . The identifiers  $ident_1 \dots ident_n$  are the names of the coinduction hypotheses.

# 8.6 Rewriting expressions

These tactics use the equality eq:forall A:Type, A->A->Prop defined in file Logic.v (see Section 3.1.2). The notation for eq T t u is simply t=u dropping the implicit type of t and u.

# **8.6.1** rewrite term

This tactic applies to any goal. The type of term must have the form

```
forall (x_1:A_1) ... (x_n:A_n) eq term_1 term_2.
```

where eq is the Leibniz equality or a registered setoid equality.

Then rewrite term finds the first subterm matching  $term_1$  in the goal, resulting in instances  $term'_1$  and  $term'_2$  and then replaces every occurrence of  $term'_1$  by  $term'_2$ . Hence, some of the variables  $x_i$  are solved by unification, and some of the types  $A_1, \ldots, A_n$  become new subgoals.

#### **Error messages:**

- 1. The term provided does not end with an equation
- Tactic generated a subgoal identical to the original goal
   This happens if term<sub>1</sub> does not occur in the goal.

#### Variants:

1. rewrite -> *term* 

Is equivalent to rewrite term

2. rewrite <- *term* 

Uses the equality  $term_1 = term_2$  from right to left

3. rewrite term in clause

Analogous to rewrite term but rewriting is done following *clause* (similarly to 8.7). For instance:

- rewrite H in H1 will rewrite H in the hypothesis H1 instead of the current goal.
- rewrite H in H1 at 1, H2 at  $-2 \mid -*$  means rewrite H; rewrite H in H1 at 1; rewrite H in H2 at -2. In particular a failure will happen if any of these three simpler tactics fails.
- rewrite H in  $\star$  | will do rewrite H in H<sub>i</sub> for all hypothesis H<sub>i</sub> <> H. A success will happen as soon as at least one of these simpler tactics succeeds.
- rewrite H in \* is a combination of rewrite H and rewrite H in \* |- that succeeds if at least one of these two tactics succeeds.

Orientation -> or <- can be inserted before the term to rewrite.

4. rewrite term at occurrences

Rewrite only the given occurrences of  $term'_1$ . Occurrences are specified from left to right as for pattern (§8.7.7). The rewrite is always performed using setoid rewriting, even for Leibniz's equality, so one has to Import Setoid to use this variant.

5. rewrite term by tactic

Use tactic to completely solve the side-conditions arising from the rewrite.

6. rewrite  $term_1$  , ... ,  $term_n$ 

Is equivalent to the n successive tactics rewrite  $term_1$  up to rewrite  $term_n$ , each one working on the first subgoal generated by the previous one. Orientation -> or <- can be inserted before each term to rewrite. One unique *clause* can be added at the end after the keyword in; it will then affect all rewrite operations.

- 7. In all forms of rewrite described above, a term to rewrite can be immediately prefixed by one of the following modifiers:
  - ? : the tactic rewrite ?term performs the rewrite of term as many times as possible (perhaps zero time). This form never fails.
  - n?: works similarly, except that it will do at most n rewrites.
  - ! : works as ?, except that at least one rewrite should succeed, otherwise the tactic fails.
  - n! (or simply n): precisely n rewrites of term will be done, leading to failure if these n rewrites are not possible.
- 8. erewrite term

This tactic works as rewrite *term* but turning unresolved bindings into existential variables, if any, instead of failing. It has the same variants as rewrite has.

# **8.6.2** replace $term_1$ with $term_2$

This tactic applies to any goal. It replaces all free occurrences of  $term_1$  in the current goal with  $term_2$  and generates the equality  $term_2 = term_1$  as a subgoal. This equality is automatically solved if it occurs among the assumption, or if its symmetric form occurs. It is equivalent to cut  $term_2 = term_1$ ; [intro Hn; rewrite <- Hn; clear Hn| assumption || symmetry; try assumption].

### **Error messages:**

1. terms do not have convertible types

#### Variants:

1. replace  $term_1$  with  $term_2$  by tactic

This acts as replace  $term_1$  with  $term_2$  but applies tactic to solve the generated subgoal  $term_2 = term_1$ .

2. replace term

Replaces term with term' using the first assumption whose type has the form term=term' or term'=term.

3. replace -> term

Replaces term with term' using the first assumption whose type has the form term=term'

4. replace <- term

Replaces term with term' using the first assumption whose type has the form term' = term

```
5. replace term<sub>1</sub> with term<sub>2</sub> in clause replace term<sub>1</sub> with term<sub>2</sub> in clause by tactic replace term in clause replace -> term in clause replace <- term in clause</p>
```

Acts as before but the replacements take place in *clause* (see Section 8.7) and not only in the conclusion of the goal. The *clause* argument must not contain any type of nor value of.

```
6. cutrewrite \leftarrow (term_1 = term_2)
```

This tactic is deprecated. It acts like replace  $term_2$  with  $term_1$ , or, equivalently as enough  $(term_1 = term_2)$  as <-.

7. cutrewrite  $\rightarrow$  ( $term_1 = term_2$ )

This tactic is deprecated. It can be replaced by enough  $(term_1 = term_2)$  as  $\rightarrow$ .

## 8.6.3 subst ident

This tactic applies to a goal that has *ident* in its context and (at least) one hypothesis, say H, of type ident = t or t = ident with ident not occurring in t. Then it replaces ident by t everywhere in the goal (in the hypotheses and in the conclusion) and clears ident and H from the context.

If ident is a local definition of the form ident := t, it is also unfolded and cleared.

**Remark:** When several hypotheses have the form ident = t or t = ident, the first one is used.

**Remark:** If H is itself dependent in the goal, it is replaced by the proof of reflexivity of equality.

#### **Variants:**

```
1. subst ident_1 \dots ident_n
This is equivalent to subst ident_1; \dots; subst ident_n.
```

#### 2. subst.

This applies subst repeatedly from top to bottom to all identifiers of the context for which an equality of the form ident = t or t = ident or ident := t exists, with ident not occurring in t.

**Remark:** The behavior of subst can be controlled using option Set Regular Subst Tactic. When this option is activated, subst also deals with the following corner cases:

- A context with ordered hypotheses  $ident_1 = ident_2$  and  $ident_1 = t$ , or  $t' = ident_1$  with t' not a variable, and no other hypotheses of the form  $ident_2 = u$  or  $u = ident_2$ ; without the option, a second call to subst would be necessary to replace  $ident_2$  by t or t' respectively.
- A context with cyclic dependencies as with hypotheses  $ident_1 = f$   $ident_2$  and  $ident_2 = g$   $ident_1$  which without the option would be a cause of failure of subst.

Additionally, it prevents a local definition such as ident := t to be unfolded which otherwise it would exceptionally unfold in configurations containing hypotheses of the form ident = u, or u' = ident with u' not a variable.

Finally, it preserves the initial order of hypotheses, which without the option it may break.

The option is off by default.

# **8.6.4** stepl *term*

This tactic is for chaining rewriting steps. It assumes a goal of the form "R term  $_1$  term  $_2$ " where R is a binary relation and relies on a database of lemmas of the form forall x y z, R x y -> eq x z -> R z y where eq is typically a setoid equality. The application of step1 term then replaces the goal by "R term term  $_2$ " and adds a new goal stating "eq term term  $_1$ ".

Lemmas are added to the database using the command

```
Declare Left Step term.
```

The tactic is especially useful for parametric setoids which are not accepted as regular setoids for rewrite and setoid\_replace (see Chapter 27).

#### Variants:

1. stepl term by tactic

This applies step1 term then applies tactic to the second goal.

```
2. stepr term stepr term by tactic
```

This behaves as step1 but on the right-hand-side of the binary relation. Lemmas are expected to be of the form "forall x y z, R x y  $\rightarrow$  eq y z  $\rightarrow$  R x z" and are registered using the command

```
Declare Right Step term.
```

# 8.6.5 change term

This tactic applies to any goal. It implements the rule "Conv" given in Section 4.4. change U replaces the current goal T with U providing that U is well-formed and that T and U are convertible.

#### **Error messages:**

1. Not convertible

#### Variants:

1. change  $term_1$  with  $term_2$ 

This replaces the occurrences of  $term_1$  by  $term_2$  in the current goal. The terms  $term_1$  and  $term_2$  must be convertible.

2. change  $term_1$  at  $num_1$  ...  $num_i$  with  $term_2$ 

This replaces the occurrences numbered  $num_1 \dots num_i$  of  $term_1$  by  $term_2$  in the current goal. The terms  $term_1$  and  $term_2$  must be convertible.

Error message: Too few occurrences

- 3. change term in ident
- 4. change  $term_1$  with  $term_2$  in ident
- 5. change  $\mathit{term}_1$  at  $\mathit{num}_1$  ...  $\mathit{num}_i$  with  $\mathit{term}_2$  in  $\mathit{ident}$

This applies the change tactic not to the goal but to the hypothesis ident.

See also: 8.7

# 8.7 Performing computations

This set of tactics implements different specialized usages of the tactic change.

All conversion tactics (including change) can be parameterized by the parts of the goal where the conversion can occur. This is done using *goal clauses* which consists in a list of hypotheses and, optionally, of a reference to the conclusion of the goal. For defined hypothesis it is possible to specify if the conversion should occur on the type part, the body part or both (default).

Goal clauses are written after a conversion tactic (tactics set 8.3.7, rewrite 8.6.1, replace 8.6.2 and autorewrite 8.8.4 also use goal clauses) and are introduced by the keyword in. If no goal clause is provided, the default is to perform the conversion only in the conclusion.

The syntax and description of the various goal clauses is the following:

```
in ident_1 ... ident_n |- only in hypotheses ident_1 ... ident_n and in the conclusion in * |- in every hypothesis in * (equivalent to in * |- *) everywhere in (type of ident_1) (value of ident_2) ... |- in type part of ident_1, in the value part of ident_2 etc.
```

For backward compatibility, the notation in  $ident_1...ident_n$  performs the conversion in hypotheses  $ident_1...ident_n$ .

# **8.7.1** cbv $flag_1$ ... $flag_n$ , lazy $flag_1$ ... $flag_n$ , and compute

These parameterized reduction tactics apply to any goal and perform the normalization of the goal according to the specified flags. In correspondence with the kinds of reduction considered in CoQ namely  $\beta$  (reduction of functional application),  $\delta$  (unfolding of transparent constants, see 6.10.2),  $\iota$  (reduction of pattern-matching over a constructed term, and unfolding of fix and cofix expressions) and  $\zeta$  (contraction of local definitions), the flag are either beta, delta, iota or zeta. The delta flag itself can be refined into delta  $[qualid_1...qualid_k]$  or delta  $-[qualid_1...qualid_k]$ , restricting in the first case the constants to unfold to the constants listed, and restricting in the second case the constant to unfold to all but the ones explicitly mentioned. Notice that the delta flag does not apply to variables bound by a let-in construction inside the term itself (use here the zeta flag). In any cases, opaque constants are not unfolded (see Section 6.10.1).

Normalization according to the flags is done by first evaluating the head of the expression into a weak-head normal form, i.e. until the evaluation is bloked by a variable (or an opaque constant, or an axiom), as e.g. in  $x u_1 \ldots u_n$ , or match x with  $\ldots$  end, or (fix f x {struct x} :=  $\ldots$ ) x, or is a constructed form (a  $\lambda$ -expression, a constructor, a cofixpoint, an inductive type, a product type, a sort), or is a redex that the flags prevent to reduce. Once a weak-head normal form is obtained, subterms are recursively reduced using the same strategy.

Reduction to weak-head normal form can be done using two strategies: lazy (lazy tactic), or call-by-value (cbv tactic). The lazy strategy is a call-by-need strategy, with sharing of reductions: the arguments of a function call are weakly evaluated only when necessary, and if an argument is used several times then it is weakly computed only once. This reduction is efficient for reducing expressions with dead code. For instance, the proofs of a proposition exists x. P(x) reduce to a pair of a witness t, and a proof that t satisfies the predicate t. Most of the time, t may be computed without computing the proof of t0, thanks to the lazy strategy.

The call-by-value strategy is the one used in ML languages: the arguments of a function call are systematically weakly evaluated first. Despite the lazy strategy always performs fewer reductions than the call-by-value strategy, the latter is generally more efficient for evaluating purely computational expressions (i.e. with few dead code).

# Variants:

```
    compute cbv
        These are synonyms for cbv beta delta iota zeta.

    lazy
        This is a synonym for lazy beta delta iota zeta.

    compute [qualid<sub>1</sub>...qualid<sub>k</sub>] cbv [qualid<sub>1</sub>...qualid<sub>k</sub>]
        These are synonyms of cbv beta delta [qualid<sub>1</sub>...qualid<sub>k</sub>] iota zeta.

    compute -[qualid<sub>1</sub>...qualid<sub>k</sub>] cbv -[qualid<sub>1</sub>...qualid<sub>k</sub>]
        These are synonyms of cbv beta delta -[qualid<sub>1</sub>...qualid<sub>k</sub>] iota zeta.

    lazy [qualid<sub>1</sub>...qualid<sub>k</sub>] lazy -[qualid<sub>1</sub>...qualid<sub>k</sub>]
```

These are respectively synonyms of lazy beta delta  $[qualid_1...qualid_k]$  iota zeta and lazy beta delta  $-[qualid_1...qualid_k]$  iota zeta.

#### 6. vm compute

This tactic evaluates the goal using the optimized call-by-value evaluation bytecode-based virtual machine described in [77]. This algorithm is dramatically more efficient than the algorithm used for the cbv tactic, but it cannot be fine-tuned. It is specially interesting for full evaluation of algebraic objects. This includes the case of reflection-based tactics.

#### 7. native\_compute

This tactic evaluates the goal by compilation to OBJECTIVE CAML as described in [16]. If CoQ is running in native code, it can be typically two to five times faster than vm\_compute. Note however that the compilation cost is higher, so it is worth using only for intensive computations.

#### **8.7.2** red

This tactic applies to a goal that has the form forall (x:T1)...(xk:Tk), t with t  $\beta\iota\zeta$ -reducing to c t1 ... tn and c a constant. If c is transparent then it replaces c with its definition (say t) and then reduces (t t1 ... tn) according to  $\beta\iota\zeta$ -reduction rules.

#### **Error messages:**

1. Not reducible

#### **8.7.3** hnf

This tactic applies to any goal. It replaces the current goal with its head normal form according to the  $\beta\delta\iota\zeta$ -reduction rules, i.e. it reduces the head of the goal until it becomes a product or an irreducible term. All inner  $\beta\iota$ -redexes are also reduced.

**Example:** The term forall n:nat, (plus (S n) (S n)) is not reduced by hnf.

**Remark:** The  $\delta$  rule only applies to transparent constants (see Section 6.10.1 on transparency and opacity).

### 8.7.4 cbn and simpl

These tactics apply to any goal. They try to reduce a term to something still readable instead of fully normalizing it. They perform a sort of strong normalization with two key differences:

- They unfold a constant if and only if it leads to a *ι*-reduction, i.e. reducing a match or unfolding a fixpoint.
- While reducing a constant unfolding to (co)fixpoints, the tactics use the name of the constant the (co)fixpoint comes from instead of the (co)fixpoint definition in recursive calls.

The cbn tactic is claimed to be a more principled, faster and more predictable replacement for simpl.

The cbn tactic accepts the same flags as cbv and lazy. The behavior of both simpl and cbn can be tuned using the Arguments vernacular command as follows:

• A constant can be marked to be never unfolded by cbn or simpl:

```
Coq < Arguments minus n m : simpl never.
```

After that command an expression like (minus (S x) y) is left untouched by the tactics cbn and simpl.

• A constant can be marked to be unfolded only if applied to enough arguments. The number of arguments required can be specified using the / symbol in the arguments list of the Arguments vernacular command.

```
Coq < Definition fcomp A B C f (g : A \rightarrow B) (x : A) : C := f (g x). Coq < Notation "f \o g" := (fcomp f g) (at level 50). Coq < Arguments fcomp {A B C} f g x /.
```

After that command the expression  $(f \circ g)$  is left untouched by simpl while  $((f \circ g) t)$  is reduced to  $(f \circ g)$ . The same mechanism can be used to make a constant volatile, i.e. always unfolded.

```
Coq < Definition volatile := fun x : nat => x.
Coq < Arguments volatile / x.
```

• A constant can be marked to be unfolded only if an entire set of arguments evaluates to a constructor. The ! symbol can be used to mark such arguments.

```
Coq < Arguments minus !n !m.
```

After that command, the expression (minus  $(S \times y)$  is left untouched by simpl, while (minus  $(S \times y)$ ) is reduced to (minus  $(S \times y)$ ).

• A special heuristic to determine if a constant has to be unfolded can be activated with the following command:

```
Coq < Arguments minus n m : simpl nomatch.
```

The heuristic avoids to perform a simplification step that would expose a match construct in head position. For example the expression (minus (S (S x)) (S y)) is simplified to (minus (S x) y) even if an extra simplification is possible.

In detail, the tactic simpl first applies  $\beta\iota$ -reduction. Then, it expands transparent constants and tries to reduce further using  $\beta\iota$ -reduction. But, when no  $\iota$  rule is applied after unfolding then  $\delta$ -reductions are not applied. For instance trying to use simpl on (plus n O) =n changes nothing.

Notice that only transparent constants whose name can be reused in the recursive calls are possibly unfolded by simpl. For instance a constant defined by plus' := plus is possibly unfolded and reused in the recursive calls, but a constant such as succ := plus (S O) is never unfolded. This is the main difference between simpl and cbn. The tactic cbn reduces whenever it will be able to reuse it or not: succ t is reduced to S t.

#### **Variants:**

```
1. cbn [qualid_1...qualid_k] cbn -[qualid_1...qualid_k]
```

These are respectively synonyms of cbn beta delta  $[qualid_1...qualid_k]$  iota zeta and cbn beta delta  $-[qualid_1...qualid_k]$  iota zeta (see 8.7.1).

2. simpl pattern

This applies simpl only to the subterms matching pattern in the current goal.

3. simpl pattern at  $num_1$  ...  $num_i$ 

This applies  $simpl only to the <math>num_1, ..., num_i$  occurrences of the subterms matching pattern in the current goal.

Error message: Too few occurrences

4. simpl qualid simpl string

This applies simpl only to the applicative subterms whose head occurrence is the unfoldable constant *qualid* (the constant can be referred to by its notation using *string* if such a notation exists).

```
5. simpl qualid at num_1 \ldots num_i simpl string at num_1 \ldots num_i
```

This applies  $simpl only to the <math>num_1, ..., num_i$  applicative subterms whose head occurrence is qualid (or string).

# 8.7.5 unfold qualid

This tactic applies to any goal. The argument *qualid* must denote a defined transparent constant or local definition (see Sections 1.3.2 and 6.10.2). The tactic unfold applies the  $\delta$  rule to each occurrence of the constant to which *qualid* refers in the current goal and then replaces it with its  $\beta \iota$ -normal form.

#### **Error messages:**

1. qualid does not denote an evaluable constant

#### **Variants:**

1. unfold  $qualid_1$ , ...,  $qualid_n$ 

Replaces *simultaneously qualid*<sub>1</sub>, ..., *qualid*<sub>n</sub> with their definitions and replaces the current goal with its  $\beta\iota$  normal form.

2. unfold  $qualid_1$  at  $num_1^1$ , ...,  $num_i^1$ , ...,  $qualid_n$  at  $num_1^n$  ...  $num_j^n$ The lists  $num_1^1$ , ...,  $num_i^1$  and  $num_1^n$ , ...,  $num_j^n$  specify the occurrences of  $qualid_1$ , ...,  $qualid_n$  to be unfolded. Occurrences are located from left to right.

**Error message:** bad occurrence number of  $qualid_i$ 

Error message:  $qualid_i$  does not occur

3. unfold string

If *string* denotes the discriminating symbol of a notation (e.g. "+") or an expression defining a notation (e.g. "\_ + \_"), and this notation refers to an unfoldable constant, then the tactic unfolds it.

4. unfold *string*%*key* 

This is variant of unfold string where string gets its interpretation from the scope bound to the delimiting key key instead of its default interpretation (see Section 12.2.2).

5. unfold  $qualid\_or\_string_1$  at  $num_1^1$ , ...,  $num_i^1$ , ...,  $qualid\_or\_string_n$  at  $num_1^n$  ...  $num_i^n$ 

This is the most general form, where *qualid\_or\_string* is either a *qualid* or a *string* referring to a notation.

#### **8.7.6** fold *term*

This tactic applies to any goal. The term term is reduced using the red tactic. Every occurrence of the resulting term in the goal is then replaced by term.

#### Variants:

```
1. fold term_1 \dots term_n
Equivalent to fold term_1; \dots; fold term_n.
```

## 8.7.7 pattern term

This command applies to any goal. The argument *term* must be a free subterm of the current goal. The command pattern performs  $\beta$ -expansion (the inverse of  $\beta$ -reduction) of the current goal (say T) by

- 1. replacing all occurrences of term in T with a fresh variable
- 2. abstracting this variable
- 3. applying the abstracted goal to term

For instance, if the current goal T is expressible has  $\phi(t)$  where the notation captures all the instances of t in  $\phi(t)$ , then pattern t transforms it into (fun  $x:A => \phi(x)$ ) t. This command can be used, for instance, when the tactic apply fails on matching.

#### **Variants:**

```
1. pattern term at num_1 ... num_n
```

Only the occurrences  $num_1 \dots num_n$  of term are considered for  $\beta$ -expansion. Occurrences are located from left to right.

```
2. pattern term at - num_1 ... num_n
```

All occurrences except the occurrences of indexes  $num_1 \dots num_n$  of term are considered for  $\beta$ -expansion. Occurrences are located from left to right.

```
3. pattern term_1, ..., term_m
```

Starting from a goal  $\phi(t_1 \dots t_m)$ , the tactic pattern  $t_1, \dots, t_m$  generates the equivalent goal (fun  $(x_1:A_1) \dots (x_m:A_m) \Rightarrow \phi(x_1 \dots x_m)$ )  $t_1 \dots t_m$ . If  $t_i$  occurs in one of the generated types  $A_i$  these occurrences will also be considered and possibly abstracted.

4. pattern  $term_1$  at  $num_1^1$  ...  $num_{n_1}^1$ , ...,  $term_m$  at  $num_1^m$  ...  $num_{n_m}^m$ This behaves as above but processing only the occurrences  $num_1^1$ , ...,  $num_i^1$  of  $term_1$ , ...,  $num_1^m$ , ...,  $num_i^m$  of  $term_m$  starting from  $term_m$ .

5. pattern  $term_1$  [at [-]  $num_1^1$  ...  $num_{n_1}^1$ ], ...,  $term_m$  [at [-]  $num_1^m$  ...  $num_{n_m}^m$ ]

This is the most general syntax that combines the different variants.

# 8.7.8 Conversion tactics applied to hypotheses

```
conv\_tactic in ident_1 ... ident_n
```

Applies the conversion tactic  $conv\_tactic$  to the hypotheses  $ident_1, \ldots, ident_n$ . The tactic  $conv\_tactic$  is any of the conversion tactics listed in this section.

If  $ident_i$  is a local definition, then  $ident_i$  can be replaced by (Type of  $ident_i$ ) to address not the body but the type of the local definition. Example: unfold not in (Type of H1) (Type of H3).

## **Error messages:**

1. No such hypothesis: ident.

# 8.8 Automation

#### **8.8.1** auto

This tactic implements a Prolog-like resolution procedure to solve the current goal. It first tries to solve the goal using the assumption tactic, then it reduces the goal to an atomic one using intros and introduces the newly generated hypotheses as hints. Then it looks at the list of tactics associated to the head symbol of the goal and tries to apply one of them (starting from the tactics with lower cost). This process is recursively applied to the generated subgoals.

By default, auto only uses the hypotheses of the current goal and the hints of the database named core.

#### Variants:

1. auto num

Forces the search depth to be num. The maximal search depth is 5 by default.

2. auto with  $ident_1 \ldots ident_n$ 

Uses the hint databases  $ident_1 \dots ident_n$  in addition to the database core. See Section 8.9.1 for the list of pre-defined databases and the way to create or extend a database.

3. auto with \*

Uses all existing hint databases, minus the special database v62. See Section 8.9.1

4. auto using  $lemma_1$  , ... ,  $lemma_n$ 

Uses  $lemma_1, \ldots, lemma_n$  in addition to hints (can be combined with the with *ident* option). If  $lemma_i$  is an inductive type, it is the collection of its constructors which is added as hints.

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5. info\_auto

Behaves like auto but shows the tactics it uses to solve the goal. This variant is very useful for getting a better understanding of automation, or to know what lemmas/assumptions were used.

- 6. [info\_]auto [num] [using lemma<sub>1</sub>, ..., lemma<sub>n</sub>] [with ident<sub>1</sub>... ident<sub>n</sub>] This is the most general form, combining the various options.
- 7. trivial

This tactic is a restriction of auto that is not recursive and tries only hints that cost 0. Typically it solves trivial equalities like X = X.

```
8. trivial with ident_1 ... ident_n
```

- 9. trivial with \*
- 10. trivial using  $lemma_1$ , ...,  $lemma_n$
- 11. info trivial
- 12. [info\_]trivial [using  $lemma_1$ , ...,  $lemma_n$ ] [with  $ident_1$  ...  $ident_n$ ]

**Remark:** auto either solves completely the goal or else leaves it intact. auto and trivial never fail.

See also: Section 8.9.1

#### **8.8.2** eaut.o

This tactic generalizes auto. While auto does not try resolution hints which would leave existential variables in the goal, eauto does try them (informally speaking, it uses simple eapply where auto uses simple apply). As a consequence, eauto can solve such a goal:

Note that ex intro should be declared as a hint.

#### **Variants:**

1. [info\_]eauto [num] [using lemma<sub>1</sub>, ..., lemma<sub>n</sub>] [with ident<sub>1</sub>... ident<sub>n</sub>] The various options for eauto are the same as for auto.

See also: Section 8.9.1

# **8.8.3** autounfold with $ident_1$ ... $ident_n$

This tactic unfolds constants that were declared through a Hint Unfold in the given databases.

#### **Variants:**

- 1. autounfold with  $ident_1$  ...  $ident_n$  in clause Performs the unfolding in the given clause.
- 2. autounfold with  $\star$

Uses the unfold hints declared in all the hint databases.

# **8.8.4** autorewrite with $ident_1$ ... $ident_n$

This tactic  $^4$  carries out rewritings according the rewriting rule bases  $ident_1 \dots ident_n$ .

Each rewriting rule of a base  $ident_i$  is applied to the main subgoal until it fails. Once all the rules have been processed, if the main subgoal has progressed (e.g., if it is distinct from the initial main goal) then the rules of this base are processed again. If the main subgoal has not progressed then the next base is processed. For the bases, the behavior is exactly similar to the processing of the rewriting rules.

The rewriting rule bases are built with the Hint Rewrite vernacular command.

**Warning:** This tactic may loop if you build non terminating rewriting systems.

# Variant:

1. autorewrite with  $ident_1$  ...  $ident_n$  using tactic

Performs, in the same way, all the rewritings of the bases  $ident_1 \dots ident_n$  applying tactic to the main subgoal after each rewriting step.

- 2. autorewrite with  $ident_1$  ...  $ident_n$  in qualid
  - Performs all the rewritings in hypothesis qualid.
- 3. autorewrite with  $ident_1$  ...  $ident_n$  in qualid using tactic

Performs all the rewritings in hypothesis *qualid* applying *tactic* to the main subgoal after each rewriting step.

4. autorewrite with  $ident_1$  ...  $ident_n$  in clause

Performs all the rewriting in the clause *clause*. The *clause* argument must not contain any type of nor value of.

**See also:** Section 8.9.5 for feeding the database of lemmas used by autorewrite.

**See also:** Section 10.2 for examples showing the use of this tactic.

<sup>&</sup>lt;sup>4</sup>The behavior of this tactic has much changed compared to the versions available in the previous distributions (V6). This may cause significant changes in your theories to obtain the same result. As a drawback of the re-engineering of the code, this tactic has also been completely revised to get a very compact and readable version.

# 8.9 Controlling automation

#### **8.9.1** The hints databases for auto and eauto

The hints for auto and eauto are stored in databases. Each database maps head symbols to a list of hints. One can use the command Print Hint ident to display the hints associated to the head symbol ident (see 8.9.4). Each hint has a cost that is a nonnegative integer, and an optional pattern. The hints with lower cost are tried first. A hint is tried by auto when the conclusion of the current goal matches its pattern or when it has no pattern.

# **Creating Hint databases**

One can optionally declare a hint database using the command Create HintDb. If a hint is added to an unknown database, it will be automatically created.

```
Create HintDb ident [discriminated]
```

This command creates a new database named *ident*. The database is implemented by a Discrimination Tree (DT) that serves as an index of all the lemmas. The DT can use transparency information to decide if a constant should be indexed or not (c.f. 8.9.1), making the retrieval more efficient. The legacy implementation (the default one for new databases) uses the DT only on goals without existentials (i.e., auto goals), for non-Immediate hints and do not make use of transparency hints, putting more work on the unification that is run after retrieval (it keeps a list of the lemmas in case the DT is not used). The new implementation enabled by the discriminated option makes use of DTs in all cases and takes transparency information into account. However, the order in which hints are retrieved from the DT may differ from the order in which they were inserted, making this implementation observationally different from the legacy one.

The general command to add a hint to some databases  $ident_1, \ldots, ident_n$  is

```
Hint hint\_definition : ident_1 ... ident_n
```

#### **Variants:**

1. Hint hint definition

No database name is given: the hint is registered in the core database.

```
2. Local Hint hint\_definition : ident_1 ... ident_n
```

This is used to declare hints that must not be exported to the other modules that require and import the current module. Inside a section, the option Local is useless since hints do not survive anyway to the closure of sections.

3. Local Hint hint definition

Idem for the core database.

The hint\_definition is one of the following expressions:

• Resolve *term* 

This command adds simple apply term to the hint list with the head symbol of the type of term. The cost of that hint is the number of subgoals generated by simple apply term.

In case the inferred type of *term* does not start with a product the tactic added in the hint list is exact *term*. In case this type can however be reduced to a type starting with a product, the tactic simple apply *term* is also stored in the hints list.

If the inferred type of *term* contains a dependent quantification on a variable which occurs only in the premisses of the type and not in its conclusion, no instance could be inferred for the variable by unification with the goal. In this case, the hint is added to the hint list of eauto (see 8.8.2) instead of the hint list of auto and a warning is printed. A typical example of a hint that is used only by eauto is a transitivity lemma.

#### **Error messages:**

1. term cannot be used as a hint

The head symbol of the type of *term* is a bound variable such that this tactic cannot be associated to a constant.

#### Variants:

- 1. Resolve  $term_1$  ...  $term_m$  Adds each Resolve  $term_i$ .
- 2. Resolve  $\rightarrow$  term

Adds the left-to-right implication of an equivalence as a hint (informally the hint will be used as apply <- *term*, although as mentionned before, the tactic actually used is a restricted version of apply).

3. Resolve <- term

Adds the right-to-left implication of an equivalence as a hint.

• Immediate term

This command adds simple apply *term*; trivial to the hint list associated with the head symbol of the type of *ident* in the given database. This tactic will fail if all the subgoals generated by simple apply *term* are not solved immediately by the trivial tactic (which only tries tactics with cost 0).

This command is useful for theorems such as the symmetry of equality or  $n+1=m+1 \rightarrow n=m$  that we may like to introduce with a limited use in order to avoid useless proof-search.

The cost of this tactic (which never generates subgoals) is always 1, so that it is not used by trivial itself.

# **Error messages:**

1. term cannot be used as a hint

#### Variants:

1. Immediate  $term_1$  ...  $term_m$  Adds each Immediate  $term_i$ .

#### • Constructors ident

If *ident* is an inductive type, this command adds all its constructors as hints of type Resolve. Then, when the conclusion of current goal has the form (*ident* ...), auto will try to apply each constructor.

#### **Error messages:**

1. ident is not an inductive type

#### **Variants:**

- 1. Constructors  $ident_1$  ...  $ident_m$ Adds each Constructors  $ident_i$ .
- Unfold qualid

This adds the tactic unfold qualid to the hint list that will only be used when the head constant of the goal is ident. Its cost is 4.

#### **Variants:**

- 1. Unfold  $ident_1$  ...  $ident_m$ Adds each Unfold  $ident_i$ .
- Transparent, Opaque qualid

This adds a transparency hint to the database, making *qualid* a transparent or opaque constant during resolution. This information is used during unification of the goal with any lemma in the database and inside the discrimination network to relax or constrain it in the case of discriminated databases.

#### Variants:

- 1. Transparent, Opaque  $ident_1 \dots ident_m$ Declares each  $ident_i$  as a transparent or opaque constant.
- Extern num [pattern] => tactic

This hint type is to extend auto with tactics other than apply and unfold. For that, we must specify a cost, an optional pattern and a tactic to execute. Here is an example:

```
Hint Extern 4 (\sim (\_ = \_)) => discriminate.
```

Now, when the head of the goal is a disequality, auto will try discriminate if it does not manage to solve the goal with hints with a cost less than 4.

One can even use some sub-patterns of the pattern in the tactic script. A sub-pattern is a question mark followed by an identifier, like ?X1 or ?X2. Here is an example:

#### • Cut regexp

*Warning:* these hints currently only apply to typeclass proof search and the typeclasses eauto tactic.

This command can be used to cut the proof-search tree according to a regular expression matching paths to be cut. The grammar for regular expressions is the following:

```
e ::= ident hint or instance identifier

* any hint
e|e' disjunction
e;e' sequence
!e Kleene star
emp empty
eps epsilon
(e)
```

The emp regexp does not match any search path while eps matches the empty path. During proof search, the path of successive successful hints on a search branch is recorded, as a list of identifiers for the hints (note Hint Extern's do not have an associated identifier). Before applying any hint *ident* the current path p extended with *ident* is matched against the current cut expression c associated to the hint database. If matching succeeds, the hint is *not* applied. The semantics of Hint Cut e is to set the cut expression to c|e, the initial cut expression being emp.

### • Mode (+ | -)\* qualid

This sets an optional mode of use of the identifier qualid. When proof-search faces a goal that ends in an application of qualid to arguments  $term_1$  ...  $term_n$ , the mode tells if the hints associated to qualid can be applied or not. A mode specification is a list of n + or - items that specify if an argument is to be treated as an input + or an output - of the identifier. For a mode to match a list of arguments, input terms  $must\ not$  contain existential variables, while outputs can be any term. Multiple modes can be declared for a single identifier, in that case only one mode needs to match the arguments for the hints to be applied.

Hint Mode is especially useful for typeclasses, when one does not want to support default instances and avoid ambiguity in general. Setting a parameter of a class as an input forces proofsearch to be driven by that index of the class.

**Remark:** One can use an Extern hint with no pattern to do pattern-matching on hypotheses using match goal with inside the tactic.

# 8.9.2 Hint databases defined in the CoQ standard library

Several hint databases are defined in the COQ standard library. The actual content of a database is the collection of the hints declared to belong to this database in each of the various modules currently loaded. Especially, requiring new modules potentially extend a database. At COQ startup, only the core and v62 databases are non empty and can be used.

- core This special database is automatically used by auto, except when pseudo-database nocore is given to auto. The core database contains only basic lemmas about negation, conjunction, and so on from. Most of the hints in this database come from the Init and Logic directories.
- arith This database contains all lemmas about Peano's arithmetic proved in the directories Init and Arith
- zarith contains lemmas about binary signed integers from the directories theories/ZArith. When required, the module Omega also extends the database zarith with a high-cost hint that calls omega on equations and inequalities in nat or Z.

bool contains lemmas about booleans, mostly from directory theories/Bool.

datatypes is for lemmas about lists, streams and so on that are mainly proved in the Lists subdirectory.

sets contains lemmas about sets and relations from the directories Sets and Relations.

typeclass\_instances contains all the type class instances declared in the environment, including those used for setoid\_rewrite, from the Classes directory.

There is also a special database called v62. It collects all hints that were declared in the versions of CoQ prior to version 6.2.4 when the databases core, arith, and so on were introduced. The purpose of the database v62 is to ensure compatibility with further versions of CoQ for developments done in versions prior to 6.2.4 (auto being replaced by auto with v62). The database v62 is intended not to be extended (!). It is not included in the hint databases list used in the auto with \* tactic.

Furthermore, you are advised not to put your own hints in the core database, but use one or several databases specific to your development.

```
8.9.3 Remove Hints term_1 ... term_n: ident_1 ... ident_m
```

This command removes the hints associated to terms  $term_1 \dots term_n$  in databases  $ident_1 \dots ident_m$ .

## 8.9.4 Print Hint

This command displays all hints that apply to the current goal. It fails if no proof is being edited, while the two variants can be used at every moment.

#### Variants:

1. Print Hint ident

This command displays only tactics associated with *ident* in the hints list. This is independent of the goal being edited, so this command will not fail if no goal is being edited.

2. Print Hint \*

This command displays all declared hints.

3. Print HintDb ident

This command displays all hints from database ident.

```
8.9.5 Hint Rewrite term_1 ... term_n: ident_1 ... ident_m
```

This vernacular command adds the terms  $term_1 \ldots term_n$  (their types must be equalities) in the rewriting bases  $ident_1, \ldots, ident_m$  with the default orientation (left to right). Notice that the rewriting bases are distinct from the auto hint bases and that auto does not take them into account.

This command is synchronous with the section mechanism (see 2.4): when closing a section, all aliases created by Hint Rewrite in that section are lost. Conversely, when loading a module, all Hint Rewrite declarations at the global level of that module are loaded.

#### **Variants:**

- 1. Hint Rewrite  $-> term_1 \dots term_n : ident_1 \dots ident_m$ This is strictly equivalent to the command above (we only make explicit the orientation which otherwise defaults to ->).
- 2. Hint Rewrite  $\leftarrow term_1 \dots term_n : ident_1 \dots ident_m$ Adds the rewriting rules  $term_1 \dots term_n$  with a right-to-left orientation in the bases  $ident_1, \dots, ident_m$ .
- 3. Hint Rewrite  $term_1$  ...  $term_n$  using tactic:  $ident_1$  ...  $ident_m$ When the rewriting rules  $term_1$  ...  $term_n$  in  $ident_1$ , ...,  $ident_m$  will be used, the tactic tactic will be applied to the generated subgoals, the main subgoal excluded.
- 4. Print Rewrite HintDb *ident*This command displays all rewrite hints contained in *ident*.

# 8.9.6 Hint locality

Hints provided by the Hint commands are erased when closing a section. Conversely, all hints of a module A that are not defined inside a section (and not defined with option Local) become available when the module A is imported (using e.g. Require Import A.).

As of today, hints only have a binary behavior regarding locality, as described above: either they disappear at the end of a section scope, or they remain global forever. This causes a scalability issue, because hints coming from an unrelated part of the code may badly influence another development. It can be mitigated to some extent thanks to the Remove Hints command (see 8.9.3), but this is a mere workaround and has some limitations (for instance, external hints cannot be removed).

A proper way to fix this issue is to bind the hints to their module scope, as for most of the other objects Coq uses. Hints should only made available when the module they are defined in is imported, not just required. It is very difficult to change the historical behavior, as it would break a lot of scripts. We propose a smooth transitional path by providing the Loose Hint Behavior option which accepts three flags allowing for a fine-grained handling of non-imported hints.

#### Variants:

1. Set Loose Hint Behavior "Lax"

This is the default, and corresponds to the historical behavior, that is, hints defined outside of a section have a global scope.

2. Set Loose Hint Behavior "Warn"

When set, it outputs a warning when a non-imported hint is used. Note that this is an over-approximation, because a hint may be triggered by a run that will eventually fail and backtrack, resulting in the hint not being actually useful for the proof.

3. Set Loose Hint Behavior "Strict"

When set, it changes the behavior of an unloaded hint to a immediate fail tactic, allowing to emulate an import-scoped hint mechanism.

# **8.9.7** Setting implicit automation tactics

```
Proof with tactic
```

This command may be used to start a proof. It defines a default tactic to be used each time a tactic command  $tactic_1$  is ended by "...". In this case the tactic command typed by the user is equivalent to  $tactic_1$ ; tactic.

See also: Proof. in Section 7.1.4.

#### **Variants:**

- 1. Proof with *tactic* using  $ident_1$  ...  $ident_n$  Combines in a single line Proof with and Proof using, see 7.1.5
- Proof using ident<sub>1</sub> ... ident<sub>n</sub> with tactic
   Combines in a single line Proof with and Proof using, see 7.1.5

Declare Implicit Tactic tactic

This command declares a tactic to be used to solve implicit arguments that CoQ does not know how to solve by unification. It is used every time the term argument of a tactic has one of its holes not fully resolved.

Here is an example:

The tactic exists (n // m) did not fail. The hole was solved by assumption so that it behaved as exists (quo n m H).

# 8.10 Decision procedures

#### **8.10.1** tauto

This tactic implements a decision procedure for intuitionistic propositional calculus based on the contraction-free sequent calculi LJT\* of Roy Dyckhoff [56]. Note that tauto succeeds on any instance of an intuitionistic tautological proposition. tauto unfolds negations and logical equivalence but does not unfold any other definition.

The following goal can be proved by tauto whereas auto would fail:

Moreover, if it has nothing else to do, tauto performs introductions. Therefore, the use of intros in the previous proof is unnecessary. tauto can for instance prove the following:

Remark: In contrast, tauto cannot solve the following goal

```
Coq < Goal forall (A:Prop) (P:nat \rightarrow Prop),
A \/ (forall x:nat, \sim A \rightarrow P x) \rightarrow forall x:nat, \sim (A \/ P x).
```

because (forall x:nat,  $\sim$  A  $\rightarrow$  P x) cannot be treated as atomic and an instantiation of x is necessary.

#### Variants:

1. dtauto

While tauto recognizes inductively defined connectives isomorphic to the standard connective and, prod, or, sum, False, Empty\_set, unit, True, dtauto recognizes also all inductive types with one constructors and no indices, i.e. record-style connectives.

#### **8.10.2** intuition tactic

The tactic intuition takes advantage of the search-tree built by the decision procedure involved in the tactic tauto. It uses this information to generate a set of subgoals equivalent to the original one (but simpler than it) and applies the tactic tactic to them [113]. If this tactic fails on some goals then intuition fails. In fact, tauto is simply intuition fail.

For instance, the tactic intuition auto applied to the goal

```
(forall (x:nat), P x)/B \rightarrow (forall (y:nat), P y)/P O \/B/\ P O
```

internally replaces it by the equivalent one:

```
(forall (x:nat), P \times), B \mid -P O
```

and then uses auto which completes the proof.

Originally due to César Muñoz, these tactics (tauto and intuition) have been completely reengineered by David Delahaye using mainly the tactic language (see Chapter 9). The code is now much shorter and a significant increase in performance has been noticed. The general behavior with respect to dependent types, unfolding and introductions has slightly changed to get clearer semantics. This may lead to some incompatibilities.

# Variants:

1. intuition

Is equivalent to intuition auto with \*.

2. dintuition

While intuition recognizes inductively defined connectives isomorphic to the standard connective and, prod, or, sum, False, Empty\_set, unit, True, dintuition recognizes also all inductive types with one constructors and no indices, i.e. record-style connectives.

Some aspects of the tactic intuition can be controlled using options. To avoid that inner negations which do not need to be unfolded are unfolded, use:

```
Unset Intuition Negation Unfolding
```

To do that all negations of the goal are unfolded even inner ones (this is the default), use:

```
Set Intuition Negation Unfolding
```

To avoid that inner occurrence of iff which do not need to be unfolded are unfolded (this is the default), use:

```
Unset Intuition Iff Unfolding
```

To do that all negations of the goal are unfolded even inner ones (this is the default), use:

```
Set Intuition Iff Unfolding
```

# **8.10.3** rtauto

The rtauto tactic solves propositional tautologies similarly to what tauto does. The main difference is that the proof term is built using a reflection scheme applied to a sequent calculus proof of the goal. The search procedure is also implemented using a different technique.

Users should be aware that this difference may result in faster proof-search but slower proof-checking, and rtauto might not solve goals that tauto would be able to solve (e.g. goals involving universal quantifiers).

### 8.10.4 firstorder

The tactic firstorder is an *experimental* extension of tauto to first-order reasoning, written by Pierre Corbineau. It is not restricted to usual logical connectives but instead may reason about any first-order class inductive definition.

The default tactic used by firstorder when no rule applies is auto with  $\star$ , it can be reset locally or globally using the Set Firstorder Solver tactic vernacular command and printed using Print Firstorder Solver.

#### **Variants:**

1. firstorder tactic

Tries to solve the goal with *tactic* when no logical rule may apply.

2. firstorder using  $qualid_1$  , ... ,  $qualid_n$ 

Adds lemmas  $qualid_1 \dots qualid_n$  to the proof-search environment. If  $qualid_i$  refers to an inductive type, it is the collection of its constructors which are added to the proof-search environment.

3. firstorder with  $ident_1$  ...  $ident_n$ 

Adds lemmas from auto hint bases  $ident_1 \dots ident_n$  to the proof-search environment.

4. firstorder tactic using  $qualid_1$ , ...,  $qualid_n$  with  $ident_1$  ...  $ident_n$  This combines the effects of the different variants of firstorder.

Proof-search is bounded by a depth parameter which can be set by typing the  $Set\ Firstorder\ Depth\ n$  vernacular command.

# **8.10.5** congruence

The tactic congruence, by Pierre Corbineau, implements the standard Nelson and Oppen congruence closure algorithm, which is a decision procedure for ground equalities with uninterpreted symbols. It also include the constructor theory (see 8.5.7 and 8.5.6). If the goal is a non-quantified equality, congruence tries to prove it with non-quantified equalities in the context. Otherwise it tries to infer a discriminable equality from those in the context. Alternatively, congruence tries to prove that a hypothesis is equal to the goal or to the negation of another hypothesis.

congruence is also able to take advantage of hypotheses stating quantified equalities, you have to provide a bound for the number of extra equalities generated that way. Please note that one of the members of the equality must contain all the quantified variables in order for congruence to match against it.

```
Coq < Theorem T:
       a=(f a) -> (g b (f a))=(f (f a)) -> (g a b)=(f (g b a)) -> (g a b)=a.
1 subgoal
  a = f \ a \rightarrow g \ b \ (f \ a) = f \ (f \ a) \rightarrow g \ a \ b = f \ (g \ b \ a) \rightarrow g \ a \ b = a
Coq < intros.
1 subgoal
 H : a = f a
 H0 : qb (fa) = f (fa)
 H1 : g \ a \ b = f \ (g \ b \ a)
  ______
  qab = a
Coq < congruence.
No more subgoals.
Coq < Theorem inj : f = pair a -> Some (f c) = Some (f d) -> c=d.
1 subgoal
  _____
 f = pair a \rightarrow Some (f c) = Some (f d) \rightarrow c = d
Coq < intros.
1 subgoal
 H : f = pair a
 H0: Some (f c) = Some (f d)
  ______
  c = d
Coq < congruence.
No more subgoals.
```

# Variants:

### 1. congruence n

Tries to add at most n instances of hypotheses stating quantified equalities to the problem in order to solve it. A bigger value of n does not make success slower, only failure. You might consider adding some lemmas as hypotheses using assert in order for congruence to use them.

2. congruence with  $term_1$  ...  $term_n$ 

Adds  $term_1 \dots term_n$  to the pool of terms used by congruence. This helps in case you have partially applied constructors in your goal.

### **Error messages:**

1. I don't know how to handle dependent equality

The decision procedure managed to find a proof of the goal or of a discriminable equality but this proof could not be built in COQ because of dependently-typed functions.

2. Goal is solvable by congruence but some arguments are missing. Try "congruence with ...", replacing metavariables by arbitrary terms.

The decision procedure could solve the goal with the provision that additional arguments are supplied for some partially applied constructors. Any term of an appropriate type will allow the tactic to successfully solve the goal. Those additional arguments can be given to congruence by filling in the holes in the terms given in the error message, using the with variant described above.

# 8.11 Everything after this point has yet to be sorted

# **8.11.1** constr\_eq $term_1$ $term_2$

This tactic applies to any goal. It checks whether its arguments are equal modulo alpha conversion and casts.

Error message: Not equal

# **8.11.2** unify $term_1$ $term_2$

This tactic applies to any goal. It checks whether its arguments are unifiable, potentially instantiating existential variables.

Error message: Not unifiable

#### Variants:

1. unify  $term_1$   $term_2$  with ident

Unification takes the transparency information defined in the hint database *ident* into account (see Section 8.9.1).

#### **8.11.3** is evar *term*

This tactic applies to any goal. It checks whether its argument is an existential variable. Existential variables are uninstantiated variables generated by e.g. eapply (see Section 8.2.4).

Error message: Not an evar

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# 8.11.4 has\_evar term

This tactic applies to any goal. It checks whether its argument has an existential variable as a subterm. Unlike context patterns combined with is\_evar, this tactic scans all subterms, including those under binders.

Error message: No evars

# **8.11.5** is var *term*

This tactic applies to any goal. It checks whether its argument is a variable or hypothesis in the current goal context or in the opened sections.

Error message: Not a variable or hypothesis

# 8.12 Equality

# **8.12.1** f\_equal

This tactic applies to a goal of the form f  $a_1$  ...  $a_n = f'$   $a'_1$  ...  $a'_n$ . Using f\_equal on such a goal leads to subgoals f = f' and  $a_1 = a'_1$  and so on up to  $a_n = a'_n$ . Amongst these subgoals, the simple ones (e.g. provable by reflexivity or congruence) are automatically solved by f\_equal.

## **8.12.2** reflexivity

This tactic applies to a goal that has the form t=u. It checks that t and u are convertible and then solves the goal. It is equivalent to apply refl\_equal.

### **Error messages:**

- 1. The conclusion is not a substitutive equation
- 2. Impossible to unify ... with ...

# **8.12.3** symmetry

This tactic applies to a goal that has the form t=u and changes it into u=t.

#### Variants:

1. symmetry in ident

If the statement of the hypothesis *ident* has the form t=u, the tactic changes it to u=t.

# **8.12.4** transitivity term

This tactic applies to a goal that has the form t=u and transforms it into the two subgoals t=term and term=u.

# 8.13 Equality and inductive sets

We describe in this section some special purpose tactics dealing with equality and inductive sets or types. These tactics use the equality eq:forall (A:Type), A->A->Prop, simply written with the infix symbol =.

# **8.13.1** decide equality

This tactic solves a goal of the form forall x y:R,  $\{x=y\}+\{\sim x=y\}$ , where R is an inductive type such that its constructors do not take proofs or functions as arguments, nor objects in dependent types. It solves goals of the form  $\{x=y\}+\{\sim x=y\}$  as well.

# **8.13.2** compare $term_1$ $term_2$

This tactic compares two given objects  $term_1$  and  $term_2$  of an inductive datatype. If G is the current goal, it leaves the sub-goals  $term_1 = term_2 -> G$  and  $\sim term_1 = term_2 -> G$ . The type of  $term_1$  and  $term_2$  must satisfy the same restrictions as in the tactic decide equality.

# **8.13.3** simplify\_eq term

Let term be the proof of a statement of conclusion  $term_1 = term_2$ . If  $term_1$  and  $term_2$  are structurally different (in the sense described for the tactic discriminate), then the tactic simplify\_eq behaves as discriminate term, otherwise it behaves as injection term.

**Remark:** If some quantified hypothesis of the goal is named *ident*, then simplify\_eq *ident* first introduces the hypothesis in the local context using intros until *ident*.

#### Variants:

1. simplify\_eq num

This does the same thing as intros until *num* then simplify\_eq *ident* where *ident* is the identifier for the last introduced hypothesis.

2. simplify\_eq term with bindings\_list

This does the same as simplify\_eq term but using the given bindings to instantiate parameters or hypotheses of term.

 esimplify\_eq num esimplify\_eq term [with bindings\_list]

This works the same as simplify\_eq but if the type of term, or the type of the hypothesis referred to by *num*, has uninstantiated parameters, these parameters are left as existential variables.

4. simplify\_eq

If the current goal has form  $t_1 <> t_2$ , it behaves as intro *ident*; simplify\_eq *ident*.

### **8.13.4** dependent rewrite -> *ident*

This tactic applies to any goal. If *ident* has type (existT B a b) = (existT B a' b') in the local context (i.e. each term of the equality has a sigma type  $\{a:A \& (B a)\}$ ) this tactic rewrites a into a' and b into b' in the current goal. This tactic works even if B is also a sigma type. This kind of equalities between dependent pairs may be derived by the injection and inversion tactics.

### Variants:

1. dependent rewrite <- ident

Analogous to dependent rewrite -> but uses the equality from right to left.

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# 8.14 Inversion

#### **8.14.1** functional inversion *ident*

functional inversion is a tactic that performs inversion on hypothesis *ident* of the form *qualid*  $term_1 \dots term_n = term$  or  $term = qualid \ term_1 \dots term_n$  where *qualid* must have been defined using Function (see Section 2.3).

#### **Error messages:**

- 1. Hypothesis ident must contain at least one Function
- Cannot find inversion information for hypothesis ident
   This error may be raised when some inversion lemma failed to be generated by Function.

#### **Variants:**

1. functional inversion num

This does the same thing as intros until *num* then functional inversion *ident* where *ident* is the identifier for the last introduced hypothesis.

functional inversion ident qualid functional inversion num qualid

If the hypothesis ident (or num) has a type of the form  $qualid_1$   $term_1 \dots term_n = qualid_2$   $term_{n+1} \dots term_{n+m}$  where  $qualid_1$  and  $qualid_2$  are valid candidates to functional inversion, this variant allows choosing which qualid is inverted.

#### **8.14.2** quote *ident*

This kind of inversion has nothing to do with the tactic inversion above. This tactic does change (*ident* t), where t is a term built in order to ensure the convertibility. In other words, it does inversion of the function *ident*. This function must be a fixpoint on a simple recursive datatype: see 10.3 for the full details.

#### **Error messages:**

1. quote: not a simple fixpoint

Happens when quote is not able to perform inversion properly.

#### **Variants:**

1. quote  $ident [ ident_1 ... ident_n ]$ 

All terms that are built only with  $ident_1 \dots ident_n$  will be considered by quote as constants rather than variables.

# 8.15 Classical tactics

In order to ease the proving process, when the Classical module is loaded. A few more tactics are available. Make sure to load the module using the Require Import command.

# **8.15.1** classical\_left and classical\_right

The tactics classical\_left and classical\_right are the analog of the left and right but using classical logic. They can only be used for disjunctions. Use classical\_left to prove the left part of the disjunction with the assumption that the negation of right part holds. Use classical\_right to prove the right part of the disjunction with the assumption that the negation of left part holds.

# 8.16 Automatizing

### **8.16.1** btauto

The tactic btauto implements a reflexive solver for boolean tautologies. It solves goals of the form t = u where t and u are constructed over the following grammar:

```
t := x \mid true \mid false \mid orb t_1 t_2 \mid andb t_1 t_2 \mid xorb t_1 t_2 \mid negb t \mid if t_1 then t_2 else t_3
```

Whenever the formula supplied is not a tautology, it also provides a counter-example. Internally, it uses a system very similar to the one of the ring tactic.

# **8.16.2** omega

The tactic omega, due to Pierre Crégut, is an automatic decision procedure for Presburger arithmetic. It solves quantifier-free formulas built with  $\sim$ ,  $\setminus$ /,  $\rightarrow$  on top of equalities, inequalities and disequalities on both the type nat of natural numbers and Z of binary integers. This tactic must be loaded by the command Require Import Omega. See the additional documentation about omega (see Chapter 21).

**8.16.3** ring and ring\_simplify 
$$term_1 \ldots term_n$$

The ring tactic solves equations upon polynomial expressions of a ring (or semi-ring) structure. It proceeds by normalizing both hand sides of the equation (w.r.t. associativity, commutativity and distributivity, constant propagation) and comparing syntactically the results.

ring\_simplify applies the normalization procedure described above to the terms given. The tactic then replaces all occurrences of the terms given in the conclusion of the goal by their normal forms. If no term is given, then the conclusion should be an equation and both hand sides are normalized.

See Chapter 25 for more information on the tactic and how to declare new ring structures. All declared field structures can be printed with the Print Rings command.

```
8.16.4 field_simplify term_1 ... term_n, and field_simplify_eq
```

The field tactic is built on the same ideas as ring: this is a reflexive tactic that solves or simplifies equations in a field structure. The main idea is to reduce a field expression (which is an extension of ring expressions with the inverse and division operations) to a fraction made of two polynomial expressions.

Tactic field is used to solve subgoals, whereas field\_simplify  $term_1...term_n$  replaces the provided terms by their reduced fraction. field\_simplify\_eq applies when the conclusion is an equation: it simplifies both hand sides and multiplies so as to cancel denominators. So it produces an equation without division nor inverse.

All of these 3 tactics may generate a subgoal in order to prove that denominators are different from zero.

See Chapter 25 for more information on the tactic and how to declare new field structures. All declared field structures can be printed with the Print Fields command.

## **Example:**

See also: file plugins/setoid\_ring/RealField.v for an example of instantiation, theory theories/Reals for many examples of use of field.

# **8.16.5** fourier

This tactic written by Loïc Pottier solves linear inequalities on real numbers using Fourier's method [65]. This tactic must be loaded by Require Import Fourier.

#### **Example:**

```
Coq < Require Import Reals.

Coq < Require Import Fourier.

Coq < Goal forall x y:R, (x < y)%R -> (y + 1 >= x - 1)%R.

Coq < intros; fourier.

No more subgoals.
```

# 8.17 Non-logical tactics

# **8.17.1** cycle *num*

This tactic puts the *num* first goals at the end of the list of goals. If *num* is negative, it will put the last |num| goals at the beginning of the list.

```
Coq < Parameter P : nat -> Prop. 
Coq < Goal P 1 /\ P 2 /\ P 3 /\ P 4 /\ P 5. 
Coq < repeat split. 
5 \ subgoals
```

```
_____
subgoal 2 is:
P 2
subgoal 3 is:
P 3
subgoal 4 is:
P 4
subgoal 5 is:
P 5
Coq < all: cycle 2.
5 subgoals
 _____
 P 3
subgoal 2 is:
P 4
subgoal 3 is:
P 5
subgoal 4 is:
subgoal 5 is:
P 2
Coq < all: cycle -3.
5 subgoals
 ______
 P 5
subgoal 2 is:
P 1
subgoal 3 is:
P 2
subgoal 4 is:
P 3
subgoal 5 is:
P 4
```

# **8.17.2** swap $num_1$ $num_2$

This tactic switches the position of the goals of indices  $num_1$  and  $num_2$ . If either  $num_1$  or  $num_2$  is negative then goals are counted from the end of the focused goal list. Goals are indexed from 1, there is no goal with position 0.

```
Coq < Parameter P : nat -> Prop.
Coq < Goal P 1 /\ P 2 /\ P 3 /\ P 4 /\ P 5.
Coq < repeat split.
5 subgoals</pre>
```

```
P 1
subgoal 2 is:
P 2
subgoal 3 is:
P 3
subgoal 4 is:
P 4
subgoal 5 is:
P 5
Coq < all: swap 1 3.
5 subgoals
 _____
 P 3
subgoal 2 is:
P 2
subgoal 3 is:
P 1
subgoal 4 is:
P 4
subgoal 5 is:
 P 5
Coq < all: swap 1 - 1.
5 subgoals
 _____
 P 5
subgoal 2 is:
subgoal 3 is:
P 1
subgoal 4 is:
P 4
subgoal 5 is:
P 3
```

# **8.17.3** revgoals

This tactics reverses the list of the focused goals.

```
P 3
subgoal 4 is:
P 4
subgoal 5 is:
P 5
Coq < all: revgoals.</pre>
5 subgoals
 _____
subgoal 2 is:
P 4
subgoal 3 is:
P 3
subgoal 4 is:
P 2
subgoal 5 is:
P 1
```

### **8.17.4** shelve

This tactic moves all goals under focus to a shelf. While on the shelf, goals will not be focused on. They can be solved by unification, or they can be called back into focus with the command Unshelve (Section 8.17.5).

### Variants:

1. shelve\_unifiable

Shelves only the goals under focus that are mentioned in other goals. Goals that appear in the type of other goals can be solved by unification.

```
Coq < Goal exists n, n=0.
1 subgoal
 _____
 exists n : nat, n = 0
Coq < refine (ex_intro _ _ _ ).
1 focused subgoal
(shelved: 1)
 _____
 ?Goal = 0
Coq < all:shelve_unifiable.</pre>
1 focused subgoal
(shelved: 1)
 ______
 ?Goal = 0
Coq < reflexivity.
No more subgoals.
```

# **8.17.5** Unshelve

This command moves all the goals on the shelf (see Section 8.17.4) from the shelf into focus, by appending them to the end of the current list of focused goals.

```
8.17.6 give_up
```

This tactic removes the focused goals from the proof. They are not solved, and cannot be solved later in the proof. As the goals are not solved, the proof cannot be closed.

The give\_up tactic can be used while editing a proof, to choose to write the proof script in a non-sequential order.

# 8.18 Simple tactic macros

A simple example has more value than a long explanation:

```
Coq < Ltac Solve := simpl; intros; auto.
Solve is defined

Coq < Ltac ElimBoolRewrite b H1 H2 :=
        elim b; [ intros; rewrite H1; eauto | intros; rewrite H2; eauto ].
ElimBoolRewrite is defined</pre>
```

The tactics macros are synchronous with the CoQ section mechanism: a tactic definition is deleted from the current environment when you close the section (see also 2.4) where it was defined. If you want that a tactic macro defined in a module is usable in the modules that require it, you should put it outside of any section.

Chapter 9 gives examples of more complex user-defined tactics.

# **Chapter 9**

# The tactic language

This chapter gives a compact documentation of Ltac, the tactic language available in CoQ. We start by giving the syntax, and next, we present the informal semantics. If you want to know more regarding this language and especially about its foundations, you can refer to [43]. Chapter 10 is devoted to giving examples of use of this language on small but also with non-trivial problems.

# 9.1 Syntax

The syntax of the tactic language is given Figures 9.1 and 9.2. See Chapter 1 for a description of the BNF metasyntax used in these grammar rules. Various already defined entries will be used in this chapter: entries natural, integer, ident, qualid, term, cpattern and  $atomic\_tactic$  represent respectively the natural and integer numbers, the authorized identificators and qualified names, CoQ's terms and patterns and all the atomic tactics described in Chapter 8. The syntax of cpattern is the same as that of terms, but it is extended with pattern matching metavariables. In cpattern, a pattern-matching metavariable is represented with the syntax ?id where id is an ident. The notation g can also be used to denote metavariable whose instance is irrelevant. In the notation ?id, the identifier allows us to keep instantiations and to make constraints whereas g shows that we are not interested in what will be matched. On the right hand side of pattern-matching clauses, the named metavariable are used without the question mark prefix. There is also a special notation for second-order pattern-matching problems: in an applicative pattern of the form g id id g ...idg, the variable id matches any complex expression with (possible) dependencies in the variables g id g ...idg and returns a functional term of the form fun g id g ...idg and returns a functional term of the form fun

The main entry of the grammar is *expr*. This language is used in proof mode but it can also be used in toplevel definitions as shown in Figure 9.3.

#### **Remarks:**

- 1. The infix tacticals "...  $\mid \mid$  ...", "... + ...", and "...; ..." are associative.
- 2. In *tacarg*, there is an overlap between *qualid* as a direct tactic argument and *qualid* as a particular case of *term*. The resolution is done by first looking for a reference of the tactic language and if it fails, for a reference to a term. To force the resolution as a reference of the tactic language, use the form ltac: *qualid*. To force the resolution as a reference to a term, use the syntax (*qualid*).
- 3. As shown by the figure, tactical || binds more than the prefix tacticals try, repeat, do and abstract which themselves bind more than the postfix tactical "...; [...]" which binds more than "...; ...".

For instance

```
try repeat tactic_1 \mid \mid tactic_2; tactic_3; [tactic_{31} \mid \ldots \mid tactic_{3n}]; tactic_4. is understood as (\text{try (repeat } (tactic_1 \mid \mid tactic_2))); \\ ((tactic_3; [tactic_{31} \mid \ldots \mid tactic_{3n}]); tactic_4).
```

# 9.2 Semantics

Tactic expressions can only be applied in the context of a proof. The evaluation yields either a term, an integer or a tactic. Intermediary results can be terms or integers but the final result must be a tactic which is then applied to the focused goals.

There is a special case for match goal expressions of which the clauses evaluate to tactics. Such expressions can only be used as end result of a tactic expression (never as argument of a non recursive local definition or of an application).

The rest of this section explains the semantics of every construction of Ltac.

#### Sequence

A sequence is an expression of the following form:

```
expr_1; expr_2
```

The expressions  $expr_1$  and  $expr_2$  are evaluated to  $v_1$  and  $v_2$  which have to be tactic values. The tactic  $v_1$  is then applied and  $v_2$  is applied to the goals generated by the application of  $v_1$ . Sequence is left-associative.

# Local application of tactics

Different tactics can be applied to the different goals using the following form:

```
[ > expr_1 \mid ... \mid expr_n ]
```

The expressions  $expr_i$  are evaluated to  $v_i$ , for i = 0, ..., n and all have to be tactics. The  $v_i$  is applied to the *i*-th goal, for i = 1, ..., n. It fails if the number of focused goals is not exactly i = n.

#### Variants:

- 1. If no tactic is given for the i-th goal, it behaves as if the tactic idtac were given. For instance, [ > | auto ] is a shortcut for [ > idtac | auto ].
- 2. [  $> expr_1 \mid ... \mid expr_i \mid expr_{..} \mid expr_{i+1+j} \mid ... \mid expr_n$ ]

In this variant, expr is used for each goal numbered from i+1 to i+j (assuming n is the number of goals).

Note that . . is part of the syntax, while ... is the meta-symbol used to describe a list of *expr* of arbitrary length. goals numbered from i + 1 to i + j.

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```
expr
          ::= expr ; expr
          | > expr | \dots | expr |
           expr; [ expr | ... | expr ]
           tacexpr<sub>3</sub>
          ::= do (natural | ident) tacexpr<sub>3</sub>
tacexpr<sub>3</sub>
           l progress tacexpr<sub>3</sub>
           l repeat tacexpr<sub>3</sub>
           l try tacexpr3
           once tacexpr<sub>3</sub>
           1
               exactly_once tacexpr3
           | timeout (natural | ident) tacexpr3
           1
               time [string] tacexpr<sub>3</sub>
               tacexpr<sub>2</sub>
tacexpr_2
          := tacexpr_1 \mid tacexpr_3
           | tacexpr_1 + tacexpr_3 |
           1
               tryif tacexpr1 then tacexpr1 else tacexpr1
               tacexpr<sub>1</sub>
tacexpr<sub>1</sub>
          ::= fun name ... name => atom
               let [rec] let_clause with ... with let_clause in atom
           match goal with context_rule | ... | context_rule end
           1
               match reverse goal with context_rule | ... | context_rule end
           match expr with match_rule | ... | match_rule end
               lazymatch goal with context_rule | ... | context_rule end
               lazymatch reverse goal with context\_rule \mid \ldots \mid context\_rule end
           lazymatch expr with match_rule | ... | match_rule end
               multimatch goal with context rule | ... | context rule end
           Ι
           1
               multimatch reverse goal with context_rule | ... | context_rule end
               multimatch expr with match_rule | ... | match_rule end
               abstract atom
               abstract atom using ident
               first [ expr | ... | expr ]
           1
               solve [expr \mid ... \mid expr]
           1
               idtac [message_token ... message_token]
               fail [natural] [message_token ... message_token]
               gfail [natural] [message_token ... message_token]
               fresh | fresh string | fresh qualid
           Ι
               context ident [ term ]
               eval redexpr in term
               type of term
               external string string tacarg ... tacarg
               constr : term
               uconstr : term
               type_term term
               numgoals
               guard test
               atomic_tactic
                                                                   Coq Reference Manual, V8.5pl3, October 26, 2016
               qualid tacarg ... tacarg
               atom
```

Figure 9.1: Syntax of the tactic language

```
qualid
atom
              ::=
               Т
                   ()
                   integer
                   (expr)
message_token ::=
                  string | ident | integer
tacarg
              ::=
                   qualid
                   ()
                   ltac : atom
                   term
let_clause
                  ident [name ... name] := expr
context rule
                   context_hyp , ... , context_hyp |-cpattern => expr
                   | - cpattern => expr
                   _ => expr
context_hyp
                  name: cpattern
              ::=
                   name := cpattern [: cpattern]
match_rule
              ::= cpattern => expr
                   context [ident] [ cpattern ] => expr
                   appcontext [ident] [ cpattern ] => expr
                   _ => expr
              ::= integer = integer
test
                   integer < integer
                   integer <= integer
                   integer > integer
                   integer >= integer
```

**Figure 9.2:** Syntax of the tactic language (continued)

Figure 9.3: Tactic toplevel definitions

- 3. [  $> expr_1 \mid ... \mid expr_i \mid ... \mid expr_{i+1+j} \mid ... \mid expr_n$  ] In this variant, idtac is used for the goals numbered from i+1 to i+j.
- 4. [ > expr ... ]

In this variant, the tactic *expr* is applied independently to each of the goals, rather than globally. In particular, if there are no goal, the tactic is not run at all. A tactic which expects multiple goals,

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such as swap, would act as if a single goal is focused.

```
5. expr; [ expr_1 | ... | expr_n]
```

This variant of local tactic application is paired with a sequence. In this variant, n must be the number of goals generated by the application of expr to each of the individual goals independently. All the above variants work in this form too. Formally, expr; [ ... ] is equivalent to

```
[ > expr ; [ > ... ] ... ]
```

## For loop

There is a for loop that repeats a tactic *num* times:

```
do num expr
```

expr is evaluated to v which must be a tactic value. This tactic value v is applied num times. Supposing num > 1, after the first application of v, v is applied, at least once, to the generated subgoals and so on. It fails if the application of v fails before the num applications have been completed.

## Repeat loop

We have a repeat loop with:

```
repeat expr
```

expr is evaluated to v. If v denotes a tactic, this tactic is applied to each focused goal independently. If the application succeeds, the tactic is applied recursively to all the generated subgoals until it eventually fails. The recursion stops in a subgoal when the tactic has failed to make progress. The tactic repeat expr itself never fails.

## **Error catching**

We can catch the tactic errors with:

```
try expr
```

expr is evaluated to v which must be a tactic value. The tactic value v is applied to each focused goal independently. If the application of v fails in a goal, it catches the error and leaves the goal unchanged. If the level of the exception is positive, then the exception is re-raised with its level decremented.

# **Detecting progress**

We can check if a tactic made progress with:

```
progress expr
```

expr is evaluated to v which must be a tactic value. The tactic value v is applied to each focused subgoal independently. If the application of v to one of the focused subgoal produced subgoals equal to the initial goals (up to syntactical equality), then an error of level 0 is raised.

Error message: Failed to progress

## **Backtracking branching**

We can branch with the following structure:

```
expr_1 + expr_2
```

 $expr_1$  and  $expr_2$  are evaluated to  $v_1$  and  $v_2$  which must be tactic values. The tactic value  $v_1$  is applied to each focused goal independently and if it fails or a later tactic fails, then the proof backtracks to the current goal and  $v_2$  is applied.

Tactics can be seen as having several successes. When a tactic fails it asks for more successes of the prior tactics.  $expr_1 + expr_2$  has all the successes of  $v_1$  followed by all the successes of  $v_2$ . Algebraically,  $(expr_1 + expr_2)$ ;  $expr_3 = (expr_1$ ;  $expr_3$ ) +  $(expr_2$ ;  $expr_3$ ).

Branching is left-associative.

# First tactic to work

Backtracking branching may be too expensive. In this case we may restrict to a local, left biased, branching and consider the first tactic to work (i.e. which does not fail) among a panel of tactics:

```
first [expr_1 \mid ... \mid expr_n]
```

 $expr_i$  are evaluated to  $v_i$  and  $v_i$  must be tactic values, for i=1,...,n. Supposing n>1, it applies, in each focused goal independently,  $v_1$ , if it works, it stops otherwise it tries to apply  $v_2$  and so on. It fails when there is no applicable tactic. In other words, first  $[expr_1 \mid ... \mid expr_n]$  behaves, in each goal, as the the first  $v_i$  to have at least one success.

Error message: No applicable tactic

# **Left-biased branching**

Yet another way of branching without backtracking is the following structure:

```
expr_1 \mid expr_2
```

 $expr_1$  and  $expr_2$  are evaluated to  $v_1$  and  $v_2$  which must be tactic values. The tactic value  $v_1$  is applied in each subgoal independently and if it fails to progress then  $v_2$  is applied.  $expr_1 \mid expr_2$  is equivalent to first [progress  $expr_1$  | progress  $expr_2$ ] (except that if it fails, it fails like  $v_2$ ). Branching is left-associative.

#### **Generalized biased branching**

The tactic

```
tryif expr_1 then expr_2 else expr_3
```

is a generalization of the biased-branching tactics above. The expression  $expr_1$  is evaluated to  $v_1$ , which is then applied to each subgoal independently. For each goal where  $v_1$  succeeds at least once, tacexpr<sub>2</sub> is evaluated to  $v_2$  which is then applied collectively to the generated subgoals. The  $v_2$  tactic can trigger backtracking points in  $v_1$ : where  $v_1$  succeeds at least once, tryif  $expr_1$  then  $expr_2$  else  $expr_3$  is equivalent to  $v_1$ ;  $v_2$ . In each of the goals where  $v_1$  does not succeed at least once,  $expr_3$  is evaluated in  $v_3$  which is is then applied to the goal.

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#### Soft cut

Another way of restricting backtracking is to restrict a tactic to a single success a posteriori:

```
once expr
```

expr is evaluated to v which must be a tactic value. The tactic value v is applied but only its first success is used. If v fails, once expr fails like v. If v has a least one success, once expr succeeds once, but cannot produce more successes.

# **Checking the successes**

Coq provides an experimental way to check that a tactic has exactly one success:

```
exactly once expr
```

expr is evaluated to v which must be a tactic value. The tactic value v is applied if it has at most one success. If v fails, exactly\_once expr fails like v. If v has a exactly one success, exactly\_once expr succeeds like v. If v has two or more successes, exactly\_once expr fails.

The experimental status of this tactic pertains to the fact if v performs side effects, they may occur in a unpredictable way. Indeed, normally v would only be executed up to the first success until backtracking is needed, however <code>exactly\_once</code> needs to look ahead to see whether a second success exists, and may run further effects immediately.

Error message: This tactic has more than one success

# **Solving**

We may consider the first to solve (i.e. which generates no subgoal) among a panel of tactics:

```
solve [expr_1 \mid ... \mid expr_n]
```

 $expr_i$  are evaluated to  $v_i$  and  $v_i$  must be tactic values, for i = 1, ..., n. Supposing n > 1, it applies  $v_1$  to each goal independently, if it doesn't solve the goal then it tries to apply  $v_2$  and so on. It fails if there is no solving tactic.

Error message: Cannot solve the goal

#### **Identity**

The constant idtac is the identity tactic: it leaves any goal unchanged but it appears in the proof script.

```
Variant: idtac message_token ... message_token
```

This prints the given tokens. Strings and integers are printed literally. If a (term) variable is given, its contents are printed.

## **Failing**

The tactic fail is the always-failing tactic: it does not solve any goal. It is useful for defining other tacticals since it can be caught by try, repeat, match goal, or the branching tacticals. The fail tactic will, however, succeed if all the goals have already been solved.

#### **Variants:**

1. fail n

The number n is the failure level. If no level is specified, it defaults to 0. The level is used by try, repeat, match goal and the branching tacticals. If 0, it makes match goal considering the next clause (backtracking). If non zero, the current match goal block, try, repeat, or branching command is aborted and the level is decremented. In the case of +, a non-zero level skips the first backtrack point, even if the call to fail n is not enclosed in a + command, respecting the algebraic identity.

- 2. fail message\_token ... message\_token

  The given tokens are used for printing the failure message.
- 3. fail n message\_token ... message\_token This is a combination of the previous variants.
- 4. gfail

This variant fails even if there are no goals left.

```
5. gfail message_token ... message_token gfail n message_token ... message_token

These varients fail with an arran message an an arran level avanta
```

These variants fail with an error message or an error level even if there are no goals left. Be careful however if Coq terms have to be printed as part of the failure: term construction always forces the tactic into the goals, meaning that if there are no goals when it is evaluated, a tactic call like let x := H in fail 0 x will succeed.

**Error message:** Tactic Failure message (level n).

#### **Timeout**

We can force a tactic to stop if it has not finished after a certain amount of time:

```
timeout num expr
```

expr is evaluated to v which must be a tactic value. The tactic value v is applied normally, except that it is interrupted after num seconds if it is still running. In this case the outcome is a failure.

Warning: For the moment, timeout is based on elapsed time in seconds, which is very machine-dependent: a script that works on a quick machine may fail on a slow one. The converse is even possible if you combine a timeout with some other tacticals. This tactical is hence proposed only for convenience during debug or other development phases, we strongly advise you to not leave any timeout in final scripts. Note also that this tactical isn't available on the native Windows port of Coq.

# Timing a tactic

A tactic execution can be timed:

```
time string expr
```

evaluates *expr* and displays the time the tactic expression ran, whether it fails or successes. In case of several successes, the time for each successive runs is displayed. Time is in seconds and is machine-dependent. The *string* argument is optional. When provided, it is used to identify this particular occurrence of time.

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#### **Local definitions**

Local definitions can be done as follows:

```
let ident_1 := expr_1
with ident_2 := expr_2
...
with ident_n := expr_n in expr
```

each  $expr_i$  is evaluated to  $v_i$ , then, expr is evaluated by substituting  $v_i$  to each occurrence of  $ident_i$ , for i = 1, ..., n. There is no dependencies between the  $expr_i$  and the  $ident_i$ .

Local definitions can be recursive by using let rec instead of let. In this latter case, the definitions are evaluated lazily so that the rec keyword can be used also in non recursive cases so as to avoid the eager evaluation of local definitions.

# **Application**

An application is an expression of the following form:

```
qualid tacarg<sub>1</sub> ... tacarg<sub>n</sub>
```

The reference *qualid* must be bound to some defined tactic definition expecting at least n arguments. The expressions  $expr_i$  are evaluated to  $v_i$ , for i = 1, ..., n.

#### **Function construction**

A parameterized tactic can be built anonymously (without resorting to local definitions) with:

```
fun ident_1 ... ident_n => expr
```

Indeed, local definitions of functions are a syntactic sugar for binding a fun tactic to an identifier.

# Pattern matching on terms

We can carry out pattern matching on terms with:

```
\label{eq:match_expr} \begin{array}{l} \text{match } expr \text{ with } \\ cpattern_1 => expr_1 \\ \mid cpattern_2 => expr_2 \\ \dots \\ \mid cpattern_n => expr_n \\ \mid \_ => expr_{n+1} \\ \text{end} \end{array}
```

The expression *expr* is evaluated and should yield a term which is matched against *cpattern*<sub>1</sub>. The matching is non-linear: if a metavariable occurs more than once, it should match the same expression every time. It is first-order except on the variables of the form @?id that occur in head position of an application. For these variables, the matching is second-order and returns a functional term.

Alternatively, when a metavariable of the form ?id occurs under binders, say  $x_1, \ldots, x_n$  and the expression matches, the metavariable is instantiated by a term which can then be used in any context

which also binds the variables  $x_1, \ldots, x_n$  with same types. This provides with a primitive form of matching under context which does not require manipulating a functional term.

If the matching with  $cpattern_1$  succeeds, then  $expr_1$  is evaluated into some value by substituting the pattern matching instantiations to the metavariables. If  $expr_1$  evaluates to a tactic and the match expression is in position to be applied to a goal (e.g. it is not bound to a variable by a let in), then this tactic is applied. If the tactic succeeds, the list of resulting subgoals is the result of the match expression. If  $expr_1$  does not evaluate to a tactic or if the match expression is not in position to be applied to a goal, then the result of the evaluation of  $expr_1$  is the result of the match expression.

If the matching with  $cpattern_1$  fails, or if it succeeds but the evaluation of  $expr_1$  fails, or if the evaluation of  $expr_1$  succeeds but returns a tactic in execution position whose execution fails, then  $cpattern_2$  is used and so on. The pattern  $\_$  matches any term and shunts all remaining patterns if any. If all clauses fail (in particular, there is no pattern  $\_$ ) then a no-matching-clause error is raised.

Failures in subsequent tactics do not cause backtracking to select new branches or inside the right-hand side of the selected branch even if it has backtracking points.

# **Error messages:**

- No matching clauses for match
   No pattern can be used and, in particular, there is no \_ pattern.
- Argument of match does not evaluate to a term
   This happens when expr does not denote a term.

#### Variants:

1. Using multimatch instead of match will allow subsequent tactics to backtrack into a right-hand side tactic which has backtracking points left and trigger the selection of a new matching branch when all the backtracking points of the right-hand side have been consumed.

```
The syntax match ... is, in fact, a shorthand for once multimatch ....
```

- 2. Using lazymatch instead of match will perform the same pattern matching procedure but will commit to the first matching branch rather than trying a new matching if the right-hand side fails. If the right-hand side of the selected branch is a tactic with backtracking points, then subsequent failures cause this tactic to backtrack.
- 3. There is a special form of patterns to match a subterm against the pattern:

```
context ident [ cpattern ]
```

It matches any term with a subterm matching *cpattern*. If there is a match, the optional *ident* is assigned the "matched context", i.e. the initial term where the matched subterm is replaced by a hole. The example below will show how to use such term contexts.

If the evaluation of the right-hand-side of a valid match fails, the next matching subterm is tried. If no further subterm matches, the next clause is tried. Matching subterms are considered top-bottom and from left to right (with respect to the raw printing obtained by setting option Printing All, see Section 2.9).

```
Coq < Ltac f x :=
    match x with</pre>
```

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```
context f [S ?X] =>
                                (* To display the evaluation order *)
        idtac X;
        assert (p := eq_refl 1 : X=1); (* To filter the case X=1 *)
        let x:= context f[0] in assert (x=0) (* To observe the context *)
       end.
f is defined
Coq < Goal True.
1 subgoal
 True
Coq < f (3+4).
7
2 subgoals
 p : 1 = 1
 _____
 1 + 4 = 0
subgoal 2 is:
True
```

4. For historical reasons, context used to consider *n*-ary applications such as (f 1 2) as a whole, and not as a sequence of unary applications ((f 1) 2). Hence context [f ?x] would fail to find a matching subterm in (f 1 2): if the pattern was a partial application, the matched subterms would have necessarily been applications with exactly the same number of arguments. As a workaround, one could use the following variant of context:

```
appcontext ident [ cpattern ]
```

This syntax is now deprecated, as context behaves as intended. The former behavior can be retrieved with the Tactic Compat Context flag.

#### Pattern matching on goals

We can make pattern matching on goals using the following expression:

```
\begin{array}{lll} \text{match goal with} \\ & \mid hyp_{1,1},...,hyp_{1,m_1} \quad \mid -cpattern_1 => expr_1 \\ & \mid hyp_{2,1},...,hyp_{2,m_2} \quad \mid -cpattern_2 => expr_2 \\ & ... \\ & \mid hyp_{n,1},...,hyp_{n,m_n} \quad \mid -cpattern_n => expr_n \\ & \mid \_ \quad => expr_{n+1} \\ & \text{ond} \end{array}
```

If each hypothesis pattern  $hyp_{1,i}$ , with  $i=1,...,m_1$  is matched (non-linear first-order unification) by an hypothesis of the goal and if  $cpattern_1$  is matched by the conclusion of the goal, then  $expr_1$  is evaluated to  $v_1$  by substituting the pattern matching to the metavariables and the real hypothesis names bound to the possible hypothesis names occurring in the hypothesis patterns. If  $v_1$  is a tactic value, then it is applied to the goal. If this application fails, then another combination of hypotheses is tried with the

same proof context pattern. If there is no other combination of hypotheses then the second proof context pattern is tried and so on. If the next to last proof context pattern fails then  $expr_{n+1}$  is evaluated to  $v_{n+1}$  and  $v_{n+1}$  is applied. Note also that matching against subterms (using the context ident [ cpattern ]) is available and is also subject to yielding several matchings.

Failures in subsequent tactics do not cause backtracking to select new branches or combinations of hypotheses, or inside the right-hand side of the selected branch even if it has backtracking points.

```
Error message: No matching clauses for match goal
```

No clause succeeds, i.e. all matching patterns, if any, fail at the application of the right-hand-side.

It is important to know that each hypothesis of the goal can be matched by at most one hypothesis pattern. The order of matching is the following: hypothesis patterns are examined from the right to the left (i.e.  $hyp_{i,m_i}$  before  $hyp_{i,1}$ ). For each hypothesis pattern, the goal hypothesis are matched in order (fresher hypothesis first), but it possible to reverse this order (older first) with the match reverse goal with variant.

#### Variant:

Using multimatch instead of match will allow subsequent tactics to backtrack into a right-hand side tactic which has backtracking points left and trigger the selection of a new matching branch or combination of hypotheses when all the backtracking points of the right-hand side have been consumed.

```
The syntax match [reverse] goal ... is, in fact, a shorthand for once multimatch [reverse] goal ....
```

Using lazymatch instead of match will perform the same pattern matching procedure but will commit to the first matching branch with the first matching combination of hypotheses rather than trying a new matching if the right-hand side fails. If the right-hand side of the selected branch is a tactic with backtracking points, then subsequent failures cause this tactic to backtrack.

#### Filling a term context

The following expression is not a tactic in the sense that it does not produce subgoals but generates a term to be used in tactic expressions:

```
context ident [ expr ]
```

*ident* must denote a context variable bound by a context pattern of a match expression. This expression evaluates replaces the hole of the value of *ident* by the value of *expr*.

Error message: not a context variable

## Generating fresh hypothesis names

Tactics sometimes have to generate new names for hypothesis. Letting the system decide a name with the intro tactic is not so good since it is very awkward to retrieve the name the system gave. The following expression returns an identifier:

```
fresh component ... component
```

It evaluates to an identifier unbound in the goal. This fresh identifier is obtained by concatenating the value of the *component*'s (each of them is, either an *qualid* which has to refer to a (unqualified) name, or directly a name denoted by a *string*). If the resulting name is already used, it is padded with a number so that it becomes fresh. If no component is given, the name is a fresh derivative of the name H.

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## Computing in a constr

Evaluation of a term can be performed with:

```
eval redexpr in term
```

where redexpr is a reduction tactic among red, hnf, compute, simpl, cbv, lazy, unfold, fold, pattern.

# Recovering the type of a term

The following returns the type of term:

```
type of term
```

## Manipulating untyped terms

The terms built in Ltac are well-typed by default. It may not be appropriate for building large terms using a recursive Ltac function: the term has to be entirely type checked at each step, resulting in potentially very slow behavior. It is possible to build untyped terms using Ltac with the syntax

```
uconstr : term
```

An untyped term, in Ltac, can contain references to hypotheses or to Ltac variables containing typed or untyped terms. An untyped term can be type-checked using the function type\_term whose argument is parsed as an untyped term and returns a well-typed term which can be used in tactics.

```
type_term term
```

Untyped terms built using uconstr: can also be used as arguments to the refine tactic 8.2.3. In that case the untyped term is type checked against the conclusion of the goal, and the holes which are not solved by the typing procedure are turned into new subgoals.

# Counting the goals

The number of goals under focus can be recovered using the numgoals function. Combined with the guard command below, it can be used to branch over the number of goals produced by previous tactics.

#### **Testing boolean expressions**

The guard tactic tests a boolean expression, and fails if the expression evaluates to false. If the expression evaluates to true, it succeeds without affecting the proof.

```
guard test
```

The accepted tests are simple integer comparisons.

```
Coq < Goal True /\ True /\ True.
Coq < split; [|split].</pre>
Coq < all:let n:= numgoals in guard n<4.
3 subgoals
 True
subgoal 2 is:
True
subgoal 3 is:
Coq < Fail all:let n:= numgoals in guard n=2.
The command has indeed failed with message:
Error: Condition not satisfied: 3=2
3 subgoals
 True
subgoal 2 is:
True
subgoal 3 is:
 True
```

#### **Error messages:**

1. Condition not satisfied

# Proving a subgoal as a separate lemma

From the outside "abstract expr" is the same as solve expr. Internally it saves an auxiliary lemma called  $ident\_subproofn$  where ident is the name of the current goal and n is chosen so that this is a fresh name. Such auxiliary lemma is inlined in the final proof term unless the proof is ended with "Qed exporting". In such case the lemma is preserved. The syntax "Qed exporting  $ident_1$ , ...,  $ident_n$ " is also supported. In such case the system checks that the names given by the user actually exist when the proof is ended.

This tactical is useful with tactics such as omega or discriminate that generate huge proof terms. With that tool the user can avoid the explosion at time of the Save command without having to cut manually the proof in smaller lemmas.

#### Variants:

abstract expr using ident.
 Give explicitly the name of the auxiliary lemma.

Error message: Proof is not complete

# 9.3 Tactic toplevel definitions

# 9.3.1 Defining $\mathcal{L}_{tac}$ functions

Basically,  $\mathcal{L}_{tac}$  toplevel definitions are made as follows:

```
Ltac ident ident_1 ... ident_n := expr
```

This defines a new  $\mathcal{L}_{tac}$  function that can be used in any tactic script or new  $\mathcal{L}_{tac}$  toplevel definition.

**Remark:** The preceding definition can equivalently be written:

```
Ltac ident := fun ident_1 ... ident_n => expr
```

Recursive and mutual recursive function definitions are also possible with the syntax:

```
Ltac ident_1 \ ident_{1,1} \dots \ ident_{1,m_1} := expr_1 with ident_2 \ ident_{2,1} \dots \ ident_{2,m_2} := expr_2 ... with ident_n \ ident_{n,1} \dots \ ident_{n,m_n} := expr_n
```

It is also possible to *redefine* an existing user-defined tactic using the syntax:

```
Ltac qualid ident_1 ... ident_n ::= expr
```

A previous definition of *qualid* must exist in the environment. The new definition will always be used instead of the old one and it goes across module boundaries.

If preceded by the keyword Local the tactic definition will not be exported outside the current module.

# 9.3.2 Printing $\mathcal{L}_{tac}$ tactics

Defined  $\mathcal{L}_{tac}$  functions can be displayed using the command

```
Print Ltac qualid.
```

# 9.4 Debugging $\mathcal{L}_{tac}$ tactics

#### 9.4.1 Info trace

It is possible to print the trace of the path eventually taken by an  $\mathcal{L}_{tac}$  script. That is, the list of executed tactics, discarding all the branches which have failed. To that end the Info command can be used with the following syntax.

```
Info num expr.
```

The number *num* is the unfolding level of tactics in the trace. At level 0, the trace contains a sequence of tactics in the actual script, at level 1, the trace will be the concatenation of the traces of these tactics, etc...

```
Coq < Ltac t x := exists x; reflexivity.
Coq < Goal exists n, n=0.
Coq < Info 0 t 1||t 0.
t 0
No more subgoals.
Coq < Undo.
Coq < Info 1 t 1||t 0.
exists 0;reflexivity
No more subgoals.</pre>
```

The trace produced by Info tries its best to be a reparsable  $\mathcal{L}_{tac}$  script, but this goal is not achievable in all generality. So some of the output traces will contain oddities.

As an additional help for debugging, the trace produced by Info contains (in comments) the messages produced by the idtac tacticals 9.2 at the right possition in the script. In particular, the calls to idtac in branches which failed are not printed.

An alternative to the Info command is to use the Info Level option as follows:

```
Set Info Level num.
```

This will automatically print the same trace as Info num at each tactic call. The unfolding level can be overridden by a call to the Info command. And this option can be turned off with:

```
Unset Info Level num.
```

The current value for the Info Level option can be checked using the Test Info Level command.

## 9.4.2 Interactive debugger

The  $\mathcal{L}_{tac}$  interpreter comes with a step-by-step debugger. The debugger can be activated using the command

```
Set Ltac Debug.
```

and deactivated using the command

```
Unset Ltac Debug.
```

To know if the debugger is on, use the command Test Ltac Debug. When the debugger is activated, it stops at every step of the evaluation of the current  $\mathcal{L}_{tac}$  expression and it prints information on what it is doing. The debugger stops, prompting for a command which can be one of the following:

simple newline: go to the next step

h: get help

x: exit current evaluation

s: continue current evaluation without stopping

r n: advance n steps further

r string: advance up to the next call to "idtac string"

# Chapter 10

# **Detailed examples of tactics**

This chapter presents detailed examples of certain tactics, to illustrate their behavior.

# 10.1 dependent induction

The tactics dependent induction and dependent destruction are another solution for inverting inductive predicate instances and potentially doing induction at the same time. It is based on the BasicElim tactic of Conor McBride which works by abstracting each argument of an inductive instance by a variable and constraining it by equalities afterwards. This way, the usual induction and destruct tactics can be applied to the abstracted instance and after simplification of the equalities we get the expected goals.

The abstracting tactic is called <code>generalize\_eqs</code> and it takes as argument an hypothesis to generalize. It uses the <code>JMeq</code> datatype defined in <code>Coq.Logic.JMeq</code>, hence we need to require it before. For example, revisiting the first example of the inversion documentation above:

The index S n gets abstracted by a variable here, but a corresponding equality is added under the abstract instance so that no information is actually lost. The goal is now almost amenable to do induction or case analysis. One should indeed first move n into the goal to strengthen it before doing induction, or n will be fixed in the inductive hypotheses (this does not matter for case analysis). As a rule of thumb, all the variables that appear inside constructors in the indices of the hypothesis should be generalized. This is exactly what the generalize\_eqs\_vars variant does:

```
Coq < generalize_eqs_vars H.
1 subgoal</pre>
```

As the hypothesis itself did not appear in the goal, we did not need to use an heterogeneous equality to relate the new hypothesis to the old one (which just disappeared here). However, the tactic works just as well in this case, e.g.:

One drawback of this approach is that in the branches one will have to substitute the equalities back into the instance to get the right assumptions. Sometimes injection of constructors will also be needed to recover the needed equalities. Also, some subgoals should be directly solved because of inconsistent contexts arising from the constraints on indexes. The nice thing is that we can make a tactic based on discriminate, injection and variants of substitution to automatically do such simplifications (which may involve the K axiom). This is what the simplify\_dep\_elimtactic from Coq.Program.Equality does. For example, we might simplify the previous goals considerably:

The higher-order tactic do\_depind defined in Coq.Program.Equality takes a tactic and combines the building blocks we have seen with it: generalizing by equalities calling the given tactic with

the generalized induction hypothesis as argument and cleaning the subgoals with respect to equalities. Its most important instantiations are dependent induction and dependent destruction that do induction or simply case analysis on the generalized hypothesis. For example we can redo what we've done manually with dependent destruction:

This gives essentially the same result as inversion. Now if the destructed hypothesis actually appeared in the goal, the tactic would still be able to invert it, contrary to dependent inversion. Consider the following example on vectors:

```
Coq < Require Import Coq.Program.Equality.
Coq < Set Implicit Arguments.
Coq < Variable A : Set.
Coq < Inductive vector : nat -> Type :=
     | vnil : vector 0
     | vcons : A -> forall n, vector n -> vector (S n).
Coq < Goal forall n, forall v : vector (S n),
      exists v' : vector n, exists a : A, v = vcons a v'.
Coq <
     intros n v.
Coq < dependent destruction v.
1 subgoal
 n : nat
 a : A
 v : vector n
  exists (v' : vector n) (a0 : A), vcons a v = vcons a0 v'
```

In this case, the v variable can be replaced in the goal by the generalized hypothesis only when it has a type of the form vector (S n), that is only in the second case of the destruct. The first one is dismissed because S n <> 0.

# 10.1.1 A larger example

Let's see how the technique works with induction on inductive predicates on a real example. We will develop an example application to the theory of simply-typed lambda-calculus formalized in a dependently-typed style:

```
Coq < Inductive type : Type :=
      | base : type
      | arrow : type -> type -> type.
Cog < Notation "t -> t' " := (arrow t t') (at level 20, t' at next level).
Coq < Inductive ctx : Type :=
      | empty : ctx
      | snoc : ctx -> type -> ctx.
Coq < Notation " G , tau " := (snoc G tau) (at level 20, tau at next level).
Coq < Fixpoint conc (G D : ctx) : ctx :=
        match D with
          | empty => G
          \mid snoc D' x => snoc (conc G D') x
        end.
Coq < Notation " G ; D " := (conc G D) (at level 20).
Coq < Inductive term : ctx -> type -> Type :=
      | ax : forall G tau, term (G, tau) tau
      | weak : forall G tau,
       term G tau -> forall tau', term (G, tau') tau
      | abs : forall G tau tau',
       term (G , tau) tau' -> term G (tau -> tau')
      | app : forall G tau tau',
        term G (tau -> tau') -> term G tau -> term G tau'.
```

We have defined types and contexts which are snoc-lists of types. We also have a conc operation that concatenates two contexts. The term datatype represents in fact the possible typing derivations of the calculus, which are isomorphic to the well-typed terms, hence the name. A term is either an application of:

- the axiom rule to type a reference to the first variable in a context,
- the weakening rule to type an object in a larger context
- the abstraction or lambda rule to type a function
- the application to type an application of a function to an argument

Once we have this datatype we want to do proofs on it, like weakening:

```
Coq < Lemma weakening : forall G D tau, term (G; D) tau ->
     forall tau', term (G, tau'; D) tau.
```

The problem here is that we can't just use induction on the typing derivation because it will forget about the G; D constraint appearing in the instance. A solution would be to rewrite the goal as:

```
Coq < Lemma weakening' : forall G' tau, term G' tau ->
    forall G D, (G; D) = G' ->
    forall tau', term (G, tau'; D) tau.
```

With this proper separation of the index from the instance and the right induction loading (putting G and D after the inducted-on hypothesis), the proof will go through, but it is a very tedious process. One is also forced to make a wrapper lemma to get back the more natural statement. The dependent induction tactic alleviates this trouble by doing all of this plumbing of generalizing and substituting back automatically. Indeed we can simply write:

This call to dependent induction has an additional arguments which is a list of variables appearing in the instance that should be generalized in the goal, so that they can vary in the induction hypotheses. By default, all variables appearing inside constructors (except in a parameter position) of the instantiated hypothesis will be generalized automatically but one can always give the list explicitly.

```
Coq <
       Show.
4 subgoals
 G0 : ctx
 tau : type
 G, D : ctx
 x : G0, tau = G; D
 tau': type
 _____
 term ((G, tau'); D) tau
subgoal 2 is:
term ((G, tau'0); D) tau
subgoal 3 is:
term ((G, tau'0); D) (tau -> tau')
subgoal 4 is:
term ((G, tau'0); D) tau'
```

The simpl\_depind tactic includes an automatic tactic that tries to simplify equalities appearing at the beginning of induction hypotheses, generally using trivial applications of reflexivity. In cases where the equality is not between constructor forms though, one must help the automation by giving some arguments, using the specialize tactic for example.

```
Coq < destruct D... apply weak ; apply ax. apply ax.
Cog < destruct D...
Coq < Show.
4 subgoals
 G0 : ctx
 tau : type
 H : term G0 tau
 tau': type
 IHterm : forall G D : ctx,
          G0 = G; D \rightarrow forall tau': type, term ((G, tau'); D) tau
 tau'0 : type
 term ((G0, tau'), tau'0) tau
subgoal 2 is:
term (((G, tau'0); D), t) tau
subgoal 3 is:
```

```
term ((G, tau'0); D) (tau -> tau')
subgoal 4 is:
term ((G, tau'0); D) tau'
      specialize (IHterm GO empty eq_refl).
4 subgoals
 G0 : ctx
 tau : type
 H : term GO tau
 tau': type
 IHterm : forall tau' : type, term ((G0, tau'); empty) tau
 tau'0 : type
  ______
 term ((G0, tau'), tau'0) tau
subgoal 2 is:
term (((G, tau'0); D), t) tau
subgoal 3 is:
term ((G, tau'0); D) (tau -> tau')
subgoal 4 is:
term ((G, tau'0); D) tau'
```

Once the induction hypothesis has been narrowed to the right equality, it can be used directly.

```
Coq <
      apply weak, IHterm.
3 subgoals
 tau : type
 G, D : ctx
 t, tau'0 : type
 H: term (G; D) tau
 IHterm : forall GO DO : ctx,
          G; D = G0; D0 ->
          forall tau': type, term ((G0, tau'); D0) tau
 ______
 term (((G, tau'0); D), t) tau
subgoal 2 is:
term ((G, tau'0); D) (tau -> tau')
subgoal 3 is:
term ((G, tau'0); D) tau'
```

If there is an easy first-order solution to these equations as in this subgoal, the specialize\_eqs tactic can be used instead of giving explicit proof terms:

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```
subgoal 2 is:
  term ((G, tau'0); D) (tau -> tau')
subgoal 3 is:
  term ((G, tau'0); D) tau'
```

This concludes our example. See also: The induction 9, case 9 and inversion 8.14 tactics.

# **10.2** autorewrite

Here are two examples of autorewrite use. The first one (*Ackermann function*) shows actually a quite basic use where there is no conditional rewriting. The second one (*Mac Carthy function*) involves conditional rewritings and shows how to deal with them using the optional tactic of the Hint Rewrite command.

## **Example 1:** Ackermann function

```
Coq < Reset Initial.
Coq < Require Import Arith.
Cog < Variable Ack :
                 nat -> nat -> nat.
Coq < Axiom Ack0 :
              forall m:nat, Ack 0 m = S m.
Coq < Axiom Ack1 : forall n:nat, Ack (S n) 0 = Ack n 1.
Coq < Axiom Ack2 : forall n m:nat, Ack (S n) (S m) = Ack n (Ack (S n) m).
Coq < Hint Rewrite Ack0 Ack1 Ack2 : base0.</pre>
Coq < Lemma ResAck0 :
      Ack 3 2 = 29.
1 subgoal
  _____
 Ack \ 3 \ 2 = 29
Coq < autorewrite with base0 using try reflexivity.</pre>
No more subgoals.
```

# **Example 2:** *Mac Carthy function*

```
Coq < Axiom
       g2 :
         forall n m:nat,
           (n > 0) \rightarrow (m \le 100) \rightarrow g n m = g (S n) (m + 11).
Coq < Hint Rewrite g0 g1 g2 using omega : base1.
Coq < Lemma Resg0 :
      g 1 110 = 100.
1 subgoal
 _____
 g 1 110 = 100
Cog < autorewrite with basel using reflexivity || simpl.
No more subgoals.
Coq < Lemma Resg1 : g 1 95 = 91.
1 subgoal
 q 1 95 = 91
Coq < autorewrite with basel using reflexivity || simpl.
No more subgoals.
```

# **10.3** quote

The tactic <code>quote</code> allows using Barendregt's so-called 2-level approach without writing any ML code. Suppose you have a language L of 'abstract terms' and a type A of 'concrete terms' and a function  $f: L \rightarrow A$ . If L is a simple inductive datatype and f a simple fixpoint, <code>quote</code> f will replace the head of current goal by a convertible term of the form (f t). L must have a constructor of type: A  $\rightarrow$  L.

Here is an example:

```
Coq < Require Import Quote.
Coq < Parameters A B C : Prop.
A is assumed
B is assumed
C is assumed
Coq < Inductive formula : Type :=</pre>
        | f_and : formula -> formula -> formula (* binary constructor *)
        \mid f_or : formula -> formula -> formula
        | f_not : formula -> formula (* unary constructor *)
        | f_true : formula (* 0-ary constructor *)
        | f_const : Prop -> formula (* constructor for constants *).
formula is defined
formula_rect is defined
formula_ind is defined
formula_rec is defined
Coq < Fixpoint interp_f (f:</pre>
                          formula) : Prop :=
        match f with
```

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```
\mid f_and f1 f2 => interp_f f1 /\ interp_f f2
        | f_or f1 f2 => interp_f f1 \/ interp_f f2
        | f_not f1 => ~ interp_f f1
        | f_true => True
        | f_const c => c
        end.
interp_f is defined
interp_f is recursively defined (decreasing on 1st argument)
Coq < Goal A / (A / True) / ~ B / (A <-> A).
1 subgoal
  ______
 A / \ (A \ / True) / \ \sim B / \ (A <-> A)
Coq < quote interp_f.</pre>
1 subgoal
  interp f
    (f_and (f_const A)
       (f_and (f_or (f_const A) f_true)
          (f\_and (f\_not (f\_const B)) (f\_const (A <-> A)))))
```

The algorithm to perform this inversion is: try to match the term with right-hand sides expression of f. If there is a match, apply the corresponding left-hand side and call yourself recursively on sub-terms. If there is no match, we are at a leaf: return the corresponding constructor (here  $f\_const$ ) applied to the term.

## **Error messages:**

```
1. quote: not a simple fixpoint Happens when quote is not able to perform inversion properly.
```

# 10.3.1 Introducing variables map

The normal use of quote is to make proofs by reflection: one defines a function simplify: formula -> formula and proves a theorem simplify\_ok: (f:formula) (interp\_f (simplify f)) -> (interp\_f f). Then, one can simplify formulas by doing:

```
quote interp_f.
apply simplify_ok.
compute.
```

But there is a problem with leafs: in the example above one cannot write a function that implements, for example, the logical simplifications  $A \wedge A \to A$  or  $A \wedge \neg A \to \texttt{False}$ . This is because the Prop is impredicative.

It is better to use that type of formulas:

```
| f_true : formula
| f_atom : index -> formula.
formula is defined
formula_rect is defined
formula_ind is defined
formula_rec is defined
```

index is defined in module quote. Equality on that type is decidable so we are able to simplify  $A \wedge A$  into A at the abstract level.

When there are variables, there are bindings, and quote provides also a type (varmap A) of bindings from index to any set A, and a function varmap\_find to search in such maps. The interpretation function has now another argument, a variables map:

```
Coq < Fixpoint interp_f (vm:</pre>
                         varmap Prop) (f:formula) {struct f} : Prop :=
       match f with
       | f_and f1 f2 => interp_f vm f1 /\ interp_f vm f2
       | f_or f1 f2 => interp_f vm f1 \/ interp_f vm f2
       | f_not f1 => ~ interp_f vm f1
       | f_true => True
       | f_atom i => varmap_find True i vm
       end.
interp_f is defined
interp_f is recursively defined (decreasing on 2nd argument)
quote handles this second case properly:
Coq < Goal A /\ (B /\ A) /\ (A /\ ~ B).
1 subgoal
  ______
 A / (B / A) / (A / \sim B)
Coq < quote interp_f.</pre>
1 subgoal
  _____
 interp_f
    (Node_vm B (Node_vm A (Empty_vm Prop) (Empty_vm Prop)) (Empty_vm Prop))
    (f_and (f_atom (Left_idx End_idx))
       (f_and (f_or (f_atom End_idx) (f_atom (Left_idx End_idx)))
          (f_or (f_atom (Left_idx End_idx)) (f_not (f_atom End_idx)))))
```

It builds vm and t such that (f vm t) is convertible with the conclusion of current goal.

# **10.3.2** Combining variables and constants

One can have both variables and constants in abstracts terms; that is the case, for example, for the ring tactic (chapter 25). Then one must provide to quote a list of *constructors of constants*. For example, if the list is [O S] then closed natural numbers will be considered as constants and other terms as variables.

Example:

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```
Coq < Inductive formula : Type :=</pre>
        | f_and : formula -> formula -> formula
        | f_or : formula -> formula -> formula
        | f_not : formula -> formula
        | f_true : formula
        | f_const : Prop -> formula (* constructor for constants *)
        | f_atom : index -> formula.
Cog < Fixpoint interp f
                       (* constructor for variables *)
       varmap Prop) (f:formula) {struct f} : Prop :=
       match f with
        | f_and f1 f2 => interp_f vm f1 /\ interp_f vm f2
        \mid f_or f1 f2 => interp_f vm f1 \/ interp_f vm f2
        \mid f_not f1 => ~ interp_f vm f1
        | f_true => True
        \mid f_const c => c
        | f_atom i => varmap_find True i vm
        end.
Coq < Goal
      A /\ (A \/ True) /\ ~ B /\ (C <-> C).
Coq < quote interp_f [ A B ].</pre>
1 subgoal
  interp_f (Node_vm (C <-> C) (Empty_vm Prop) (Empty_vm Prop))
    (f_and (f_const A)
       (f_and (f_or (f_const A) f_true)
          (f_and (f_not (f_const B)) (f_atom End_idx))))
Coq < Undo.
1 subgoal
  _____
 A / (A / True) / ~ B / (C <-> C)
Coq < quote interp_f [ B C iff ].</pre>
1 subgoal
  _____
  interp_f (Node_vm A (Empty_vm Prop) (Empty_vm Prop))
    (f_and (f_atom End_idx)
       (f_and (f_or (f_atom End_idx) f_true)
          (f\_and (f\_not (f\_const B)) (f\_const (C \leftarrow C)))))
```

Warning: Since function inversion is undecidable in general case, don't expect miracles from it!

#### Variants:

1. quote *ident* in *term* using *tactic* 

tactic must be a functional tactic (starting with fun x =>) and will be called with the quoted version of term according to ident.

Figure 10.1: Definition of the permutation predicate

```
2. quote ident \ [ident_1 ... ident_n \ ] in term using tactic
Same as above, but will use ident_1, ..., ident_n to chose which subterms are constants (see above).
```

See also: comments of source file plugins/quote/quote.ml

See also: the ring tactic (Chapter 25)

# 10.4 Using the tactical language

# 10.4.1 About the cardinality of the set of natural numbers

A first example which shows how to use the pattern matching over the proof contexts is the proof that natural numbers have more than two elements. The proof of such a lemma can be done as follows:

We can notice that all the (very similar) cases coming from the three eliminations (with three distinct natural numbers) are successfully solved by a match goal structure and, in particular, with only one pattern (use of non-linear matching).

#### **10.4.2** Permutation on closed lists

Another more complex example is the problem of permutation on closed lists. The aim is to show that a closed list is a permutation of another one.

First, we define the permutation predicate as shown in table 10.1.

```
Coq < Ltac Permut n :=
        match goal with
        | |- (permut _ ?l ?l) => apply permut_refl
        | |- (permut _ (?a :: ?l1) (?a :: ?l2)) =>
            let newn := eval compute in (length 11) in
            (apply permut_cons; Permut newn)
        | |- (permut ?A (?a :: ?l1) ?l2) =>
            match eval compute in n with
            | 1 => fail
              _ =>
                let 11' := constr:(11 ++ a :: nil) in
                (apply (permut_trans A (a :: 11) 11' 12);
                  [ apply permut_append | compute; Permut (pred n) ])
            end
        end.
Permut is defined
Coq < Ltac PermutProve :=</pre>
        match goal with
        | |- (permut _ ?11 ?12) =>
            match eval compute in (length 11 = length 12) with
            | (?n = ?n) => Permut n
            end
        end.
PermutProve is defined
```

Figure 10.2: Permutation tactic

A more complex example is the problem of permutation on closed lists. The aim is to show that a closed list is a permutation of another one. First, we define the permutation predicate as shown on Figure 10.1.

Next, we can write naturally the tactic and the result can be seen on Figure 10.2. We can notice that we use two toplevel definitions PermutProve and Permut. The function to be called is PermutProve which computes the lengths of the two lists and calls Permut with the length if the two lists have the same length. Permut works as expected. If the two lists are equal, it concludes. Otherwise, if the lists have identical first elements, it applies Permut on the tail of the lists. Finally, if the lists have different first elements, it puts the first element of one of the lists (here the second one which appears in the Permut predicate) at the end if that is possible, i.e., if the new first element has been at this place previously. To verify that all rotations have been done for a list, we use the length of the list as an argument for Permut and this length is decremented for each rotation down to, but not including, 1 because for a list of length n, we can make exactly n-1 rotations to generate at most n distinct lists. Here, it must be noticed that we use the natural numbers of Permut and they cannot be handled, in particular, we cannot make computations with them. So, a natural choice is to use Permut and we can get the terms back by match.

With PermutProve, we can now prove lemmas as follows:

# 10.4.3 Deciding intuitionistic propositional logic

The pattern matching on goals allows a complete and so a powerful backtracking when returning tactic values. An interesting application is the problem of deciding intuitionistic propositional logic. Considering the contraction-free sequent calculi LJT\* of Roy Dyckhoff ([56]), it is quite natural to code such a tactic using the tactic language as shown on Figures 10.3 and 10.4. The tactic Axioms tries to conclude using usual axioms. The tactic DSimplif applies all the reversible rules of Dyckhoff's system. Finally, the tactic TautoProp (the main tactic to be called) simplifies with DSimplif, tries to conclude with Axioms and tries several paths using the backtracking rules (one of the four Dyckhoff's rules for the left implication to get rid of the contraction and the right or).

For example, with TautoProp, we can prove tautologies like those:

## 10.4.4 Deciding type isomorphisms

A more tricky problem is to decide equalities between types and modulo isomorphisms. Here, we choose to use the isomorphisms of the simply typed  $\lambda$ -calculus with Cartesian product and unit type (see, for example, [45]). The axioms of this  $\lambda$ -calculus are given by table 10.5.

A more tricky problem is to decide equalities between types and modulo isomorphisms. Here, we choose to use the isomorphisms of the simply typed  $\lambda$ -calculus with Cartesian product and *unit* type (see, for example, [45]). The axioms of this  $\lambda$ -calculus are given on Figure 10.5.

The tactic to judge equalities modulo this axiomatization can be written as shown on Figures 10.6 and 10.7. The algorithm is quite simple. Types are reduced using axioms that can be oriented (this done by MainSimplif). The normal forms are sequences of Cartesian products without Cartesian product in the left component. These normal forms are then compared modulo permutation of the components (this is done by CompareStruct). The main tactic to be called and realizing this algorithm is IsoProve.

Here are examples of what can be solved by IsoProve.

```
Coq < Ltac Axioms :=
    match goal with
    | |- True => trivial
    | _:False |- _ => elimtype False; assumption
    | _:?A |- ?A => auto
    end.

Axioms is defined
```

Figure 10.3: Deciding intuitionistic propositions (1)

```
Coq < Ltac DSimplif :=</pre>
        repeat
         (intros;
          match goal with
           | id:(~ _) |- _ => red in id
           | id:(_ /\ _) |- _ =>
               elim id; do 2 intro; clear id
           | id:(_ \/ _) |- _ =>
               elim id; intro; clear id
           | id:(?A /\ ?B -> ?C) |- _ =>
               cut (A \rightarrow B \rightarrow C);
                [ intro | intros; apply id; split; assumption ]
           | id:(?A \/ ?B -> ?C) |- _ =>
               cut (B -> C);
                 [ cut (A -> C);
                    [ intros; clear id
                    | intro; apply id; left; assumption ]
                 | intro; apply id; right; assumption ]
           | id0:(?A -> ?B),id1:?A |- _ =>
               cut B; [ intro; clear id0 | apply id0; assumption ]
           | |- (_ / \setminus _) => split
           | |- (~ _) => red
           end).
DSimplif is defined
Coq < Ltac TautoProp :=</pre>
        DSimplif;
         Axioms ||
           match goal with
           | id:((?A -> ?B) -> ?C) |- _ =>
                cut (B -> C);
                 [ intro; cut (A -> B);
                    [ intro; cut C;
                       [ intro; clear id | apply id; assumption ]
                    | clear id ]
                 | intro; apply id; intro; assumption ]; TautoProp
           | id:(~ ?A -> ?B) |- _ =>
               cut (False -> B);
                 [ intro; cut (A -> False);
                    [ intro; cut B;
                       [ intro; clear id | apply id; assumption ]
                    | clear id ]
                 | intro; apply id; red; intro; assumption ]; TautoProp
           | |- (_ \/ _) => (left; TautoProp) || (right; TautoProp)
           end.
TautoProp is defined
```

**Figure 10.4:** Deciding intuitionistic propositions (2)

```
Coq < Open Scope type_scope.

Coq < Section Iso_axioms.

Coq < Variables A B C : Set.

Coq < Axiom Com : A * B = B * A.

Coq < Axiom Ass : A * (B * C) = A * B * C.

Coq < Axiom Cur : (A * B -> C) = (A -> B -> C).

Coq < Axiom Dis : (A -> B * C) = (A -> B) * (A -> C).

Coq < Axiom P_unit : A * unit = A.

Coq < Axiom AR_unit : (A -> unit) = unit.

Coq < Axiom AL_unit : (unit -> A) = A.

Coq < Lemma Cons : B = C -> A * B = A * C.

Coq < Proof.

Coq < Qed.

Coq < End Iso_axioms.
```

Figure 10.5: Type isomorphism axioms

```
Coq < Ltac DSimplif trm :=
        match trm with
        | (?A * ?B * ?C) =>
            rewrite <- (Ass A B C); try MainSimplif</pre>
        | (?A * ?B -> ?C) =>
            rewrite (Cur A B C); try MainSimplif
        | (?A -> ?B * ?C) =>
            rewrite (Dis A B C); try MainSimplif
        | (?A * unit) =>
            rewrite (P_unit A); try MainSimplif
        | (unit * ?B) =>
            rewrite (Com unit B); try MainSimplif
        | (?A -> unit) =>
            rewrite (AR_unit A); try MainSimplif
        | (unit -> ?B) =>
            rewrite (AL_unit B); try MainSimplif
        | (?A * ?B) =>
            (DSimplif A; try MainSimplif) || (DSimplif B; try MainSimplif)
        | (?A -> ?B) =>
            (DSimplif A; try MainSimplif) || (DSimplif B; try MainSimplif)
        end
       with MainSimplif :=
        match goal with
        | |- (?A = ?B) => try DSimplif A; try DSimplif B
        end.
DSimplif is defined
MainSimplif is defined
Coq < Ltac Length trm :=
        match trm with
        | (\_ * ?B) => let succ := Length B in constr:(S succ)
        | _ => constr:1
        end.
Length is defined
Coq < Ltac assoc := repeat rewrite <- Ass.
assoc is defined
```

**Figure 10.6:** Type isomorphism tactic (1)

```
Coq < Ltac DoCompare n :=</pre>
        match goal with
        | [ | - (?A = ?A) ] => reflexivity
        | [ | - (?A * ?B = ?A * ?C) ] =>
            apply Cons; let newn := Length B in
                         DoCompare newn
        | [ | - (?A * ?B = ?C) ] =>
            match eval compute in n with
            | 1 => fail
            | _ =>
                pattern (A * B) at 1; rewrite Com; assoc; DoCompare (pred n
            end
        end.
DoCompare is defined
Coq < Ltac CompareStruct :=</pre>
        match goal with
        | [ |- (?A = ?B) ] =>
            let l1 := Length A
            with 12 := Length B in
            match eval compute in (11 = 12) with
            | (?n = ?n) => DoCompare n
            end
        end.
CompareStruct is defined
Coq < Ltac IsoProve := MainSimplif; CompareStruct.</pre>
IsoProve is defined
```

**Figure 10.7:** Type isomorphism tactic (2)

# **Chapter 11**

# The Mathematical Proof Language

# 11.1 Introduction

#### 11.1.1 Foreword

In this chapter, we describe an alternative language that may be used to do proofs using the Coq proof assistant. The language described here uses the same objects (proof-terms) as Coq, but it differs in the way proofs are described. This language was created by Pierre Corbineau at the Radboud University of Nijmegen, The Netherlands.

The intent is to provide language where proofs are less formalism- and implementation-sensitive, and in the process to ease a bit the learning of computer-aided proof verification.

## 11.1.2 What is a declarative proof?

In vanilla Coq, proofs are written in the imperative style: the user issues commands that transform a so called proof state until it reaches a state where the proof is completed. In the process, the user mostly described the transitions of this system rather than the intermediate states it goes through.

The purpose of a declarative proof language is to take the opposite approach where intermediate states are always given by the user, but the transitions of the system are automated as much as possible.

## 11.1.3 Well-formedness and Completeness

The Mathematical Proof Language introduces a notion of well-formed proofs which are weaker than correct (and complete) proofs. Well-formed proofs are actually proof script where only the reasoning is incomplete. All the other aspects of the proof are correct:

- All objects referred to exist where they are used
- Conclusion steps actually prove something related to the conclusion of the theorem (the thesis.
- Hypothesis introduction steps are done when the goal is an implication with a corresponding assumption.
- Sub-objects in the elimination steps for tuples are correct sub-objects of the tuple being decomposed.
- Patterns in case analysis are type-correct, and induction is well guarded.

#### 11.1.4 Note for tactics users

This section explain what differences the casual Coq user will experience using the Mathematical Proof Language.

- 1. The focusing mechanism is constrained so that only one goal at a time is visible.
- 2. Giving a statement that Coq cannot prove does not produce an error, only a warning: this allows going on with the proof and fill the gap later.
- 3. Tactics can still be used for justifications and after escape.

# 11.1.5 Compatibility

The Mathematical Proof Language is available for all Coq interfaces that use text-based interaction, including:

- the command-line toplevel coqtop
- the native GUI COQIDE
- the PROOF GENERAL Emacs mode
- Cezary Kaliszyk's Web interface
- L.E. Mamane's tmEgg TeXmacs plugin

However it is not supported by structured editors such as PCoq.

# 11.2 Syntax

Here is a complete formal description of the syntax for Mathematical Proof Language commands.

The lexical conventions used here follows those of section 1.1.

Conventions:

• <tactic> stands for a Coq tactic.

# 11.2.1 Temporary names

In proof commands where an optional name is asked for, omitting the name will trigger the creation of a fresh temporary name (e.g. for a hypothesis). Temporary names always start with an underscore '\_' character (e.g. \_hyp0). Temporary names have a lifespan of one command: they get erased after the next command. They can however be safely in the step after their creation.

# 11.3 Language description

# 11.3.1 Starting and Ending a mathematical proof

The standard way to use the Mathematical Proof Language is to first state a Lemma / Theorem / Definition and then use the proof command to switch the current subgoal to mathematical mode. After the proof is completed, the end proof command will close the mathematical proof. If any subgoal remains to be proved, they will be displayed using the usual Coq display.

```
instruction
              ::= proof
                   assume statement and ... and statement [[and {we have}-clause]]
                   {let,be}-clause
                   {given}-clause
                   {consider}-clause from term
                   (have | then | thus | hence]) statement justification
                   [thus] (\sim = |= \sim) [ident:]termjustification
                   suffices ({to have}-clause | statement and ... and statement [and {to have}-clause]
                   to show statement justification
                   (claim | focus on) statement
                   take term
                   define ident[var, ..., var] as term
                   reconsider (ident | thesis) as type
                   per (cases | induction) on term
                   per cases of type justification
                   suppose [ident, ..., ident and] it is pattern
                   [such that statement and ... and statement [and {we have}-clause]]
                   end (proof | claim | focus | cases | induction)
                   escape
                   return
\{\alpha,\beta\}-clause ::= \alpha var, ..., var \beta such that statement and ... and statement
                   [and \{\alpha, \beta\}-clause]
              ::= [ident : ] type
statement
                   thesis
                   thesis for ident
              ::= ident[: type]
var
justification
              ::= [by (* | term , ..., term)] [using tactic]
```

Figure 11.1: Syntax of mathematical proof commands

The proof command only applies to one subgoal, thus if several sub-goals are already present, the

```
proof ... end proof sequence has to be used several times.
Coq < Theorem T: (True /\ True) /\ True.
Coq <
       split. split.
Coq <
     Show.
3 subgoals
 True
subgoal 2 is:
True
subgoal 3 is:
 True
Coq < proof. (* first subgoal *)</pre>
Coq <
       thus thesis.
Subproof completed, now type "end proof".
Coq <
      end proof.
Coq < trivial. (* second subgoal *)</pre>
1 subgoal
  Coq < proof. (* third subgoal *)</pre>
Coq <
        thus thesis.
Subproof completed, now type "end proof".
Coq <
      end proof.
```

As with all other block structures, the end proof command assumes that your proof is complete. If not, executing it will be equivalent to admitting that the statement is proved: A warning will be issued and you will not be able to run the Qed command. Instead, you can run Admitted if you wish to start another theorem and come back later.

```
subgoal 1 is:
False
You need to go back and solve them.
Coq < Admitted. (* Oops! *)
this_is_not_so_trivial is assumed</pre>
```

# 11.3.2 Switching modes

When writing a mathematical proof, you may wish to use procedural tactics at some point. One way to do so is to write a using-phrase in a deduction step (see section 11.3.14). The other way is to use an escape...return block.

The return statement expects all subgoals to be closed, otherwise a warning is issued and the proof cannot be saved anymore.

It is possible to use the proof command inside an escape...return block, thus nesting a mathematical proof inside a procedural proof inside a mathematical proof...

# 11.3.3 Computation steps

The reconsider ... as command allows changing the type of a hypothesis or of thesis to a convertible one.

# 11.3.4 Deduction steps

The most common instruction in a mathematical proof is the deduction step: it asserts a new statement (a formula/type of the CIC) and tries to prove it using a user-provided indication: the justification. The asserted statement is then added as a hypothesis to the proof context.

It is often the case that the justifications uses the last hypothesis introduced in the context, so the then keyword can be used as a shortcut, e.g. if we want to do the same as the last example:

In this example, you can also see the creation of a temporary name \_fact.

#### 11.3.5 Iterated equalities

A common proof pattern when doing a chain of deductions is to do multiple rewriting steps over the same term, thus proving the corresponding equalities. The iterated equalities are a syntactic support for this kind of reasoning:

```
Coq < Show.
    *** Declarative Mode ***
 x : nat
 H : x = 2
 _____
 thesis :=
 x + x = x * x
Coq < have (4 = 4).
    *** Declarative Mode ***
 x : nat
 H : x = 2
 _{fact}: 4 = 4
  -----
 thesis :=
 x + x = x * x
          \sim = (2 * 2).
    *** Declarative Mode ***
 x : nat
 H : x = 2
 _{eq}: 4 = 2 * 2
 ______
 thesis :=
 x + x = x * x
          \sim= (x * x) by H.
    *** Declarative Mode ***
 x : nat
 H : x = 2
 \_eq0 : 4 = x * x
 thesis :=
 x + x = x * x
Cog < = (2 + 2).
    *** Declarative Mode ***
```

Notice that here we use temporary names heavily.

#### 11.3.6 Subproofs

Coq < Show.

When an intermediate step in a proof gets too complicated or involves a well contained set of intermediate deductions, it can be useful to insert its proof as a subproof of the current proof. This is done by using the claim ... end claim pair of commands.

```
*** Declarative Mode ***
 x : nat
 H : x + x = x * x
 _____
 thesis :=
 Coq < claim H':((x - 2) * x = 0).
    *** Declarative Mode ***
 x : nat
 H : X + X = X * X
 _____
 thesis :=
  (x - 2) * x = 0
  A few steps later...
Coq < thus thesis.
Warning: Insufficient justification.
Subproof completed, now type "end proof".
Coq < end claim.
    *** Declarative Mode ***
 x : nat
 H : x + x = x * x
```

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Now the rest of the proof can happen.

#### 11.3.7 Conclusion steps

The commands described above have a conclusion counterpart, where the new hypothesis is used to refine the conclusion.

Let us begin with simple examples:

In the next example, we have to use thus because HB is no longer the last hypothesis.

X		simple	with previous step	opens sub-proof	iterated equality
	intermediate step	have	then	claim	~=/=~
_	conclusion step	thus	hence	focus on	thus $\sim =/=\sim$

Figure 11.2: Correspondence between basic forward steps and conclusion steps

The command fails if the refinement process cannot find a place to fit the object in a proof of the conclusion.

```
Cog < Show.
    *** Declarative Mode ***
 A, B, C : Prop
 HA : A
 HB : B
 HC : C
 _____
 thesis :=
 A / \setminus B
Coq < Fail hence C. (* fails *)
The command has indeed failed with message:
Error: I could not relate this statement to the thesis.
    *** Declarative Mode ***
 A, B, C : Prop
 HA : A
 HB : B
 HC : C
  _____
 thesis :=
 A / \setminus B
```

The refinement process may induce non reversible choices, e.g. when proving a disjunction it may *choose* one side of the disjunction.

```
Coq < Show.

*** Declarative Mode ***

A, B : Prop

HB : B

-----
thesis :=
A \/ B

Coq < hence B.

Subproof completed, now type "end proof".
```

In this example you can see that the right branch was chosen since D remains to be proved.

Now for existential statements, we can use the take command to choose 2 as an explicit witness of existence.

It is also possible to prove the existence directly.

Here a more involved example where the choice of P 2 propagates the choice of 2 to another part of the formula.

```
Coq < Show.
    *** Declarative Mode ***
 P : nat -> Prop
 R : nat -> nat -> Prop
 HP : P 2
 HR : R 0 2
 thesis :=
 exists x y : nat, P y / \ R x y
Coq < thus (P 2) by HP.
    *** Declarative Mode ***
 P : nat -> Prop
 R : nat -> nat -> Prop
 HP : P 2
 HR : R 0 2
 _fact : P 2
 _____
 thesis :=
 exists n : nat, R n 2
```

Now, an example with the suffices command. suffices is a sort of dual for have: it allows replacing the conclusion (or part of it) by a sufficient condition.

```
Coq < Show.
    *** Declarative Mode ***
 A, B : Prop
 P : nat -> Prop
 HP : forall x : nat, P x -> B
 HA : A
 thesis :=
 A / \setminus B
Coq < suffices to have x such that HP':(P x) to show B by HP,HP'.
    *** Declarative Mode ***
 A, B : Prop
 P : nat -> Prop
 HP : forall x : nat, P x -> B
 \_cofact : forall x : nat, P x -> B
  _____
 thesis :=
 A / (exists n : nat, P n)
```

Finally, an example where focus is handy: local assumptions.

```
Coq < Show.
    *** Declarative Mode ***
 A : Prop
 P : nat -> Prop
 HP : P 2
 HA : A
 _____
 thesis :=
 A / (forall x : nat, x = 2 \rightarrow P x)
Coq < focus on (forall x, x = 2 \rightarrow P x).
Toplevel input, characters 0-34:
> focus on (forall x, x = 2 \rightarrow P x).
> ^^^^^^^
Error: No such section variable or assumption: _claim.
Coq < let x be such that (x = 2).
Toplevel input, characters 0-27:
> let x be such that (x = 2).
> ^^^^^^^^
Error: No product even after head-reduction.
Coq < hence thesis by HP.
Subproof completed, now type "end proof".
Coq < end focus.
Toplevel input, characters 0-10:
> end focus.
> ^^^^^^
Anomaly: error with no safe_id attached:
Uncaught exception Invalid_argument("get_info").. Please report.
```

#### 11.3.8 Declaring an Abbreviation

In order to shorten long expressions, it is possible to use the define ... as ... command to give a name to recurring expressions.

#### 11.3.9 Introduction steps

When the thesis consists of a hypothetical formula (implication or universal quantification (e.g. A -> B), it is possible to assume the hypothetical part A and then prove B. In the Mathematical Proof Language, this comes in two syntactic flavors that are semantically equivalent: let and assume. Their syntax is designed so that let works better for universal quantifiers and assume for implications.

```
Coq < Show.
    *** Declarative Mode ***
 P : nat -> Prop
 _____
 thesis :=
 forall x : nat, P x \rightarrow P x
Coq < let x:nat.
    *** Declarative Mode ***
 P : nat -> Prop
 x : nat
 thesis :=
 P \times -> P \times
Coq < assume HP:(P x).
    *** Declarative Mode ***
 P : nat -> Prop
 x : nat
 HP : P x
 thesis :=
 P x
```

In the let variant, the type of the assumed object is optional provided it can be deduced from the command. The objects introduced by let can be followed by assumptions using such that.

```
Coq < Fail let x. (* fails because x's type is not clear *)
The command has indeed failed with message:
Cannot infer the type of x in environment:
P : nat -> Prop
    *** Declarative Mode ***
 P : nat -> Prop
  _____
 thesis :=
 forall x : nat, P x \rightarrow P x
Coq < let x be such that HP:(P x). (* here x's type is inferred from (P x) *)
     *** Declarative Mode ***
 P : nat -> Prop
 x : nat
 HP : P x
 _____
 thesis :=
 P x
  In the assume variant, the type of the assumed object is mandatory but the name is optional:
Coq < Show.
    *** Declarative Mode ***
 P : nat -> Prop
 x : nat
 _____
 thesis :=
 P \times P \times P \times P \times P \times X
Coq < assume (P x). (* temporary name created *)
    *** Declarative Mode ***
 P : nat -> Prop
 x : nat
 _hyp : P x
  _____
 thesis :=
 P \times -> P \times
  After such that, it is also the case:
Coq < Show.
    *** Declarative Mode ***
 P : nat -> Prop
 thesis :=
 forall x : nat, P x \rightarrow P x
Coq < let x be such that (P x). (* temporary name created *)
    *** Declarative Mode ***
```

#### 11.3.10 Tuple elimination steps

In the CIC, many objects dealt with in simple proofs are tuples: pairs, records, existentially quantified formulas. These are so common that the Mathematical Proof Language provides a mechanism to extract members of those tuples, and also objects in tuples within tuples within tuples...

```
Coq < Show.
    *** Declarative Mode ***
 P : nat -> Prop
 A : Prop
 H : exists x : nat, P x / \setminus A
 _____
 thesis :=
Coq < consider x such that HP:(P x) and HA:A from H.
    *** Declarative Mode ***
 P : nat -> Prop
 A : Prop
 H : exists x : nat, P x / A
 x : nat
 HP : P x
 HA : A
 thesis :=
 Α
```

Here is an example with pairs:

It is sometimes desirable to combine assumption and tuple decomposition. This can be done using the given command.

```
Coq < Show.
    *** Declarative Mode ***
 P : nat -> Prop
 HP: forall n: nat, P n \rightarrow P (n-1)
 _____
 thesis :=
  (exists m : nat, P m) -> P 0
Cog < given m such that Hm: (P m).
    *** Declarative Mode ***
 P : nat -> Prop
 HP: forall n: nat, P n \rightarrow P (n-1)
 m : nat
 Hm : P m
 _____
 thesis :=
 P 0
```

#### 11.3.11 Disjunctive reasoning

In some proofs (most of them usually) one has to consider several cases and prove that the thesis holds in all the cases. This is done by first specifying which object will be subject to case distinction (usually a disjunction) using per cases, and then specifying which case is being proved by using suppose.

```
HAC : A \rightarrow C
 HBC : B \rightarrow C
 HAB : A \setminus / B
  _hyp : A
 _____
  thesis :=
  C
Coq < hence thesis by HAC.
Subproof completed, now type "end cases" or start a new case.
Coq < suppose HB:B.
     *** Declarative Mode ***
 A, B, C : Prop
  HAC : A \rightarrow C
 HBC : B -> C
 HAB : A \setminus / B
 HB : B
  thesis :=
Coq < thus thesis by HB, HBC.
Subproof completed, now type "end cases" or start a new case.
Coq < end cases.
Subproof completed, now type "end proof".
```

The proof is well formed (but incomplete) even if you type end cases or the next suppose before the previous case is proved.

If the disjunction is derived from a more general principle, e.g. the excluded middle axiom), it is desirable to just specify which instance of it is being used:

```
Coq < Hypothesis EM : forall P:Prop, P \/ ~ P.
EM is assumed
Cog < per cases of (A \/\ ~A) by EM.
     *** Declarative Mode ***
  EM : forall P : Prop, P \/\ ~ P
  A, C: Prop
  HAC : A \rightarrow C
  HNAC : \sim A \rightarrow C
  anonymous_matched : A \/ ~ A
  _____
  thesis :=
  C
Coq < suppose (~A).
     *** Declarative Mode ***
  EM : forall P : Prop, P \backslash / \sim P
  A, C : Prop
  HAC : A \rightarrow C
  HNAC : \sim A -> C
```

```
anonymous_matched : A \/ ~ A
 \_hyp : ~ A
 _____
 thesis :=
 C
Coq < hence thesis by HNAC.
Subproof completed, now type "end cases" or start a new case.
Coq < suppose A.
    *** Declarative Mode ***
 EM : forall P : Prop, P \/ ~ P
 A, C : Prop
 HAC : A \rightarrow C
 HNAC : \sim A \rightarrow C
 anonymous_matched : A \/ ~ A
 _hyp : A
 _____
 thesis :=
Coq < hence thesis by HAC.
Subproof completed, now type "end cases" or start a new case.
Coq < end cases.
Subproof completed, now type "end proof".
```

#### 11.3.12 Proofs per cases

If the case analysis is to be made on a particular object, the script is very similar: it starts with per cases on *object* instead.

```
Coq < per cases on (EM A).
    *** Declarative Mode ***
 EM : forall P : Prop, P \backslash / \sim P
 A, C: Prop
 HAC : A \rightarrow C
 HNAC : \sim A -> C
 thesis :=
Coq < suppose (\sim A).
    *** Declarative Mode ***
 EM : forall P : Prop, P \/ ~ P
 A, C: Prop
 HAC : A \rightarrow C
 HNAC : \sim A -> C
 _hyp : ~ A
  _____
 thesis :=
 C
```

If the object on which a case analysis occurs in the statement to be proved, the command suppose it is *pattern* is better suited than suppose. *pattern* may contain nested patterns with as clauses. A detailed description of patterns is to be found in figure 1.2. here is an example.

```
Coq < per cases on x.
    *** Declarative Mode ***
 A, B : Prop
 x : bool
 _____
 thesis :=
 (if x then A else B) \rightarrow A \/ B
Coq < suppose it is true.
    *** Declarative Mode ***
 A, B : Prop
 x : bool
 thesis :=
 A \rightarrow A \setminus B
Coq < assume A.
    *** Declarative Mode ***
 A, B : Prop
 x : bool
 _hyp : A
 _____
 thesis :=
 A \setminus / B
Coq < hence A.
Subproof completed, now type "end cases" or start a new case.
Coq < suppose it is false.
    *** Declarative Mode ***
 A, B : Prop
 x : bool
 _____
 thesis :=
 B \rightarrow A \setminus B
Coq < assume B.
    *** Declarative Mode ***
 A, B : Prop
 x : bool
 _hyp : B
 _____
 thesis :=
 A \setminus / B
      hence B.
Subproof completed, now type "end cases" or start a new case.
```

```
Coq < end cases.
Subproof completed, now type "end proof".</pre>
```

#### 11.3.13 Proofs by induction

Proofs by induction are very similar to proofs per cases: they start with per induction on object and proceed with suppose it is pattern and  $induction\ hypothesis$ . The induction hypothesis can be given explicitly or identified by the sub-object m it refers to using thesis for m.

```
Coq < per induction on n.
    *** Declarative Mode ***
 n : nat
 _____
 thesis :=
 n + 0 = n
Coq < suppose it is 0.
    *** Declarative Mode ***
 n : nat
 thesis :=
 0 + 0 = 0
Coq < thus (0 + 0 = 0).
Subproof completed, now type "end induction" or start a new case.
Coq < suppose it is (S m) and H:thesis for m.
    *** Declarative Mode ***
 n, m : nat
 H : m + 0 = m
 _____
 thesis :=
 S m + 0 = S m
Coq < then (S (m + 0) = S m).
    *** Declarative Mode ***
 n, m : nat
 H : m + 0 = m
 \_fact : S (m + 0) = S m
 _____
 thesis :=
 S m + 0 = S m
Coq < thus =\sim (S m + 0).
Subproof completed, now type "end induction" or start a new case.
Coq < end induction.
Subproof completed, now type "end proof".
```

#### 11.3.14 Justifications

Intuitively, justifications are hints for the system to understand how to prove the statements the user types in. In the case of this language justifications are made of two components:

Justification objects: by followed by a comma-separated list of objects that will be used by a selected tactic to prove the statement. This defaults to the empty list (the statement should then be tautological). The \* wildcard provides the usual tactics behavior: use all statements in local context. However, this wildcard should be avoided since it reduces the robustness of the script.

Justification tactic: using followed by a Coq tactic that is executed to prove the statement. The default is a solver for (intuitionistic) first-order with equality.

#### 11.4 More details and Formal Semantics

I suggest the users looking for more information have a look at the paper [34]. They will find in that paper a formal semantics of the proof state transition induces by mathematical commands.

# Part III User extensions

### **Chapter 12**

## Syntax extensions and interpretation scopes

In this chapter, we introduce advanced commands to modify the way CoQ parses and prints objects, i.e. the translations between the concrete and internal representations of terms and commands. The main commands are Notation and Infix which are described in section 12.1. It also happens that the same symbolic notation is expected in different contexts. To achieve this form of overloading, CoQ offers a notion of interpretation scope. This is described in Section 12.2.

**Remark:** The commands Grammar, Syntax and Distfix which were present for a while in CoQ are no longer available from CoQ version 8.0. The underlying AST structure is also no longer available. The functionalities of the command Syntactic Definition are still available, see Section 12.3.

#### 12.1 Notations

#### 12.1.1 Basic notations

A *notation* is a symbolic abbreviation denoting some term or term pattern.

A typical notation is the use of the infix symbol  $/ \setminus$  to denote the logical conjunction (and). Such a notation is declared by

```
Coq < Notation "A /\ B" := (and A B).
```

The expression (and A B) is the abbreviated term and the string "A  $/ \$  B" (called a *notation*) tells how it is symbolically written.

A notation is always surrounded by double quotes (excepted when the abbreviation is a single identifier, see 12.3). The notation is composed of *tokens* separated by spaces. Identifiers in the string (such as A and B) are the *parameters* of the notation. They must occur at least once each in the denoted term. The other elements of the string (such as  $/ \$ ) are the *symbols*.

An identifier can be used as a symbol but it must be surrounded by simple quotes to avoid the confusion with a parameter. Similarly, every symbol of at least 3 characters and starting with a simple quote must be quoted (then it starts by two single quotes). Here is an example.

```
Coq < Notation "'IF' c1 'then' c2 'else' c3" := (IF_then_else c1 c2 c3).
```

A notation binds a syntactic expression to a term. Unless the parser and pretty-printer of CoQ already know how to deal with the syntactic expression (see 12.1.7), explicit precedences and associativity rules have to be given.

#### 12.1.2 Precedences and associativity

Mixing different symbolic notations in a same text may cause serious parsing ambiguity. To deal with the ambiguity of notations, CoQ uses precedence levels ranging from 0 to 100 (plus one extra level numbered 200) and associativity rules.

Consider for example the new notation

```
Coq < Notation "A \/ B" := (or A B).
```

Clearly, an expression such as forall A:Prop, True /\ A \/ A \/ False is ambiguous. To tell the CoQ parser how to interpret the expression, a priority between the symbols /\ and \/ has to be given. Assume for instance that we want conjunction to bind more than disjunction. This is expressed by assigning a precedence level to each notation, knowing that a lower level binds more than a higher level. Hence the level for disjunction must be higher than the level for conjunction.

Since connectives are the less tight articulation points of a text, it is reasonable to choose levels not so far from the higher level which is 100, for example 85 for disjunction and 80 for conjunction<sup>1</sup>.

Similarly, an associativity is needed to decide whether True /\ False /\ False defaults to True /\ (False /\ False) (right associativity) or to (True /\ False) /\ False (left associativity). We may even consider that the expression is not well-formed and that parentheses are mandatory (this is a "no associativity")<sup>2</sup>. We don't know of a special convention of the associativity of disjunction and conjunction, let's apply for instance a right associativity (which is the choice of Coq).

Precedence levels and associativity rules of notations have to be given between parentheses in a list of modifiers that the Notation command understands. Here is how the previous examples refine.

```
Coq < Notation "A / \ B" := (and A B) (at level 80, right associativity). Coq < Notation "A / \ B" := (or A B) (at level 85, right associativity).
```

By default, a notation is considered non associative, but the precedence level is mandatory (except for special cases whose level is canonical). The level is either a number or the mention next level whose meaning is obvious. The list of levels already assigned is on Figure 3.1.

#### 12.1.3 Complex notations

Notations can be made from arbitrarily complex symbols. One can for instance define prefix notations.

```
Coq < Notation "\sim x" := (not x) (at level 75, right associativity).
```

One can also define notations for incomplete terms, with the hole expected to be inferred at typing time.

```
Coq < Notation "x = y" := (@eq x y) (at level 70, no associativity).
```

One can define *closed* notations whose both sides are symbols. In this case, the default precedence level for inner subexpression is 200.

```
Coq < Notation "( x , y )" := (@pair \_ x y) (at level 0).
```

<sup>&</sup>lt;sup>1</sup>which are the levels effectively chosen in the current implementation of CoQ

<sup>&</sup>lt;sup>2</sup> COQ accepts notations declared as no associative but the parser on which COQ is built, namely CAMLP4, currently does not implement the no-associativity and replace it by a left associativity; hence it is the same for COQ: no-associativity is in fact left associativity

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One can also define notations for binders.

```
Coq < Notation "{ x : A \mid P }" := (sig A (fun x => P)) (at level 0).
```

In the last case though, there is a conflict with the notation for type casts. This last notation, as shown by the command Print Grammar constr is at level 100. To avoid x: A being parsed as a type cast, it is necessary to put x at a level below 100, typically 99. Hence, a correct definition is

```
Coq < Notation "{ x : A \mid P}" := (sig A (fun x \Rightarrow P)) (at level 0, x at level 99).
```

See the next section for more about factorization.

#### 12.1.4 Simple factorization rules

CoQ extensible parsing is performed by Camlp5 which is essentially a LL1 parser. Hence, some care has to be taken not to hide already existing rules by new rules. Some simple left factorization work has to be done. Here is an example.

```
Coq < Notation "x < y" := (lt x y) (at level 70).

Coq < Notation "x < y < z" := (x < y / y < z) (at level 70).
```

In order to factorize the left part of the rules, the subexpression referred by y has to be at the same level in both rules. However the default behavior puts y at the next level below 70 in the first rule (no associativity is the default), and at the level 200 in the second rule (level 200 is the default for inner expressions). To fix this, we need to force the parsing level of y, as follows.

```
Coq < Notation "x < y" := (lt x y) (at level 70).

Coq < Notation "x < y < z" := (x < y / \ y < z) (at level 70, y at next level).
```

For the sake of factorization with COQ predefined rules, simple rules have to be observed for notations starting with a symbol: e.g. rules starting with "{" or "(" should be put at level 0. The list of COQ predefined notations can be found in Chapter 3.

The command to display the current state of the Coo term parser is

```
Print Grammar constr.
```

#### Variant:

```
Print Grammar pattern.
```

This displays the state of the subparser of patterns (the parser used in the grammar of the match with constructions).

#### 12.1.5 Displaying symbolic notations

The command Notation has an effect both on the CoQ parser and on the CoQ printer. For example:

```
Coq < Check (and True True).
True /\ True
: Prop</pre>
```

However, printing, especially pretty-printing, requires more care than parsing. We may want specific indentations, line breaks, alignment if on several lines, etc.

The default printing of notations is very rudimentary. For printing a notation, a *formatting box* is opened in such a way that if the notation and its arguments cannot fit on a single line, a line break is inserted before the symbols of the notation and the arguments on the next lines are aligned with the argument on the first line.

A first, simple control that a user can have on the printing of a notation is the insertion of spaces at some places of the notation. This is performed by adding extra spaces between the symbols and parameters: each extra space (other than the single space needed to separate the components) is interpreted as a space to be inserted by the printer. Here is an example showing how to add spaces around the bar of the notation.

The second, more powerful control on printing is by using the format modifier. Here is an example

A *format* is an extension of the string denoting the notation with the possible following elements delimited by single quotes:

- extra spaces are translated into simple spaces
- tokens of the form ' / ' are translated into breaking point, in case a line break occurs, an indentation of the number of spaces after the "/" is applied (2 spaces in the given example)
- token of the form ' / / ' force writing on a new line
- well-bracketed pairs of tokens of the form ' [ ' and ' ] ' are translated into printing boxes; in case a line break occurs, an extra indentation of the number of spaces given after the "[" is applied (4 spaces in the example)
- well-bracketed pairs of tokens of the form '[hv ' and ']' are translated into horizontalorelse-vertical printing boxes; if the content of the box does not fit on a single line, then every breaking point forces a newline and an extra indentation of the number of spaces given after the "[" is applied at the beginning of each newline (3 spaces in the example)

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• well-bracketed pairs of tokens of the form '[v ' and ']' are translated into vertical printing boxes; every breaking point forces a newline, even if the line is large enough to display the whole content of the box, and an extra indentation of the number of spaces given after the "[" is applied at the beginning of each newline

Thus, for the previous example, we get

Notations do not survive the end of sections. No typing of the denoted expression is performed at definition time. Type-checking is done only at the time of use of the notation.

**Remark:** Sometimes, a notation is expected only for the parser. To do so, the option *only parsing* is allowed in the list of modifiers of Notation.

#### 12.1.6 The Infix command

The Infix command is a shortening for declaring notations of infix symbols. Its syntax is

```
Infix "symbol" := qualid (modifier, ..., modifier).

and it is equivalent to

Notation "x symbol y" := (qualid x y) (modifier, ..., modifier).

where x and y are fresh names distinct from qualid. Here is an example.

Coq < Infix "/\" := and (at level 80, right associativity).
```

#### **12.1.7** Reserving notations

A given notation may be used in different contexts. CoQ expects all uses of the notation to be defined at the same precedence and with the same associativity. To avoid giving the precedence and associativity every time, it is possible to declare a parsing rule in advance without giving its interpretation. Here is an example from the initial state of CoQ.

```
Coq < Reserved Notation "x = y" (at level 70, no associativity).
```

Reserving a notation is also useful for simultaneously defined an inductive type or a recursive constant and a notation for it.

**Remark:** The notations mentioned on Figure 3.1 are reserved. Hence their precedence and associativity cannot be changed.

#### 12.1.8 Simultaneous definition of terms and notations

Thanks to reserved notations, the inductive, co-inductive, recursive and corecursive definitions can benefit of customized notations. To do this, insert a where notation clause after the definition of the (co)inductive type or (co)recursive term (or after the definition of each of them in case of mutual definitions). The exact syntax is given on Figure 12.1. Here are examples:

#### 12.1.9 Displaying informations about notations

To deactivate the printing of all notations, use the command

```
Unset Printing Notations.
```

To reactivate it, use the command

```
Set Printing Notations.
```

The default is to use notations for printing terms wherever possible.

```
See also: Set Printing All in Section 2.9.
```

#### 12.1.10 Locating notations

To know to which notations a given symbol belongs to, use the command

```
Locate symbol
```

where symbol is any (composite) symbol surrounded by double quotes. To locate a particular notation, use a string where the variables of the notation are replaced by "\_" and where possible single quotes inserted around identifiers or tokens starting with a single quote are dropped.

#### **Example:**

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```
sentence
              ::= [Local] Notation string := term [modifiers] [:scope] .
                  [Local] Infix string := qualid [modifiers] [:scope] .
                  [Local] Reserved Notation string [modifiers] .
                  Inductive ind_body [decl_notation] with ... with ind_body [decl_notation].
                  CoInductive ind_body [decl_notation] with ... with ind_body [decl_notation].
                  Fixpoint fix_body [decl_notation] with ... with fix_body [decl_notation].
                  CoFixpoint cofix_body [decl_notation] with ... with cofix_body [decl_notation]
             ::= [where string := term [:scope] and ... and string := term [:scope]].
decl notation
modifiers
              ::= ident , ... , ident at level natural
                  ident , ... , ident at next level
                  at level natural
                  left associativity
                  right associativity
                  no associativity
                  ident ident
                  ident binder
                  ident closed binder
                  ident global
                  ident bigint
                  only parsing
                   format string
```

Figure 12.1: Syntax of the variants of Notation

See also: Section 6.3.10.

#### **12.1.11** Notations and simple binders

Notations can be defined for binders as in the example:

```
Coq < Notation "{ x : A \mid P }" := (sig (fun x : A \Rightarrow P)) (at level 0).
```

The binding variables in the left-hand-side that occur as a parameter of the notation naturally bind all their occurrences appearing in their respective scope after instantiation of the parameters of the notation.

Contrastingly, the binding variables that are not a parameter of the notation do not capture the variables of same name that could appear in their scope after instantiation of the notation. E.g., for the notation

```
Coq < Notation "'exists_different' n" := (exists p:nat, p<>n) (at level 200).
```

the next command fails because p does not bind in the instance of n.

```
Coq < Fail Check (exists_different p).
The command has indeed failed with message:
The reference p was not found in the current environment.</pre>
```

**Remark:** Binding variables must not necessarily be parsed using the ident entry. For factorization purposes, they can be said to be parsed at another level (e.g.  $\times$  in " {  $\times$  : A | P } " must be parsed at level 99 to be factorized with the notation " { A } + { B } " for which A can be any term). However, even if parsed as a term, this term must at the end be effectively a single identifier.

#### 12.1.12 Notations with recursive patterns

A mechanism is provided for declaring elementary notations with recursive patterns. The basic example is:

```
Coq < Notation "[x; ...; y]" := (cons x ... (cons y nil) ..).
```

On the right-hand side, an extra construction of the form  $\dots$  t  $\dots$  can be used. Notice that  $\dots$  is part of the CoQ syntax and it must not be confused with the three-dots notation  $\dots$  used in this manual to denote a sequence of arbitrary size.

On the left-hand side, the part " $x \ s \ ... \ s \ y$ " of the notation parses any number of time (but at least one time) a sequence of expressions separated by the sequence of tokens s (in the example, s is just ":").

In the right-hand side, the term enclosed within .. must be a pattern with two holes of the form  $\phi([\ ]_E,[\ ]_I)$  where the first hole is occupied either by x or by y and the second hole is occupied by an arbitrary term t called the *terminating* expression of the recursive notation. The subterm ..  $\phi(x,t)$  ... (or ...  $\phi(y,t)$  ...) must itself occur at second position of the same pattern where the first hole is occupied by the other variable, y or x. Otherwise said, the right-hand side must contain a subterm of the form either  $\phi(x,\ldots\phi(y,t)\ldots)$  or  $\phi(y,\ldots\phi(x,t)\ldots)$ . The pattern  $\phi$  is the *iterator* of the recursive notation and, of course, the name x and y can be chosen arbitrarily.

The parsing phase produces a list of expressions which are used to fill in order the first hole of the iterating pattern which is repeatedly nested as many times as the length of the list, the second hole being the nesting point. In the innermost occurrence of the nested iterating pattern, the second hole is finally filled with the terminating expression.

In the example above, the iterator  $\phi([]_E,[]_I)$  is cons  $[]_E$   $[]_I$  and the terminating expression is nil. Here are other examples:

Notations with recursive patterns can be reserved like standard notations, they can also be declared within interpretation scopes (see section 12.2).

#### 12.1.13 Notations with recursive patterns involving binders

Recursive notations can also be used with binders. The basic example is:

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```
Coq < Notation "'exists' x .. y , p" := (ex (fun x \Rightarrow .. (ex (fun y \Rightarrow p)) ..)) (at level 200, x binder, y binder, right associativity).
```

The principle is the same as in Section 12.1.12 except that in the iterator  $\phi([]_E,[]_I)$ , the first hole is a placeholder occurring at the position of the binding variable of a fun or a forall.

To specify that the part "x.. y" of the notation parses a sequence of binders, x and y must be marked as binder in the list of modifiers of the notation. Then, the list of binders produced at the parsing phase are used to fill in the first hole of the iterating pattern which is repeatedly nested as many times as the number of binders generated. If ever the generalization operator '(see Section 2.7.19) is used in the binding list, the added binders are taken into account too.

Binders parsing exist in two flavors. If x and y are marked as binder, then a sequence such as a b c: T will be accepted and interpreted as the sequence of binders (a:T) (b:T) (c:T). For instance, in the notation above, the syntax exists a b: nat, a = b is provided.

The variables x and y can also be marked as closed binder in which case only well-bracketed binders of the form (a b c:T) or {a b c:T} etc. are accepted.

With closed binders, the recursive sequence in the left-hand side can be of the general form  $x \ s$  . .  $s \ y$  where s is an arbitrary sequence of tokens. With open binders though, s has to be empty. Here is an example of recursive notation with closed binders:

```
Coq < Notation "'mylet' f x .. y := t 'in' u":= 
 (let f := fun x => .. (fun y => t) .. in u) 
 (x closed binder, y closed binder, at level 200, right associativity).
```

#### 12.1.14 **Summary**

**Syntax of notations** The different syntactic variants of the command Notation are given on Figure 12.1. The optional : scope is described in the Section 12.2.

**Remark:** No typing of the denoted expression is performed at definition time. Type-checking is done only at the time of use of the notation.

**Remark:** Many examples of Notation may be found in the files composing the initial state of CoQ (see directory \$COQLIB/theories/Init).

**Remark:** The notation " $\{x\}$ " has a special status in such a way that complex notations of the form " $x+\{y\}$ " or " $x*\{y\}$ " can be nested with correct precedences. Especially, every notation involving a pattern of the form " $\{x\}$ " is parsed as a notation where the pattern " $\{x\}$ " has been simply replaced by "x" and the curly brackets are parsed separately. E.g. " $y+\{z\}$ " is not parsed as a term of the given form but as a term of the form "y+z" where z has been parsed using the rule parsing " $\{x\}$ ". Especially, level and precedences for a rule including patterns of the form " $\{x\}$ " are relative not to the textual notation but to the notation where the curly brackets have been removed (e.g. the level and the associativity given to some notation, say " $\{y\}$  &  $\{z\}$ " in fact applies to the underlying " $\{x\}$ "-free rule which is "y & z").

**Persistence of notations** Notations do not survive the end of sections. They survive modules unless the command Local Notation is used instead of Notation.

#### 12.2 Interpretation scopes

An *interpretation scope* is a set of notations for terms with their interpretation. Interpretation scopes provides with a weak, purely syntactical form of notations overloading: a same notation, for instance the infix symbol + can be used to denote distinct definitions of an additive operator. Depending on which interpretation scopes is currently open, the interpretation is different. Interpretation scopes can include an interpretation for numerals and strings. However, this is only made possible at the OBJECTIVE CAML level.

See Figure 12.1 for the syntax of notations including the possibility to declare them in a given scope. Here is a typical example which declares the notation for conjunction in the scope type\_scope.

```
Notation "A / \ B" := (and A B) : type_scope.
```

**Remark:** A notation not defined in a scope is called a *lonely* notation.

#### 12.2.1 Global interpretation rules for notations

At any time, the interpretation of a notation for term is done within a *stack* of interpretation scopes and lonely notations. In case a notation has several interpretations, the actual interpretation is the one defined by (or in) the more recently declared (or open) lonely notation (or interpretation scope) which defines this notation. Typically if a given notation is defined in some scope *scope* but has also an interpretation not assigned to a scope, then, if *scope* is open before the lonely interpretation is declared, then the lonely interpretation is used (and this is the case even if the interpretation of the notation in *scope* is given after the lonely interpretation: otherwise said, only the order of lonely interpretations and opening of scopes matters, and not the declaration of interpretations within a scope).

The initial state of CoQ declares three interpretation scopes and no lonely notations. These scopes, in opening order, are core\_scope, type\_scope and nat\_scope.

The command to add a scope to the interpretation scope stack is

```
Open Scope scope.
```

It is also possible to remove a scope from the interpretation scope stack by using the command

```
Close Scope scope.
```

Notice that this command does not only cancel the last Open Scope but all the invocation of it.

**Remark:** Open Scope and Close Scope do not survive the end of sections where they occur. When defined outside of a section, they are exported to the modules that import the module where they occur.

#### **Variants:**

- 1. Local Open Scope scope.
- 2. Local Close Scope scope.

These variants are not exported to the modules that import the module where they occur, even if outside a section.

- 3. Global Open Scope scope.
- 4. Global Close Scope scope.

These variants survive sections. They behave as if Global were absent when not inside a section.

#### 12.2.2 Local interpretation rules for notations

In addition to the global rules of interpretation of notations, some ways to change the interpretation of subterms are available.

#### Local opening of an interpretation scope

It is possible to locally extend the interpretation scope stack using the syntax (term)%key (or simply term%key for atomic terms), where key is a special identifier called delimiting key and bound to a given scope.

In such a situation, the term *term*, and all its subterms, are interpreted in the scope stack extended with the scope bound to *key*.

To bind a delimiting key to a scope, use the command

```
Delimit Scope scope with ident
```

To remove a delimiting key of a scope, use the command

```
Undelimit Scope scope
```

#### Binding arguments of a constant to an interpretation scope

It is possible to set in advance that some arguments of a given constant have to be interpreted in a given scope. The command is

```
Arguments qualid name%scope ... name%scope
```

where the list is the list of the arguments of *qualid* eventually annotated with their *scope*. Grouping round parentheses can be used to decorate multiple arguments with the same scope. *scope* can be either a scope name or its delimiting key. For example the following command puts the first two arguments of plus\_fct in the scope delimited by the key F (Rfun\_scope) and the last argument in the scope delimited by the key R (R scope).

```
Coq < Arguments plus_fct (f1 f2)%F x%R.
```

The Arguments command accepts scopes decoration to all grouping parentheses. In the following example arguments A and B are marked as maximally inserted implicit arguments and are put into the type\_scope scope.

```
Coq < Arguments respectful {A B}%type (R R')%signature _ _.
```

When interpreting a term, if some of the arguments of *qualid* are built from a notation, then this notation is interpreted in the scope stack extended by the scope bound (if any) to this argument. The effect of the scope is limited to the argument itself. It does not propagate to subterms but the subterms that, after interpretation of the notation, turn to be themselves arguments of a reference are interpreted accordingly to the arguments scopes bound to this reference.

Arguments scopes can be cleared with the following command:

```
Arguments qualid : clear scopes
```

#### Variants:

1. Global Arguments qualid name%scope ... name%scope

This behaves like Arguments qualid name % scope ... name % scope but survives when a section is closed instead of stopping working at section closing. Without the Global modifier, the effect of the command stops when the section it belongs to ends.

2. Local Arguments qualid name% scope ... name% scope

This behaves like Arguments qualid name% scope ... name% scope but does not survive modules and files. Without the Local modifier, the effect of the command is visible from within other modules or files.

**See also:** The command to show the scopes bound to the arguments of a function is described in Section 2.

#### Binding types of arguments to an interpretation scope

When an interpretation scope is naturally associated to a type (e.g. the scope of operations on the natural numbers), it may be convenient to bind it to this type. When a scope *scope* is bound to a type *type*, any new function defined later on gets its arguments of type *type* interpreted by default in scope *scope* (this default behavior can however be overwritten by explicitly using the command Arguments).

Whether the argument of a function has some type type is determined statically. For instance, if f is a polymorphic function of type forall X:Type, X -> X and type t is bound to a scope scope, then a of type t in f t a is not recognized as an argument to be interpreted in scope scope.

Any global reference can be bound by default to an interpretation scope. The command to do it is

Bind Scope scope with qualid

#### **Example:**

```
Coq < Parameter U : Set.
U is assumed
Coq < Bind Scope U_scope with U.
Cog < Parameter Uplus : U -> U -> U.
Uplus is assumed
Coq < Parameter P : forall T:Set, T -> U -> Prop.
P is assumed
Coq < Parameter f : forall T:Set, T -> U.
f is assumed
Coq < Infix "+" := Uplus : U_scope.
Coq < Unset Printing Notations.
Coq < Open Scope nat_scope. (* Define + on the nat as the default for + \star)
Coq < Check (fun x y1 y2 z t => P _ (x + t) ((f _ (y1 + y2) + z))).
fun (x y1 y2 : nat) (z : U) (t : nat) =>
P nat (Nat.add x t) (Uplus (f nat (Nat.add y1 y2)) z)
     : forall (_ : nat) (_ : nat) (_ : nat), Prop
```

**Remark:** The scope type\_scope has also a local effect on interpretation. See the next section.

**See also:** The command to show the scopes bound to the arguments of a function is described in Section 2.

#### **12.2.3** The type\_scope interpretation scope

The scope type\_scope has a special status. It is a primitive interpretation scope which is temporarily activated each time a subterm of an expression is expected to be a type. This includes goals and statements, types of binders, domain and codomain of implication, codomain of products, and more generally any type argument of a declared or defined constant.

#### 12.2.4 Interpretation scopes used in the standard library of Coo

We give an overview of the scopes used in the standard library of CoQ. For a complete list of notations in each scope, use the commands Print Scopes or Print Scope scope.

```
type_scope
```

This includes infix  $\star$  for product types and infix + for sum types. It is delimited by key type.

```
nat_scope
```

This includes the standard arithmetical operators and relations on type nat. Positive numerals in this scope are mapped to their canonical representent built from 0 and S. The scope is delimited by key nat.

```
N_scope
```

This includes the standard arithmetical operators and relations on type N (binary natural numbers). It is delimited by key N and comes with an interpretation for numerals as closed term of type Z.

```
Z_scope
```

This includes the standard arithmetical operators and relations on type  $\mathbb{Z}$  (binary integer numbers). It is delimited by key  $\mathbb{Z}$  and comes with an interpretation for numerals as closed term of type  $\mathbb{Z}$ .

```
positive_scope
```

This includes the standard arithmetical operators and relations on type positive (binary strictly positive numbers). It is delimited by key positive and comes with an interpretation for numerals as closed term of type positive.

```
Q_scope
```

This includes the standard arithmetical operators and relations on type  $\mathbb Q$  (rational numbers defined as fractions of an integer and a strictly positive integer modulo the equality of the numerator-denominator cross-product). As for numerals, only 0 and 1 have an interpretation in scope  $\mathbb Q_scope$  (their interpretations are  $\frac{0}{1}$  and  $\frac{1}{1}$  respectively).

```
Qc_scope
```

This includes the standard arithmetical operators and relations on the type Qc of rational numbers defined as the type of irreducible fractions of an integer and a strictly positive integer.

```
real_scope
```

This includes the standard arithmetical operators and relations on type R (axiomatic real numbers). It is delimited by key R and comes with an interpretation for numerals as term of type R. The interpretation is based on the binary decomposition. The numeral 2 is represented by 1+1. The interpretation  $\phi(n)$  of an odd positive numerals greater n than 3 is  $1+(1+1)*\phi((n-1)/2)$ . The interpretation  $\phi(n)$  of an even positive numerals greater n than 4 is  $(1+1)*\phi(n/2)$ . Negative numerals are represented as the opposite of the interpretation of their absolute value. E.g. the syntactic object -11 is interpreted as -(1+(1+1)\*(1+1)\*(1+(1+1))) where the unit 1 and all the operations are those of R.

```
bool_scope
```

This includes notations for the boolean operators. It is delimited by key bool.

```
list_scope
```

This includes notations for the list operators. It is delimited by key list.

```
core_scope
```

This includes the notation for pairs. It is delimited by key core.

```
string scope
```

This includes notation for strings as elements of the type string. Special characters and escaping follow COQ conventions on strings (see Section 1.1). Especially, there is no convention to visualize non printable characters of a string. The file String.v shows an example that contains quotes, a newline and a beep (i.e. the ascii character of code 7).

```
char_scope
```

This includes interpretation for all strings of the form "c" where c is an ascii character, or of the form "nnn" where nnn is a three-digits number (possibly with leading 0's), or of the form """". Their respective denotations are the ascii code of c, the decimal ascii code nnn, or the ascii code of the character " (i.e. the ascii code 34), all of them being represented in the type ascii.

#### 12.2.5 Displaying informations about scopes

```
Print Visibility
```

This displays the current stack of notations in scopes and lonely notations that is used to interpret a notation. The top of the stack is displayed last. Notations in scopes whose interpretation is hidden by the same notation in a more recently open scope are not displayed. Hence each notation is displayed only once.

#### Variant:

```
Print Visibility scope
```

This displays the current stack of notations in scopes and lonely notations assuming that *scope* is pushed on top of the stack. This is useful to know how a subterm locally occurring in the scope of *scope* is interpreted.

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```
Print Scope scope
```

This displays all the notations defined in interpretation scope *scope*. It also displays the delimiting key if any and the class to which the scope is bound, if any.

```
Print Scopes
```

This displays all the notations, delimiting keys and corresponding class of all the existing interpretation scopes. It also displays the lonely notations.

#### 12.3 Abbreviations

An *abbreviation* is a name, possibly applied to arguments, that denotes a (presumably) more complex expression. Here are examples:

An abbreviation expects no precedence nor associativity, since it follows the usual syntax of application. Abbreviations are used as much as possible by the CoQ printers unless the modifier (only parsing) is given.

Abbreviations are bound to an absolute name as an ordinary definition is, and they can be referred by qualified names too.

Abbreviations are syntactic in the sense that they are bound to expressions which are not typed at the time of the definition of the abbreviation but at the time it is used. Especially, abbreviations can be bound to terms with holes (i.e. with "\_"). The general syntax for abbreviations is

```
[Local] Notation ident [ident ident ... ident ident] := term [(only parsing)].
```

#### **Example:**

```
Coq < Definition explicit_id (A:Set) (a:A) := a.
explicit_id is defined
Coq < Notation id := (explicit_id _).
Coq < Check (id 0).
id 0
: nat</pre>
```

Abbreviations do not survive the end of sections. No typing of the denoted expression is performed at definition time. Type-checking is done only at the time of use of the abbreviation.

#### 12.4 Tactic Notations

Tactic notations allow to customize the syntax of the tactics of the tactic language<sup>3</sup>. Tactic notations obey the following syntax

```
sentence
                   ::= [Local] Tactic Notation [tactic_level] [prod_item
                                                                                prod item]
                       := tactic .
prod item
                       string | tactic_argument_type (ident)
tactic_level
                   ::= (at level natural)
                       ident | simple intropattern | reference
tactic argument type
                  ::=
                       hyp|hyp_list|ne_hyp_list
                       constr | uconstr | constr_list | ne_constr_list
                       integer | integer_list | ne_integer_list
                       int_or_var|int_or_var_list|ne_int_or_var_list
                       tactic | tacticn
                                            (for 0 \le n \le 5)
```

A tactic notation Tactic Notation tactic\_level [prod\_item ... prod\_item] := tactic extends the parser and pretty-printer of tactics with a new rule made of the list of production items. It then evaluates into the tactic expression tactic. For simple tactics, it is recommended to use a terminal symbol, i.e. a string, for the first production item. The tactic level indicates the parsing precedence of the tactic notation. This information is particularly relevant for notations of tacticals. Levels 0 to 5 are available (default is 0). To know the parsing precedences of the existing tacticals, use the command Print Grammar tactic.

Each type of tactic argument has a specific semantic regarding how it is parsed and how it is interpreted. The semantic is described in the following table. The last command gives examples of tactics which use the corresponding kind of argument.

Tactic argument type	parsed as	interpreted as	as in tactic
ident	identifier	a user-given name	intro
simple_intropattern	intro_pattern	an intro_pattern	intros
hyp	identifier	an hypothesis defined in context	clear
reference	qualified identifier	a global reference of term	unfold
constr	term	a term	exact
uconstr	term	an untyped term	refine
integer	integer	an integer	
int_or_var	identifier or integer	an integer	do
tactic	tactic at level 5	a tactic	
tacticn	tactic at level n	a tactic	
<pre>entry_list</pre>	list of entry	a list of how <i>entry</i> is interpreted	
ne_ <i>entry</i> _list	non-empty list of entry	a list of how <i>entry</i> is interpreted	

**Remark:** In order to be bound in tactic definitions, each syntactic entry for argument type must include the case of simple  $\mathcal{L}_{tac}$  identifier as part of what it parses. This is naturally the case for ident, simple\_intropattern, reference, constr, ... but not for integer. This is the reason for introducing a special entry int\_or\_var which evaluates to integers only but which syntactically includes identifiers in order to be usable in tactic definitions.

<sup>&</sup>lt;sup>3</sup>Tactic notations are just a simplification of the Grammar tactic simple\_tactic command that existed in versions prior to version 8.0.

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**Remark:** The *entry*\_list and ne\_*entry*\_list entries can be used in primitive tactics or in other notations at places where a list of the underlying entry can be used: *entry* is either constr, hyp, integer or int\_or\_var.

Tactic notations do not survive the end of sections. They survive modules unless the command Local Tactic Notation is used instead of Tactic Notation.

	12	2 S	vntax	extensions	and	inter	pretatior	ı scoi	pes
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# **Chapter 13**

# **Proof schemes**

#### 13.1 Generation of induction principles with Scheme

The Scheme command is a high-level tool for generating automatically (possibly mutual) induction principles for given types and sorts. Its syntax follows the schema:

```
Scheme ident_1 := Induction for ident'_1 Sort sort_1 with ... with ident_m := Induction for ident'_m Sort sort_m
```

where  $ident'_1 \dots ident'_m$  are different inductive type identifiers belonging to the same package of mutual inductive definitions. This command generates  $ident_1 \dots ident_m$  to be mutually recursive definitions. Each term  $ident_i$  proves a general principle of mutual induction for objects in type  $term_i$ .

#### Variants:

```
1. Scheme ident_1 := Minimality for ident'_1 Sort sort_1 with ... with ident_m := Minimality for ident'_m Sort sort_m
```

Same as before but defines a non-dependent elimination principle more natural in case of inductively defined relations.

2. Scheme Equality for  $ident_1$ 

Tries to generate a Boolean equality and a proof of the decidability of the usual equality. If  $ident_i$  involves some other inductive types, their equality has to be defined first.

```
3. Scheme Induction for ident_1 Sort sort_1 with ... with Induction for ident_m Sort sort_m
```

If you do not provide the name of the schemes, they will be automatically computed from the sorts involved (works also with Minimality).

#### **Example 1:** *Induction scheme for tree and forest*

The definition of principle of mutual induction for tree and forest over the sort Set is defined by the command:

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You may now look at the type of tree\_forest\_rec:

```
Coq < Check tree_forest_rec.
tree_forest_rec
: forall (P : tree -> Set) (P0 : forest -> Set),
    (forall (a : A) (f : forest), P0 f -> P (node a f)) ->
        (forall b : B, P0 (leaf b)) ->
        (forall t : tree, P t -> forall f1 : forest, P0 f1 -> P0 (cons t f1)) ->
        forall t : tree, P t
```

This principle involves two different predicates for trees and forests; it also has three premises each one corresponding to a constructor of one of the inductive definitions.

The principle forest\_tree\_rec shares exactly the same premises, only the conclusion now refers to the property of forests.

#### **Example 2:** Predicates odd and even on naturals

Let odd and even be inductively defined as:

```
Coq < Inductive odd : nat -> Prop :=
        oddS : forall n:nat, even n -> odd (S n)
    with even : nat -> Prop :=
        | even0 : even 0
        | evenS : forall n:nat, odd n -> even (S n).
```

The following command generates a powerful elimination principle:

```
Coq < Scheme odd_even := Minimality for odd Sort Prop
    with even_odd := Minimality for even Sort Prop.
even_odd is defined
odd_even is defined
odd_even, even_odd are recursively defined</pre>
```

The type of odd even for instance will be:

The type of even\_odd shares the same premises but the conclusion is (n:nat) (even n) -> (Q n).

#### 13.1.1 Automatic declaration of schemes

It is possible to deactivate the automatic declaration of the induction principles when defining a new inductive type with the Unset Elimination Schemes command. It may be reactivated at any time with Set Elimination Schemes.

The types declared with the keywords Variant (see 1.3.3) and Record (see 2.1) do not have an automatic declaration of the induction principles. It can be activated with the command Set Nonrecursive Elimination Schemes. It can be deactivated again with Unset Nonrecursive Elimination Schemes.

In addition, the Case Analysis Schemes flag governs the generation of case analysis lemmas for inductive types, i.e. corresponding to the pattern-matching term alone and without fixpoint.

You can also activate the automatic declaration of those Boolean equalities (see the second variant of Scheme) with respectively the commands Set Boolean Equality Schemes and Set Decidable Equality Schemes. However you have to be careful with this option since CoQ may now reject well-defined inductive types because it cannot compute a Boolean equality for them.

#### 13.1.2 Combined Scheme

The Combined Scheme command is a tool for combining induction principles generated by the Scheme command. Its syntax follows the schema:

```
Combined Scheme ident_0 from ident_1, ..., ident_n
```

where  $ident_1 \dots ident_n$  are different inductive principles that must belong to the same package of mutual inductive principle definitions. This command generates  $ident_0$  to be the conjunction of the principles: it is built from the common premises of the principles and concluded by the conjunction of their conclusions.

**Example:** We can define the induction principles for trees and forests using:

```
Coq < Scheme tree_forest_ind := Induction for tree Sort Prop
     with forest_tree_ind := Induction for forest Sort Prop.
forest_tree_ind is defined
tree_forest_ind is defined
tree_forest_ind, forest_tree_ind are recursively defined</pre>
```

Then we can build the combined induction principle which gives the conjunction of the conclusions of each individual principle:

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```
Coq < Combined Scheme tree_forest_mutind from tree_forest_ind, forest_tree_ind.
tree_forest_mutind is defined
tree_forest_mutind is recursively defined</pre>
```

The type of tree\_forest\_mutrec will be:

```
Coq < Check tree_forest_mutind.
tree_forest_mutind
  : forall (P : tree -> Prop) (P0 : forest -> Prop),
        (forall (a : A) (f : forest), P0 f -> P (node a f)) ->
        (forall b : B, P0 (leaf b)) ->
        (forall t : tree, P t -> forall f1 : forest, P0 f1 -> P0 (cons t f1)) ->
        (forall t : tree, P t) /\ (forall f2 : forest, P0 f2)
```

#### 13.2 Generation of induction principles with Functional Scheme

The Functional Scheme command is a high-level experimental tool for generating automatically induction principles corresponding to (possibly mutually recursive) functions. Its syntax follows the schema:

```
Functional Scheme ident_1 := Induction for ident'_1 Sort sort_1 with ... with ident_m := Induction for ident'_m Sort sort_m
```

where  $ident'_1 \dots ident'_m$  are different mutually defined function names (they must be in the same order as when they were defined). This command generates the induction principles  $ident_1 \dots ident_m$ , following the recursive structure and case analyses of the functions  $ident'_1 \dots ident'_m$ .

**Remark:** There is a difference between obtaining an induction scheme by using Functional Scheme on a function defined by Function or not. Indeed Function generally produces smaller principles, closer to the definition written by the user.

#### **Example 1:** *Induction scheme for* div2

We define the function div2 as follows:

The definition of a principle of induction corresponding to the recursive structure of div2 is defined by the command:

```
Coq < Functional Scheme div2_ind := Induction for div2 Sort Prop.
div2_equation is defined
div2_ind is defined</pre>
```

You may now look at the type of div2\_ind:

```
Coq < Check div2_ind.
div2_ind
   : forall P : nat -> nat -> Prop,
        (forall n : nat, n = 0 -> P 0 0) ->
        (forall n n0 : nat, n = S n0 -> n0 = 0 -> P 1 0) ->
        (forall n n0 : nat,
        n = S n0 ->
        forall n' : nat,
        n0 = S n' -> P n' (div2 n') -> P (S (S n')) (S (div2 n'))) ->
        forall n : nat, P n (div2 n)
```

We can now prove the following lemma using this principle:

```
Coq < Lemma div2_le' : forall n:nat, div2 n <= n.</pre>
Coq < intro n.
Coq < pattern n , (div2 n).
Coq < apply div2_ind; intros.</pre>
3 subgoals
 n, n0 : nat
 e : n0 = 0
 _____
 0 <= 0
subgoal 2 is:
 0 <= 1
subgoal 3 is:
S (div2 n') \le S (S n')
Coq < auto with arith.
Cog < auto with arith.
Coq < simpl; auto with arith.
Coq < Qed.
```

We can use directly the functional induction (8.5.5) tactic instead of the pattern/apply trick:

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```
Coq < auto with arith.
Coq < auto with arith.
Coq < auto with arith.
Coq < Qed.</pre>
```

**Remark:** There is a difference between obtaining an induction scheme for a function by using Function (see Section 2.3) and by using Functional Scheme after a normal definition using Fixpoint or Definition. See 2.3 for details.

#### Example 2: Induction scheme for tree\_size

We define trees by the following mutual inductive type:

We define the function tree\_size that computes the size of a tree or a forest. Note that we use Function which generally produces better principles.

```
Coq < Function tree_size (t:tree) : nat :=
    match t with
    | node A f => S (forest_size f)
    end
    with forest_size (f:forest) : nat :=
    match f with
    | empty => 0
    | cons t f' => (tree_size t + forest_size f')
    end.
```

**Remark:** Function generates itself non mutual induction principles tree\_size\_ind and forest\_size\_ind:

```
Coq < Check tree_size_ind.
tree_size_ind
   : forall P : tree -> nat -> Prop,
        (forall (t : tree) (A : A) (f : forest),
        t = node A f -> P (node A f) (S (forest_size f))) ->
        forall t : tree, P t (tree_size t)
```

The definition of mutual induction principles following the recursive structure of  $tree\_size$  and  $forest\_size$  is defined by the command:

```
Coq < Functional Scheme tree_size_ind2 := Induction for tree_size Sort Prop
    with forest_size_ind2 := Induction for forest_size Sort Prop.</pre>
```

You may now look at the type of tree size ind2:

#### 13.3 Generation of inversion principles with Derive Inversion

The syntax of Derive Inversion follows the schema:

```
Derive Inversion ident with forall (\vec{x}:\vec{T}), \vec{I} f Sort sort
```

This command generates an inversion principle for the inversion ... using tactic. Let I be an inductive predicate and  $\vec{x}$  the variables occurring in  $\vec{t}$ . This command generates and stocks the inversion lemma for the sort sort corresponding to the instance  $\forall (\vec{x}:\vec{T}), I \ \vec{t}$  with the name ident in the **global** environment. When applied, it is equivalent to having inverted the instance with the tactic inversion.

#### Variants:

- 1. Derive Inversion\_clear *ident* with forall  $(\vec{x}:\vec{T})$ ,  $\vec{I}$  fort sort When applied, it is equivalent to having inverted the instance with the tactic inversion replaced by the tactic inversion\_clear.
- 2. Derive Dependent Inversion *ident* with forall  $(\vec{x}:\vec{T})$ , I  $\vec{t}$  Sort *sort* When applied, it is equivalent to having inverted the instance with the tactic dependent inversion.
- 3. Derive Dependent Inversion\_clear ident with forall  $(\vec{x}:\vec{T})$ , I  $\vec{t}$  Sort sort

When applied, it is equivalent to having inverted the instance with the tactic dependent inversion\_clear.

#### **Example:**

Let us consider the relation Le over natural numbers and the following variable:

To generate the inversion lemma for the instance (Le (S n) m) and the sort Prop, we do:

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```
Coq < Derive Inversion_clear leminv with (forall n m:nat, Le (S n) m) Sort Prop.
Coq < Check leminv.
leminv
    : forall (n m : nat) (P : nat -> nat -> Prop),
       (forall m0 : nat, Le n m0 \rightarrow P n (S m0)) \rightarrow Le (S n) m \rightarrow P n m
  Then we can use the proven inversion lemma:
Coq < Show.
1 subgoal
 n, m : nat
 H: Le (S n) m
 _____
 P n m
Coq < inversion H using leminv.
1 subgoal
 n, m : nat
 H: Le(S n) m
 _____
 forall m0 : nat, Le n m0 -> P n (S m0)
```

# Part IV Practical tools

# **Chapter 14**

# The CoQ commands

There are three CoQ commands:

- coqtop: the CoQ toplevel (interactive mode);
- cogc: the CoQ compiler (batch compilation);
- coqchk: the CoQ checker (validation of compiled libraries).

The options are (basically) the same for the first two commands, and roughly described below. You can also look at the man pages of coqtop and coqc for more details.

#### **14.1** Interactive use (coqtop)

In the interactive mode, also known as the CoQ toplevel, the user can develop his theories and proofs step by step. The CoQ toplevel is run by the command coqtop.

They are two different binary images of CoQ: the byte-code one and the native-code one (if OBJECTIVE CAML provides a native-code compiler for your platform, which is supposed in the following). By default, coqc executes the native-code version; this can be overridden using the -byte option.

The byte-code toplevel is based on an OBJECTIVE CAML toplevel (to allow the dynamic link of tactics). You can switch to the OBJECTIVE CAML toplevel with the command Drop., and come back to the COQ toplevel with the command Toplevel.loop();:

#### **14.2 Batch compilation (coqc)**

The coqc command takes a name file as argument. Then it looks for a vernacular file named file .v, and tries to compile it into a file .v0 file (See 6.5).

**Warning:** The name *file* should be a regular CoQ identifier, as defined in Section 1.1. It should contain only letters, digits or underscores (\_). For instance, /bar/foo/toto.v is valid, but /bar/foo/to-to.v is invalid.

#### 14.3 Customization at launch time

#### 14.3.1 By resource file

When launched, with either Coo is coatop or coqc, the resource file \$XDG\_CONFIG\_HOME/coq/coqrc.xxx is loaded, where \$XDG\_CONFIG\_HOME is the configuration directory of the user (by default its home directory /.config and xxx is the version number (e.g. 8.3). If this file is not found, then the file \$XDG\_CONFIG\_HOME/coqrc is searched. You can also specify an arbitrary name for the resource file (see option -init-file below).

This file may contain, for instance, Add LoadPath commands to add directories to the load path of CoQ. It is possible to skip the loading of the resource file with the option -q.

#### 14.3.2 By environment variables

Load path can be specified to the COQ system by setting up \$COQPATH environment variable. It is a list of directories separated by : (; on windows). COQ will also honor \$XDG\_DATA\_HOME and \$XDG\_DATA\_DIRS (see Section 2.6.3).

Some CoQ commands call other CoQ commands. In this case, they look for the commands in directory specified by \$COQBIN. If this variable is not set, they look for the commands in the executable path.

The \$COQ\_COLORS environment variable can be used to specify the set of colors used by coqtop to highlight its output. It uses the same syntax as the \$LS\_COLORS variable from GNU's ls, that is, a colon-separated list of assignments of the form name=attrl; ...; attrn where name is the name of the corresponding highlight tag and attri is an ANSI escape code. The list of highlight tags can be retrieved with the -list-tags command-line option of coqtop.

#### 14.3.3 By command line options

The following command-line options are recognized by the commands <code>coqc</code> and <code>coqtop</code>, unless stated otherwise:

#### -I directory, -include directory

Add physical path *directory* to the OBJECTIVE CAML loadpath.

See also: Section 2.6.1 and the command Declare ML Module Section 6.5.

#### **-**Q directory dirpath

Add physical path *directory* to the list of directories where CoQ looks for a file and bind it to the the logical directory *dirpath*. The subdirectory structure of *directory* is recursively available from CoQ using absolute names (extending the *dirpath* prefix) (see Section 2.6.2).

See also: Section 2.6.1.

#### -R directory dirpath

Do as -Q directory dirpath but make the subdirectory structure of directory recursively visible so that the recursive contents of physical directory is available from CoQ using short or partially qualified names.

See also: Section 2.6.1.

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#### -top dirpath

Set the toplevel module name to *dirpath* instead of Top. Not valid for coqc as the toplevel module name is inferred from the name of the output file.

-notop

Use the empty logical path for the toplevel module name instead of Top. Not valid for coqc as the toplevel module name is inferred from the name of the output file.

-exclude-dir directory

Exclude any subdirectory named *directory* while processing options such as -R and -Q. By default, only the conventional version control management directories named CVS and  $\_darcs$  are excluded.

-nois

Start from an empty state instead of loading the Init.Prelude module.

-init-file file

Load *file* as the resource file instead of loading the default resource file from the standard configuration directories.

-q

Do not to load the default resource file.

-load-ml-source file

Load the OBJECTIVE CAML source file file.

-load-ml-object file

Load the OBJECTIVE CAML object file file.

-1 file, -load-vernac-source file

Load and execute the CoQ script from file.v.

-lv file, -load-vernac-source-verbose file

Load and execute the CoQ script from *file.v*. Output its content on the standard input as it is executed.

-load-vernac-object dirpath

Load Coo compiled library dirpath. This is equivalent to running Require dirpath.

-require dirpath

Load CoQ compiled library *dirpath* and import it. This is equivalent to running Require Import *dirpath*.

-batch

Exit just after argument parsing. Available for cogtop only.

#### -compile file.v

Compile file *file.v* into *file.vo*. This options imply -batch (exit just after argument parsing). It is available only for coqtop, as this behavior is the purpose of coqc.

#### -compile-verbose *file.v*

Same as -compile but also output the content of *file.v* as it is compiled.

#### -verbose

Output the content of the input file as it is compiled. This option is available for coqc only; it is the counterpart of -compile-verbose.

#### -with-geoproof (yeslno)

Enable or not special functions for Geoproof within CoQIDE (default is yes).

#### -color (onlofflauto)

Enable or not the coloring of output of coqtop. Default is auto, meaning that coqtop dynamically decides, depending on whether the output channel supports ANSI escape sequences.

#### -beautify

Pretty-print each command to *file.beautified* when compiling *file.v*, in order to get old-fashioned syntax/definitions/notations.

#### -emacs, -ide-slave

Start a special toplevel to communicate with a specific IDE.

#### -impredicative-set

Change the logical theory of CoQ by declaring the sort Set impredicative. Warning: this is known to be inconsistent with some standard axioms of classical mathematics such as the functional axiom of choice or the principle of description.

#### -type-in-type

Collapse the universe hierarchy of Coq. Warning: this makes the logic inconsistent.

#### -compat *version*

Attempt to maintain some backward-compatibility with a previous version.

#### -dump-glob*file*

Dump references for global names in file *file* (to be used by coqdoc, see 15.4). By default, if *file.v* is being compiled, *file.glob* is used.

#### -no-glob

Disable the dumping of references for global names.

#### -image *file*

Set the binary image to be used by cogc to be *file* instead of the standard one. Not of general use.

#### -bindir directory

Set the directory containing CoQ binaries to be used by coqc. It is equivalent to doing export COQBIN=directory before launching coqc.

-where

Print the location of Coo's standard library and exit.

-config

Print the locations of Coo's binaries, dependencies, and libraries, then exit.

-filteropts

Print the list of command line arguments that coqtop has recognized as options and exit.

-v

Print CoQ's version and exit.

-list-tags

Print the highlight tags known by CoQ as well as their currently associated color and exit.

-h, -help

Print a short usage and exit.

#### **14.4** Compiled libraries checker (coqchk)

The coqchk command takes a list of library paths as argument. The corresponding compiled libraries (.vo files) are searched in the path, recursively processing the libraries they depend on. The content of all these libraries is then type-checked. The effect of coqchk is only to return with normal exit code in case of success, and with positive exit code if an error has been found. Error messages are not deemed to help the user understand what is wrong. In the current version, it does not modify the compiled libraries to mark them as successfully checked.

Note that non-logical information is not checked. By logical information, we mean the type and optional body associated to names. It excludes for instance anything related to the concrete syntax of objects (customized syntax rules, association between short and long names), implicit arguments, etc.

This tool can be used for several purposes. One is to check that a compiled library provided by a third-party has not been forged and that loading it cannot introduce inconsistencies. Another point is to get an even higher level of security. Since coqtop can be extended with custom tactics, possibly ill-typed code, it cannot be guaranteed that the produced compiled libraries are correct. coqchk is a standalone verifier, and thus it cannot be tainted by such malicious code.

Command-line options -I, -R, -where and -impredicative-set are supported by coqchk and have the same meaning as for coqtop. Extra options are:

-norec module

Check module but do not check its dependencies.

<sup>&</sup>lt;sup>1</sup>Ill-formed non-logical information might for instance bind Coq.Init.Logic.True to short name False, so apparently False is inhabited, but using fully qualified names, Coq.Init.Logic.False will always refer to the absurd proposition, what we guarantee is that there is no proof of this latter constant.

#### -admit *module*

Do not check *module* and any of its dependencies, unless explicitly required.

-c

At exit, print a summary about the context. List the names of all assumptions and variables (constants without body).

#### -silent

Do not write progress information in standard output.

Environment variable \$COQLIB can be set to override the location of the standard library.

The algorithm for deciding which modules are checked or admitted is the following: assuming that coqchk is called with argument M, option -norec N, and -admit A. Let us write  $\overline{S}$  the set of reflexive transitive dependencies of set S. Then:

- Modules  $C = \overline{M} \setminus \overline{A} \cup M \cup N$  are loaded and type-checked before being added to the context.
- And  $\overline{M} \cup \overline{N} \backslash C$  is the set of modules that are loaded and added to the context without type-checking. Basic integrity checks (checksums) are nonetheless performed.

As a rule of thumb, the -admit can be used to tell that some libraries have already been checked. So coqchk A B can be split in coqchk A && coqchk B -admit A without type-checking any definition twice. Of course, the latter is slightly slower since it makes more disk access. It is also less secure since an attacker might have replaced the compiled library A after it has been read by the first command, but before it has been read by the second command.

# **Chapter 15**

### **Utilities**

The distribution provides utilities to simplify some tedious works beside proof development, tactics writing or documentation.

#### 15.1 Building a toplevel extended with user tactics

The native-code version of COQ cannot dynamically load user tactics using OBJECTIVE CAML code. It is possible to build a toplevel of COQ, with OBJECTIVE CAML code statically linked, with the tool cogmktop.

For example, one can build a native-code CoQ toplevel extended with a tactic which source is in tactic.ml with the command

```
% coqmktop -opt -o mytop.out tactic.cmx
```

where tactic.ml has been compiled with the native-code compiler ocamlopt. This command generates an executable called mytop.out. To use this executable to compile your CoQ files, use coqc -image mytop.out.

A basic example is the native-code version of CoQ (coqtop.opt), which can be generated by coqmktop -opt -o coqopt.opt.

Application: how to use the OBJECTIVE CAML debugger with Coq. One useful application of coqmktop is to build a CoQ toplevel in order to debug your tactics with the OBJECTIVE CAML debugger. You need to have configured and compiled CoQ for debugging (see the file INSTALL included in the distribution). Then, you must compile the Caml modules of your tactic with the option -g (with the bytecode compiler) and build a stand-alone bytecode toplevel with the following command:

```
% coqmktop -g -o coq-debug <your .cmo files>
```

To launch the OBJECTIVE CAML debugger with the image you need to execute it in an environment which correctly sets the COQLIB variable. Moreover, you have to indicate the directories in which ocamldebug should search for Caml modules.

A possible solution is to use a wrapper around ocamldebug which detects the executables containing the word coq. In this case, the debugger is called with the required additional arguments. In other cases, the debugger is simply called without additional arguments. Such a wrapper can be found in the dev/ subdirectory of the sources.

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#### 15.2 Modules dependencies

In order to compute modules dependencies (so to use make), CoQ comes with an appropriate tool, coqdep.

coqdep computes inter-module dependencies for CoQ and OBJECTIVE CAML programs, and prints the dependencies on the standard output in a format readable by make. When a directory is given as argument, it is recursively looked at.

Dependencies of COQ modules are computed by looking at Require commands (Require, Require Export, Require Import, but also at the command Declare ML Module.

Dependencies of OBJECTIVE CAML modules are computed by looking at open commands and the dot notation *module.value*. However, this is done approximately and you are advised to use ocamldep instead for the OBJECTIVE CAML modules dependencies.

See the man page of coqdep for more details and options.

#### 15.3 Creating a Makefile for Coo modules

A project is a proof development split into several files, possibly including the sources of some OBJECTIVE CAML plugins, that are located (in various sub-directories of) a certain directory. The coq\_makefile command allows to generate generic and complete Makefile files, that can be used to compile the different components of the project. A \_CoqProject file specifies both the list of target files relevant to the project and the common options that should be passed to each executable at compilation times, for the IDE, etc.

\_CoqProject file as an argument to coq\_Makefile. In particular, a \_CoqProject file contains the relevant arguments to be passed to the coq\_makefile makefile generator using for instance the command:

```
% coq_makefile -f _CoqProject -o Makefile
```

This command generates a file Makefile that can be used to compile all the sources of the current project. It follows the syntax described by the output of % coq\_makefile --help. Once the Makefile file has been generated a first time, it can be used by the make command to compile part or all of the project. Note that once it has been generated once, as soon as \_CoqProject file is updated, the Makefile file is automatically regenerated by an invocation of make.

The following command generates a minimal example of \_CogProject file:

```
% ( echo "-R . MyFancyLib" ; find . -name "*.v" -print ) >
_CoqProject
```

when executed at the root of the directory containing the project. Here the \_CoqProject lists all the .v files that are present in the current directory and its sub-directories. But no plugin sources is listed. If a Makefile is generated from this \_CoqProject, the command make install will install the compiled project in a sub-directory MyFancyLib of the user-contrib directory (of the user's CoQ libraries location). This sub-directory is created if it does not already exist.

\_CoqProject file as a configuration for IDEs. A \_CoqProject file can also be used to configure the options of the coqtop process executed by a user interface. This allows to import the libraries of the project under a correct name, both as a developer of the project, working in the directory containing its sources, and as a user, using the project after the installation of its libraries. Currently, both CoQIDE and Proof General (version  $\geq 4.3$ pre) support configuration via \_CoqProject files.

#### Remarks.

- Every CoQ files must use a .v file extension. The OBJECTIVE CAML modules must use a .ml4 file extension if they require camlp preprocessing (and in .ml otherwise). The OBJECTIVE CAML module signatures, library description and packing files must use respectively .mli, .mllib and .mlpack file extension.
- Any argument that is not a valid option is considered as a sub-directory. Any sub-directory specified in the list of targets must itself contain a Makefile.
- The phony targets all and clean recursively call their target in every sub-directory.
- -R and -Q options are for CoQ files, -I for OBJECTIVE CAML ones. A same directory can be passed to both nature of options, in the same \_CoqProject.
- Using -R or -Q is the appropriate way to obtain both a correct logical path and a correct installation location to the libraries of a given project.
- Dependencies on external libraries to the project must be declared with care. If in the \_CoqProject file an external library foo is passed to a -Q option, like in -Q foo, the subsequent *make clean* command can erase *foo*. It is hence safer to customize the COQPATH variable (see 14.3.2), to include the location of the required external libraries.
- Using <code>-extra-phony</code> with no command adds extra dependencies on already defined rules. For example the following skeleton appends "something" to the <code>install</code> rule:

```
-extra-phony "install" "install-my-stuff" ""
-extra-phony "install-my-stuff" "" "something"
```

#### 15.4 Documenting Coo files with coqdoc

coqdoc is a documentation tool for the proof assistant CoQ, similar to javadoc or ocamldoc. The task of coqdoc is

- 1. to produce a nice LATEX and/or HTML document from the CoQ sources, readable for a human and not only for the proof assistant;
- 2. to help the user navigating in his own (or third-party) sources.

#### 15.4.1 Principles

Documentation is inserted into CoQ files as *special comments*. Thus your files will compile as usual, whether you use **coqdoc** or not. **coqdoc** presupposes that the given CoQ files are well-formed (at least lexically). Documentation starts with (\*\*, \* followed by a space, and ends with the pending \*). The

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documentation format is inspired by Todd A. Coram's *Almost Free Text (AFT)* tool: it is mainly ASCII text with some syntax-light controls, described below. **coqdoc** is robust: it shouldn't fail, whatever the input is. But remember: "garbage in, garbage out".

CoQ material inside documentation. CoQ material is quoted between the delimiters [ and ]. Square brackets may be nested, the inner ones being understood as being part of the quoted code (thus you can quote a term like fun x => u by writing [fun x => u]). Inside quotations, the code is pretty-printed in the same way as it is in code parts.

Pre-formatted vernacular is enclosed by [ [ and ] ]. The former must be followed by a newline and the latter must follow a newline.

**Pretty-printing.** coqdoc uses different faces for identifiers and keywords. The pretty-printing of CoQ tokens (identifiers or symbols) can be controlled using one of the following commands:

```
(** printing token %...PTEX...% #...HTML...# *)
or
(** printing token $...PTEX math...$ #...HTML...# *)
```

It gives the LATEX and HTML texts to be produced for the given CoQ token. One of the LATEX or HTML text may be omitted, causing the default pretty-printing to be used for this token.

The printing for one token can be removed with

```
(** remove printing token *)
```

Initially, the pretty-printing table contains the following mapping:

Any of these can be overwritten or suppressed using the printing commands.

Important note: the recognition of tokens is done by a (ocaml)lex automaton and thus applies the longest-match rule. For instance,  $->\sim$  is recognized as a single token, where CoQ sees two tokens. It is the responsibility of the user to insert space between tokens or to give pretty-printing rules for the possible combinations, e.g.

```
(** printing ->~ %\ensuremath{\rightarrow\lnot}% *)
```

**Sections.** Sections are introduced by 1 to 4 leading stars (i.e. at the beginning of the line) followed by a space. One star is a section, two stars a sub-section, etc. The section title is given on the remaining of the line. Example:

```
(** * Well-founded relations
    In this section, we introduce... *)
```

**Lists.** List items are introduced by a leading dash. **coqdoc** uses whitespace to determine the depth of a new list item and which text belongs in which list items. A list ends when a line of text starts at or before the level of indenting of the list's dash. A list item's dash must always be the first non-space character on its line (so, in particular, a list can not begin on the first line of a comment - start it on the second line instead).

Example:

```
We go by induction on [n]:
- If [n] is 0...
- If [n] is [S n'] we require...

two paragraphs of reasoning, and two subcases:
- In the first case...
- In the second case...
So the theorem holds.
```

**Rules.** More than 4 leading dashes produce a horizontal rule.

**Emphasis.** Text can be italicized by placing it in underscores. A non-identifier character must precede the leading underscore and follow the trailing underscore, so that uses of underscores in names aren't mistaken for emphasis. Usually, these are spaces or punctuation.

```
This sentence contains some _emphasized text_.
```

**Escaping to LATEX and HTML.** Pure LATEX or HTML material can be inserted using the following escape sequences:

- \$...LaTeX stuff...\$ inserts some LATeX material in math mode. Simply discarded in HTML output.
- %...LaTeX stuff...% inserts some LATeX material. Simply discarded in HTML output.
- #...HTML stuff...# inserts some HTML material. Simply discarded in LATEX output.

Note: to simply output the characters \$, \$ and # and escaping their escaping role, these characters must be doubled.

**Verbatim.** Verbatim material is introduced by a leading << and closed by >> at the beginning of a line. Example:

```
Here is the corresponding caml code:
<<
  let rec fact n =
   if n <= 1 then 1 else n * fact (n-1)
>>
```

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**Hyperlinks.** Hyperlinks can be inserted into the HTML output, so that any identifier is linked to the place of its definition.

coqc file.v automatically dumps localization information in file.glob or appends it to a file specified using option --dump-glob file. Take care of erasing this global file, if any, when starting the whole compilation process.

Then invoke coqdoc or coqdoc --glob-from file to tell coqdoc to look for name resolutions into the file file (it will look in file.glob by default).

Identifiers from the CoQ standard library are linked to the CoQ web site at http://coq.inria.fr/library/. This behavior can be changed using command line options --no-externals and --coqlib; see below.

Hiding / Showing parts of the source. Some parts of the source can be hidden using command line options  $\neg g$  and  $\neg 1$  (see below), or using such comments:

```
(* begin hide *)
some Coq material
(* end hide *)
```

Conversely, some parts of the source which would be hidden can be shown using such comments:

```
(* begin show *)
some Coq material
(* end show *)
```

The latter cannot be used around some inner parts of a proof, but can be used around a whole proof.

#### 15.4.2 Usage

cogdoc is invoked on a shell command line as follows:

```
coqdoc < options and files >
```

Any command line argument which is not an option is considered to be a file (even if it starts with a -). CoQ files are identified by the suffixes .v and .g and LATeX files by the suffix .tex.

#### **HTML** output

This is the default output. One HTML file is created for each CoQ file given on the command line, together with a file index.html (unless option -no-index is passed). The HTML pages use a style sheet named style.css. Such a file is distributed with coqdoc.

#### LATEX output

A single LATEX file is created, on standard output. It can be redirected to a file with option  $-\circ$ . The order of files on the command line is kept in the final document. LATEX files given on the command line are copied 'as is' in the final document . DVI and PostScript can be produced directly with the options -dvi and -ps respectively.

#### TEXmacs output

To translate the input files to TEXmacs format, to be used by the TEXmacs Coq interface.

#### **Command line options**

#### **Overall options**

#### --html

Select a HTML output.

#### --latex

Select a LATEX output.

#### --dvi

Select a DVI output.

#### --ps

Select a PostScript output.

#### --texmacs

Select a TEXmacs output.

#### --stdout

Write output to stdout.

#### -o file, --output file

Redirect the output into the file 'file' (meaningless with -html).

#### -d dir, --directory dir

Output files into directory 'dir' instead of current directory (option -d does not change the filename specified with option -o, if any).

#### --body-only

Suppress the header and trailer of the final document. Thus, you can insert the resulting document into a larger one.

#### -p string, --preamble string

Insert some material in the  $\LaTeX$  preamble, right before \begin{document} (meaningless with -html).

#### --vernac-file file, --tex-file file

Considers the file 'file' respectively as a .v (or .g) file or a .tex file.

#### --files-from file

Read file names to process in file 'file' as if they were given on the command line. Useful for program sources split up into several directories.

#### -q, --quiet

Be quiet. Do not print anything except errors.

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#### -h, --help

Give a short summary of the options and exit.

#### -v, --version

Print the version and exit.

**Index options** Default behavior is to build an index, for the HTML output only, into index.html.

#### --no-index

Do not output the index.

#### --multi-index

Generate one page for each category and each letter in the index, together with a top page index.html.

#### --index string

Make the filename of the index *string* instead of "index". Useful since "index.html" is special.

#### **Table of contents option**

#### -toc, --table-of-contents

Insert a table of contents. For a LATEX output, it inserts a \tableofcontents at the beginning of the document. For a HTML output, it builds a table of contents into toc.html.

#### --toc-depth int

Only include headers up to depth int in the table of contents.

#### **Hyperlinks options**

#### --glob-from file

Make references using CoQ globalizations from file *file*. (Such globalizations are obtained with CoQ option -dump-glob).

#### --no-externals

Do not insert links to the CoQ standard library.

#### --external url coqdir

Use given URL for linking references whose name starts with prefix coqdir.

#### --coqlib url

Set base URL for the CoQ standard library (default is http://coq.inria.fr/library/). This is equivalent to --external url Coq.

#### -R dir coqdir

Map physical directory *dir* to CoQ logical directory *coqdir* (similarly to CoQ option −R).

Note: option  $-\mathbb{R}$  only has effect on the files *following* it on the command line, so you will probably need to put this option first.

#### **Title options**

#### -s ,--short

Do not insert titles for the files. The default behavior is to insert a title like "Library Foo" for each file

#### --lib-name string

Print "string Foo" instead of "Library Foo" in titles. For example "Chapter" and "Module" are reasonable choices.

#### --no-lib-name

Print just "Foo" instead of "Library Foo" in titles.

#### --lib-subtitles

Look for library subtitles. When enabled, the beginning of each file is checked for a comment of the form:

```
(** * ModuleName : text *)
```

where ModuleName must be the name of the file. If it is present, the text is used as a subtitle for the module in appropriate places.

#### -t string, --title string

Set the document title.

#### **Contents options**

#### -g, --gallina

Do not print proofs.

#### -1, --light

Light mode. Suppress proofs (as with -g) and the following commands:

- [Recursive] Tactic Definition
- Hint / Hints
- Require
- Transparent / Opaque
- Implicit Argument / Implicits
- Section / Variable / Hypothesis / End

The behavior of options  $\neg g$  and  $\neg l$  can be locally overridden using the (\* begin show \*) ... (\* end show \*) environment (see above).

There are a few options to drive the parsing of comments:

#### --parse-comments

Parses regular comments delimited by (\* and \*) as well. They are typeset inline.

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#### --plain-comments

Do not interpret comments, simply copy them as plain-text.

#### --interpolate

Use the globalization information to typeset identifiers appearing in CoQ escapings inside comments.

**Language options** Default behavior is to assume ASCII 7 bits input files.

#### -latin1, --latin1

Select ISO-8859-1 input files. It is equivalent to --inputenc latin1 --charset iso-8859-1.

#### -utf8, --utf8

Set —inputenc utf8x for LaTeX output and —charset utf-8 for HTML output. Also use Unicode replacements for a couple of standard plain ASCII notations such as  $\rightarrow$  for —> and  $\forall$  for forall. LaTeX UTF-8 support can be found at http://www.ctan.org/pkg/unicode.

For the interpretation of Unicode characters by LATEX, extra packages which coqdoc does not provide by default might be required, such as textgreek for some Greek letters or stmaryrd for some mathematical symbols. If a Unicode character is missing an interpretation in the utf8x input encoding, add \DeclareUnicodeCharacter {code} { latex-interpretation}. Packages and declarations can be added with option -p.

#### --inputenc string

Give a LATEX input encoding, as an option to LATEX package inputenc.

#### --charset string

Specify the HTML character set, to be inserted in the HTML header.

#### 15.4.3 The coqdoc LATEX style file

In case you choose to produce a document without the default LATEX preamble (by using option --no-preamble), then you must insert into your own preamble the command

```
\usepackage{coqdoc}
```

The package optionally takes the argument [color] to typeset identifiers with colors (this requires the xcolor package).

Then you may alter the rendering of the document by redefining some macros:

#### coqdockw, coqdocid, ...

The one-argument macros for typesetting keywords and identifiers. Defaults are sans-serif for keywords and italic for identifiers.

For example, if you would like a slanted font for keywords, you may insert

```
\renewcommand{\cogdockw}[1]{\textsl{#1}}
```

anywhere between \usepackage {coqdoc} and \begin {document}.

#### coqdocmodule

One-argument macro for typesetting the title of a .v file. Default is

```
\newcommand{\coqdocmodule}[1]{\section*{Module #1}}
```

and you may redefine it using \renewcommand.

#### 15.5 Embedded Coq phrases inside LATEX documents

When writing a documentation about a proof development, one may want to insert CoQ phrases inside a LATEX document, possibly together with the corresponding answers of the system. We provide a mechanical way to process such CoQ phrases embedded in LATEX files: the coq-tex filter. This filter extracts Coq phrases embedded in LaTeX files, evaluates them, and insert the outcome of the evaluation after each phrase.

Starting with a file file.tex containing CoQ phrases, the coq-tex filter produces a file named file.v.tex with the CoQ outcome.

There are options to produce the CoQ parts in smaller font, italic, between horizontal rules, etc. See the man page of coq-tex for more details.

**Remark.** This Reference Manual and the Tutorial have been completely produced with coq-tex.

#### 15.6 Coo and GNU EMACS

#### 15.6.1 The CoQ Emacs mode

CoQ comes with a Major mode for GNU EMACS, gallina.el. This mode provides syntax highlighting and also a rudimentary indentation facility in the style of the Caml GNU EMACS mode.

Add the following lines to your .emacs file:

```
(setq auto-mode-alist (cons '("\v" . coq-mode) auto-mode-alist)) (autoload 'coq-mode "gallina" "Major mode for editing Coq vernacular." t)
```

The CoQ major mode is triggered by visiting a file with extension .v, or manually with the command M-x coq-mode. It gives you the correct syntax table for the CoQ language, and also a rudimentary indentation facility:

- pressing TAB at the beginning of a line indents the line like the line above;
- extra TABs increase the indentation level (by 2 spaces by default);
- M-TAB decreases the indentation level.

An inferior mode to run CoQ under Emacs, by Marco Maggesi, is also included in the distribution, in file coq-inferior.el. Instructions to use it are contained in this file.

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#### 15.6.2 PROOF GENERAL

PROOF GENERAL is a generic interface for proof assistants based on Emacs. The main idea is that the COQ commands you are editing are sent to a COQ toplevel running behind Emacs and the answers of the system automatically inserted into other Emacs buffers. Thus you don't need to copy-paste the COQ material from your files to the COQ toplevel or conversely from the COQ toplevel to some files.

PROOF GENERAL is developed and distributed independently of the system CoQ. It is freely available at proofgeneral.inf.ed.ac.uk.

#### 15.7 Module specification

Given a CoQ vernacular file, the gallina filter extracts its specification (inductive types declarations, definitions, type of lemmas and theorems), removing the proofs parts of the file. The CoQ file *file*.v gives birth to the specification file *file*.g (where the suffix .g stands for GALLINA).

See the man page of gallina for more details and options.

#### 15.8 Man pages

There are man pages for the commands coqdep, gallina and coq-tex. Man pages are installed at installation time (see installation instructions in file INSTALL, step 6).

# **Chapter 16**

# **COQ Integrated Development Environment**

The CoQ Integrated Development Environment is a graphical tool, to be used as a user-friendly replacement to coqtop. Its main purpose is to allow the user to navigate forward and backward into a CoQ vernacular file, executing corresponding commands or undoing them respectively.

COQIDE is run by typing the command <code>coqide</code> on the command line. Without argument, the main screen is displayed with an "unnamed buffer", and with a file name as argument, another buffer displaying the contents of that file. Additionally, <code>coqide</code> accepts the same options as <code>coqtop</code>, given in Chapter 14, the ones having obviously no meaning for COQIDE being ignored. Additionally, <code>coqide</code> accepts the option <code>-enable-geoproof</code> to enable the support for <code>GeoProof</code> 1.

A sample CoQIDE main screen, while navigating into a file Fermat.v, is shown on Figure 16.1. At the top is a menu bar, and a tool bar below it. The large window on the left is displaying the various *script buffers*. The upper right window is the *goal window*, where goals to prove are displayed. The lower right window is the *message window*, where various messages resulting from commands are displayed. At the bottom is the status bar.

#### 16.1 Managing files and buffers, basic edition

In the script window, you may open arbitrarily many buffers to edit. The *File* menu allows you to open files or create some, save them, print or export them into various formats. Among all these buffers, there is always one which is the current *running buffer*, whose name is displayed on a green background, which is the one where Coq commands are currently executed.

Buffers may be edited as in any text editor, and classical basic editing commands (Copy/Paste, ...) are available in the *Edit* menu. CoQIDE offers only basic editing commands, so if you need more complex editing commands, you may launch your favorite text editor on the current buffer, using the *Edit/External Editor* menu.

<sup>&</sup>lt;sup>1</sup> *GeoProof* is dynamic geometry software which can be used in conjunction with CoQIDE to interactively build a Coq statement corresponding to a geometric figure. More information about *GeoProof* can be found here: http://home.gna.org/geoproof/

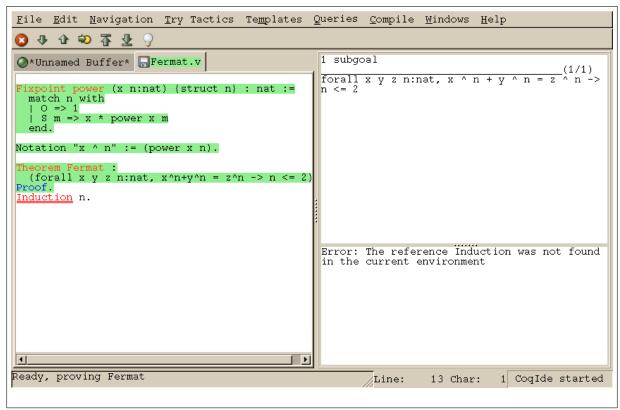


Figure 16.1: COQIDE main screen

#### 16.2 Interactive navigation into CoQ scripts

The running buffer is the one where navigation takes place. The toolbar proposes five basic commands for this. The first one, represented by a down arrow icon, is for going forward executing one command. If that command is successful, the part of the script that has been executed is displayed on a green background. If that command fails, the error message is displayed in the message window, and the location of the error is emphasized by a red underline.

On Figure 16.1, the running buffer is Fermat.v, all commands until the Theorem have been already executed, and the user tried to go forward executing Induction n. That command failed because no such tactic exist (tactics are now in lowercase...), and the wrong word is underlined.

Notice that the green part of the running buffer is not editable. If you ever want to modify something you have to go backward using the up arrow tool, or even better, put the cursor where you want to go back and use the goto button. Unlike with coqtop, you should never use Undo to go backward.

Two additional tool buttons exist, one to go directly to the end and one to go back to the beginning. If you try to go to the end, or in general to run several commands using the **goto** button, the execution will stop whenever an error is found.

If you ever try to execute a command which happens to run during a long time, and would like to abort it before its termination, you may use the interrupt button (the white cross on a red circle).

Finally, notice that these navigation buttons are also available in the menu, where their keyboard shortcuts are given.

#### 16.3 Try tactics automatically

The menu Try Tactics provides some features for automatically trying to solve the current goal using simple tactics. If such a tactic succeeds in solving the goal, then its text is automatically inserted into the script. There is finally a combination of these tactics, called the *proof wizard* which will try each of them in turn. This wizard is also available as a tool button (the light bulb). The set of tactics tried by the wizard is customizable in the preferences.

These tactics are general ones, in particular they do not refer to particular hypotheses. You may also try specific tactics related to the goal or one of the hypotheses, by clicking with the right mouse button on the goal or the considered hypothesis. This is the "contextual menu on goals" feature, that may be disabled in the preferences if undesirable.

#### 16.4 Proof folding

As your script grows bigger and bigger, it might be useful to hide the proofs of your theorems and lemmas.

This feature is toggled via the Hide entry of the Navigation menu. The proof shall be enclosed between Proof. and Qed., both with their final dots. The proof that shall be hidden or revealed is the first one whose beginning statement (such as Theorem) precedes the insertion cursor.

#### 16.5 Vernacular commands, templates

The Templates menu allows using shortcuts to insert vernacular commands. This is a nice way to proceed if you are not sure of the spelling of the command you want.

Moreover, this menu offers some *templates* which will automatic insert a complex command like Fixpoint with a convenient shape for its arguments.

#### 16.6 Queries

We call *query* any vernacular command that do not change the current state, such as Check, Search, etc. Those commands are of course useless during compilation of a file, hence should not be included in scripts. To run such commands without writing them in the script, COQIDE offers another input window called the *query window*. This window can be displayed on demand, either by using the Window menu, or directly using shortcuts given in the Queries menu. Indeed, with COQIDE the simplest way to perform a Search on some identifier is to select it using the mouse, and pressing F2. This will both make appear the query window and run the Search in it, displaying the result. Shortcuts F3 and F4 are for Check and Print respectively. Figure 16.2 displays the query window after selection of the word "mult" in the script windows, and pressing F4 to print its definition.

### 16.7 Compilation

The Compile menu offers direct commands to:

- compile the current buffer
- run a compilation using make

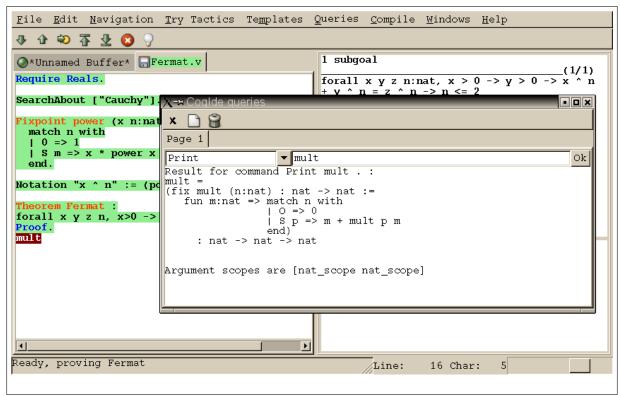


Figure 16.2: CoQIDE: the query window

- go to the last compilation error
- create a makefile using coq\_makefile.

#### 16.8 Customizations

You may customize your environment using menu Edit/Preferences. A new window will be displayed, with several customization sections presented as a notebook.

The first section is for selecting the text font used for scripts, goal and message windows.

The second section is devoted to file management: you may configure automatic saving of files, by periodically saving the contents into files named #f# for each opened file f. You may also activate the *revert* feature: in case a opened file is modified on the disk by a third party, CoQIDE may read it again for you. Note that in the case you edited that same file, you will be prompt to choose to either discard your changes or not. The File charset encoding choice is described below in Section 16.9.3

The Externals section allows customizing the external commands for compilation, printing, web browsing. In the browser command, you may use %s to denote the URL to open, for example: mozilla -remote "OpenURL(%s)".

The Tactics Wizard section allows defining the set of tactics that should be tried, in sequence, to solve the current goal.

The last section is for miscellaneous boolean settings, such as the "contextual menu on goals" feature presented in Section 16.3.

Notice that these settings are saved in the file .cogiderc of your home directory.

A gtk2 accelerator keymap is saved under the name .coqide.keys. It is not recommanded to edit this file manually: to modify a given menu shortcut, go to the corresponding menu item without releasing the mouse button, press the key you want for the new shortcut, and release the mouse button afterwards. If your system does not allow it, you may still edit this configuration file by hand, but this is more involved.

#### 16.9 Using Unicode symbols

COQIDE is based on GTK+ and inherits from it support for Unicode in its text windows. Consequently a large set of symbols is available for notations.

#### 16.9.1 Displaying Unicode symbols

You just need to define suitable notations as described in Chapter 12. For example, to use the mathematical symbols  $\forall$  and  $\exists$ , you may define

```
Notation "\forall x : t, P" := (forall x:t, P) (at level 200, x ident). Notation "\exists x : t, P" := (exists x:t, P) (at level 200, x ident).
```

There exists a small set of such notations already defined, in the file utf8.v of CoQ library, so you may enable them just by Require utf8 inside CoQIDE, or equivalently, by starting CoQIDE with coqide -1 utf8.

However, there are some issues when using such Unicode symbols: you of course need to use a character font which supports them. In the Fonts section of the preferences, the Preview line displays some Unicode symbols, so you could figure out if the selected font is OK. Related to this, one thing you may need to do is choose whether GTK+ should use antialiased fonts or not, by setting the environment variable GDK\_USE\_XFT to 1 or 0 respectively.

#### 16.9.2 Defining an input method for non ASCII symbols

To input a Unicode symbol, a general method provided by GTK+ is to simultaneously press the Control, Shift and "u" keys, release, then type the hexadecimal code of the symbol required, for example 2200 for the  $\forall$  symbol. A list of symbol codes is available at http://www.unicode.org.

An alternative method which does not require to know the hexadecimal code of the character is to use an Input Method Editor. On POSIX systems (Linux distributions, BSD variants and MacOS X), you can use uim version 1.6 or later which provides a LATEX-style input method.

To configure uim, execute uim-pref-gtk as your regular user. In the "Global Settings" group set the default Input Method to "ELatin" (don't forget to tick the checkbox "Specify default IM"). In the "ELatin" group set the layout to "TeX", and remember the content of the "[ELatin] on" field (by default Control-\). You can now execute CoqIDE with the following commands (assuming you use a Bourne-style shell):

```
$ export GTK_IM_MODULE=uim
$ cogide
```

Activate the ELatin Input Method with Control-\, then type the sequence "\Gamma". You will see the sequence being replaced by  $\Gamma$  as soon as you type the second "a".

#### 16.9.3 Character encoding for saved files

In the Files section of the preferences, the encoding option is related to the way files are saved.

If you have no need to exchange files with non UTF-8 aware applications, it is better to choose the UTF-8 encoding, since it guarantees that your files will be read again without problems. (This is because when CoQIDE reads a file, it tries to automatically detect its character encoding.)

If you choose something else than UTF-8, then missing characters will be written encoded by  $x \{ \dots \}$  or  $x \{ \dots \}$  where each dot is an hexadecimal digit: the number between braces is the hexadecimal Unicode index for the missing character.

# Part V Addendum to the Reference Manual

# **Presentation of the Addendum**

Here you will find several pieces of additional documentation for the CoQ Reference Manual. Each of this chapters is concentrated on a particular topic, that should interest only a fraction of the CoQ users: that's the reason why they are apart from the Reference Manual.

- **Extended pattern-matching** This chapter details the use of generalized pattern-matching. It is contributed by Cristina Cornes and Hugo Herbelin.
- **Implicit coercions** This chapter details the use of the coercion mechanism. It is contributed by Amokrane Saïbi.
- **Program extraction** This chapter explains how to extract in practice ML files from  $F_{\omega}$  terms. It is contributed by Jean-Christophe Filliâtre and Pierre Letouzey.
- **Program** This chapter explains the use of the Program vernacular which allows the development of certified programs in CoQ. It is contributed by Matthieu Sozeau and replaces the previous Program tactic by Catherine Parent.
- omega omega, written by Pierre Crégut, solves a whole class of arithmetic problems.
- **The** ring **tactic** This is a tactic to do AC rewriting. This chapter explains how to use it and how it works. The chapter is contributed by Patrick Loiseleur.
- **The Setoid\_replace tactic** This is a tactic to do rewriting on types equipped with specific (only partially substitutive) equality. The chapter is contributed by Clément Renard.
- **Calling external provers** This chapter describes several tactics which call external provers.

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# **Chapter 17**

# **Extended pattern-matching**

### Cristina Cornes and Hugo Herbelin

This section describes the full form of pattern-matching in CoQ terms.

#### 17.1 Patterns

The full syntax of match is presented in Figures 1.1 and 1.2. Identifiers in patterns are either constructor names or variables. Any identifier that is not the constructor of an inductive or co-inductive type is considered to be a variable. A variable name cannot occur more than once in a given pattern. It is recommended to start variable names by a lowercase letter.

If a pattern has the form  $(c \vec{x})$  where c is a constructor symbol and  $\vec{x}$  is a linear vector of (distinct) variables, it is called *simple*: it is the kind of pattern recognized by the basic version of match. On the opposite, if it is a variable x or has the form  $(c \vec{p})$  with p not only made of variables, the pattern is called *nested*.

A variable pattern matches any value, and the identifier is bound to that value. The pattern "\_" (called "don't care" or "wildcard" symbol) also matches any value, but does not bind anything. It may occur an arbitrary number of times in a pattern. Alias patterns written (pattern as identifier) are also accepted. This pattern matches the same values as pattern does and identifier is bound to the matched value. A pattern of the form pattern | pattern is called disjunctive. A list of patterns separated with commas is also considered as a pattern and is called multiple pattern. However multiple patterns can only occur at the root of pattern-matching equations. Disjunctions of multiple pattern are allowed though.

Since extended match expressions are compiled into the primitive ones, the expressiveness of the theory remains the same. Once the stage of parsing has finished only simple patterns remain. Re-nesting of pattern is performed at printing time. An easy way to see the result of the expansion is to toggle off the nesting performed at printing (use here Set Printing Matching), then by printing the term with Print if the term is a constant, or using the command Check.

The extended match still accepts an optional *elimination predicate* given after the keyword return. Given a pattern matching expression, if all the right-hand-sides of => (*rhs* in short) have the same type, then this type can be sometimes synthesized, and so we can omit the return part. Otherwise the predicate after return has to be provided, like for the basic match.

Let us illustrate through examples the different aspects of extended pattern matching. Consider for example the function that computes the maximum of two natural numbers. We can write it in primitive syntax by:

**Multiple patterns** Using multiple patterns in the definition of max lets us write:

which will be compiled into the previous form.

The pattern-matching compilation strategy examines patterns from left to right. A match expression is generated **only** when there is at least one constructor in the column of patterns. E.g. the following example does not build a match expression.

```
Coq < Check (fun x:nat => match x return nat with \mid y => y end). fun x : nat => x : nat -> nat
```

**Aliasing subpatterns** We can also use "as *ident*" to associate a name to a sub-pattern:

**Nested patterns** Here is now an example of nested patterns:

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```
Coq < Fixpoint even (n:nat) : bool :=</pre>
        match n with
        | 0 => true
        | S O => false
        | S (S n') => even n'
even is defined
even is recursively defined (decreasing on 1st argument)
  This is compiled into:
Coq < Unset Printing Matching.
Coq < Print even.
even =
fix even (n : nat) : bool :=
  match n with
  / 0 => true
  | S n0 => match n0 with
            / 0 => false
            / S n' => even n'
            end
  end
    : nat -> bool
Argument scope is [nat_scope]
```

In the previous examples patterns do not conflict with, but sometimes it is comfortable to write patterns that admit a non trivial superposition. Consider the boolean function lef that given two natural numbers yields true if the first one is less or equal than the second one and false otherwise. We can write it as follows:

Note that the first and the second multiple pattern superpose because the couple of values  $\bigcirc$   $\bigcirc$  matches both. Thus, what is the result of the function on those values? To eliminate ambiguity we use the *textual priority rule*: we consider patterns ordered from top to bottom, then a value is matched by the pattern at the *ith* row if and only if it is not matched by some pattern of a previous row. Thus in the example,  $\bigcirc$   $\bigcirc$  is matched by the first pattern, and so (lef  $\bigcirc$   $\bigcirc$ ) yields true.

Another way to write this function is:

```
Coq < Fixpoint lef (n m:nat) {struct m} : bool :=
    match n, m with
    | O, x => true
    | S n, S m => lef n m
    | _, _ => false
    end.
```

```
lef is defined
lef is recursively defined (decreasing on 2nd argument)
```

Here the last pattern superposes with the first two. Because of the priority rule, the last pattern will be used only for values that do not match neither the first nor the second one.

Terms with useless patterns are not accepted by the system. Here is an example:

**Disjunctive patterns** Multiple patterns that share the same right-hand-side can be factorized using the notation *mult\_pattern* | ... | *mult\_pattern*. For instance, max can be rewritten as follows:

Similarly, factorization of (non necessary multiple) patterns that share the same variables is possible by using the notation  $pattern \mid ... \mid pattern$ . Here is an example:

Here is another example using disjunctive subpatterns.

```
Coq < Definition filter_some_square_corners (p:nat*nat) : nat*nat :=
    match p with
    | ((2 as m | 4 as m), (3 as n | 5 as n)) => (m,n)
    | _ => (0,0)
    end.
filter_some_square_corners is defined
```

### 17.2 About patterns of parametric types

**Parameters in patterns** When matching objects of a parametric type, parameters do not bind in patterns. They must be substituted by "\_". Consider for example the type of polymorphic lists:

We can check the function tail:

When we use parameters in patterns there is an error message:

**Implicit arguments in patterns** By default, implicit arguments are omitted in patterns. So we write:

But the possibility to use all the arguments is given by "@" implicit explicitations (as for terms 2.7.11).

### 17.3 Matching objects of dependent types

The previous examples illustrate pattern matching on objects of non-dependent types, but we can also use the expansion strategy to destructure objects of dependent type. Consider the type listn of lists of a certain length:

#### 17.3.1 Understanding dependencies in patterns

We can define the function length over listn by:

```
Coq < Definition length (n:nat) (l:listn n) := n. length is defined
```

Just for illustrating pattern matching, we can define it by case analysis:

We can understand the meaning of this definition using the same notions of usual pattern matching.

### 17.3.2 When the elimination predicate must be provided

**Dependent pattern matching** The examples given so far do not need an explicit elimination predicate because all the rhs have the same type and the strategy succeeds to synthesize it. Unfortunately when dealing with dependent patterns it often happens that we need to write cases where the type of the rhs are different instances of the elimination predicate. The function concat for listn is an example where the branches have different type and we need to provide the elimination predicate:

```
Coq < Fixpoint concat (n:nat) (1:listn n) (m:nat) (1':listn m) {struct 1} :
    listn (n + m) :=
    match l in listn n return listn (n + m) with
    | niln => l'
    | consn n' a y => consn (n' + m) a (concat n' y m l')
    end.
concat is defined
concat is recursively defined (decreasing on 2nd argument)
```

The elimination predicate is fun (n:nat) (1:listn n) => listn (n+m). In general if m has type ( $I q_1 \ldots q_r t_1 \ldots t_s$ ) where  $q_1, \ldots, q_r$  are parameters, the elimination predicate should be of the form: fun  $y_1 \ldots y_s x: (I q_1 \ldots q_r y_1 \ldots y_s) => Q$ .

In the concrete syntax, it should be written:

```
match m as x in (I_{\ldots} y_1 \ldots y_s) return Q with \ldots end
```

The variables which appear in the in and as clause are new and bounded in the property Q in the return clause. The parameters of the inductive definitions should not be mentioned and are replaced by

**Multiple dependent pattern matching** Recall that a list of patterns is also a pattern. So, when we destructure several terms at the same time and the branches have different types we need to provide the elimination predicate for this multiple pattern. It is done using the same scheme, each term may be associated to an **as** and in clause in order to introduce a dependent product.

For example, an equivalent definition for concat (even though the matching on the second term is trivial) would have been:

```
Coq < Fixpoint concat (n:nat) (1:listn n) (m:nat) (1':listn m) {struct 1} :
    listn (n + m) :=
    match 1 in listn n, 1' return listn (n + m) with
    | niln, x => x
    | consn n' a y, x => consn (n' + m) a (concat n' y m x)
    end.

concat is defined
concat is recursively defined (decreasing on 2nd argument)
```

Even without real matching over the second term, this construction can be used to keep types linked. If a and b are two listn of the same length, by writing

I have a copy of b in type listn 0 resp listn (S n').

**Patterns in** in If the type of the matched term is more precise than an inductive applied to variables, arguments of the inductive in the in branch can be more complicated patterns than a variable.

Moreover, constructors whose type do not follow the same pattern will become impossible branches. In an impossible branch, you can answer anything but False\_rect unit has the advantage to be subterm of anything.

To be concrete: the tail function can be written:

### 17.4 Using pattern matching to write proofs

In all the previous examples the elimination predicate does not depend on the object(s) matched. But it may depend and the typical case is when we write a proof by induction or a function that yields an object of dependent type. An example of proof using match in given in Section 8.2.3.

For example, we can write the function buildlist that given a natural number n builds a list of length n containing zeros as follows:

We can also use multiple patterns. Consider the following definition of the predicate less-equal Le:

We can use multiple patterns to write the proof of the lemma forall (n m:nat), (LE n m) $\setminus$ (LE m n):

```
Coq < Fixpoint dec (n m:nat) {struct n} : LE n m \/ LE m n :=
    match n, m return LE n m \/ LE m n with
    | O, x => or_introl (LE x 0) (LEO x)
    | x, O => or_intror (LE x 0) (LEO x)
    | S n as n', S m as m' =>
        match dec n m with
    | or_introl h => or_introl (LE m' n') (LES n m h)
    | or_intror h => or_intror (LE n' m') (LES m n h)
```

```
end
end.
dec is defined
dec is recursively defined (decreasing on 1st argument)
```

In the example of dec, the first match is dependent while the second is not.

The user can also use match in combination with the tactic refine (see Section 8.2.3) to build incomplete proofs beginning with a match construction.

### 17.5 Pattern-matching on inductive objects involving local definitions

If local definitions occur in the type of a constructor, then there are two ways to match on this constructor. Either the local definitions are skipped and matching is done only on the true arguments of the constructors, or the bindings for local definitions can also be caught in the matching.

Example.

In the next example, the local definition is not caught.

But in this example, it is.

Remark: for a given matching clause, either none of the local definitions or all of them can be caught.

**Remark:** you can only catch let bindings in mode where you bind all variables and so you have to use @ syntax.

**Remark:** this feature is incoherent with the fact that parameters cannot be caught and consequently is somehow hidden. For example, there is no mention of it in error messages.

### 17.6 Pattern-matching and coercions

If a mismatch occurs between the expected type of a pattern and its actual type, a coercion made from constructors is sought. If such a coercion can be found, it is automatically inserted around the pattern.

Example:

```
Cog < Inductive I : Set :=
        | C1 : nat -> I
        | C2 : I -> I.
I is defined
I_rect is defined
I_ind is defined
I_rec is defined
Coq < Coercion C1 : nat >-> I.
C1 is now a coercion
Coq < Check (fun x => match x with
                       | C2 O => 0
                       | _ => 0
                      end).
fun x : I =>
match x with
/ C1 _ => 0
| C2 (C1 0) => 0
| C2 (C1 (S _)) => 0
| C2 (C2 _) => 0
end
     : I -> nat
```

### 17.7 When does the expansion strategy fail?

The strategy works very like in ML languages when treating patterns of non-dependent type. But there are new cases of failure that are due to the presence of dependencies.

The error messages of the current implementation may be sometimes confusing. When the tactic fails because patterns are somehow incorrect then error messages refer to the initial expression. But the strategy may succeed to build an expression whose sub-expressions are well typed when the whole expression is not. In this situation the message makes reference to the expanded expression. We encourage users, when they have patterns with the same outer constructor in different equations, to name the variable patterns in the same positions with the same name. E.g. to write  $(cons n \circ x) \Rightarrow e1$  and  $(cons n \circ x) \Rightarrow e2$  instead of  $(cons n \circ x) \Rightarrow e1$  and  $(cons n \circ x) \Rightarrow e2$ . This helps to maintain certain name correspondence between the generated expression and the original.

Here is a summary of the error messages corresponding to each situation:

#### **Error messages:**

1. The constructor ident expects num arguments
The variable ident is bound several times in pattern term
Found a constructor of inductive type term while a constructor of term is expected

Patterns are incorrect (because constructors are not applied to the correct number of the arguments, because they are not linear or they are wrongly typed).

2. Non exhaustive pattern-matching

The pattern matching is not exhaustive.

3. The elimination predicate *term* should be of arity *num* (for non dependent case) or *num* (for dependent case)

The elimination predicate provided to match has not the expected arity.

4. Unable to infer a match predicate Either there is a type incompatibility or the problem involves dependencies

There is a type mismatch between the different branches. The user should provide an elimination predicate.

## **Chapter 18**

# **Implicit Coercions**

### Amokrane Saïbi

#### 18.1 General Presentation

This section describes the inheritance mechanism of CoQ. In CoQ with inheritance, we are not interested in adding any expressive power to our theory, but only convenience. Given a term, possibly not typable, we are interested in the problem of determining if it can be well typed modulo insertion of appropriate coercions. We allow to write:

- f a where f: f or all x: A, B and a: A' when A' can be seen in some sense as a subtype of A.
- x:A when A is not a type, but can be seen in a certain sense as a type: set, group, category etc.
- f a when f is not a function, but can be seen in a certain sense as a function: bijection, functor, any structure morphism etc.

#### 18.2 Classes

A class with n parameters is any defined name with a type  $forall\ (x_1:A_1)..(x_n:A_n), s$  where s is a sort. Thus a class with parameters is considered as a single class and not as a family of classes. An object of a class C is any term of type  $C\ t_1..t_n$ . In addition to these user-classes, we have two abstract classes:

- Sortclass, the class of sorts; its objects are the terms whose type is a sort.
- Funclass, the class of functions; its objects are all the terms with a functional type, i.e. of form  $forall \ x : A, B$ .

Formally, the syntax of a classes is defined on Figure 18.1.

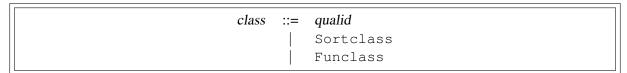


Figure 18.1: Syntax of classes

### 18.3 Coercions

A name f can be declared as a coercion between a source user-class C with n parameters and a target class D if one of these conditions holds:

- D is a user-class, then the type of f must have the form  $forall\ (x_1:A_1)..(x_n:A_n)(y:C\ x_1...x_n), D\ u_1...u_m$  where m is the number of parameters of D.
- D is Funclass, then the type of f must have the form  $forall\ (x_1:A_1)..(x_n:A_n)(y:C\ x_1..x_n)(x:A), B$ .
- D is Sortclass, then the type of f must have the form  $forall\ (x_1:A_1)..(x_n:A_n)(y:C\ x_1..x_n)$ , s with s a sort.

We then write f: C > -> D. The restriction on the type of coercions is called *the uniform inheritance* condition. Remark that the abstract classes Funclass and Sortclass cannot be source classes.

To coerce an object  $t : C \ t_1..t_n$  of C towards D, we have to apply the coercion f to it; the obtained term  $f \ t_1..t_n$  t is then an object of D.

### **18.4** Identity Coercions

Identity coercions are special cases of coercions used to go around the uniform inheritance condition. Let C and D be two classes with respectively n and m parameters and  $f:forall\ (x_1:T_1)..(x_k:T_k)(y:C\ u_1..u_n), D\ v_1..v_m$  a function which does not verify the uniform inheritance condition. To declare f as coercion, one has first to declare a subclass C' of C:

$$C' := fun(x_1 : T_1)..(x_k : T_k) => C u_1..u_n$$

We then define an *identity coercion* between C' and C:

$$Id_{C'}C := fun(x_1:T_1)..(x_k:T_k)(y:C'|x_1..x_k) => (y:C|u_1..u_n)$$

We can now declare f as coercion from C' to D, since we can "cast" its type as  $forall\ (x_1:T_1)..(x_k:T_k)(y:C'\ x_1..x_k), D\ v_1..v_m$ .

The identity coercions have a special status: to coerce an object  $t: C' \ t_1..t_k$  of C' towards C, we does not have to insert explicitly  $Id\_C'\_C$  since  $Id\_C'\_C \ t_1..t_k$  t is convertible with t. However we "rewrite" the type of t to become an object of C; in this case, it becomes  $C \ u_1^*..u_k^*$  where each  $u_i^*$  is the result of the substitution in  $u_i$  of the variables  $x_i$  by  $t_i$ .

### 18.5 Inheritance Graph

Coercions form an inheritance graph with classes as nodes. We call *coercion path* an ordered list of coercions between two nodes of the graph. A class C is said to be a subclass of D if there is a coercion path in the graph from C to D; we also say that C inherits from D. Our mechanism supports multiple inheritance since a class may inherit from several classes, contrary to simple inheritance where a class inherits from at most one class. However there must be at most one path between two classes. If this is not the case, only the *oldest* one is valid and the others are ignored. So the order of declaration of coercions is important.

We extend notations for coercions to coercion paths. For instance  $[f_1; ...; f_k] : C > -> D$  is the coercion path composed by the coercions  $f_1...f_k$ . The application of a coercion path to a term consists of the successive application of its coercions.

#### 18.6 Declaration of Coercions

**18.6.1** Coercion qualid :  $class_1 >-> class_2$ .

Declares the construction denoted by qualid as a coercion between  $class_1$  and  $class_2$ .

#### **Error messages:**

- 1. qualid not declared
- 2. qualid is already a coercion
- 3. Funclass cannot be a source class
- 4. Sortclass cannot be a source class
- 5. qualid is not a function
- 6. Cannot find the source class of qualid
- 7. Cannot recognize  $class_1$  as a source class of qualid
- 8. qualid does not respect the uniform inheritance condition
- 9. Found target class class instead of  $class_2$

When the coercion *qualid* is added to the inheritance graph, non valid coercion paths are ignored; they are signaled by a warning.

#### Warning:

1. Ambiguous paths:  $[f_1^1;..;f_{n_1}^1]:C_1>->D_1\\ ...\\ [f_1^m;..;f_{n_m}^m]:C_m>->D_m$ 

#### Variants:

1. Local Coercion qualid :  $class_1 >-> class_2$ .

Declares the construction denoted by qualid as a coercion local to the current section.

- 2. Coercion *ident* := term

  This defines *ident* just like Definition *ident* := term, and then declares *ident* as a coercion between it source and its target.
- 3. Coercion ident := term : type

  This defines ident just like Definition ident : type := term, and then declares ident as a coercion between it source and its target.
- 4. Local Coercion *ident* := *term*This defines *ident* just like Let *ident* := *term*, and then declares *ident* as a coercion between it source and its target.
- 5. Assumptions can be declared as coercions at declaration time. This extends the grammar of assumptions from Figure 1.3 as follows:

```
assumption ::= assumption_keyword assums .

assums ::= simple_assums | (simple_assums) ... (simple_assums) simple_assums ::= ident ... ident :[>] term
```

If the extra > is present before the type of some assumptions, these assumptions are declared as coercions.

6. Constructors of inductive types can be declared as coercions at definition time of the inductive type. This extends and modifies the grammar of inductive types from Figure 1.3 as follows:

Especially, if the extra > is present in a constructor declaration, this constructor is declared as a coercion.

```
18.6.2 Identity Coercion ident: class_1 > -> class_2.
```

We check that  $class_1$  is a constant with a value of the form  $fun(x_1:T_1)..(x_n:T_n) => (class_2 t_1..t_m)$  where m is the number of parameters of  $class_2$ . Then we define an identity function with the type  $forall(x_1:T_1)..(x_n:T_n)(y:class_1 x_1..x_n), class_2 t_1..t_m$ , and we declare it as an identity coercion between  $class_1$  and  $class_2$ .

#### **Error messages:**

1.  $class_1$  must be a transparent constant

#### **Variants:**

- 1. Local Identity Coercion  $ident: ident_1 >-> ident_2$ . Idem but locally to the current section.
- 2. SubClass ident := type.

If type is a class *ident*' applied to some arguments then *ident* is defined and an identity coercion of name Id\_*ident\_ident*' is declared. Otherwise said, this is an abbreviation for

```
Definition ident := type.
```

#### followed by

Identity Coercion Id\_ident\_ident':ident >-> ident'.

3. Local SubClass *ident* := *type*.

Same as before but locally to the current section.

### 18.7 Displaying Available Coercions

#### 18.7.1 Print Classes.

Print the list of declared classes in the current context.

#### 18.7.2 Print Coercions.

Print the list of declared coercions in the current context.

#### 18.7.3 Print Graph.

Print the list of valid coercion paths in the current context.

#### **18.7.4** Print Coercion Paths $class_1$ $class_2$ .

Print the list of valid coercion paths from class<sub>1</sub> to class<sub>2</sub>.

### **18.8** Activating the Printing of Coercions

### 18.8.1 Set Printing Coercions.

This command forces all the coercions to be printed. Conversely, to skip the printing of coercions, use Unset Printing Coercions. By default, coercions are not printed.

#### **18.8.2** Add Printing Coercion qualid.

This command forces coercion denoted by *qualid* to be printed. To skip the printing of coercion *qualid*, use Remove Printing Coercion *qualid*. By default, a coercion is never printed.

#### 18.9 Classes as Records

We allow the definition of *Structures with Inheritance* (or classes as records) by extending the existing Record macro (see Section 2.1). Its new syntax is:

The identifier ident is the name of the defined record and sort is its type. The identifier  $ident_0$  is the name of its constructor. The identifiers  $ident_1$ , ...,  $ident_n$  are the names of its fields and  $term_1$ , ...,  $term_n$  their respective types. The alternative [:|:>] is ":" or ":>". If  $ident_i:>term_i$ , then  $ident_i$  is automatically declared as coercion from ident to the class of  $term_i$ . Remark that  $ident_i$  always verifies the uniform inheritance condition. If the optional ">" before ident is present, then  $ident_0$  (or the default name  $ident_0$  if  $ident_0$  is omitted) is automatically declared as a coercion from the class of  $term_n$  to ident (this may fail if the uniform inheritance condition is not satisfied).

Remark: The keyword Structure is a synonym of Record.

#### 18.10 Coercions and Sections

The inheritance mechanism is compatible with the section mechanism. The global classes and coercions defined inside a section are redefined after its closing, using their new value and new type. The classes and coercions which are local to the section are simply forgotten. Coercions with a local source class or a local target class, and coercions which do not verify the uniform inheritance condition any longer are also forgotten.

#### **18.11** Coercions and Modules

From Coq version 8.3, the coercions present in a module are activated only when the module is explicitly imported. Formerly, the coercions were activated as soon as the module was required, whatever it was imported or not.

To recover the behavior of the versions of Coq prior to 8.3, use the following command:

```
Set Automatic Coercions Import.
```

To cancel the effect of the option, use instead:

Unset Automatic Coercions Import.

### 18.12 Examples

There are three situations:

We first give an example of coercion between atomic inductive types

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Warning: "Check true=0." fails. This is "normal" behaviour of coercions. To validate true=0, the coercion is searched from nat to bool. There is none.

We give an example of coercion between classes with parameters.

```
Coq < Parameters
         (C : nat -> Set) (D : nat -> bool -> Set) (E : bool -> Set).
{\it C} is assumed
D is assumed
E is assumed
Cog < Parameter f : forall n:nat, C n -> D (S n) true.
f is assumed
Coq < Coercion f : C >-> D.
f is now a coercion
Coq < Parameter g : forall (n:nat) (b:bool), D n b -> E b.
g is assumed
Coq < Coercion g : D >-> E.
g is now a coercion
Coq < Parameter c : C 0.
c is assumed
Coq < Parameter T : E true -> nat.
T is assumed
Coq < Check (T c).
T C
     : nat
Coq < Set Printing Coercions.
Coq < Check (T c).
T (g 1 true (f 0 c))
     : nat
```

We give now an example using identity coercions.

```
Coq < Definition D' (b:bool) := D 1 b.
D' is defined
Coq < Identity Coercion IdD'D : D' >-> D.
Coq < Print IdD'D.
IdD'D =
(fun (b : bool) (x : D' b) \Rightarrow x) : forall b : bool, D' b \Rightarrow D 1 b
     : forall b : bool, D' b -> D 1 b
Argument scopes are [bool_scope _]
Coq < Parameter d' : D' true.
d'is assumed
Coq < Check (T d').
T d'
     : nat
Coq < Set Printing Coercions.
Coq < Check (T d').
T (g 1 true d')
     : nat
```

In the case of functional arguments, we use the monotonic rule of sub-typing. Approximatively, to coerce  $t : forall \ x : A, B$  towards  $forall \ x : A', B'$ , one have to coerce A' towards A and B towards B'. An example is given below:

```
Coq < Parameters (A B : Set) (h : A -> B).
A is assumed
B is assumed
h is assumed
Coq < Coercion h : A >-> B.
h is now a coercion
Coq < Parameter U : (A -> E true) -> nat.
U is assumed
Coq < Parameter t : B -> C 0.
t is assumed
Coq < Check (U t).
U (fun x : A => t x)
     : nat
Coq < Set Printing Coercions.
Coq < Check (U t).
U (fun x : A => g 1 true (f 0 (t (h x))))
     : nat
```

Remark the changes in the result following the modification of the previous example.

```
Coq < Parameter U' : (C 0 -> B) -> nat.
U' is assumed
Coq < Parameter t' : E true -> A.
```

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An assumption x: A when A is not a type, is ill-typed. It is replaced by x: A' where A' is the result of the application to A of the coercion path between the class of A and Sortclass if it exists. This case occurs in the abstraction fun x: A => t, universal quantification forall x: A, B, global variables and parameters of (co-)inductive definitions and functions. In forall x: A, B, such a coercion path may be applied to B also if necessary.

```
Coq < Parameter Graph : Type.
Graph is assumed
Coq < Parameter Node : Graph -> Type.
Node is assumed
Coq < Coercion Node : Graph >-> Sortclass.
Node is now a coercion
Cog < Parameter G : Graph.
G is assumed
Coq < Parameter Arrows : G -> G -> Type.
Arrows is assumed
Coq < Check Arrows.
Arrows
     : G -> G -> Type
Coq < Parameter fg : G -> G.
fg is assumed
Coq < Check fg.
     : G -> G
Coq < Set Printing Coercions.
Coq < Check fg.
fg
     : Node G -> Node G
```

• f a is ill-typed because f: A is not a function. The term f is replaced by the term obtained by applying to f the coercion path between A and Funclass if it exists.

```
Coq < Parameter bij : Set -> Set -> Set.
bij is assumed
Coq < Parameter ap : forall A B:Set, bij A B -> A -> B.
ap is assumed
```

#### Let us see the resulting graph of this session.

```
Coq < Print Graph.
[bool_in_nat] : bool >-> nat
[f] : C >-> D
[f; g] : C >-> E
[g] : D >-> E
[IdD'D] : D' >-> D
[IdD'D; g] : D' >-> E
[h] : A >-> B
[Node] : Graph >-> Sortclass
[ap] : bij >-> Funclass
```

# **Chapter 19**

## **Canonical Structures**

### Assia Mahboubi and Enrico Tassi

This chapter explains the basics of Canonical Structure and how thy can be used to overload notations and build a hierarchy of algebraic structures. The examples are taken from [103]. We invite the interested reader to refer to this paper for all the details that are omitted here for brevity. The interested reader shall also find in [76] a detailed description of another, complementary, use of Canonical Structures: advanced proof search. This latter papers also presents many techniques one can employ to tune the inference of Canonical Structures.

### 19.1 Notation overloading

We build an infix notation == for a comparison predicate. Such notation will be overloaded, and its meaning will depend on the types of the terms that are compared.

```
Coq < Module EQ.
Interactive Module EQ started
      Record class (T : Type) := Class { cmp : T -> T -> Prop }.
class is defined
cmp is defined
Coq < Structure type := Pack { obj : Type; class_of : class obj }.</pre>
type is defined
obj is defined
class_of is defined
      Definition op (e : type) : obj e -> obj e -> Prop :=
          let 'Pack _ (Class _ the_cmp) := e in the_cmp.
op is defined
Coq < Check op.
     : forall e : type, obj e -> obj e -> Prop
      Arguments op \{e\} x y : simpl never.
Coq <
      Arguments Class {T} cmp.
Coq <
```

```
Coq < Module theory.
Interactive Module theory started
Coq < Notation "x == y" := (op x y) (at level 70).
Coq < End theory.
Module theory is defined
Coq < End EQ.
Module EQ is defined</pre>
```

We use Coq modules as name spaces. This allows us to follow the same pattern and naming convention for the rest of the chapter. The base name space contains the definitions of the algebraic structure. To keep the example small, the algebraic structure EQ.type we are defining is very simplistic, and characterizes terms on which a binary relation is defined, without requiring such relation to validate any property. The inner theory module contains the overloaded notation == and will eventually contain lemmas holding on all the instances of the algebraic structure (in this case there are no lemmas).

Note that in practice the user may want to declare EQ.obj as a coercion, but we will not do that here.

The following line tests that, when we assume a type e that is in the EQ class, then we can relates two of its objects with ==.

Still, no concrete type is in the EQ class. We amend that by equipping nat with a comparison relation.

```
Cog < Fail Check 3 == 3.
The command has indeed failed with message:
The term "3" has type "nat" while it is expected to have type
 "EQ.obj ?e".
Coq < Definition nat_eq (x y : nat) := nat_compare x y = Eq.</pre>
nat_eq is defined
Coq < Definition nat_EQcl : EQ.class nat := EQ.Class nat_eq.</pre>
nat EOcl is defined
Coq < Canonical Structure nat_EQty : EQ.type := EQ.Pack nat nat_EQcl.</pre>
nat_EQty is defined
Coq < Check 3 == 3.
3 == 3
    : Prop
Cog < Eval compute in 3 == 4.
     = Lt = Eq
     : Prop
```

This last test shows that Coq is now not only able to typecheck 3==3, but also that the infix relation was bound to the nat\_eq relation. This relation is selected whenever == is used on terms of type nat. This can be read in the line declaring the canonical structure nat\_EQty, where the first argument to

Pack is the key and its second argument a group of canonical values associated to the key. In this case we associate to nat only one canonical value (since its class, nat\_EQcl has just one member). The use of the projection op requires its argument to be in the class EQ, and uses such a member (function) to actually compare its arguments.

Similarly, we could equip any other type with a comparison relation, and use the == notation on terms of this type.

#### 19.1.1 Derived Canonical Structures

We know how to use == on base types, like nat, bool, Z. Here we show how to deal with type constructors, i.e. how to make the following example work:

```
Coq < Fail Check forall (e : EQ.type) (a b : EQ.obj e), (a,b) == (a,b).
The command has indeed failed with message:
In environment
e : EQ.type
a : EQ.obj e
b : EQ.obj e
The term "(a, b)" has type "(EQ.obj e * EQ.obj e)%type"
while it is expected to have type "EQ.obj ?e".</pre>
```

The error message is telling that Coq has no idea on how to compare pairs of objects. The following construction is telling Coq exactly how to do that.

Thanks to the pair\_EQty declaration, Coq is able to build a comparison relation for pairs whenever it is able to build a comparison relation for each component of the pair. The declaration associates to the key \* (the type constructor of pairs) the canonical comparison relation pair\_eq whenever the type constructor \* is applied to two types being themselves in the EQ class.

### 19.2 Hierarchy of structures

To get to an interesting example we need another base class to be available. We choose the class of types that are equipped with an order relation, to which we associate the infix <= notation.

```
Coq < Module LE.
Interactive Module LE started
Coq < Record class T := Class { cmp : T -> T -> Prop }.
class is defined
cmp is defined
       Structure type := Pack { obj : Type; class_of : class obj }.
type is defined
obj is defined
class_of is defined
        Definition op (e : type) : obj e -> obj e -> Prop :=
          let 'Pack _ (Class _ f) := e in f.
op is defined
       Arguments op {_} x y : simpl never.
Coq <
Coq <
       Arguments Class {T} cmp.
Coq <
       Module theory.
Interactive Module theory started
       Notation "x \le y" := (op x y) (at level 70).
Coq <
       End theory.
Module theory is defined
Coq < End LE.
Module LE is defined
   As before we register a canonical LE class for nat.
Coq < Import LE.theory.
Coq < Definition nat_le x y := nat_compare x y <> Gt.
nat_le is defined
Coq < Definition nat_LEcl : LE.class nat := LE.Class nat_le.</pre>
nat_LEcl is defined
Coq < Canonical Structure nat_LEty : LE.type := LE.Pack nat nat_LEcl.</pre>
nat_LEty is defined
   And we enable Coq to relate pair of terms with <=.
Coq < Definition pair_le e1 e2 (x y : LE.obj e1 * LE.obj e2) :=</pre>
        fst x \le fst y / snd x \le snd y.
pair_le is defined
Coq < Definition pair_LEcl e1 e2 := LE.Class (pair_le e1 e2).</pre>
pair_LEcl is defined
Coq < Canonical Structure pair_LEty (e1 e2 : LE.type) : LE.type :=</pre>
        LE.Pack (LE.obj e1 * LE.obj e2) (pair_LEcl e1 e2).
pair_LEty is defined
Coq < Check (3,4,5) \le (3,4,5).
(3, 4, 5) \iff (3, 4, 5)
     : Prop
```

At the current stage we can use == and <= on concrete types, like tuples of natural numbers, but we can't develop an algebraic theory over the types that are equipped with both relations.

```
Cog < Check 2 <= 3 /  2 == 2.
2 <= 3 /\ 2 == 2
     : Prop
Coq < Fail Check forall (e : EQ.type) (x y : EQ.obj e), x \le y -> y \le x -> x == y.
The command has indeed failed with message:
In environment
e : EQ.type
x : EQ.obj e
y : EQ.obj e
The term "x" has type "EQ.obj e" while it is expected to have type
"LE.obj ?e".
Coq < Fail Check forall (e : LE.type) (x y : LE.obj e), x \le y - y \le x - x = y.
The command has indeed failed with message:
In environment
e : LE.type
x : LE.obj e
y : LE.obj e
The term "x" has type "LE.obj e" while it is expected to have type
 "EQ.obj ?e".
```

We need to define a new class that inherits from both EQ and LE.

```
Coq < Module LEQ.
Interactive Module LEQ started
       Record mixin (e : EQ.type) (le : EQ.obj e -> EQ.obj e -> Prop) :=
          Mixin { compat : forall x y : EQ.obj e, le x y / le y x <-> x == y }.
mixin is defined
compat is defined
Coq < Record class T := Class {</pre>
          EQ_class : EQ.class T;
          LE_class
                      : LE.class T;
          extra: mixin (EQ.Pack T EQ_class) (LE.cmp T LE_class) }.
class is defined
EQ_class is defined
LE_class is defined
extra is defined
      Structure type := _Pack { obj : Type; class_of : class obj }.
type is defined
obj is defined
class_of is defined
Coq <
       Arguments Mixin {e le} _.
        Arguments Class \{T\} _ _ _.
Coq <
```

The mixin component of the LEQ class contains all the extra content we are adding to EQ and LE. In particular it contains the requirement that the two relations we are combining are compatible. Unfortunately there is still an obstacle to developing the algebraic theory of this new class.

```
Coq < Module theory.
Interactive Module theory started

Coq < Fail Check forall (le : type) (n m : obj le), n <= m -> n <= m -> n == m.
The command has indeed failed with message:
In environment
le : type
n : obj le
m : obj le
The term "n" has type "obj le" while it is expected to have type
  "LE.obj ?e".
```

The problem is that the two classes LE and LEQ are not yet related by a subclass relation. In other words Coq does not see that an object of the LEQ class is also an object of the LE class.

The following two constructions tell Coq how to canonically build the LE.type and EQ.type structure given an LEQ.type structure on the same type.

We can now formulate out first theorem on the objects of the LEQ structure.

```
Coq <
       Lemma lele_eq (e : type) (x y : obj e) : x \le y \rightarrow y \le x \rightarrow x == y.
1 subgoal
  e : type
  x, y : obj e
  _____
  x \leftarrow y \rightarrow y \leftarrow x \rightarrow x == y
Coq <
         now intros; apply (compat _ _ (extra _ (class_of e)) x y); split. Qed.
No more subgoals.
now (intros; apply (compat _ _ (extra _ (class_of e)) x y); split).
Qed.
lele_eq is defined
Coq < Arguments lele_eq {e} x y _ _.
Coq <
       End theory.
Module theory is defined
Coq < End LEQ.
Module LEQ is defined
Coq < Import LEQ.theory.
Cog < Check lele eg.
lele_eq
```

```
: forall x y : LEQ.obj ?e, x \langle = y - \rangle y \langle = x - \rangle x == y where ?e : [ |- LEQ.type]
```

Of course one would like to apply results proved in the algebraic setting to any concrete instate of the algebraic structure.

```
Coq < Example test_algebraic (n m : nat) : n \le m -> m \le n -> n == m.
1 subgoal
 n, m : nat
 _____
 n \ll m \gg m \ll n \gg n = m
Coq < Fail apply (lele_eq n m). Abort.</pre>
The command has indeed failed with message:
In environment
n, m : nat
The term "n" has type "nat" while it is expected to have type
"LEQ.obj ?e".
1 subgoal
 n, m : nat
 n \ll m \gg m \ll n \gg n = m
Coq < Example test_algebraic2 (11 12 : LEQ.type) (n m : LEQ.obj 11 * LEQ.obj 12) :
      n \ll m \gg m \ll n \gg n == m.
1 subgoal
 11, 12 : LEQ.type
 n, m : LEQ.obj 11 * LEQ.obj 12
  _____
 n \ll m \gg m \ll n \gg n = m
Coq < Fail apply (lele_eq n m). Abort.</pre>
The command has indeed failed with message:
In environment
11, 12 : LEQ.type
n, m : LEQ.obj 11 * LEQ.obj 12
The term "n" has type "(LEQ.obj 11 * LEQ.obj 12)%type"
while it is expected to have type "LEQ.obj ?e".
1 subgoal
 11, 12 : LEQ.type
 n, m : LEQ.obj 11 * LEQ.obj 12
  ______
  n \ll m \gg m \ll n \gg m = m
```

Again one has to tell Coq that the type nat is in the LEQ class, and how the type constructor  $\star$  interacts with the LEQ class. In the following proofs are omitted for brevity.

```
Coq < Lemma nat_LEQ_compat (n m : nat) : n <= m /\ m <= n <-> n == m. 1 \ subgoal
```

The following script registers an LEQ class for nat and for the type constructor  $\star$ . It also tests that they work as expected.

Unfortunately, these declarations are very verbose. In the following subsection we show how to make these declaration more compact.

```
Coq < Module Add_instance_attempt.</pre>
Interactive Module Add_instance_attempt started
       Canonical Structure nat_LEQty : LEQ.type :=
         LEQ._Pack nat (LEQ.Class nat_EQcl nat_LEcl nat_LEQmx).
nat_LEQty is defined
       Canonical Structure pair_LEQty (11 12 : LEQ.type) : LEQ.type :=
         LEQ._Pack (LEQ.obj 11 * LEQ.obj 12)
            (LEQ.Class
              (EQ.class_of (pair_EQty (to_EQ 11) (to_EQ 12)))
              (LE.class_of (pair_LEty (to_LE 11) (to_LE 12)))
              (pair LEQmx 11 12)).
pair LEQty is defined
Warning: Ignoring canonical projection to LEQ.Class by LEQ.class_of in
pair_LEQty: redundant with nat_LEQty
      Example test algebraic (n m : nat) : n \le m -> m \le n -> n == m.
1 subgoal
 n, m : nat
  ______
 n \ll m \gg m \ll n \gg m = m
       now apply (lele_eq n m). Qed.
Coq <
No more subgoals.
now (apply (lele_eq n m)).
Oed.
test_algebraic is defined
Coq <
      Example test_algebraic2 (n m : nat * nat) : n <= m -> m <= n -> n == m.
1 subgoal
```

Note that no direct proof of  $n \le m > m \le n > n = m$  is provided by the user for n and m of type nat \* nat. What the user provides is a proof of this statement for n and m of type nat and a proof that the pair constructor preserves this property. The combination of these two facts is a simple form of proof search that Coq performs automatically while inferring canonical structures.

#### 19.2.1 Compact declaration of Canonical Structures

We need some infrastructure for that.

```
Coq < Require Import Strings.String.</pre>
Coq < Module infrastructure.
Interactive Module infrastructure started
      Inductive phantom {T : Type} (t : T) : Type := Phantom.
phantom is defined
phantom_rect is defined
phantom_ind is defined
phantom_rec is defined
        Definition unify {T1 T2} (t1 : T1) (t2 : T2) (s : option string) :=
          phantom t1 -> phantom t2.
unify is defined
Coq <
       Definition id \{T\} \{t:T\} (x:phantom t):=x.
id is defined
      Notation "[find v \mid t1 \sim t2] p" := (fun v (\_ : unify t1 t2 None) => p)
Coa <
          (at level 50, v ident, only parsing).
       Notation "[find v \mid t1 \sim t2 \mid s] p" := (fun v \subseteq t1 \leftarrow t2 (Some s)) => p)
Coq <
          (at level 50, v ident, only parsing).
       Notation "'Error : t : s" := (unify _ t (Some s))
Coq <
          (at level 50, format "''Error' : t : s").
Coq <
        Open Scope string_scope.
Coq < End infrastructure.
Module infrastructure is defined
```

To explain the notation [find  $v \mid t1 \sim t2$ ] let us pick one of its instances: [find  $e \mid EQ.obj e \sim T \mid$  "is not an EQ.type"]. It should be read as: "find a class e such that its objects have type T or fail with message "T is not an EQ.type".

The other utilities are used to ask Coq to solve a specific unification problem, that will in turn require the inference of some canonical structures. They are explained in mode details in [103].

We now have all we need to create a compact "packager" to declare instances of the LEQ class.

The object Pack takes a type T (the key) and a mixin m. It infers all the other pieces of the class LEQ and declares them as canonical values associated to the T key. All in all, the only new piece of information we add in the LEQ class is the mixin, all the rest is already canonical for T and hence can be inferred by Coq.

Pack is a notation, hence it is not type checked at the time of its declaration. It will be type checked when it is used, an in that case T is going to be a concrete type. The odd arguments \_ and id we pass to the packager represent respectively the classes to be inferred (like e, o, etc) and a token (id) to force their inference. Again, for all the details the reader can refer to [103].

The declaration of canonical instances can now be way more compact:

Error messages are also quite intelligible (if one skips to the end of the message).

```
Coq < Fail Canonical Structure err := Eval hnf in Pack bool nat_LEQmx. The command has indeed failed with message:

The term "id" has type "phantom (EQ.obj ?t) -> phantom (EQ.obj ?t)"

while it is expected to have type

"'Error: bool: "is not an EQ.type"".
```

# Chapter 20

# **Type Classes**

# **Matthieu Sozeau**

The status of Type Classes is experimental.

This chapter presents a quick reference of the commands related to type classes. For an actual introduction to type classes, there is a description of the system [136] and the literature on type classes in HASKELL which also applies.

## 20.1 Class and Instance declarations

The syntax for class and instance declarations is the same as record syntax of CoQ:

```
\begin{split} & \text{Class Id} \ (\alpha_1:\tau_1)\cdots(\alpha_n:\tau_n)[:sort] := \{ \\ & \text{$\mathfrak{f}_1$} \ : \ type_1; \\ & \vdots \\ & \text{$\mathfrak{f}_m$} \ : \ type_m \}. \end{split} & \text{Instance } \textit{ident} \ : \ \text{Id} \ \textit{term}_1\cdots\textit{term}_n := \{ \\ & \text{$\mathfrak{f}_1$} \ := \ \textit{term}_{f_1}; \\ & \vdots \\ & \text{$\mathfrak{f}_m$} \ := \ \textit{term}_{f_m} \}. \end{split}
```

The  $\overrightarrow{\alpha_i}:\overrightarrow{\tau_i}$  variables are called the *parameters* of the class and the  $\overrightarrow{f_k}: type_k$  are called the *methods*. Each class definition gives rise to a corresponding record declaration and each instance is a regular definition whose name is given by *ident* and type is an instantiation of the record type.

We'll use the following example class in the rest of the chapter:

```
Coq < Class EqDec (A : Type) := {
    eqb : A -> A -> bool ;
    eqb_leibniz : forall x y, eqb x y = true -> x = y }.
```

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This class implements a boolean equality test which is compatible with Leibniz equality on some type. An example implementation is:

```
Coq < Instance unit_EqDec : EqDec unit :=
    { eqb x y := true ;
        eqb_leibniz x y H :=
        match x, y return x = y with tt, tt => eq_refl tt end }.
```

If one does not give all the members in the Instance declaration, Coq enters the proof-mode and the user is asked to build inhabitants of the remaining fields, e.g.:

```
Coq < Instance eq_bool : EqDec bool :=</pre>
     { eqb x y := if x then y else negb y }.
Coq < Proof. intros x y H.
1 subgoal
 forall x y : bool, (if x then y else negb y) = true \rightarrow x = y
1 subgoal
 x, y: bool
 H: (if x then y else negb y) = true
 x = y
      destruct x ; destruct y ; (discriminate || reflexivity).
No more subgoals.
Cog < Defined.
Proof.
intros x y H.
destruct x; destruct y; discriminate || reflexivity.
Defined.
eq_bool is defined
```

One has to take care that the transparency of every field is determined by the transparency of the Instance proof. One can use alternatively the Program Instance variant which has richer facilities for dealing with obligations.

# 20.2 Binding classes

Once a type class is declared, one can use it in class binders:

```
Coq < Definition neqb \{A\} {eqa : EqDec A} (x \ y : A) := negb (eqb x y). negb is defined
```

When one calls a class method, a constraint is generated that is satisfied only in contexts where the appropriate instances can be found. In the example above, a constraint EqDec A is generated and satisfied by eqa : EqDec A. In case no satisfying constraint can be found, an error is raised:

```
Coq < Fail Definition neqb' (A : Type) (x y : A) := negb (eqb x y). The command has indeed failed with message: Unable to satisfy the following constraints:
```

```
In environment:
A : Type
x, y : A
?EqDec : "EqDec A"
```

The algorithm used to solve constraints is a variant of the eauto tactic that does proof search with a set of lemmas (the instances). It will use local hypotheses as well as declared lemmas in the typeclass\_instances database. Hence the example can also be written:

```
Coq < Definition neqb' A (eqa : EqDec A) (x y : A) := negb (eqb x y). neqb' is defined
```

However, the generalizing binders should be used instead as they have particular support for type classes:

- They automatically set the maximally implicit status for type class arguments, making derived functions as easy to use as class methods. In the example above, A and eqa should be set maximally implicit.
- They support implicit quantification on partially applied type classes (§2.7.19). Any argument not given as part of a type class binder will be automatically generalized.
- They also support implicit quantification on superclasses (§20.5.1)

Following the previous example, one can write:

```
Coq < Definition neqb_impl `{eqa : EqDec A} (x y : A) := negb (eqb x y). neqb\_impl is defined
```

Here A is implicitly generalized, and the resulting function is equivalent to the one above.

## 20.3 Parameterized Instances

One can declare parameterized instances as in HASKELL simply by giving the constraints as a binding context before the instance, e.g.:

These instances are used just as well as lemmas in the instance hint database.

# 20.4 Sections and contexts

To ease the parametrization of developments by type classes, we provide a new way to introduce variables into section contexts, compatible with the implicit argument mechanism. The new command works similarly to the Variables vernacular (see 1.3.1), except it accepts any binding context as argument. For example:

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```
Coq < Section EqDec_defs.</pre>
Coa <
       Context `{EA : EqDec A}.
A is assumed
EA is assumed
Coa <
        Global Instance option_eqb : EqDec (option A) :=
        { eqb x y := match x, y with
          | Some x, Some y \Rightarrow eqb x y
          | None, None => true
          | _, _ => false
          end }.
Coq < End EqDec_defs.
Coq < About option_eqb.
option_eqb : forall A : Type, EqDec A -> EqDec (option A)
Arguments A, EA are implicit and maximally inserted
Argument scopes are [type_scope _]
option eqb is transparent
Expands to: Constant Top.option_eqb
```

Here the Global modifier redeclares the instance at the end of the section, once it has been generalized by the context variables it uses.

# 20.5 Building hierarchies

## **20.5.1** Superclasses

One can also parameterize classes by other classes, generating a hierarchy of classes and superclasses. In the same way, we give the superclasses as a binding context:

Contrary to HASKELL, we have no special syntax for superclasses, but this declaration is morally equivalent to:

```
Class '(E : EqDec A) => Ord A :=
{ le : A -> A -> bool }.
```

This declaration means that any instance of the Ord class must have an instance of EqDec. The parameters of the subclass contain at least all the parameters of its superclasses in their order of appearance (here A is the only one). As we have seen, Ord is encoded as a record type with two parameters: a type A and an E of type EqDec A. However, one can still use it as if it had a single parameter inside generalizing binders: the generalization of superclasses will be done automatically.

```
Coq < Definition le_eqb `{Ord A} (x y : A) := andb (le x y) (le y x).
```

In some cases, to be able to specify sharing of structures, one may want to give explicitly the superclasses. It is is possible to do it directly in regular binders, and using the! modifier in class binders. For example:

```
Coq < Definition lt `{eqa : EqDec A, ! Ord eqa} (x y : A) := andb (le x y) (neqb x y).
```

The ! modifier switches the way a binder is parsed back to the regular interpretation of Coq. In particular, it uses the implicit arguments mechanism if available, as shown in the example.

#### 20.5.2 Substructures

Substructures are components of a class which are instances of a class themselves. They often arise when using classes for logical properties, e.g.:

This declares singleton classes for reflexive and transitive relations, (see 1 for an explanation). These may be used as part of other classes:

The syntax :> indicates that each PreOrder can be seen as a Reflexive relation. So each time a reflexive relation is needed, a preorder can be used instead. This is very similar to the coercion mechanism of Structure declarations. The implementation simply declares each projection as an instance

One can also declare existing objects or structure projections using the Existing Instance command to achieve the same effect.

# 20.6 Summary of the commands

```
20.6.1 Class ident\ binder_1\ \dots\ binder_n\ :\ sort:=\ \{\ field_1\ ;\ \dots;\ field_k\ \}.
```

The Class command is used to declare a type class with parameters  $binder_1$  to  $binder_n$  and fields field<sub>1</sub> to field<sub>k</sub>.

#### Variants:

- 1. Class ident binder<sub>1</sub> ...binder<sub>n</sub>:  $sort := ident_1$ :  $type_1$ . This variant declares a singleton class whose only method is  $ident_1$ . This singleton class is a so-called definitional class, represented simply as a definition  $ident\ binder_1$  ...binder<sub>n</sub>:=  $type_1$  and whose instances are themselves objects of this type. Definitional classes are not wrapped inside records, and the trivial projection of an instance of such a class is convertible to the instance itself. This can be useful to make instances of existing objects easily and to reduce proof size by not inserting useless projections. The class constant itself is declared rigid during resolution so that the class abstraction is maintained.
- 2. Existing Class *ident*. This variant declares a class a posteriori from a constant or inductive definition. No methods or instances are defined.

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```
20.6.2 Instance ident binder<sub>1</sub> ...binder<sub>n</sub>: Class t_1 	ldots t_n [| priority] := { field<sub>1</sub> := b_1 ; ...; field<sub>i</sub> := b_i }
```

The Instance command is used to declare a type class instance named *ident* of the class *Class* with parameters  $t_1$  to  $t_n$  and fields  $b_1$  to  $b_i$ , where each field must be a declared field of the class. Missing fields must be filled in interactive proof mode.

An arbitrary context of the form  $binder_1$  ...  $binder_n$  can be put after the name of the instance and before the colon to declare a parameterized instance. An optional priority can be declared, 0 being the highest priority as for auto hints. If the priority is not specified, it defaults to n, the number of binders of the instance.

#### Variants:

- 1. Instance  $ident\ binder_1\ \dots binder_n$ : forall  $binder_{n+1}\ \dots binder_m$ , Class  $t_1\ \dots t_n\ [\ |\ priority\ ]$ :=  $term\ This\ syntax$  is used for declaration of singleton class instances or for directly giving an explicit term of type forall  $binder_{n+1}\ \dots binder_m$ , Class  $t_1\ \dots t_n$ . One need not even mention the unique field name for singleton classes.
- 2. Global Instance One can use the Global modifier on instances declared in a section so that their generalization is automatically redeclared after the section is closed.
- 3. Program Instance Switches the type-checking to PROGRAM (chapter 24) and uses the obligation mechanism to manage missing fields.
- 4. Declare Instance In a Module Type, this command states that a corresponding concrete instance should exist in any implementation of this Module Type. This is similar to the distinction between Parameter vs. Definition, or between Declare Module and Module.

Besides the Class and Instance vernacular commands, there are a few other commands related to type classes.

```
20.6.3 Existing Instance ident [| priority]
```

This commands adds an arbitrary constant whose type ends with an applied type class to the instance database with an optional priority. It can be used for redeclaring instances at the end of sections, or declaring structure projections as instances. This is almost equivalent to <code>Hint Resolve ident: typeclass\_instances.</code>

#### Variants:

1. Existing Instances  $ident_1 \dots ident_n$  [| priority] With this command, several existing instances can be declared at once.

```
20.6.4 Context binder_1 ...binder_n
```

Declares variables according to the given binding context, which might use implicit generalization (see 20.4).

## **20.6.5** Typeclasses Transparent, Opaque $ident_1 \ldots ident_n$

This commands defines the transparency of  $ident_1 \dots ident_n$  during type class resolution. It is useful when some constants prevent some unifications and make resolution fail. It is also useful to declare constants which should never be unfolded during proof-search, like fixpoints or anything which does not look like an abbreviation. This can additionally speed up proof search as the typeclass map can be indexed by such rigid constants (see 8.9.1). By default, all constants and local variables are considered transparent. One should take care not to make opaque any constant that is used to abbreviate a type, like relation A := A -> A -> Prop.

This is equivalent to Hint Transparent, Opaque ident: typeclass\_instances.

## **20.6.6** Typeclasses eauto := [debug] [dfs | bfs] [depth]

This command allows customization of the type class resolution tactic, based on a variant of eauto. The flags semantics are:

- debug In debug mode, the trace of successfully applied tactics is printed.
- dfs, bfs This sets the search strategy to depth-first search (the default) or breadth-first search.
- *depth* This sets the depth of the search (the default is 100).

#### 20.6.7 Set Refine Instance Mode

The option Refine Instance Mode allows to switch the behavior of instance declarations made through the Instance command.

- When it is on (the default), instances that have unsolved holes in their proof-term silently open the proof mode with the remaining obligations to prove.
- When it is off, they fail with an error instead.

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# **Chapter 21**

# Omega: a solver of quantifier-free problems in Presburger Arithmetic

# Pierre Crégut

# 21.1 Description of omega

omega solves a goal in Presburger arithmetic, i.e. a universally quantified formula made of equations and inequations. Equations may be specified either on the type nat of natural numbers or on the type Z of binary-encoded integer numbers. Formulas on nat are automatically injected into Z. The procedure may use any hypothesis of the current proof session to solve the goal.

Multiplication is handled by omega but only goals where at least one of the two multiplicands of products is a constant are solvable. This is the restriction meant by "Presburger arithmetic".

If the tactic cannot solve the goal, it fails with an error message. In any case, the computation eventually stops.

## 21.1.1 Arithmetical goals recognized by omega

omega applied only to quantifier-free formulas built from the connectors

on atomic formulas. Atomic formulas are built from the predicates

on nat or from the predicates

on Z. In expressions of type nat, omega recognizes

and in expressions of type Z, omega recognizes

```
+, -, *, Z.succ, and constants.
```

All expressions of type nat or Z not built on these operators are considered abstractly as if they were arbitrary variables of type nat or Z.

### **21.1.2 Messages from** omega

When omega does not solve the goal, one of the following errors is generated:

#### **Error messages:**

1. omega can't solve this system

This may happen if your goal is not quantifier-free (if it is universally quantified, try intros first; if it contains existentials quantifiers too, omega is not strong enough to solve your goal). This may happen also if your goal contains arithmetical operators unknown from omega. Finally, your goal may be really wrong!

2. omega: Not a quantifier-free goal

If your goal is universally quantified, you should first apply intro as many time as needed.

- 3. omega: Unrecognized predicate or connective: ident
- 4. omega: Unrecognized atomic proposition: prop
- 5. omega: Can't solve a goal with proposition variables
- 6. omega: Unrecognized proposition
- 7. omega: Can't solve a goal with non-linear products
- 8. omega: Can't solve a goal with equality on type

# 21.2 Using omega

The omega tactic does not belong to the core system. It should be loaded by

```
Coq < Require Import Omega.
Coq < Open Scope Z scope.</pre>
```

## Example 3:

21.3 Technical data 407

#### Example 4:

## 21.3 Technical data

#### 21.3.1 Overview of the tactic

- The goal is negated twice and the first negation is introduced as an hypothesis.
- Hypothesis are decomposed in simple equations or inequations. Multiple goals may result from this phase.
- Equations and inequations over nat are translated over Z, multiple goals may result from the translation of substraction.
- Equations and inequations are normalized.
- Goals are solved by the *OMEGA* decision procedure.
- The script of the solution is replayed.

#### 21.3.2 Overview of the *OMEGA* decision procedure

The *OMEGA* decision procedure involved in the omega tactic uses a small subset of the decision procedure presented in

"The Omega Test: a fast and practical integer programming algorithm for dependence analysis", William Pugh, Communication of the ACM, 1992, p 102-114.

Here is an overview, look at the original paper for more information.

- Equations and inequations are normalized by division by the GCD of their coefficients.
- Equations are eliminated, using the Banerjee test to get a coefficient equal to one.
- Note that each inequation defines a half space in the space of real value of the variables.
- Inequations are solved by projecting on the hyperspace defined by cancelling one of the variable. They are partitioned according to the sign of the coefficient of the eliminated variable. Pairs of inequations from different classes define a new edge in the projection.
- Redundant inequations are eliminated or merged in new equations that can be eliminated by the Banerjee test.

• The last two steps are iterated until a contradiction is reached (success) or there is no more variable to eliminate (failure).

It may happen that there is a real solution and no integer one. The last steps of the Omega procedure (dark shadow) are not implemented, so the decision procedure is only partial.

# **21.4** Bugs

- The simplification procedure is very dumb and this results in many redundant cases to explore.
- Much too slow.
- Certainly other bugs! You can report them to https://coq.inria.fr/bugs/.

# **Chapter 22**

# Micromega: tactics for solving arithmetic goals over ordered rings

# Frédéric Besson and Evgeny Makarov

# 22.1 Short description of the tactics

The Psatz module (Require Import Psatz.) gives access to several tactics for solving arithmetic goals over Z, Q, and R:

- lia is a decision procedure for linear integer arithmetic (see Section 22.5);
- nia is an incomplete proof procedure for integer non-linear arithmetic (see Section 22.6);
- 1ra is a decision procedure for linear (real or rational) arithmetic goals (see Section 22.3);
- psatz D n where D is Z or Q or R, and n is an optional integer limiting the proof search depth is is an incomplete proof procedure for non-linear arithmetic. It is based on John Harrison's HOL Light driver to the external prover csdp<sup>2</sup>. Note that the csdp driver is generating a *proof cache* which makes it possible to rerun scripts even without csdp (see Section 22.4).

The tactics solve propositional formulas parameterized by atomic arithmetic expressions interpreted over a domain  $D \in \{\mathbb{Z}, \mathbb{Q}, \mathbb{R}\}$ . The syntax of the formulas is the following:

$$\begin{array}{lll} F & ::= & A \mid P \mid True \mid False \mid F_1 \land F_2 \mid F_1 \lor F_2 \mid F_1 \leftrightarrow F_2 \mid F_1 \to F_2 \mid \neg F \\ A & ::= & p_1 = p_2 \mid p_1 > p_2 \mid p_1 < p_2 \mid p_1 \geq p_2 \mid p_1 \leq p_2 \\ p & ::= & c \mid x \mid -p \mid p_1 - p_2 \mid p_1 + p_2 \mid p_1 \times p_2 \mid p^{\wedge}n \end{array}$$

where c is a numeric constant,  $x \in D$  is a numeric variable, the operators -, +,  $\times$  are respectively subtraction, addition, product,  $p^n$  is exponentiation by a constant n, P is an arbitrary proposition. For p0, equality is not Leibniz equality = but the equality of rationals ==.

For Z (resp. Q ), c ranges over integer constants (resp. rational constants). For R, the tactic recognizes as real constants the following expressions:

<sup>&</sup>lt;sup>1</sup>Support for nat and N is obtained by pre-processing the goal with the zify tactic.

<sup>&</sup>lt;sup>2</sup>Sources and binaries can be found at https://projects.coin-or.org/Csdp

where z is a constant in Z and q is a constant in Q. This includes integer constants written using the decimal notation *i.e.*, c%R.

# 22.2 Positivstellensatz refutations

The name psatz is an abbreviation for *positivstellensatz* – literally positivity theorem – which generalizes Hilbert's *nullstellensatz*. It relies on the notion of Cone. Given a (finite) set of polynomials S, Cone(S) is inductively defined as the smallest set of polynomials closed under the following rules:

$$\frac{p \in S}{p \in Cone(S)} \quad \frac{p_1 \in Cone(S) \quad p_2 \in Cone(S)}{p_1 \bowtie p_2 \in Cone(S)} \quad \bowtie \in \{+, *\}$$

The following theorem provides a proof principle for checking that a set of polynomial inequalities does not have solutions.<sup>3</sup>

**Theorem 1** *Let S be a set of polynomials.* 

If -1 belongs to Cone(S) then the conjunction  $\bigwedge_{p \in S} p \ge 0$  is unsatisfiable.

A proof based on this theorem is called a *positivstellensatz* refutation. The tactics work as follows. Formulas are normalized into conjunctive normal form  $\bigwedge_i C_i$  where  $C_i$  has the general form  $(\bigwedge_{j \in S_i} p_j \bowtie 0) \to False)$  and  $\bowtie \in \{>, \ge, =\}$  for  $D \in \{\mathbb{Q}, \mathbb{R}\}$  and  $\bowtie \in \{\ge, =\}$  for  $\mathbb{Z}$ . For each conjunct  $C_i$ , the tactic calls a oracle which searches for -1 within the cone. Upon success, the oracle returns a *cone expression* that is normalized by the ring tactic (see chapter 25) and checked to be -1.

# 22.3 1ra: a decision procedure for linear real and rational arithmetic

The 1ra tactic is searching for *linear* refutations using Fourier elimination.<sup>4</sup> As a result, this tactic explores a subset of the *Cone* defined as

$$LinCone(S) = \left\{ \sum_{p \in S} \alpha_p \times p \mid \alpha_p \text{ are positive constants} \right\}.$$

The deductive power of lra is the combined deductive power of ring\_simplify and fourier. There is also an overlap with the field tactic e.g., x = 10 \* x / 10 is solved by lra.

# 22.4 psatz: a proof procedure for non-linear arithmetic

The psatz tactic explores the Cone by increasing degrees – hence the depth parameter n. In theory, such a proof search is complete – if the goal is provable the search eventually stops. Unfortunately, the external oracle is using numeric (approximate) optimization techniques that might miss a refutation.

To illustrate the working of the tactic, consider we wish to prove the following Coq goal.

Coq < Goal forall x, 
$$-x^2 >= 0 \rightarrow x - 1 >= 0 \rightarrow$$
 False.

<sup>&</sup>lt;sup>3</sup>Variants deal with equalities and strict inequalities.

<sup>&</sup>lt;sup>4</sup>More efficient linear programming techniques could equally be employed.

Such a goal is solved by intro x; psatz Z 2. The oracle returns the cone expression  $2 \times (\mathbf{x} - \mathbf{1}) + (\mathbf{x} - \mathbf{1}) \times (\mathbf{x} - \mathbf{1}) + -\mathbf{x}^2$  (polynomial hypotheses are printed in bold). By construction, this expression belongs to  $Cone(\{-x^2, x - 1\})$ . Moreover, by running ring we obtain -1. By Theorem 1, the goal is valid.

# 22.5 lia: a tactic for linear integer arithmetic

The tactic lia offers an alternative to the omega and romega tactic (see Chapter 21). Roughly speaking, the deductive power of lia is the combined deductive power of ring\_simplify and omega. However, it solves linear goals that omega and romega do not solve, such as the following so-called *omega nightmare* [130].

```
Coq < Goal forall x y, 27 <= 11 * x + 13 * y <= 45 -> -10 <= 7 * x - 9 * y <= 4 -> False.
```

The estimation of the relative efficiency of lia vs omega and romega is under evaluation.

**High level view of** lia. Over  $\mathbb{R}$ , *positivstellensatz* refutations are a complete proof principle.<sup>5</sup> However, this is not the case over  $\mathbb{Z}$ . Actually, *positivstellensatz* refutations are not even sufficient to decide linear *integer* arithmetic. The canonical example is 2 \* x = 1 -> False which is a theorem of  $\mathbb{Z}$  but not a theorem of  $\mathbb{R}$ . To remedy this weakness, the lia tactic is using recursively a combination of:

- linear *positivstellensatz* refutations;
- cutting plane proofs;
- case split.

**Cutting plane proofs** are a way to take into account the discreetness of  $\mathbb{Z}$  by rounding up (rational) constants up-to the closest integer.

**Theorem 2** Let p be an integer and c a rational constant.

$$p \ge c \Rightarrow p \ge \lceil c \rceil$$

For instance, from 2x = 1 we can deduce

- $x \ge 1/2$  which cut plane is  $x \ge \lceil 1/2 \rceil = 1$ ;
- x < 1/2 which cut plane is x < |1/2| = 0.

By combining these two facts (in normal form)  $x - 1 \ge 0$  and  $-x \ge 0$ , we conclude by exhibiting a positivstellensatz refutation:  $-1 \equiv \mathbf{x} - \mathbf{1} + -\mathbf{x} \in Cone(\{x - 1, x\})$ .

Cutting plane proofs and linear *positivstellensatz* refutations are a complete proof principle for integer linear arithmetic.

<sup>&</sup>lt;sup>5</sup>In practice, the oracle might fail to produce such a refutation.

Case split enumerates over the possible values of an expression.

**Theorem 3** Let p be an integer and  $c_1$  and  $c_2$  integer constants.

$$c_1 \le p \le c_2 \Rightarrow \bigvee_{x \in [c_1, c_2]} p = x$$

Our current oracle tries to find an expression e with a small range  $[c_1, c_2]$ . We generate  $c_2 - c_1$  subgoals which contexts are enriched with an equation e = i for  $i \in [c_1, c_2]$  and recursively search for a proof.

# 22.6 nia: a proof procedure for non-linear integer arithmetic

The nia tactic is an *experimental* proof procedure for non-linear integer arithmetic. The tactic performs a limited amount of non-linear reasoning before running the linear prover of lia. This pre-processing does the following:

- If the context contains an arithmetic expression of the form  $e[x^2]$  where x is a monomial, the context is enriched with  $x^2 \ge 0$ ;
- For all pairs of hypotheses  $e_1 \ge 0$ ,  $e_2 \ge 0$ , the context is enriched with  $e_1 \times e_2 \ge 0$ .

After pre-processing, the linear prover of lia searches for a proof by abstracting monomials by variables.

# Chapter 23

# **Extraction of programs in Objective Caml** and Haskell

# Jean-Christophe Filliâtre and Pierre Letouzey

We present here the CoQ extraction commands, used to build certified and relatively efficient functional programs, extracting them from either CoQ functions or CoQ proofs of specifications. The functional languages available as output are currently OBJECTIVE CAML, HASKELL and SCHEME. In the following, "ML" will be used (abusively) to refer to any of the three.

# 23.1 Generating ML code

The next two commands are meant to be used for rapid preview of extraction. They both display extracted term(s) inside CoQ.

Extraction qualid.

Extraction of a constant or module in the CoQ toplevel.

Recursive Extraction  $qualid_1 \dots qualid_n$ .

Recursive extraction of all the globals (or modules)  $qualid_1 \dots qualid_n$  and all their dependencies in the CoQ toplevel.

All the following commands produce real ML files. User can choose to produce one monolithic file or one file per CoQ library.

```
Extraction "file" qualid<sub>1</sub> ... qualid<sub>n</sub>.
```

Recursive extraction of all the globals (or modules)  $qualid_1 \dots qualid_n$  and all their dependencies in one monolithic file *file*. Global and local identifiers are renamed according to the chosen ML language to fulfill its syntactic conventions, keeping original names as much as possible.

Extraction Library ident.

Extraction of the whole CoQ library *ident*.v to an ML module *ident*.ml. In case of name clash, identifiers are here renamed using prefixes coq\_ or Coq\_ to ensure a session-independent renaming.

Recursive Extraction Library ident.

Extraction of the Coo library ident. v and all other modules ident. v depends on.

```
Separate Extraction qualid_1 \dots qualid_n.
```

Recursive extraction of all the globals (or modules)  $qualid_1 \dots qualid_n$  and all their dependencies, just as Extraction "file", but instead of producing one monolithic file, this command splits the produced code in separate ML files, one per corresponding Coq. v file. This command is hence quite similar to Recursive Extraction Library, except that only the needed parts of Coq libraries are extracted instead of the whole. The naming convention in case of name clash is the same one as Extraction Library: identifiers are here renamed using prefixes  $coq_or Coq_o$ .

The list of globals  $qualid_i$  does not need to be exhaustive: it is automatically completed into a complete and minimal environment.

# 23.2 Extraction options

#### 23.2.1 Setting the target language

The ability to fix target language is the first and more important of the extraction options. Default is Ocaml.

```
Extraction Language Ocaml.

Extraction Language Haskell.

Extraction Language Scheme.
```

#### 23.2.2 Inlining and optimizations

Since Objective Caml is a strict language, the extracted code has to be optimized in order to be efficient (for instance, when using induction principles we do not want to compute all the recursive calls but only the needed ones). So the extraction mechanism provides an automatic optimization routine that will be called each time the user want to generate Ocaml programs. The optimizations can be split in two groups: the type-preserving ones – essentially constant inlining and reductions – and the non type-preserving ones – some function abstractions of dummy types are removed when it is deemed safe in order to have more elegant types. Therefore some constants may not appear in the resulting monolithic Ocaml program. In the case of modular extraction, even if some inlining is done, the inlined constant are nevertheless printed, to ensure session-independent programs.

Concerning Haskell, type-preserving optimizations are less useful because of laziness. We still make some optimizations, for example in order to produce more readable code.

The type-preserving optimizations are controlled by the following CoQ options:

```
Unset Extraction Optimize.
```

Default is Set. This controls all type-preserving optimizations made on the ML terms (mostly reduction of dummy beta/iota redexes, but also simplifications on Cases, etc). Put this option to Unset if you want a ML term as close as possible to the Coq term.

Set Extraction Conservative Types.

Default is Unset. This controls the non type-preserving optimizations made on ML terms (which try to avoid function abstraction of dummy types). Turn this option to Set to make sure that e:t implies that e':t' where e' and t' are the extracted code of e and t respectively.

Set Extraction KeepSingleton.

Default is Unset. Normally, when the extraction of an inductive type produces a singleton type (i.e. a type with only one constructor, and only one argument to this constructor), the inductive structure is removed and this type is seen as an alias to the inner type. The typical example is sig. This option allows disabling this optimization when one wishes to preserve the inductive structure of types.

Unset Extraction AutoInline.

Default is Set. The extraction mechanism inlines the bodies of some defined constants, according to some heuristics like size of bodies, uselessness of some arguments, etc. Those heuristics are not always perfect; if you want to disable this feature, do it by Unset.

Extraction [Inline|NoInline]  $qualid_1 \dots qualid_n$ .

In addition to the automatic inline feature, you can tell to inline some more constants by the Extraction Inline command. Conversely, you can forbid the automatic inlining of some specific constants by the Extraction NoInline command. Those two commands enable a precise control of what is inlined and what is not.

Print Extraction Inline.

Prints the current state of the table recording the custom inlinings declared by the two previous commands.

Reset Extraction Inline.

Puts the table recording the custom inlinings back to empty.

**Inlining and printing of a constant declaration.** A user can explicitly ask for a constant to be extracted by two means:

- by mentioning it on the extraction command line
- by extracting the whole CoQ module of this constant.

In both cases, the declaration of this constant will be present in the produced file. But this same constant may or may not be inlined in the following terms, depending on the automatic/custom inlining mechanism.

For the constants non-explicitly required but needed for dependency reasons, there are two cases:

- If an inlining decision is taken, whether automatically or not, all occurrences of this constant are replaced by its extracted body, and this constant is not declared in the generated file.
- If no inlining decision is taken, the constant is normally declared in the produced file.

#### 23.2.3 Extra elimination of useless arguments

The following command provides some extra manual control on the code elimination performed during extraction, in a way which is independent but complementary to the main elimination principles of extraction (logical parts and types).

```
Extraction Implicit qualid [ ident_1 ... ident_n ].
```

This experimental command allows declaring some arguments of *qualid* as implicit, i.e. useless in extracted code and hence to be removed by extraction. Here *qualid* can be any function or inductive constructor, and  $ident_i$  are the names of the concerned arguments. In fact, an argument can also be referred by a number indicating its position, starting from 1.

When an actual extraction takes place, an error is normally raised if the Extraction Implicit declarations cannot be honored, that is if any of the implicited variables still occurs in the final code. This behavior can be relaxed via the following option:

```
Unset Extraction SafeImplicits.
```

Default is Set. When this option is Unset, a warning is emitted instead of an error if some implicited variables still occur in the final code of an extraction. This way, the extracted code may be obtained nonetheless and reviewed manually to locate the source of the issue (in the code, some comments mark the location of these remaining implicited variables). Note that this extracted code might not compile or run properly, depending of the use of these remaining implicited variables.

## 23.2.4 Realizing axioms

Extraction will fail if it encounters an informative axiom not realized (see Section 23.2.4). A warning will be issued if it encounters a logical axiom, to remind the user that inconsistent logical axioms may lead to incorrect or non-terminating extracted terms.

It is possible to assume some axioms while developing a proof. Since these axioms can be any kind of proposition or object or type, they may perfectly well have some computational content. But a program must be a closed term, and of course the system cannot guess the program which realizes an axiom. Therefore, it is possible to tell the system what ML term corresponds to a given axiom.

```
Extract Constant qualid => string.
```

Give an ML extraction for the given constant. The string may be an identifier or a quoted string.

```
Extract Inlined Constant qualid => string.
```

Same as the previous one, except that the given ML terms will be inlined everywhere instead of being declared via a let.

Note that the Extract Inlined Constant command is sugar for an Extract Constant followed by a Extraction Inline. Hence a Reset Extraction Inline will have an effect on the realized and inlined axiom.

Of course, it is the responsibility of the user to ensure that the ML terms given to realize the axioms do have the expected types. In fact, the strings containing realizing code are just copied to the extracted files. The extraction recognizes whether the realized axiom should become a ML type constant or a ML object declaration.

#### **Example:**

```
Coq < Axiom X:Set.
Coq < Axiom x:X.
Coq < Extract Constant X => "int".
Coq < Extract Constant x => "0".
```

Notice that in the case of type scheme axiom (i.e. whose type is an arity, that is a sequence of product finished by a sort), then some type variables have to be given. The syntax is then:

```
Extract Constant qualid string_1 ... string_n \Rightarrow string.
```

The number of type variables is checked by the system.

#### **Example:**

```
Coq < Axiom Y : Set \rightarrow Set \rightarrow Set.
Coq < Extract Constant Y "'a" "'b" \Rightarrow " 'a*'b ".
```

Realizing an axiom via Extract Constant is only useful in the case of an informative axiom (of sort Type or Set). A logical axiom have no computational content and hence will not appears in extracted terms. But a warning is nonetheless issued if extraction encounters a logical axiom. This warning reminds user that inconsistent logical axioms may lead to incorrect or non-terminating extracted terms.

If an informative axiom has not been realized before an extraction, a warning is also issued and the definition of the axiom is filled with an exception labeled AXIOM TO BE REALIZED. The user must then search these exceptions inside the extracted file and replace them by real code.

The system also provides a mechanism to specify ML terms for inductive types and constructors. For instance, the user may want to use the ML native boolean type instead of CoQ one. The syntax is the following:

```
Extract Inductive qualid => string [ string ... string ] optstring.
```

Give an ML extraction for the given inductive type. You must specify extractions for the type itself (first *string*) and all its constructors (between square brackets). If given, the final optional string should contain a function emulating pattern-matching over this inductive type. If this optional string is not given, the ML extraction must be an ML inductive datatype, and the native pattern-matching of the language will be used.

For an inductive type with k constructor, the function used to emulate the match should expect (k+1) arguments, first the k branches in functional form, and then the inductive element to destruct. For instance, the match branch |S| = foo gives the functional form (fun + n - foo). Note that a constructor with no argument is considered to have one unit argument, in order to block early evaluation of the branch: |O| = bar leads to the functional form (fun + (n - bar)). For instance, when extracting nat into int, the code to provide has type: (unit- > a) - (int- > a) - int- > a.

As for Extract Inductive, this command should be used with care:

• The ML code provided by the user is currently *not* checked at all by extraction, even for syntax errors.

- Extracting an inductive type to a pre-existing ML inductive type is quite sound. But extracting to a general type (by providing an ad-hoc pattern-matching) will often *not* be fully rigorously correct. For instance, when extracting nat to Ocaml's int, it is theoretically possible to build nat values that are larger than Ocaml's max\_int. It is the user's responsibility to be sure that no overflow or other bad events occur in practice.
- Translating an inductive type to an ML type does *not* magically improve the asymptotic complexity of functions, even if the ML type is an efficient representation. For instance, when extracting nat to Ocaml's int, the function mult stays quadratic. It might be interesting to associate this translation with some specific Extract Constant when primitive counterparts exist.

#### **Example:** Typical examples are the following:

```
Coq < Extract Inductive unit => "unit" [ "()" ].
Coq < Extract Inductive bool => "bool" [ "true" "false" ].
Coq < Extract Inductive sumbool => "bool" [ "true" "false" ].
```

If an inductive constructor or type has arity 2 and the corresponding string is enclosed by parenthesis, then the rest of the string is used as infix constructor or type.

```
Coq < Extract Inductive list \Rightarrow "list" [ "[]" "(::)" ].
Coq < Extract Inductive prod \Rightarrow "(*)" [ "(,)" ].
```

As an example of translation to a non-inductive datatype, let's turn nat into Ocaml's int (see caveat above):

## 23.2.5 Avoiding conflicts with existing filenames

When using Extraction Library, the names of the extracted files directly depends from the names of the CoQ files. It may happen that these filenames are in conflict with already existing files, either in the standard library of the target language or in other code that is meant to be linked with the extracted code. For instance the module List exists both in CoQ and in Ocaml. It is possible to instruct the extraction not to use particular filenames.

```
Extraction Blacklist ident ... ident.
```

Instruct the extraction to avoid using these names as filenames for extracted code.

```
Print Extraction Blacklist.
```

Show the current list of filenames the extraction should avoid.

```
Reset Extraction Blacklist.
```

Allow the extraction to use any filename.

For Ocaml, a typical use of these commands is Extraction Blacklist String List.

# 23.3 Differences between CoQ and ML type systems

Due to differences between CoQ and ML type systems, some extracted programs are not directly typable in ML. We now solve this problem (at least in Ocaml) by adding when needed some unsafe casting Obj.magic, which give a generic type 'a to any term.

For example, here are two kinds of problem that can occur:

• If some part of the program is *very* polymorphic, there may be no ML type for it. In that case the extraction to ML works alright but the generated code may be refused by the ML type-checker. A very well known example is the *distr-pair* function:

```
Definition dp := fun (A B:Set) (x:A) (y:B) (f:forall C:Set, C->C) => (f A x, f B y).
```

In Ocaml, for instance, the direct extracted term would be

```
let dp x y f = Pair((f () x), (f () y))
```

and would have type

```
dp : 'a -> 'a -> (unit -> 'a -> 'b) -> ('b,'b) prod
```

which is not its original type, but a restriction.

We now produce the following correct version:

```
let dp \times y f = Pair ((Obj.magic f () \times), (Obj.magic f () y))
```

• Some definitions of CoQ may have no counterpart in ML. This happens when there is a quantification over types inside the type of a constructor; for example:

```
Inductive anything: Type := dummy: forall A:Set, A -> anything.
```

which corresponds to the definition of an ML dynamic type. In Ocaml, we must cast any argument of the constructor dummy.

Even with those unsafe castings, you should never get error like "segmentation fault". In fact even if your program may seem ill-typed to the Ocaml type-checker, it can't go wrong: it comes from a Coq well-typed terms, so for example inductives will always have the correct number of arguments, etc.

More details about the correctness of the extracted programs can be found in [99].

We have to say, though, that in most "realistic" programs, these problems do not occur. For example all the programs of Coq library are accepted by Caml type-checker without any Obj.magic (see examples below).

# 23.4 Some examples

We present here two examples of extractions, taken from the COQ Standard Library. We choose OB-JECTIVE CAML as target language, but all can be done in the other dialects with slight modifications. We then indicate where to find other examples and tests of Extraction.

## 23.4.1 A detailed example: Euclidean division

The file Euclid contains the proof of Euclidean division (theorem  $eucl_dev$ ). The natural numbers defined in the example files are unary integers defined by two constructors O and S:

This module contains a theorem eucl\_dev, whose type is

```
forall b:nat, b > 0 -> forall a:nat, diveucl a b
```

where diveucl is a type for the pair of the quotient and the modulo, plus some logical assertions that disappear during extraction. We can now extract this program to OBJECTIVE CAML:

```
Coq < Require Import Euclid Wf_nat.
Coq < Extraction Inline gt_wf_rec lt_wf_rec induction_ltof2.</pre>
Coq < Recursive Extraction eucl_dev.
type nat =
10
| S of nat
type sumbool =
/ Left
/ Right
(** val sub : nat -> nat -> nat **)
let\ rec\ sub\ n\ m\ =
 match n with
  / O -> n
  / S k ->
    (match m with
     / O -> n
     | S 1 -> sub k 1 |
(** val le_lt_dec : nat -> nat -> sumbool **)
let rec le_lt_dec n m =
 match n with
  / O -> Left
  / S n0 ->
    (match m with
     / 0 -> Right
     | S m0 -> le_lt_dec n0 m0)
(** val le_gt_dec : nat -> nat -> sumbool **)
let le_gt_dec n m =
  le_lt_dec n m
type diveucl =
| Divex of nat * nat
(** val eucl_dev : nat -> nat -> diveucl **)
let rec eucl_dev n m =
  let s = le\_gt\_dec n m in
  (match s with
   / Left ->
     let d = let y = sub m n in eucl_dev n y in
     let Divex (q, r) = d in Divex ((S q), r)
   | Right -> Divex (O, m))
```

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The inlining of gt\_wf\_rec and others is not mandatory. It only enhances readability of extracted code. You can then copy-paste the output to a file euclid.ml or let CoQ do it for you with the following command:

```
Extraction "euclid" eucl_dev.
```

Let us play the resulting program:

```
# #use "euclid.ml";;
type nat = 0 | S of nat
type sumbool = Left | Right
val minus : nat -> nat -> nat = <fun>
val le_lt_dec : nat -> nat -> sumbool = <fun>
val le_gt_dec : nat -> nat -> sumbool = <fun>
type diveucl = Divex of nat * nat
val eucl_dev : nat -> nat -> diveucl = <fun>
# eucl_dev (S (S O)) (S (S (S (S O)))));;
- : diveucl = Divex (S (S O), S O)
```

It is easier to test on OBJECTIVE CAML integers:

```
# let rec nat_of_int = function 0 -> 0 | n -> S (nat_of_int (n-1));;
val nat_of_int : int -> nat = <fun>
# let rec int_of_nat = function 0 -> 0 | S p -> 1+(int_of_nat p);;
val int_of_nat : nat -> int = <fun>
# let div a b =
    let Divex (q,r) = eucl_dev (nat_of_int b) (nat_of_int a)
    in (int_of_nat q, int_of_nat r);;
val div : int -> int -> int * int = <fun>
# div 173 15;;
- : int * int = (11, 8)
```

Note that these nat\_of\_int and int\_of\_nat are now available via a mere Require Import ExtrOcamlIntConv and then adding these functions to the list of functions to extract. This file ExtrOcamlIntConv.v and some others in plugins/extraction/ are meant to help building concrete program via extraction.

#### 23.4.2 Extraction's horror museum

Some pathological examples of extraction are grouped in the file test-suite/success/extraction.v of the sources of CoQ.

#### 23.4.3 Users' Contributions

Several of the CoQ Users' Contributions use extraction to produce certified programs. In particular the following ones have an automatic extraction test:

- additions
- bdds

- canon-bdds
- chinese
- continuations
- coq-in-coq
- exceptions
- firing-squad
- founify
- graphs
- higman-cf
- higman-nw
- hardware
- multiplier
- search-trees
- stalmarck

continuations and multiplier are a bit particular. They are examples of developments where Obj.magic are needed. This is probably due to an heavy use of impredicativity. After compilation, those two examples run nonetheless, thanks to the correction of the extraction [99].

# **Chapter 24**

# **PROGRAM**

# **Matthieu Sozeau**

We present here the PROGRAM tactic commands, used to build certified CoQ programs, elaborating them from their algorithmic skeleton and a rich specification [135]. It can be thought of as a dual of extraction (see Chapter 23). The goal of PROGRAM is to program as in a regular functional programming language whilst using as rich a specification as desired and proving that the code meets the specification using the whole CoQ proof apparatus. This is done using a technique originating from the "Predicate subtyping" mechanism of PVS[132], which generates type-checking conditions while typing a term constrained to a particular type. Here we insert existential variables in the term, which must be filled with proofs to get a complete CoQ term. PROGRAM replaces the PROGRAM tactic by Catherine Parent [121] which had a similar goal but is no longer maintained.

The languages available as input are currently restricted to CoQ's term language, but may be extended to OBJECTIVE CAML, HASKELL and others in the future. We use the same syntax as CoQ and permit to use implicit arguments and the existing coercion mechanism. Input terms and types are typed in an extended system (RUSSELL) and interpreted into CoQ terms. The interpretation process may produce some proof obligations which need to be resolved to create the final term.

# 24.1 Elaborating programs

The main difference from CoQ is that an object in a type T: Set can be considered as an object of type  $\{x:T\mid P\}$  for any wellformed P: Prop. If we go from T to the subset of T verifying property P, we must prove that the object under consideration verifies it. RUSSELL will generate an obligation for every such coercion. In the other direction, RUSSELL will automatically insert a projection.

Another distinction is the treatment of pattern-matching. Apart from the following differences, it is equivalent to the standard match operation (see Section 4.5.3).

• Generation of equalities. A match expression is always generalized by the corresponding equality. As an example, the expression:

```
match x with \mid 0 \Rightarrow t \mid S n \Rightarrow u end.
```

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will be first rewritten to:

```
(match x as y return (x = y -> _) with | 0 => fun H : x = 0 -> t | S n => fun H : x = S n -> u end) (eq_refl n).
```

This permits to get the proper equalities in the context of proof obligations inside clauses, without which reasoning is very limited.

- Generation of inequalities. If a pattern intersects with a previous one, an inequality is added in the context of the second branch. See for example the definition of div2 below, where the second branch is typed in a context where  $\forall p, \_<>S(Sp)$ .
- Coercion. If the object being matched is coercible to an inductive type, the corresponding coercion will be automatically inserted. This also works with the previous mechanism.

### 24.1.1 Syntactic control over equalities

To give more control over the generation of equalities, the typechecker will fall back directly to CoQ's usual typing of dependent pattern-matching if a return or in clause is specified. Likewise, the if construct is not treated specially by PROGRAM so boolean tests in the code are not automatically reflected in the obligations. One can use the dec combinator to get the correct hypotheses as in:

```
Coq < Program Definition id (n : nat) : { x : nat | x = n } :=
        if dec (leb n 0) then 0
        else S (pred n).

id has type-checked, generating 2 obligation(s)

Solving obligations automatically...

2 obligations remaining

Obligation 1 of id:

(forall n : nat, (n <=? 0) = true -> (fun x : nat => x = n) 0).

Obligation 2 of id:

(forall n : nat,
  (n <=? 0) = false -> (fun x : nat => x = n) (S (Init.Nat.pred n))).
```

The let tupling construct let  $(x1, \ldots, xn) := t$  in b does not produce an equality, contrary to the let pattern construct let  $'(x1, \ldots, xn) := t$  in b. Also, term :> explicitly asks the system to coerce term to its support type. It can be useful in notations, for example:

```
Coq < Notation " x = y " := (@eq (x :>) (y :>)) (only parsing).
```

This notation denotes equality on subset types using equality on their support types, avoiding uses of proof-irrelevance that would come up when reasoning with equality on the subset types themselves.

The next two commands are similar to their standard counterparts Definition (see Section 1.3.2) and Fixpoint (see Section 1.3.4) in that they define constants. However, they may require the user to prove some goals to construct the final definitions.

## **24.1.2** Program Definition *ident* := *term*.

This command types the value *term* in RUSSELL and generates proof obligations. Once solved using the commands shown below, it binds the final COQ term to the name *ident* in the environment.

#### **Error messages:**

1. ident already exists

#### Variants:

- 1. Program Definition *ident*: term<sub>1</sub>:= term<sub>2</sub>. It interprets the type term<sub>1</sub>, potentially generating proof obligations to be resolved. Once done with them, we have a CoQ type term'<sub>1</sub>. It then checks that the type of the interpretation of term<sub>2</sub> is coercible to term'<sub>1</sub>, and registers ident as being of type term'<sub>1</sub> once the set of obligations generated during the interpretation of term<sub>2</sub> and the aforementioned coercion derivation are solved.
- 2. Program Definition  $ident\ binder_1 \dots binder_n\ : term_1\ :=\ term_2$ . This is equivalent to Program Definition  $ident\ :$  for all  $binder_1 \dots binder_n$ ,  $term_1\ :=\ fun\ binder_1 \dots binder_n => term_2$ .

#### **Error messages:**

1. In environment ... the term:  $term_2$  does not have type  $term_1$ . Actually, it has type  $term_3$ .

**See also:** Sections 6.10.1, 6.10.2, 8.7.5

```
24.1.3 Program Fixpoint ident params {order} : type := term
```

The structural fixpoint operator behaves just like the one of Coq (see Section 1.3.4), except it may also generate obligations. It works with mutually recursive definitions too.

```
Coq < Program Fixpoint div2 (n : nat) : { x : nat | n = 2 * x \/ n = 2 * x + 1 } :=
    match n with
    | S (S p) => S (div2 p)
    | _ => O
    end.
Solving obligations automatically...
4 obligations remaining
```

Here we have one obligation for each branch (branches for 0 and (S 0) are automatically generated by the pattern-matching compilation algorithm).

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One can use a well-founded order or a measure as termination orders using the syntax:

```
Coq < Program Fixpoint div2 (n : nat) {measure n} : { x : nat \mid n = 2 * x \setminus / n = 2 * x + 1 } := match n with 
 | S (S p) => S (div2 p) 
 | _ => O end.
```

The order annotation can be either:

- measure f (R)? where f is a value of type X computed on any subset of the arguments and the optional (parenthesised) term (R) is a relation on X. By default X defaults to nat and R to lt.
- wf R x which is equivalent to measure x (R).

Caution When defining structurally recursive functions, the generated obligations should have the prototype of the currently defined functional in their context. In this case, the obligations should be transparent (e.g. defined using Defined) so that the guardedness condition on recursive calls can be checked by the kernel's type-checker. There is an optimization in the generation of obligations which gets rid of the hypothesis corresponding to the functional when it is not necessary, so that the obligation can be declared opaque (e.g. using Qed). However, as soon as it appears in the context, the proof of the obligation is *required* to be declared transparent.

No such problems arise when using measures or well-founded recursion.

```
24.1.4 Program Lemma ident : type.
```

The RUSSELL language can also be used to type statements of logical properties. It will generate obligations, try to solve them automatically and fail if some unsolved obligations remain. In this case, one can first define the lemma's statement using Program Definition and use it as the goal afterwards. Otherwise the proof will be started with the elaborated version as a goal. The Program prefix can similarly be used as a prefix for Variable, Hypothesis, Axiom etc...

# 24.2 Solving obligations

The following commands are available to manipulate obligations. The optional identifier is used when multiple functions have unsolved obligations (e.g. when defining mutually recursive blocks). The optional tactic is replaced by the default one if not specified.

- [Local|Global] Obligation Tactic := expr Sets the default obligation solving tactic applied to all obligations automatically, whether to solve them or when starting to prove one, e.g. using Next. Local makes the setting last only for the current module. Inside sections, local is the default.
- Show Obligation Tactic Displays the current default tactic.
- Obligations [of *ident*] Displays all remaining obligations.
- Obligation num [of *ident*] Start the proof of obligation num.

- Next Obligation [of *ident*] Start the proof of the next unsolved obligation.
- Solve Obligations [of *ident*] [with *expr*] Tries to solve each obligation of *ident* using the given tactic or the default one.
- Solve All Obligations [with *expr*] Tries to solve each obligation of every program using the given tactic or the default one (useful for mutually recursive definitions).
- Admit Obligations [of *ident*] Admits all obligations (does not work with structurally recursive programs).
- Preterm [of *ident*] Shows the term that will be fed to the kernel once the obligations are solved. Useful for debugging.
- Set Transparent Obligations Control whether all obligations should be declared as transparent (the default), or if the system should infer which obligations can be declared opaque.
- Set Hide Obligations Control whether obligations appearing in the term should be hidden as implicit arguments of the special constant Program. Tactics.obligation.
- Set Shrink Obligations Control whether obligations defined by tactics should have their context minimized to the set of variables used in the proof of the obligation, to avoid unnecessary dependencies.

The module Coq.Program.Tactics defines the default tactic for solving obligations called program\_simpl. Importing Coq.Program.Program also adds some useful notations, as documented in the file itself.

# 24.3 Frequently Asked Questions

• Ill-formed recursive definitions This error can happen when one tries to define a function by structural recursion on a subset object, which means the Coq function looks like:

```
Program Fixpoint f (x : A \mid P) := match x with A b => f b end.
```

Supposing b:A, the argument at the recursive call to f is not a direct subterm of x as b is wrapped inside an exist constructor to build an object of type  $\{x:A\mid P\}$ . Hence the definition is rejected by the guardedness condition checker. However one can use wellfounded recursion on subset objects like this:

```
Program Fixpoint f (x : A | P) { measure (size x) } := match x with A b \Rightarrow f b end.
```

One will then just have to prove that the measure decreases at each recursive call. There are three drawbacks though:

- 1. A measure function has to be defined;
- 2. The reduction is a little more involved, although it works well using lazy evaluation;
- 3. Mutual recursion on the underlying inductive type isn't possible anymore, but nested mutual recursion is always possible.

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# Chapter 25

# The ring and field tactic families

# Bruno Barras, Benjamin Grégoire, Assia Mahboubi, Laurent Théry<sup>1</sup>

This chapter presents the tactics dedicated to deal with ring and field equations.

#### 25.1 What does this tactic do?

ring does associative-commutative rewriting in ring and semi-ring structures. Assume you have two binary functions  $\oplus$  and  $\otimes$  that are associative and commutative, with  $\oplus$  distributive on  $\otimes$ , and two constants 0 and 1 that are unities for  $\oplus$  and  $\otimes$ . A *polynomial* is an expression built on variables  $V_0, V_1, \ldots$  and constants by application of  $\oplus$  and  $\otimes$ .

Let an ordered product be a product of variables  $V_{i_1} \otimes \ldots \otimes V_{i_n}$  verifying  $i_1 \leq i_2 \leq \cdots \leq i_n$ . Let a monomial be the product of a constant and an ordered product. We can order the monomials by the lexicographic order on products of variables. Let a canonical sum be an ordered sum of monomials that are all different, i.e. each monomial in the sum is strictly less than the following monomial according to the lexicographic order. It is an easy theorem to show that every polynomial is equivalent (modulo the ring properties) to exactly one canonical sum. This canonical sum is called the normal form of the polynomial. In fact, the actual representation shares monomials with same prefixes. So what does ring? It normalizes polynomials over any ring or semi-ring structure. The basic use of ring is to simplify ring expressions, so that the user does not have to deal manually with the theorems of associativity and commutativity.

# **Examples:**

1. In the ring of integers, the normal form of x(3+yx+25(1-z))+zx is 28x+(-24)xz+xxy.

ring is also able to compute a normal form modulo monomial equalities. For example, under the hypothesis that  $2x^2 = yz + 1$ , the normal form of 2(x+1)x - x - zy is x+1.

<sup>&</sup>lt;sup>1</sup>based on previous work from Patrick Loiseleur and Samuel Boutin

# 25.2 The variables map

It is frequent to have an expression built with + and  $\times$ , but rarely on variables only. Let us associate a number to each subterm of a ring expression in the GALLINA language. For example in the ring nat, consider the expression:

```
(plus (mult (plus (f (5)) x) x)

(mult (if b then (4) else (f (3))) (2)))
```

As a ring expression, it has 3 subterms. Give each subterm a number in an arbitrary order:

```
0 \mapsto \text{if b then (4) else (f (3))}

1 \mapsto \text{(f (5))}

2 \mapsto x
```

Then normalize the "abstract" polynomial

$$((V_1 \otimes V_2) \oplus V_2) \oplus (V_0 \otimes 2)$$

In our example the normal form is:

$$(2 \otimes V_0) \oplus (V_1 \otimes V_2) \oplus (V_2 \otimes V_2)$$

Then substitute the variables by their values in the variables map to get the concrete normal polynomial:

```
(plus (mult (2) (if b then (4) else (f (3))))

(plus (mult (f (5)) x) (mult x x)))
```

## 25.3 Is it automatic?

Yes, building the variables map and doing the substitution after normalizing is automatically done by the tactic. So you can just forget this paragraph and use the tactic according to your intuition.

# 25.4 Concrete usage in CoQ

The ring tactic solves equations upon polynomial expressions of a ring (or semi-ring) structure. It proceeds by normalizing both hand sides of the equation (w.r.t. associativity, commutativity and distributivity, constant propagation, rewriting of monomials) and comparing syntactically the results.

ring\_simplify applies the normalization procedure described above to the terms given. The tactic then replaces all occurrences of the terms given in the conclusion of the goal by their normal forms. If no term is given, then the conclusion should be an equation and both hand sides are normalized. The tactic can also be applied in a hypothesis.

The tactic must be loaded by Require Import Ring. The ring structures must be declared with the Add Ring command (see below). The ring of booleans is predefined; if one wants to use the tactic on nat one must first require the module ArithRing (exported by Arith); for Z, do Require Import ZArithRing or simply Require Import ZArith; for N, do Require Import NArithRing or Require Import NArith.

#### **Example:**

```
Coq < Require Import ZArith.
Coq < Open Scope Z_scope.
Coq < Goal forall a b c:Z,
       (a + b + c)^2 =
       a * a + b^2 + c * c + 2 * a * b + 2 * a * c + 2 * b * c.
1 subgoal
  ______
 forall a b c : Z,
  (a + b + c) ^2 = a * a + b ^2 + c * c + 2 * a * b + 2 * a * c + 2 * b * c
Cog < intros; ring.
No more subgoals.
Coq < Goal forall a b:Z, 2*a*b = 30 ->
             (a+b)^2 = a^2 + b^2 + 30.
1 subgoal
 forall a b : Z, 2 * a * b = 30 -> (a + b) ^ 2 = a ^ 2 + b ^ 2 + 30
Coq < intros a b H; ring [H].
No more subgoals.
```

#### **Variants:**

- 1. ring [ $term_1$  ...  $term_n$ ] decides the equality of two terms modulo ring operations and rewriting of the equalities defined by  $term_1$  ...  $term_n$ . Each of  $term_1$  ...  $term_n$  has to be a proof of some equality m = p, where m is a monomial (after "abstraction"), p a polynomial and p the corresponding equality of the ring structure.
- 2. ring\_simplify [ $term_1$  ...  $term_n$ ]  $t_1...t_m$  in ident performs the simplification in the hypothesis named ident.

**Warning:** ring\_simplify  $term_1$ ; ring\_simplify  $term_2$  is not equivalent to ring\_simplify  $term_1$   $term_2$ . In the latter case the variables map is shared between the two terms, and common subterm t of  $term_1$  and  $term_2$  will have the same associated variable number. So the first alternative should be avoided for terms belonging to the same ring theory.

#### **Error messages:**

- 1. not a valid ring equation The conclusion of the goal is not provable in the corresponding ring theory.
- arguments of ring\_simplify do not have all the same type ring\_simplify cannot simplify terms of several rings at the same time. Invoke the tactic once per ring structure.
- 3. cannot find a declared ring structure over term No ring has been declared for the type of the terms to be simplified. Use Add Ring first.
- 4. cannot find a declared ring structure for equality term Same as above is the case of the ring tactic.

# 25.5 Adding a ring structure

Declaring a new ring consists in proving that a ring signature (a carrier set, an equality, and ring operations: Ring\_theory.ring\_theory and Ring\_theory.semi\_ring\_theory) satisfies the ring axioms. Semi-rings (rings without + inverse) are also supported. The equality can be either Leibniz equality, or any relation declared as a setoid (see 27.2.2). The definition of ring and semi-rings (see module Ring\_theory) is:

```
Record ring_theory : Prop := mk_rt {
 Radd_0_1 : forall x, 0 + x == x;
             : forall x y, x + y == y + x;
 Radd_sym
 Radd_assoc : forall x y z, x + (y + z) == (x + y) + z;
 Rmul 1 l
            : forall x, 1 * x == x;
 Rmul_sym
             : forall x y, x * y == y * x;
 Rmul_assoc : forall x y z, x * (y * z) == (x * y) * z;
            : forall x y z, (x + y) * z == (x * z) + (y * z);
 Rdistr_l
 Rsub_def : forall x y, x - y == x + -y;
 Ropp_def : forall x, x + (-x) == 0
} .
Record semi_ring_theory : Prop := mk_srt {
  SRadd_0_1 : forall n, 0 + n == n;
  SRadd_sym: forall n m, n + m == m + n;
  SRadd_assoc : forall n m p, n + (m + p) == (n + m) + p;
  SRmul 1 l : forall n, 1*n == n;
  SRmul_0_1 : forall n, 0*n == 0;
  SRmul_sym : forall n m, n*m == m*n;
  SRmul_assoc : forall n m p, n*(m*p) == (n*m)*p;
  SRdistr_l : forall n m p, (n + m)*p == n*p + m*p
} .
```

This implementation of ring also features a notion of constant that can be parameterized. This can be used to improve the handling of closed expressions when operations are effective. It consists in introducing a type of *coefficients* and an implementation of the ring operations, and a morphism from the coefficient type to the ring carrier type. The morphism needs not be injective, nor surjective.

As an example, one can consider the real numbers. The set of coefficients could be the rational numbers, upon which the ring operations can be implemented. The fact that there exists a morphism is defined by the following properties:

```
Record semi_morph : Prop := mkRmorph {
   Smorph0 : [c0] == 0;
   Smorph1 : [cI] == 1;
   Smorph_add : forall x y, [x +! y] == [x]+[y];
   Smorph_mul : forall x y, [x *! y] == [x]*[y];
   Smorph_eq : forall x y, x?=!y = true -> [x] == [y]
}.
```

where c0 and cI denote the 0 and 1 of the coefficient set, +!, \*!, -! are the implementations of the ring operations, == is the equality of the coefficients, ?+! is an implementation of this equality, and [x] is a notation for the image of x by the ring morphism.

Since Z is an initial ring (and N is an initial semi-ring), it can always be considered as a set of coefficients. There are basically three kinds of (semi-)rings:

**abstract rings** to be used when operations are not effective. The set of coefficients is Z (or N for semirings).

**computational rings** to be used when operations are effective. The set of coefficients is the ring itself. The user only has to provide an implementation for the equality.

customized ring for other cases. The user has to provide the coefficient set and the morphism.

This implementation of ring can also recognize simple power expressions as ring expressions. A power function is specified by the following property:

```
Section POWER.
   Variable Cpow : Set.
   Variable Cp_phi : N -> Cpow.
   Variable rpow : R -> Cpow -> R.

   Record power_theory : Prop := mkpow_th {
      rpow_pow_N : forall r n, req (rpow r (Cp_phi n)) (pow_N rI rmul r n)
   }.

End POWER.
```

The syntax for adding a new ring is Add Ring  $name: ring (mod_1, \ldots, mod_2)$ . The name is not relevant. It is just used for error messages. The term ring is a proof that the ring signature satisfies the (semi-)ring axioms. The optional list of modifiers is used to tailor the behavior of the tactic. The following list describes their syntax and effects:

abstract declares the ring as abstract. This is the default.

```
decidable term declares the ring as computational. The expression term is the correctness proof of an equality test ?=! (which should be evaluable). Its type should be of the form forall x y, x?=!y = true \rightarrow x == y.
```

morphism term declares the ring as a customized one. The expression term is a proof that there exists a morphism between a set of coefficient and the ring carrier (see Ring\_theory.ring\_morph and Ring theory.semi morph).

- setoid  $term_1$   $term_2$  forces the use of given setoid. The expression  $term_1$  is a proof that the equality is indeed a setoid (see Setoid.Setoid\_Theory), and  $term_2$  a proof that the ring operations are morphisms (see Ring\_theory.ring\_eq\_ext and Ring\_theory.sring\_eq\_ext). This modifier needs not be used if the setoid and morphisms have been declared.
- constants [ $\mathcal{L}_{tac}$ ] specifies a tactic expression that, given a term, returns either an object of the coefficient set that is mapped to the expression via the morphism, or returns InitialRing.NotConstant. The default behavior is to map only 0 and 1 to their counterpart in the coefficient set. This is generally not desirable for non trivial computational rings.
- **preprocess** [ $\mathcal{L}_{tac}$ ] specifies a tactic that is applied as a preliminary step for ring and ring\_simplify. It can be used to transform a goal so that it is better recognized. For instance, S n can be changed to plus 1 n.
- **postprocess** [ $\mathcal{L}_{tac}$ ] specifies a tactic that is applied as a final step for ring\_simplify. For instance, it can be used to undo modifications of the preprocessor.
- power\_tac term [ $\mathcal{L}_{tac}$ ] allows ring and ring\_simplify to recognize power expressions with a constant positive integer exponent (example:  $x^2$ ). The term term is a proof that a given power function satisfies the specification of a power function (term has to be a proof of Ring\_theory.power\_theory) and  $\mathcal{L}_{tac}$  specifies a tactic expression that, given a term, "abstracts" it into an object of type N whose interpretation via Cp\_phi (the evaluation function of power coefficient) is the original term, or returns InitialRing.NotConstant if not a constant coefficient (i.e.  $\mathcal{L}_{tac}$  is the inverse function of Cp\_phi). See files plugins/setoid\_ring/ZArithRing.v and plugins/setoid\_ring/RealField.v for examples. By default the tactic does not recognize power expressions as ring expressions.
- **sign term** allows ring\_simplify to use a minus operation when outputing its normal form, i.e writing x-y instead of x+(-y). The term term is a proof that a given sign function indicates expressions that are signed (term has to be a proof of Ring\_theory.get\_sign). See plugins/setoid\_ring/IntialRing.v for examples of sign function.
- **div** *term* allows ring and ring\_simplify to use moniomals with coefficient other than 1 in the rewriting. The term *term* is a proof that a given division function satisfies the specification of an euclidean division function (*term* has to be a proof of Ring\_theory.div\_theory). For example, this function is called when trying to rewrite 7x by 2x = z to tell that 7 = 3\*2+1. See plugins/setoid ring/IntialRing.v for examples of div function.

#### **Error messages:**

- 1. bad ring structure The proof of the ring structure provided is not of the expected type.
- 2. bad lemma for decidability of equality The equality function provided in the case of a computational ring has not the expected type.
- 3. ring *operation* should be declared as a morphism A setoid associated to the carrier of the ring structure as been found, but the ring operation should be declared as morphism. See 27.2.2.

25.6 How does it work? 435

#### 25.6 How does it work?

The code of ring is a good example of tactic written using *reflection*. What is reflection? Basically, it is writing CoQ tactics in CoQ, rather than in OBJECTIVE CAML. From the philosophical point of view, it is using the ability of the Calculus of Constructions to speak and reason about itself. For the ring tactic we used CoQ as a programming language and also as a proof environment to build a tactic and to prove it correctness.

The interested reader is strongly advised to have a look at the file Ring\_polynom.v. Here a type for polynomials is defined:

```
Inductive PExpr : Type :=
    | PEc : C -> PExpr
    | PEX : positive -> PExpr
    | PEadd : PExpr -> PExpr -> PExpr
    | PEsub : PExpr -> PExpr -> PExpr
    | PEmul : PExpr -> PExpr -> PExpr
    | PEopp : PExpr -> PExpr
    | PEpow : PExpr -> N -> PExpr.
```

Polynomials in normal form are defined as:

```
Inductive Pol : Type :=
    | Pc : C -> Pol
    | Pinj : positive -> Pol -> Pol
    | PX : Pol -> positive -> Pol -> Pol.
```

where Pinj n P denotes P in which  $V_i$  is replaced by  $V_{i+n}$ , and PX P n Q denotes  $P \otimes V_1^n \oplus Q'$ , Q' being Q where  $V_i$  is replaced by  $V_{i+1}$ .

Variables maps are represented by list of ring elements, and two interpretation functions, one that maps a variables map and a polynomial to an element of the concrete ring, and the second one that does the same for normal forms:

```
Definition PEeval : list R \rightarrow PExpr \rightarrow R := [...]. Definition Pphi_dev : list R \rightarrow Pol \rightarrow R := [...].
```

A function to normalize polynomials is defined, and the big theorem is its correctness w.r.t interpretation, that is:

```
Definition norm : PExpr -> Pol := [...].
Lemma Pphi_dev_ok :
   forall l pe npe, norm pe = npe -> PEeval l pe == Pphi_dev l npe.
```

So now, what is the scheme for a normalization proof? Let p be the polynomial expression that the user wants to normalize. First a little piece of ML code guesses the type of p, the ring theory T to use, an abstract polynomial ap and a variables map v such that p is  $\beta\delta\iota$ -equivalent to (PEeval v ap). Then we replace it by (Pphi\_dev v (norm ap)), using the main correctness theorem and we reduce it to a concrete expression p', which is the concrete normal form of p. This is summarized in this diagram:

```
p \rightarrow_{\beta\delta\iota} (PEeval v ap)
=_{\text{(by the main correctness theorem)}}
p' \leftarrow_{\beta\delta\iota} (Pphi_dev v (norm ap))
```

The user do not see the right part of the diagram. From outside, the tactic behaves like a  $\beta \delta \iota$  simplification extended with AC rewriting rules. Basically, the proof is only the application of the main correctness theorem to well-chosen arguments.

## 25.7 Dealing with fields

The field tactic is an extension of the ring to deal with rational expression. Given a rational expression F=0. It first reduces the expression F to a common denominator N/D=0 where N and D are two ring expressions. For example, if we take F=(1-1/x)x-x+1, this gives  $N=(x-1)x-x^2+x$  and D=x. It then calls ring to solve N=0. Note that field also generates non-zero conditions for all the denominators it encounters in the reduction. In our example, it generates the condition  $x\neq 0$ . These conditions appear as one subgoal which is a conjunction if there are several denominators. Non-zero conditions are *always* polynomial expressions. For example when reducing the expression 1/(1+1/x), two side conditions are generated:  $x\neq 0$  and  $x+1\neq 0$ . Factorized expressions are broken since a field is an integral domain, and when the equality test on coefficients is complete w.r.t. the equality of the target field, constants can be proven different from zero automatically.

The tactic must be loaded by Require Import Field. New field structures can be declared to the system with the Add Field command (see below). The field of real numbers is defined in module RealField (in textttplugins/setoid\_ring). It is exported by module Rbase, so that requiring Rbase or Reals is enough to use the field tactics on real numbers. Rational numbers in canonical form are also declared as a field in module Qcanon.

#### **Example:**

#### Variants:

- 1. field [ $term_1$  ...  $term_n$ ] decides the equality of two terms modulo field operations and rewriting of the equalities defined by  $term_1$  ...  $term_n$ . Each of  $term_1$  ...  $term_n$  has to be a proof of some equality m = p, where m is a monomial (after "abstraction"), p a polynomial and p the corresponding equality of the field structure. Beware that rewriting works with the equality p is a polynomial since rewriting is handled by the underlying ring tactic.
- 2. field\_simplify performs the simplification in the conclusion of the goal,  $F_1 = F_2$  becomes  $N_1/D_1 = N_2/D_2$ . A normalization step (the same as the one for rings) is then applied to  $N_1$ ,  $D_1$ ,

 $N_2$  and  $D_2$ . This way, polynomials remain in factorized form during the fraction simplifications. This yields smaller expressions when reducing to the same denominator since common factors can be canceled.

- 3. field\_simplify [ $term_1$  ...  $term_n$ ] performs the simplification in the conclusion of the goal using the equalities defined by  $term_1$  ...  $term_n$ .
- 4. field\_simplify [ $term_1$  ...  $term_n$ ]  $t_1$  ...  $t_m$  performs the simplification in the terms  $t_1$  ...  $t_m$  of the conclusion of the goal using the equalities defined by  $term_1$  ...  $term_n$ .
- 5. field\_simplify in H performs the simplification in the assumption H.
- 6. field\_simplify [ $term_1$  ...  $term_n$ ] in H performs the simplification in the assumption H using the equalities defined by  $term_1$  ...  $term_n$ .
- 7. field\_simplify [ $term_1$  ...  $term_n$ ]  $t_1$  ...  $t_m$  in H performs the simplification in the terms  $t_1 \ldots t_n$  of the assumption H using the equalities defined by  $term_1 \ldots term_m$ .
- 8. field\_simplify\_eq performs the simplification in the conclusion of the goal removing the denominator.  $F_1 = F_2$  becomes  $N_1D_2 = N_2D_1$ .
- 9. field\_simplify\_eq [ $term_1$  ...  $term_n$ ] performs the simplification in the conclusion of the goal using the equalities defined by  $term_1$  ...  $term_n$ .
- 10. field\_simplify\_eq in H performs the simplification in the assumption H.
- 11. field\_simplify\_eq [ $term_1$  ...  $term_n$ ] in H performs the simplification in the assumption H using the equalities defined by  $term_1$  ...  $term_n$ .

# 25.8 Adding a new field structure

Declaring a new field consists in proving that a field signature (a carrier set, an equality, and field operations: Field\_theory.field\_theory and Field\_theory.semi\_field\_theory) satisfies the field axioms. Semi-fields (fields without + inverse) are also supported. The equality can be either Leibniz equality, or any relation declared as a setoid (see 27.2.2). The definition of fields and semi-fields is:

```
Record field_theory : Prop := mk_field {
   F_R : ring_theory rO rI radd rmul rsub ropp req;
   F_1_neq_0 : ~ 1 == 0;
   Fdiv_def : forall p q, p / q == p * / q;
   Finv_l : forall p, ~ p == 0 -> / p * p == 1
}.

Record semi_field_theory : Prop := mk_sfield {
   SF_SR : semi_ring_theory rO rI radd rmul req;
   SF_1_neq_0 : ~ 1 == 0;
   SFdiv_def : forall p q, p / q == p * / q;
   SFinv_l : forall p, ~ p == 0 -> / p * p == 1
}.
```

The result of the normalization process is a fraction represented by the following type:

```
Record linear : Type := mk_linear {
  num : PExpr C;
  denum : PExpr C;
  condition : list (PExpr C)
}.
```

where num and denum are the numerator and denominator; condition is a list of expressions that have appeared as a denominator during the normalization process. These expressions must be proven different from zero for the correctness of the algorithm.

The syntax for adding a new field is Add Field  $name: field \ (mod_1, \ldots, mod_2)$ . The name is not relevant. It is just used for error messages. field is a proof that the field signature satisfies the (semi-)field axioms. The optional list of modifiers is used to tailor the behavior of the tactic. Since field tactics are built upon ring tactics, all modifiers of the Add Ring apply. There is only one specific modifier:

**completeness** *term* allows the field tactic to prove automatically that the image of non-zero coefficients are mapped to non-zero elements of the field. *term* is a proof of forall x y, [x] == [y] -> x?=!y = true, which is the completeness of equality on coefficients w.r.t. the field equality.

## 25.9 History of ring

First Samuel Boutin designed the tactic ACDSimpl. This tactic did lot of rewriting. But the proofs terms generated by rewriting were too big for CoQ's type-checker. Let us see why:

At each step of rewriting, the whole context is duplicated in the proof term. Then, a tactic that does hundreds of rewriting generates huge proof terms. Since ACDSimpl was too slow, Samuel Boutin rewrote it using reflection (see his article in TACS'97 [19]). Later, the stuff was rewritten by Patrick Loiseleur: the new tactic does not any more require ACDSimpl to compile and it makes use of  $\beta\delta\iota$ -reduction not only to replace the rewriting steps, but also to achieve the interleaving of computation and reasoning (see 25.10). He also wrote a few ML code for the Add Ring command, that allow to register new rings dynamically.

25.10 Discussion 439

Proofs terms generated by ring are quite small, they are linear in the number of  $\oplus$  and  $\otimes$  operations in the normalized terms. Type-checking those terms requires some time because it makes a large use of the conversion rule, but memory requirements are much smaller.

#### 25.10 Discussion

Efficiency is not the only motivation to use reflection here. ring also deals with constants, it rewrites for example the expression 34+2\*x-x+12 to the expected result x+46. For the tactic ACDSimpl, the only constants were 0 and 1. So the expression 34+2\*(x-1)+12 is interpreted as  $V_0 \oplus V_1 \otimes (V_2 \oplus 1) \oplus V_3$ , with the variables mapping  $\{V_0 \mapsto 34; V_1 \mapsto 2; V_2 \mapsto x; V_3 \mapsto 12\}$ . Then it is rewritten to 34-x+2\*x+12, very far from the expected result. Here rewriting is not sufficient: you have to do some kind of reduction (some kind of *computation*) to achieve the normalization.

The tactic ring is not only faster than a classical one: using reflection, we get for free integration of computation and reasoning that would be very complex to implement in the classic fashion.

Is it the ultimate way to write tactics? The answer is: yes and no. The ring tactic uses intensively the conversion rule of CIC, that is replaces proof by computation the most as it is possible. It can be useful in all situations where a classical tactic generates huge proof terms. Symbolic Processing and Tautologies are in that case. But there are also tactics like auto or linear that do many complex computations, using side-effects and backtracking, and generate a small proof term. Clearly, it would be significantly less efficient to replace them by tactics using reflection.

Another idea suggested by Benjamin Werner: reflection could be used to couple an external tool (a rewriting program or a model checker) with CoQ. We define (in CoQ) a type of terms, a type of *traces*, and prove a correction theorem that states that *replaying traces* is safe w.r.t some interpretation. Then we let the external tool do every computation (using side-effects, backtracking, exception, or others features that are not available in pure lambda calculus) to produce the trace: now we can check in Coq that the trace has the expected semantic by applying the correction lemma.

# Chapter 26

# Nsatz: tactics for proving equalities in integral domains

### **Loic Pottier**

The tactic nsatz proves goals of the form

$$\forall X_1, \dots, X_n \in A, P_1(X_1, \dots, X_n) = Q_1(X_1, \dots, X_n), \dots, P_s(X_1, \dots, X_n) = Q_s(X_1, \dots, X_n) \vdash P(X_1, \dots, X_n) = Q(X_1, \dots, X_n)$$

where  $P, Q, P_1, Q_1, \ldots, P_s, Q_s$  are polynomials and A is an integral domain, i.e. a commutative ring with no zero divisor. For example, A can be  $\mathbb{R}, \mathbb{Z}$ , of  $\mathbb{Q}$ . Note that the equality = used in these goals can be any setoid equality (see 27.2.2), not only Leibnitz equality.

It also proves formulas

$$\forall X_1, ..., X_n \in A, P_1(X_1, ..., X_n) = Q_1(X_1, ..., X_n) \wedge ... \wedge P_s(X_1, ..., X_n) = Q_s(X_1, ..., X_n) \rightarrow P(X_1, ..., X_n) = Q(X_1, ..., X_n)$$

doing automatic introductions.

## 26.1 Using the basic tactic nsatz

Load the Nsatz module: Require Import Nsatz. and use the tactic nsatz.

#### 26.2 More about nsatz

Hilbert's Nullstellensatz theorem shows how to reduce proofs of equalities on polynomials on a commutative ring A with no zero divisor to algebraic computations: it is easy to see that if a polynomial P in  $A[X_1, \ldots, X_n]$  verifies  $cP^r = \sum_{i=1}^s S_i P_i$ , with  $c \in A$ ,  $c \neq 0$ , r a positive integer, and the  $S_i$ s in  $A[X_1, \ldots, X_n]$ , then P is zero whenever polynomials  $P_1, \ldots, P_s$  are zero (the converse is also true when A is an algebraic closed field: the method is complete).

So, proving our initial problem can reduce into finding  $S_1, \ldots, S_s$ , c and r such that  $c(P-Q)^r = \sum_i S_i(P_i - Q_i)$ , which will be proved by the tactic ring.

This is achieved by the computation of a Groebner basis of the ideal generated by  $P_1 - Q_1, ..., P_s - Q_s$ , with an adapted version of the Buchberger algorithm.

This computation is done after a step of reification, which is performed using Type Classes (see 20)

The  $\mathtt{Nsatz}$  module defines the tactic  $\mathtt{nsatz}$ , which can be used without arguments:  $\mathtt{nsatz}$ 

#### or with the syntax:

nsatz with radicalmax:=number%N strategy:=number%Z parameters:=list of variables variables:=list of variables where:

- radicalmax is a bound when for searching r s.t. $c(P-Q)^r = \sum_{i=1,s} S_i(P_i-Q_i)$
- strategy gives the order on variables  $X_1, ... X_n$  and the strategy used in Buchberger algorithm (see [72] for details):
  - strategy = 0: reverse lexicographic order and newest s-polynomial.
  - strategy = 1: reverse lexicographic order and sugar strategy.
  - strategy = 2: pure lexicographic order and newest s-polynomial.
  - strategy = 3: pure lexicographic order and sugar strategy.
- parameters is the list of variables  $X_{i_1},\ldots,X_{i_k}$  among  $X_1,\ldots,X_n$  which are considered as parameters: computation will be performed with rational fractions in these variables, i.e. polynomials are considered with coefficients in  $R(X_{i_1},\ldots,X_{i_k})$ . In this case, the coefficient c can be a non constant polynomial in  $X_{i_1},\ldots,X_{i_k}$ , and the tactic produces a goal which states that c is not zero.
- variables is the list of the variables in the decreasing order in which they will be used in Buchberger algorithm. If variables = (@nil R), then lvar is replaced by all the variables which are not in parameters.

See file Nsatz.v for many examples, specially in geometry.

# **Chapter 27**

# Generalized rewriting

## Matthieu Sozeau

This chapter presents the extension of several equality related tactics to work over user-defined structures (called setoids) that are equipped with ad-hoc equivalence relations meant to behave as equalities. Actually, the tactics have also been generalized to relations weaker then equivalences (e.g. rewriting systems). The toolbox also extends the automatic rewriting capabilities of the system, allowing the specification of custom strategies for rewriting.

This documentation is adapted from the previous setoid documentation by Claudio Sacerdoti Coen (based on previous work by Clément Renard). The new implementation is a drop-in replacement for the old one, hence most of the documentation still applies.

The work is a complete rewrite of the previous implementation, based on the type class infrastructure. It also improves on and generalizes the previous implementation in several ways:

- User-extensible algorithm. The algorithm is separated in two parts: generations of the rewriting constraints (done in ML) and solving of these constraints using type class resolution. As type class resolution is extensible using tactics, this allows users to define general ways to solve morphism constraints.
- Sub-relations. An example extension to the base algorithm is the ability to define one relation as a subrelation of another so that morphism declarations on one relation can be used automatically for the other. This is done purely using tactics and type class search.
- Rewriting under binders. It is possible to rewrite under binders in the new implementation, if one provides the proper morphisms. Again, most of the work is handled in the tactics.
- First-class morphisms and signatures. Signatures and morphisms are ordinary Coq terms, hence they can be manipulated inside Coq, put inside structures and lemmas about them can be proved inside the system. Higher-order morphisms are also allowed.
- Performance. The implementation is based on a depth-first search for the first solution to a set of constraints which can be as fast as linear in the size of the term, and the size of the proof term is linear in the size of the original term. Besides, the extensibility allows the user to customize the proof search if necessary.

<sup>&</sup>lt;sup>1</sup>Nicolas Tabareau helped with the gluing.

## 27.1 Introduction to generalized rewriting

#### 27.1.1 Relations and morphisms

A parametric relation R is any term of type forall  $(x_1:T_1)$  ...  $(x_n:T_n)$ , relation A. The expression A, which depends on  $x_1 \ldots x_n$ , is called the *carrier* of the relation and R is said to be a relation over A; the list  $x_1, \ldots, x_n$  is the (possibly empty) list of parameters of the relation.

**Example 1 (Parametric relation)** It is possible to implement finite sets of elements of type A as unordered list of elements of type A. The function set\_eq: forall (A: Type), relation (list A) satisfied by two lists with the same elements is a parametric relation over (list A) with one parameter A. The type of set\_eq is convertible with forall (A: Type), list A -> list A -> Prop.

An *instance* of a parametric relation R with n parameters is any term  $(R \ t_1 \ \dots t_n)$ .

Let R be a relation over A with n parameters. A term is a parametric proof of reflexivity for R if it has type forall  $(x_1:T_1)$  ...  $(x_n:T_n)$ , reflexive  $(R x_1 ... x_n)$ . Similar definitions are given for parametric proofs of symmetry and transitivity.

**Example 2 (Parametric relation (cont.))** The set\_eq relation of the previous example can be proved to be reflexive, symmetric and transitive.

A parametric unary function f of type forall  $(x_1:T_1)$  ...  $(x_n:T_n)$ ,  $A_1 \rightarrow A_2$  covariantly respects two parametric relation instances  $R_1$  and  $R_2$  if, whenever x,y satisfy  $R_1$  x y, their images  $(f \ x)$  and  $(f \ y)$  satisfy  $R_2$   $(f \ x)$   $(f \ y)$ . An f that respects its input and output relations will be called a unary covariant *morphism*. We can also say that f is a monotone function with respect to  $R_1$  and  $R_2$ . The sequence  $x_1, \ldots x_n$  represents the parameters of the morphism.

Let  $R_1$  and  $R_2$  be two parametric relations. The *signature* of a parametric morphism of type forall  $(x_1:T_1)$  ...  $(x_n:T_n)$ ,  $A_1 \rightarrow A_2$  that covariantly respects two instances  $I_{R_1}$  and  $I_{R_2}$  of  $R_1$  and  $R_2$  is written  $I_{R_1}++>I_{R_2}$ . Notice that the special arrow ++>, which reminds the reader of covariance, is placed between the two relation instances, not between the two carriers. The signature relation instances and morphism will be typed in a context introducing variables for the parameters.

The previous definitions are extended straightforwardly to n-ary morphisms, that are required to be simultaneously monotone on every argument.

Morphisms can also be contravariant in one or more of their arguments. A morphism is contravariant on an argument associated to the relation instance R if it is covariant on the same argument when the inverse relation  $R^{-1}$  (inverse R in Coq) is considered. The special arrow --> is used in signatures for contravariant morphisms.

Functions having arguments related by symmetric relations instances are both covariant and contravariant in those arguments. The special arrow ==> is used in signatures for morphisms that are both covariant and contravariant.

An instance of a parametric morphism f with n parameters is any term f  $t_1$  ... $t_n$ .

Example 3 (Morphisms) Continuing the previous example, let union: forall (A: Type), list A -> list A -> list A perform the union of two sets by appending one list to the other. union is a binary morphism parametric over A that respects the relation instance (set\_eq A). The latter condition is proved by showing forall (A: Type) (S1 S1' S2 S2': list A), set\_eq A S1 S1' -> set\_eq A S2 S2' -> set\_eq A (union A S1 S2) (union A S1' S2').

The signature of the function union A is  $set\_eq A ==> set\_eq A ==> set\_eq A$  for all A.

**Example 4 (Contravariant morphism)** The division function  $Rdiv: R \rightarrow R \rightarrow R$  is a morphism of signature 1e ++> 1e --> 1e where 1e is the usual order relation over real numbers. Notice that division is covariant in its first argument and contravariant in its second argument.

Leibniz equality is a relation and every function is a morphism that respects Leibniz equality. Unfortunately, Leibniz equality is not always the intended equality for a given structure.

In the next section we will describe the commands to register terms as parametric relations and morphisms. Several tactics that deal with equality in CoQ can also work with the registered relations. The exact list of tactic will be given in Sect. 27.2.2. For instance, the tactic reflexivity can be used to close a goal R n whenever R is an instance of a registered reflexive relation. However, the tactics that replace in a context C[] one term with another one related by R must verify that C[] is a morphism that respects the intended relation. Currently the verification consists in checking whether C[] is a syntactic composition of morphism instances that respects some obvious compatibility constraints.

Example 5 (Rewriting) Continuing the previous examples, suppose that the user must prove set\_eq int (union int (union int S1 S2) S2) (f S1 S2) under the hypothesis H: set\_eq int S2 (nil int). It is possible to use the rewrite tactic to replace the first two occurrences of S2 with nil int in the goal since the context set\_eq int (union int (union int S1 nil) nil) (f S1 S2), being a composition of morphisms instances, is a morphism. However the tactic will fail replacing the third occurrence of S2 unless f has also been declared as a morphism.

#### 27.1.2 Adding new relations and morphisms

A parametric relation Aeq: forall  $(y_1:\beta_!\ldots y_m:\beta_m)$ , relation (A  $t_1\ldots t_n$ ) over  $(A:\alpha_i > \ldots \alpha_n > \text{Type})$  can be declared with the following command:

```
Add Parametric Relation (x_1:T_1)\dots(x_n:T_k):(A\ t_1\dots t_n)\ (Aeq\ t_1'\dots t_m') [reflexivity proved by refl] [symmetry proved by sym] [transitivity proved by trans] as id.
```

after having required the Setoid module with the Require Setoid command.

The identifier *id* gives a unique name to the morphism and it is used by the command to generate fresh names for automatically provided lemmas used internally.

Notice that the carrier and relation parameters may refer to the context of variables introduced at the beginning of the declaration, but the instances need not be made only of variables. Also notice that *A* is *not* required to be a term having the same parameters as *Aeq*, although that is often the case in practice (this departs from the previous implementation).

In case the carrier and relations are not parametric, one can use the command Add Relation instead, whose syntax is the same except there is no local context.

The proofs of reflexivity, symmetry and transitivity can be omitted if the relation is not an equivalence relation. The proofs must be instances of the corresponding relation definitions: e.g. the proof of reflexivity must have a type convertible to reflexive  $(A \ t_1 \ \ldots t_n)$   $(Aeq \ t'_1 \ \ldots t'_n)$ . Each proof may refer to the introduced variables as well.

```
Example 6 (Parametric relation) For Leibniz equality, we may declare: Add Parametric Relation (A: Type): A (@eq A) [reflexivity proved by @refl_equal A]
```

Some tactics (reflexivity, symmetry, transitivity) work only on relations that respect the expected properties. The remaining tactics (replace, rewrite and derived tactics such as autorewrite) do not require any properties over the relation. However, they are able to replace terms with related ones only in contexts that are syntactic compositions of parametric morphism instances declared with the following command.

```
Add Parametric Morphism (x_1: \mathbf{T}_!)\dots(x_k: \mathbf{T}_k) (ft_1\dots t_n) with signature sig as id. Proof ... Qed
```

The command declares f as a parametric morphism of signature sig. The identifier id gives a unique name to the morphism and it is used as the base name of the type class instance definition and as the name of the lemma that proves the well-definedness of the morphism. The parameters of the morphism as well as the signature may refer to the context of variables. The command asks the user to prove interactively that f respects the relations identified from the signature.

**Example 7** We start the example by assuming a small theory over homogeneous sets and we declare set equality as a parametric equivalence relation and union of two sets as a parametric morphism.

```
Cog < Require Export Setoid.
Cog < Require Export Relation_Definitions.
Cog < Set Implicit Arguments.
Coq < Parameter set: Type -> Type.
Cog < Parameter empty: forall A, set A.
Coq < Parameter eq_set: forall A, set A -> set A -> Prop.
Cog < Parameter union: forall A, set A -> set A -> set A.
Coq < Axiom eq_set_refl: forall A, reflexive _ (eq_set (A:=A)).
Coq < Axiom eq_set_sym: forall A, symmetric _ (eq_set (A:=A)).
Coq < Axiom eq_set_trans: forall A, transitive _ (eq_set (A:=A)).
Coq < Axiom empty_neutral: forall A (S: set A), eq_set (union S (empty A)) S.
Cog < Axiom union_compat:
       forall (A : Type),
        forall x x' : set A, eq_set x x' ->
        forall y y' : set A, eq_set y y' ->
         eq_set (union x y) (union x' y').
Coq < Add Parametric Relation A : (set A) (@eq_set A)</pre>
```

```
reflexivity proved by (eq_set_refl (A:=A))
    symmetry proved by (eq_set_sym (A:=A))
    transitivity proved by (eq_set_trans (A:=A))
    as eq_set_rel.

Coq < Add Parametric Morphism A : (@union A) with
    signature (@eq_set A) ==> (@eq_set A) ==> (@eq_set A) as union_mor.

Coq < Proof. exact (@union_compat A). Qed.</pre>
```

It is possible to reduce the burden of specifying parameters using (maximally inserted) implicit arguments. If A is always set as maximally implicit in the previous example, one can write:

```
Coq < Add Parametric Relation A : (set A) eq_set
    reflexivity proved by eq_set_refl
    symmetry proved by eq_set_sym
    transitivity proved by eq_set_trans
    as eq_set_rel.

Coq < Add Parametric Morphism A : (@union A) with
    signature eq_set ==> eq_set ==> eq_set as union_mor.

Coq < Proof. exact (@union_compat A). Qed.</pre>
```

We proceed now by proving a simple lemma performing a rewrite step and then applying reflexivity, as we would do working with Leibniz equality. Both tactic applications are accepted since the required properties over eq\_set and union can be established from the two declarations above.

The tables of relations and morphisms are managed by the type class instance mechanism. The behavior on section close is to generalize the instances by the variables of the section (and possibly hypotheses used in the proofs of instance declarations) but not to export them in the rest of the development for proof search. One can use the <code>Existing Instance</code> command to do so outside the section, using the name of the declared morphism suffixed by <code>\_Morphism</code>, or use the <code>Global</code> modifier for the corresponding class instance declaration (see §27.2.1) at definition time. When loading a compiled file or importing a module, all the declarations of this module will be loaded.

#### **27.1.3** Rewriting and non reflexive relations

To replace only one argument of an n-ary morphism it is necessary to prove that all the other arguments are related to themselves by the respective relation instances.

**Example 8** To replace (union S empty) with S in (union (union S empty) S) (union S S) the rewrite tactic must exploit the monotony of union (axiom union\_compat in the previous example). Applying union\_compat by hand we are left with the goal eq\_set (union S S) (union S S).

When the relations associated to some arguments are not reflexive, the tactic cannot automatically prove the reflexivity goals, that are left to the user.

Setoids whose relation are partial equivalence relations (PER) are useful to deal with partial functions. Let  $\mathbb{R}$  be a PER. We say that an element  $\times$  is defined if  $\mathbb{R} \times \times$ . A partial function whose domain comprises all the defined elements only is declared as a morphism that respects  $\mathbb{R}$ . Every time a rewriting step is performed the user must prove that the argument of the morphism is defined.

**Example 9** Let eq0 be fun  $x y => x = y \land x \neq 0$  (the smaller PER over non zero elements). Division can be declared as a morphism of signature eq ==> eq0 ==> eq. Replace x with y in div x n = div y n opens the additional goal eq0 n n that is equivalent to  $n=n \land n \neq 0$ .

#### 27.1.4 Rewriting and non symmetric relations

When the user works up to relations that are not symmetric, it is no longer the case that any covariant morphism argument is also contravariant. As a result it is no longer possible to replace a term with a related one in every context, since the obtained goal implies the previous one if and only if the replacement has been performed in a contravariant position. In a similar way, replacement in an hypothesis can be performed only if the replaced term occurs in a covariant position.

**Example 10 (Covariance and contravariance)** Suppose that division over real numbers has been defined as a morphism of signature Z. div: Z. lt ++> Z. lt --> Z. lt (i.e. Z. div is increasing in its first argument, but decreasing on the second one). Let < denotes Z. lt. Under the hypothesis H: x < y we have k < x / y -> k < x / x, but not k < y / x -> k < x / x. Dually, under the same hypothesis k < x / y -> k < y / y holds, but k < y / x -> k < y / y does not. Thus, if the current goal is k < x / x, it is possible to replace only the second occurrence of x (in contravariant position) with y since the obtained goal must imply the current one. On the contrary, if k < x / x is an hypothesis, it is possible to replace only the first occurrence of x (in covariant position) with y since the current hypothesis must imply the obtained one.

Contrary to the previous implementation, no specific error message will be raised when trying to replace a term that occurs in the wrong position. It will only fail because the rewriting constraints are not satisfiable. However it is possible to use the at modifier to specify which occurrences should be rewritten.

As expected, composing morphisms together propagates the variance annotations by switching the variance every time a contravariant position is traversed.

**Example 11** Let us continue the previous example and let us consider the goal x / (x / x) < k. The first and third occurrences of x are in a contravariant position, while the second one is in covariant position. More in detail, the second occurrence of x occurs covariantly in (x / x) (since division is covariant in its first argument), and thus contravariantly in x / (x / x) (since division is contravariant in its second argument), and finally covariantly in x / (x / x) < k (since x < x < x) every transitive relation, is contravariant in its first argument with respect to the relation itself).

#### 27.1.5 Rewriting in ambiguous setoid contexts

One function can respect several different relations and thus it can be declared as a morphism having multiple signatures.

**Example 12** Union over homogeneous lists can be given all the following signatures: eq ==> eq ==> eq (eq being the equality over ordered lists) set\_eq ==> set\_eq ==> set\_eq(set\_eq being the equality over unordered lists up to duplicates), multiset\_eq ==> multiset\_eq ==> multiset\_eq (multiset\_eq being the equality over unordered lists).

To declare multiple signatures for a morphism, repeat the Add Morphism command.

When morphisms have multiple signatures it can be the case that a rewrite request is ambiguous, since it is unclear what relations should be used to perform the rewriting. Contrary to the previous implementation, the tactic will always choose the first possible solution to the set of constraints generated by a rewrite and will not try to find *all* possible solutions to warn the user about.

#### 27.2 Commands and tactics

#### 27.2.1 First class setoids and morphisms

The implementation is based on a first-class representation of properties of relations and morphisms as type classes. That is, the various combinations of properties on relations and morphisms are represented as records and instances of theses classes are put in a hint database. For example, the declaration:

```
Add Parametric Relation (x_1:T_1)\dots(x_n:T_k):(A\ t_1\dots t_n)\ (Aeq\ t'_1\dots t'_m) [reflexivity proved by refl] [symmetry proved by sym] [transitivity proved by trans] as id.
```

is equivalent to an instance declaration:

```
Instance (x_1:T_1)\dots(x_n:T_k) \Rightarrow id: @Equivalence (A t_1\dots t_n) (Aeq t'_1\dots t'_m) := [Equivalence\_Reflexive := refl] [Equivalence\_Symmetric := sym] [Equivalence\_Transitive := trans].
```

The declaration itself amounts to the definition of an object of the record type <code>Coq.Classes.RelationClasses.Equivalence</code> and a hint added to the <code>typeclass\_instances</code> hint database. Morphism declarations are also instances of a type class defined in <code>Classes.Morphisms</code>. See the documentation on type classes 20 and the theories files in <code>Classes</code> for further explanations.

One can inform the rewrite tactic about morphisms and relations just by using the typeclass mechanism to declare them using Instance and Context vernacular commands. Any object of type Proper (the type of morphism declarations) in the local context will also be automatically used by the rewriting tactic to solve constraints.

Other representations of first class setoids and morphisms can also be handled by encoding them as records. In the following example, the projections of the setoid relation and of the morphism function can be registered as parametric relations and morphisms.

Example 13 (First class setoids) Coq < Require Import Relation\_Definitions Setoid.

```
Coq < Record Setoid: Type :=
    { car:Type;
        eq:car->car->Prop;
        refl: reflexive _ eq;
        sym: symmetric _ eq;
        trans: transitive _ eq
}.
```

#### 27.2.2 Tactics enabled on user provided relations

The following tactics, all prefixed by setoid\_, deal with arbitrary registered relations and morphisms. Moreover, all the corresponding unprefixed tactics (i.e. reflexivity, symmetry, transitivity, replace, rewrite) have been extended to fall back to their prefixed counterparts when the relation involved is not Leibniz equality. Notice, however, that using the prefixed tactics it is possible to pass additional arguments such as using relation.

```
setoid_reflexivity
setoid_symmetry [in ident]
setoid_transitivity
setoid_rewrite [orientation] term [at occs] [in ident]
setoid_replace term with term [in ident] [using relation term] [by tactic]
```

The using relation arguments cannot be passed to the unprefixed form. The latter argument tells the tactic what parametric relation should be used to replace the first tactic argument with the second one. If omitted, it defaults to the DefaultRelation instance on the type of the objects. By default, it means the most recent Equivalence instance in the environment, but it can be customized by declaring new DefaultRelation instances. As Leibniz equality is a declared equivalence, it will fall back to it if no other relation is declared on a given type.

Every derived tactic that is based on the unprefixed forms of the tactics considered above will also work up to user defined relations. For instance, it is possible to register hints for autorewrite that are not proof of Leibniz equalities. In particular it is possible to exploit autorewrite to simulate normalization in a term rewriting system up to user defined equalities.

#### 27.2.3 Printing relations and morphisms

The Print Instances command can be used to show the list of currently registered Reflexive (using Print Instances Reflexive), Symmetric or Transitive relations, Equivalences, PreOrders, PERs, and Morphisms (implemented as Proper instances). When the rewriting tactics refuse to replace a term in a context because the latter is not a composition of morphisms, the Print Instances commands can be useful to understand what additional morphisms should be registered.

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#### 27.2.4 Deprecated syntax and backward incompatibilities

Due to backward compatibility reasons, the following syntax for the declaration of setoids and morphisms is also accepted.

```
Add Setoid A A eq ST as ident
```

where Aeq is a congruence relation without parameters, A is its carrier and ST is an object of type (Setoid\_Theory A Aeq) (i.e. a record packing together the reflexivity, symmetry and transitivity lemmas). Notice that the syntax is not completely backward compatible since the identifier was not required.

```
Add Morphism f:ident.

Proof.

Qed.
```

The latter command also is restricted to the declaration of morphisms without parameters. It is not fully backward compatible since the property the user is asked to prove is slightly different: for n-ary morphisms the hypotheses of the property are permuted; moreover, when the morphism returns a proposition, the property is now stated using a bi-implication in place of a simple implication. In practice, porting an old development to the new semantics is usually quite simple.

Notice that several limitations of the old implementation have been lifted. In particular, it is now possible to declare several relations with the same carrier and several signatures for the same morphism. Moreover, it is now also possible to declare several morphisms having the same signature. Finally, the replace and rewrite tactics can be used to replace terms in contexts that were refused by the old implementation. As discussed in the next section, the semantics of the new setoid\_rewrite command differs slightly from the old one and rewrite.

#### 27.3 Extensions

#### 27.3.1 Rewriting under binders

**Warning**: Due to compatibility issues, this feature is enabled only when calling the setoid\_rewrite tactics directly and not rewrite.

To be able to rewrite under binding constructs, one must declare morphisms with respect to pointwise (setoid) equivalence of functions. Example of such morphisms are the standard all and ex combinators for universal and existential quantification respectively. They are declared as morphisms in the Classes.Morphisms\_Prop module. For example, to declare that universal quantification is a morphism for logical equivalence:

One then has to show that if two predicates are equivalent at every point, their universal quantifications are equivalent. Once we have declared such a morphism, it will be used by the setoid rewriting tactic each time we try to rewrite under an all application (products in Prop are implicitly translated to such applications).

Indeed, when rewriting under a lambda, binding variable x, say from P x to Q x using the relation iff, the tactic will generate a proof of pointwise\_relation A iff (fun  $x \Rightarrow P$  x) (fun  $x \Rightarrow Q$  x) from the proof of iff (P x) (Q x) and a constraint of the form Proper (pointwise\_relation A iff  $\Rightarrow$ ?) m will be generated for the surrounding morphism m.

Hence, one can add higher-order combinators as morphisms by providing signatures using pointwise extension for the relations on the functional arguments (or whatever subrelation of the pointwise extension). For example, one could declare the map combinator on lists as a morphism:

where <code>list\_equiv</code> implements an equivalence on lists parameterized by an equivalence on the elements.

Note that when one does rewriting with a lemma under a binder using setoid\_rewrite, the application of the lemma may capture the bound variable, as the semantics are different from rewrite where the lemma is first matched on the whole term. With the new setoid\_rewrite, matching is done on each subterm separately and in its local environment, and all matches are rewritten *simultaneously* by default. The semantics of the previous setoid\_rewrite implementation can almost be recovered using the at 1 modifier.

#### 27.3.2 Sub-relations

Sub-relations can be used to specify that one relation is included in another, so that morphisms signatures for one can be used for the other. If a signature mentions a relation R on the left of an arrow ==>, then the signature also applies for any relation S that is smaller than R, and the inverse applies on the right of an arrow. One can then declare only a few morphisms instances that generate the complete set of signatures for a particular constant. By default, the only declared subrelation is iff, which is a subrelation of impl and inverse impl (the dual of implication). That's why we can declare only two morphisms for conjunction: Proper (impl ==> impl ==> impl) and and Proper (iff ==> iff ==> iff) and. This is sufficient to satisfy any rewriting constraints arising from a rewrite using iff, impl or inverse impl through and.

Sub-relations are implemented in Classes. Morphisms and are a prime example of a mostly user-space extension of the algorithm.

#### 27.3.3 Constant unfolding

The resolution tactic is based on type classes and hence regards user-defined constants as transparent by default. This may slow down the resolution due to a lot of unifications (all the declared Proper

instances are tried at each node of the search tree). To speed it up, declare your constant as rigid for proof search using the command Typeclasses Opaque (see §20.6.5).

## 27.4 Strategies for rewriting

#### 27.4.1 Definitions

The generalized rewriting tactic is based on a set of strategies that can be combined to obtain custom rewriting procedures. Its set of strategies is based on Elan's rewriting strategies [102]. Rewriting strategies are applied using the tactic rewrite\_strat s where s is a strategy expression. Strategies are defined inductively as described by the following grammar:

```
s, t, u ::= (s)
                                strategy
                                lemma
              c
              <- c
                                lemma, right-to-left
              fail
                                failure
              id
                                identity
              refl
                                reflexivity
              progress s
                                progress
                                failure catch
              \operatorname{try} s
                                composition
              s : u
                                left-biased choice
              \mathtt{choice}\, s\, t
              repeat s
                                iteration (+)
                                iteration (*)
              any s
                                one subterm
              \operatorname{subterm} s
                                all subterms
              \operatorname{subterms} s
              innermost s innermost first
              outermost s outermost first
                                bottom-up
              bottomup s
                                top-down
              topdown s
              hints hintdb apply hint
              \mathsf{terms}\,c\dots c
                                any of the terms
              eval redexpr
                                apply reduction
              \operatorname{fold} c
                                fold expression
```

Actually a few of these are defined in term of the others using a primitive fixpoint operator:

```
\begin{array}{lll} \operatorname{try} s & = & \operatorname{choice} s \operatorname{id} \\ \operatorname{any} s & = & \operatorname{fix} u.\operatorname{try} \left( s \; ; \; u \right) \\ \operatorname{repeat} s & = & s \; ; \; \operatorname{any} s \\ \operatorname{bottomup} s & = & \operatorname{fix} bu.(\operatorname{choice} \left( \operatorname{progress} \left( \operatorname{subterms} bu \right) \right) \; ; \; \operatorname{try} bu \\ \operatorname{topdown} s & = & \operatorname{fix} td.(\operatorname{choice} s \left( \operatorname{progress} \left( \operatorname{subterms} td \right) \right) \; ; \; \operatorname{try} td \\ \operatorname{innermost} s & = & \operatorname{fix} i.(\operatorname{choice} \left( \operatorname{subterm} i \right) \; s \right) \\ \operatorname{outermost} s & = & \operatorname{fix} o.(\operatorname{choice} s \left( \operatorname{subterm} o \right) \right) \end{array}
```

The basic control strategy semantics are straightforward: strategies are applied to subterms of the term to rewrite, starting from the root of the term. The lemma strategies unify the left-hand-side of the lemma with the current subterm and on success rewrite it to the right-hand-side. Composition can

be used to continue rewriting on the current subterm. The fail strategy always fails while the identity strategy succeeds without making progress. The reflexivity strategy succeeds, making progress using a reflexivity proof of rewriting. Progress tests progress of the argument strategy and fails if no progress was made, while try always succeeds, catching failures. Choice is left-biased: it will launch the first strategy and fall back on the second one in case of failure. One can iterate a strategy at least 1 time using repeat and at least 0 times using any.

The subterm and subterms strategies apply their argument strategy s to respectively one or all subterms of the current term under consideration, left-to-right. subterm stops at the first subterm for which s made progress. The composite strategies innermost and outermost perform a single innermost our outermost rewrite using their argument strategy. Their counterparts bottomup and topdown perform as many rewritings as possible, starting from the bottom or the top of the term.

Hint databases created for autorewrite can also be used by rewrite\_strat using the hints strategy that applies any of the lemmas at the current subterm. The terms strategy takes the lemma names directly as arguments. The eval strategy expects a reduction expression (see §8.7) and succeeds if it reduces the subterm under consideration. The fold strategy takes a term c and tries to *unify* it to the current subterm, converting it to c on success, it is stronger than the tactic fold.

#### 27.4.2 Usage

rewrite\_strat *s* [in *ident*]:

Rewrite using the strategy s in hypothesis *ident* or the conclusion.

#### **Error messages:**

- 1. Nothing to rewrite. If the strategy failed.
- 2. No progress made. If the strategy succeeded but made no progress.
- 3. Unable to satisfy the rewriting constraints. If the strategy succeeded and made progress but the corresponding rewriting constraints are not satisfied.

The setoid\_rewrite c tactic is basically equivalent to rewrite\_strat (outermost c).

# **Chapter 28**

# **Asynchronous and Parallel Proof Processing**

## **Enrico Tassi**

This chapter explains how proofs can be asynchronously processed by Coq. This feature improves the reactivity of the system when used in interactive mode via CoqIDE. In addition to that, it allows Coq to take advantage of parallel hardware when used as a batch compiler by decoupling the checking of statements and definitions from the construction and checking of proofs objects.

This feature is designed to help dealing with huge libraries of theorems characterized by long proofs. In the current state, it may not be beneficial on small sets of short files.

This feature has some technical limitations that may make it unsuitable for some use cases.

For example, in interactive mode, some errors coming from the kernel of Coq are signaled late. The type of errors belonging to this category are universe inconsistencies.

Last, at the time of writing, only opaque proofs (ending with Qed or Admitted) can be processed asynchronously.

#### 28.1 Proof annotations

To process a proof asynchronously Coq needs to know the precise statement of the theorem without looking at the proof. This requires some annotations if the theorem is proved inside a Section (see Section 2.4).

When a section ends, Coq looks at the proof object to decide which section variables are actually used and hence have to be quantified in the statement of the theorem. To avoid making the construction of proofs mandatory when ending a section, one can start each proof with the Proof using command (Section 7.1.5) that declares which section variables the theorem uses.

The presence of Proof using is needed to process proofs asynchronously in interactive mode.

It is not strictly mandatory in batch mode if it is not the first time the file is compiled and if the file itself did not change. When the proof does not begin with Proof using, the system records in an auxiliary file, produced along with the .vo file, the list of section variables used.

#### Automatic suggestion of proof annotations

The command Set Suggest Proof Using makes Coq suggest, when a Qed command is processed, a correct proof annotation. It is up to the user to modify the proof script accordingly.

#### 28.2 Interactive mode

At the time of writing the only user interface supporting asynchronous proof processing is CoqIDE.

When CoqIDE is started, two Coq processes are created. The master one follows the user, giving feedback as soon as possible by skipping proofs, which are delegated to the worker process. The worker process, whose state can be seen by clicking on the button in the lower right corner of the main CoqIDE window, asynchronously processes the proofs. If a proof contains an error, it is reported in red in the label of the very same button, that can also be used to see the list of errors and jump to the corresponding line.

If a proof is processed asynchronously the corresponding Qed command is colored using a lighter color that usual. This signals that the proof has been delegated to a worker process (or will be processed lazily if the <code>-async-proofs lazy</code> option is used). Once finished, the worker process will provide the proof object, but this will not be automatically checked by the kernel of the main process. To force the kernel to check all the proof objects, one has to click the button with the gears. Only then are all the universe constraints checked.

#### **Caveats**

The number of worker processes can be increased by passing CoqIDE the -async-proofs-j n flag. Note that the memory consumption increases too, since each worker requires the same amount of memory as the master process. Also note that increasing the number of workers may reduce the reactivity of the master process to user commands.

To disable this feature, one can pass the -async-proofs off flag to CoqIDE.

#### 28.3 Batch mode

When Coq is used as a batch compiler by running <code>coqc</code> or <code>coqtop</code> <code>-compile</code>, it produces a .vo file for each .v file. A .vo file contains, among other things, theorems statements and proofs. Hence to produce a .vo Coq need to process all the proofs of the .v file.

The asynchronous processing of proofs can decouple the generation of a compiled file (like the .vo one) that can be loaded by Require from the generation and checking of the proof objects. The -quick flag can be passed to coqc or coqtop to produce, quickly, .vio files. Alternatively, when using a Makefile produced by coq\_makefile, the quick target can be used to compile all files using the -quick flag.

A .vio file can be loaded using Require exactly as a .vo file but proofs will not be available (the Print command produces an error). Moreover, some universe constraints might be missing, so universes inconsistencies might go unnoticed. A .vio file does not contain proof objects, but proof tasks, i.e. what a worker process can transform into a proof object.

Compiling a set of files with the -quick flag allows one to work, interactively, on any file without waiting for all the proofs to be checked.

When working interactively, one can fully check all the .v files by running cogc as usual.

Alternatively one can turn each .vio into the corresponding .vo. All .vio files can be processed in parallel, hence this alternative might be faster. The command coqtop -schedule-vio2vo 2 a b c can be used to obtain a good scheduling for 2 workers to produce a.vo, b.vo, and c.vo. When using a Makefile produced by coq\_makefile, the vio2vo target can be used for that purpose. Variable J should be set to the number of workers, e.g. make vio2vo J=2. The only caveat is that, while the .vo files obtained from .vio files are complete (they contain all proof terms and universe constraints), the satisfiability of all universe constraints has not been checked globally (they are checked to be consistent for every single proof). Constraints will be checked when these .vo files are (recursively) loaded with Require.

There is an extra, possibly even faster, alternative: just check the proof tasks stored in .vio files without producing the .vo files. This is possibly faster because all the proof tasks are independent, hence one can further partition the job to be done between workers. The coqtop-schedule-vio-checking 6 a b c command can be used to obtain a good scheduling for 6 workers to check all the proof tasks of a .vio, b .vio, and c .vio. Auxiliary files are used to predict how long a proof task will take, assuming it will take the same amount of time it took last time. When using a Makefile produced by coq\_makefile, the checkproofs target can be used to check all .vio files. Variable J should be set to the number of workers, e.g. make checkproofs J=6. As when converting .vio files to .vo files, universe constraints are not checked to be globally consistent. Hence this compilation mode is only useful for quick regression testing and on developments not making heavy use of the Type hierarchy.

## 28.4 Limiting the number of parallel workers

Many Coq processes may run on the same computer, and each of them may start many additional worker processes. The coqworkmgr utility lets one limit the number of workers, globally.

The utility accepts the -j argument to specify the maximum number of workers (defaults to 2). coqworkmgr automatically starts in the background and prints an environment variable assignment like COQWORKMGR\_SOCKET=localhost: 45634. The user must set this variable in all the shells from which Coq processes will be started. If one uses just one terminal running the bash shell, then export 'coqworkmgr -j 4' will do the job.

After that, all Coq processes, e.g. coqide and coqc, will honor the limit, globally.

# **Chapter 29**

# **Polymorphic Universes**

## **Matthieu Sozeau**

#### 29.1 General Presentation

The status of Universe Polymorphism is experimental.

This section describes the universe polymorphic extension of Coq. Universe polymorphism makes it possible to write generic definitions making use of universes and reuse them at different and sometimes incompatible universe levels.

A standard example of the difference between universe *polymorphic* and *monomorphic* definitions is given by the identity function:

```
Coq < Definition identity {A : Type} (a : A) := a.</pre>
```

By default, constant declarations are monomorphic, hence the identity function declares a global universe (say Top.1) for its domain. Subsequently, if we try to self-apply the identity, we will get an error:

```
Coq < Fail Definition selfid := identity (@identity).

The command has indeed failed with message:

The term "@identity" has type "forall A : Type@{Top.1}, A -> A"

while it is expected to have type "?A"

(unable to find a well-typed instantiation for

"?A": cannot ensure that "Type@{Top.1+1}" is a subtype of

"Type@{Top.1}").
```

Indeed, the global level Top. 1 would have to be strictly smaller than itself for this self-application to typecheck, as the type of (@identity) is forall (A : Type@Top.1), A -> A whose type is itself Type@Top.1+1.

A universe polymorphic identity function binds its domain universe level at the definition level instead of making it global.

```
Coq < Polymorphic Definition pidentity {A : Type} (a : A) := a.
pidentity is defined

Coq < About pidentity.
pidentity@{Top.2} : forall A : Type@{Top.2}, A -> A
  (* Top.2 |= *)
pidentity is universe polymorphic
Argument A is implicit and maximally inserted
Argument scopes are [type_scope _]
pidentity is transparent
Expands to: Constant Top.pidentity
```

It is then possible to reuse the constant at different levels, like so:

```
\operatorname{Coq} < \operatorname{Definition} selfpid := pidentity (@pidentity). selfpid is defined
```

Of course, the two instances of pidentity in this definition are different. This can be seen when Set Printing Universes is on:

Now pidentity is used at two different levels: at the head of the application it is instantiated at Top. 3 while in the argument position it is instantiated at Top. 4. This definition is only valid as long as Top. 4 is strictly smaller than Top. 3, as show by the constraints. Note that this definition is monomorphic (not universe polymorphic), so the two universes (in this case Top. 3 and Top. 4) are actually global levels.

Inductive types can also be declared universes polymorphic on universes appearing in their parameters or fields. A typical example is given by monoids:

The Monoid's carrier universe is polymorphic, hence it is possible to instantiate it for example with Monoid itself. First we build the trivial unit monoid in Set:

From this we can build a definition for the monoid of Set-monoids (where multiplication would be given by the product of monoids).

As one can see from the constraints, this monoid is "large", it lives in a universe strictly higher than Set.

# 29.2 Polymorphic, Monomorphic

As shown in the examples, polymorphic definitions and inductives can be declared using the Polymorphic prefix. There also exists an option Set Universe Polymorphism which will implicitly prepend it to any definition of the user. In that case, to make a definition producing global universe constraints, one can use the Monomorphic prefix. Many other commands support the Polymorphic flag, including:

- Lemma, Axiom, and all the other "definition" keywords support polymorphism.
- Variables, Context, Universe and Constraint in a section support polymorphism. This means that the universe variables (and associated constraints) are discharged polymorphically over definitions that use them. In other words, two definitions in the section sharing a common variable will both get parameterized by the universes produced by the variable declaration. This is in contrast to a "mononorphic" variable which introduces global universes and constraints, making the two definitions depend on the *same* global universes associated to the variable.
- Hint {Resolve, Rewrite} will use the auto/rewrite hint polymorphically, not at a single instance.

#### 29.3 Global and local universes

Each universe is declared in a global or local environment before it can be used. To ensure compatibility, every *global* universe is set to be strictly greater than Set when it is introduced, while every *local* (i.e. polymorphically quantified) universe is introduced as greater or equal to Set.

#### 29.4 Conversion and unification

The semantics of conversion and unification have to be modified a little to account for the new universe instance arguments to polymorphic references. The semantics respect the fact that definitions are transparent, so indistinguishable from their bodies during conversion.

This is accomplished by changing one rule of unification, the first-order approximation rule, which applies when two applicative terms with the same head are compared. It tries to short-cut unfolding by comparing the arguments directly. In case the constant is universe polymorphic, we allow this rule to fire only when unifying the universes results in instantiating a so-called flexible universe variables (not given by the user). Similarly for conversion, if such an equation of applicative terms fail due to a universe comparison not being satisfied, the terms are unfolded. This change implies that conversion and unification can have different unfolding behaviors on the same development with universe polymorphism switched on or off.

#### 29.5 Minimization

Universe polymorphism with cumulativity tends to generate many useless inclusion constraints in general. Typically at each application of a polymorphic constant f, if an argument has expected type  $\texttt{Type@\{i\}}$  and is given a term of type  $\texttt{Type@\{j\}}$ , a  $j \leq i$  constraint will be generated. It is however often the case that an equation j = i would be more appropriate, when f's universes are fresh for example. Consider the following example:

```
Coq < Definition id0 := @pidentity nat 0.
id0 is defined

Coq < Print id0.
id0 = pidentity@{Set} 0
: nat</pre>
```

This definition is elaborated by minimizing the universe of id to level Set while the more general definition would keep the fresh level i generated at the application of id and a constraint that Set  $\leq i$ . This minimization process is applied only to fresh universe variables. It simply adds an equation between the variable and its lower bound if it is an atomic universe (i.e. not an algebraic max () universe).

The option Unset Universe Minimization ToSet disallows minimization to the sort Set and only collapses floating universes between themselves.

# 29.6 Explicit Universes

The syntax has been extended to allow users to explicitly bind names to universes and explicitly instantiate polymorphic definitions.

```
29.6.1 Universe ident.
```

In the monorphic case, this command declares a new global universe named *ident*. It supports the polymorphic flag only in sections, meaning the universe quantification will be discharged on each section definition independently.

#### **29.6.2** Constraint ident ord ident.

This command declares a new constraint between named universes. The order relation can be one of <,  $\leq$  or =. If consistent, the constraint is then enforced in the global environment. Like Universe, it can be used with the Polymorphic prefix in sections only to declare constraints discharged at section closing time.

#### **Error messages:**

- 1. Undeclared universe ident.
- 2. Universe inconsistency

#### 29.6.3 Polymorphic definitions

For polymorphic definitions, the declaration of (all) universe levels introduced by a definition uses the following syntax:

During refinement we find that j must be larger or equal than i, as we are using A: Type@i <= Type@j, hence the generated constraint. At the end of a definition or proof, we check that the only remaining universes are the ones declared. In the term and in general in proof mode, introduced universe names can be referred to in terms. Note that local universe names shadow global universe names. During a proof, one can use Show Universes to display the current context of universes.

Definitions can also be instantiated explicitly, giving their full instance:

User-named universes are considered rigid for unification and are never minimized.

#### 29.6.4 Unset Strict Universe Declaration.

The command Unset Strict Universe Declaration allows one to freely use identifiers for universes without declaring them first, with the semantics that the first use declares it. In this mode, the universe names are not associated with the definition or proof once it has been defined. This is meant mainly for debugging purposes.

# Chapter 30

# **Miscellaneous extensions**

## 30.1 Program derivation

Coq comes with an extension called <code>Derive</code>, which supports program derivation. Typically in the style of Bird and Meertens or derivations of program refinements. To use the <code>Derive</code> extension it must first be required with <code>Require Coq.Derive.Derive</code>. When the extension is loaded, it provides the following command.

```
30.1.1 Derive ident_1 SuchThat term As ident_2
```

The name  $ident_1$  can appear in term. This command opens a new proof presenting the user with a goal for term in which the name  $ident_1$  is bound to a existential variables ?x (formally, there are other goals standing for the existential variables but they are shelved, as described in Section 8.17.4).

When the proof ends two constants are defined:

- The first one is name *ident*<sub>1</sub> and is defined as the proof of the shelved goal (which is also the value of ?x). It is always transparent.
- The second one is name *ident*<sub>2</sub>. It has type *term*, and its body is the proof of the initially visible goal. It is opaque if the proof ends with Qed, and transparent if the proof ends with Defined.

#### **Example:**

```
1 focused subgoal
(shelved: 1)
 n, m, k : nat
 p := ?Goal : nat
 _____
 k * n + k * m = p
Coq < rewrite <- Nat.mul_add_distr_l.</pre>
1 focused subgoal
(shelved: 1)
 n, m, k: nat
 p := ?Goal : nat
 _____
 k * (n + m) = p
Coq < subst p.
1 focused subgoal
(shelved: 1)
 n, m, k: nat
 _____
 k * (n + m) = ?Goal
Coq < reflexivity.</pre>
No more subgoals.
Coq < Qed.
Coq < End P.
Coq < Print p.
p = fun \ n \ m \ k : nat => k * (n + m)
    : nat -> nat -> nat -> nat
Argument scopes are [nat_scope nat_scope]
Coq < Check h.
    : forall n m k: nat, k * n + k * m = p n m k
```

Any property can be used as *term*, not only an equation. In particular, it could be an order relation specifying some form of program refinement or a non-executable property from which deriving a program is convenient.

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